

Direct neutrino mass search

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Introduction

The KArlsruhe TRlithium Neutrino experiment KATRIN

- overview & commissioning campaigns

Possible improvements and neutrino mass beyond KATRIN

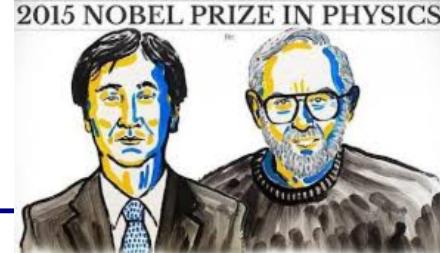
- Electron capture with ^{163}Ho cryo bolometers

- radio-based tritium β -spectroscopy: Project 8

Conclusions

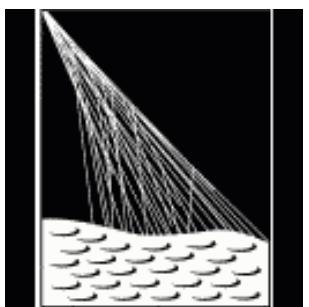


Clear evidence by so many ν oscillation experiments



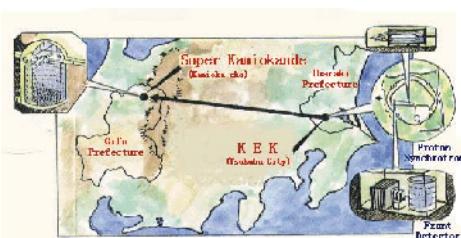
atmospheric neutrinos

(Kamiokande, Super-Kamiokande,
IceCube, ANTARES)



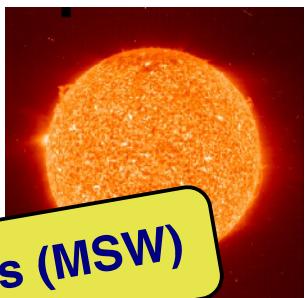
accelerator neutrinos

(K2K, T2K, MINOS,
OPERA, MiniBoone)

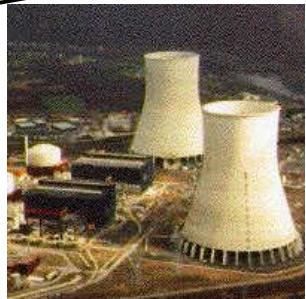


solar neutrinos

(Homestake, Gallex,
Sage, Super-Kamiokande,
SNO, Borexino)



Matter effects (MSW)



reactor neutrinos

(KamLAND, CHOOZ, Daya Bay,
Double CHOOZ, RENO, ...)

⇒ non-trivial ν -mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$|U_{\text{PMNS}}| \sim \begin{pmatrix} 0.8 & 0.5 & 0.1 \\ 0.5 & 0.6 & 0.7 \\ 0.3 & 0.6 & 0.7 \end{pmatrix}$$

$$0.37 < \sin^2(\theta_{23}) < 0.63 \quad \text{maximal!}$$

$$0.26 < \sin^2(\theta_{12}) < 0.36 \quad \text{large !}$$

$$0.018 < \sin^2(\theta_{13}) < 0.030 \quad 8.4^\circ$$

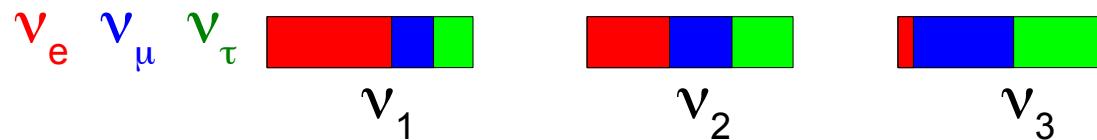
$$7.0 \cdot 10^{-5} \text{ eV}^2 < \Delta m_{12}^2 < 8.2 \cdot 10^{-5} \text{ eV}^2$$

$$2.2 \cdot 10^{-3} \text{ eV}^2 < |\Delta m_{13}^2| < 2.6 \cdot 10^{-3} \text{ eV}^2$$

⇒ $m(\nu_j) \neq 0$, but unknown
additional sterile neutrinos ?

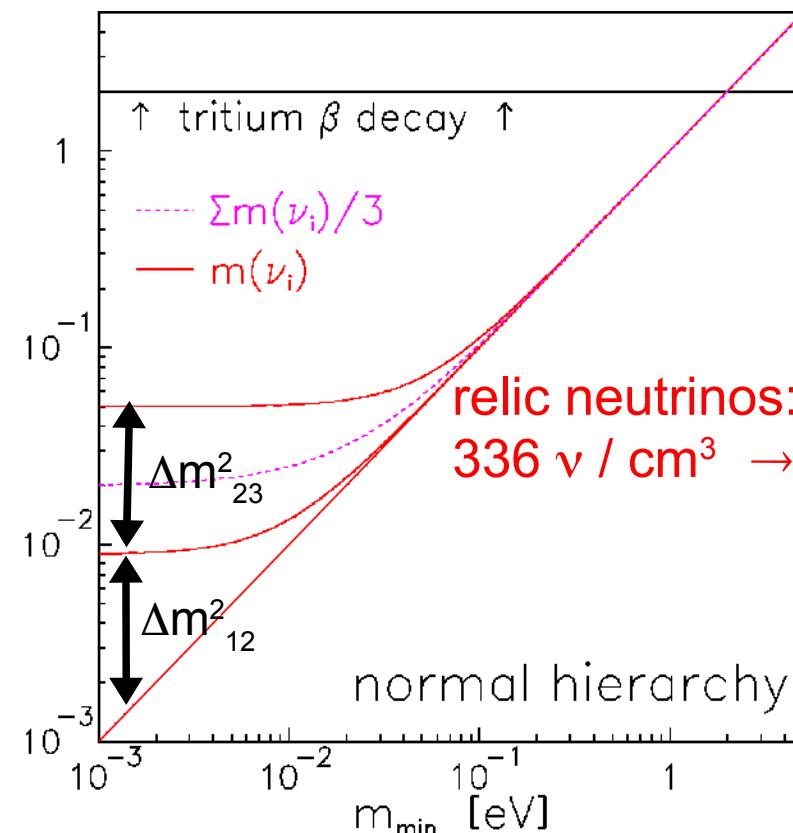
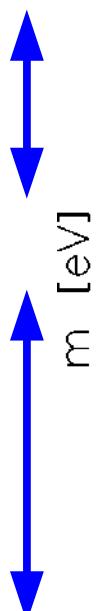
Need for the absolute ν mass determination

Results of recent oscillation experiments: Θ_{23} , Θ_{12} , Θ_{13} , $|\Delta m^2_{13}|$, Δm^2_{12}

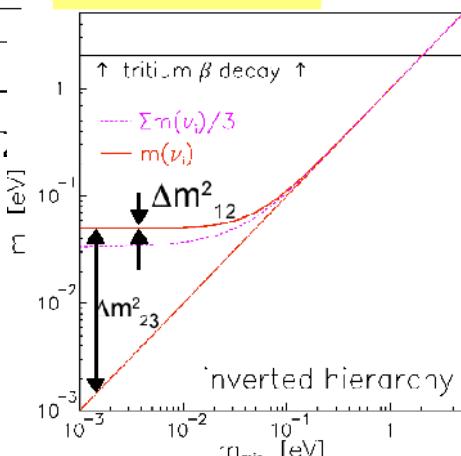


degenerated masses
cosmological relevant
e.g. seesaw mechanism type 2

hierarchical masses
e.g. seesaw mechanism type 1
explains smallness of masses,
but not large (maximal) mixing



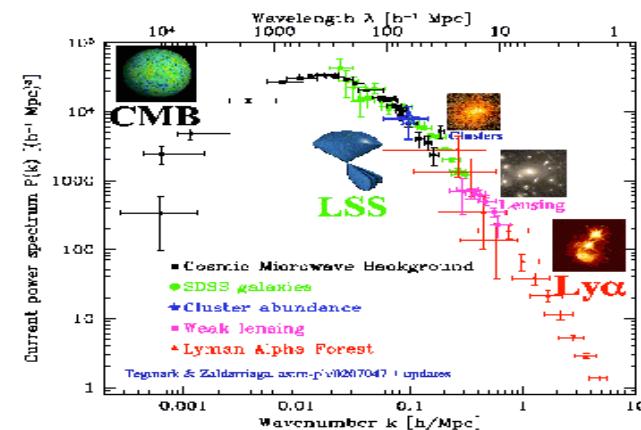
relic neutrinos:
336 ν / cm³ →



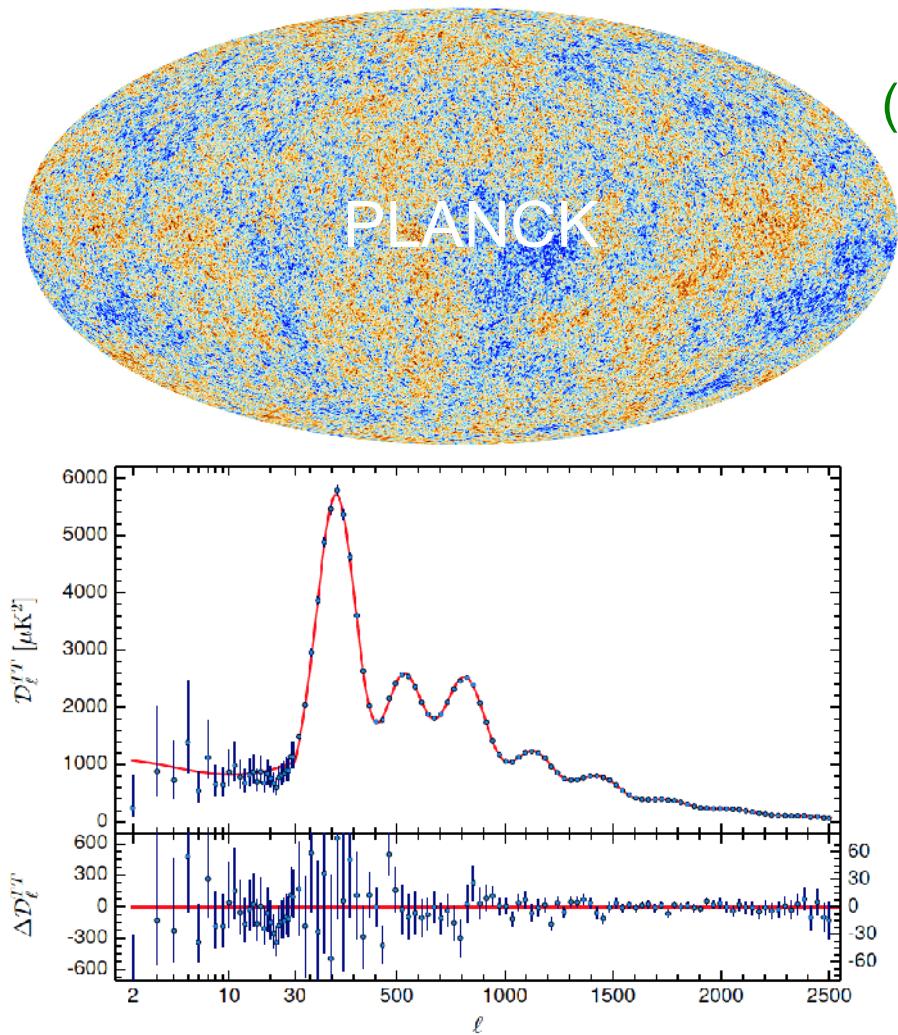
Three complementary ways to the absolute neutrino mass scale

1) Cosmology

very sensitive, but model dependent
 compares power at different scales
 current sensitivity: $\sum m(\nu_i) \approx 0.23 \text{ eV}$



Neutrino mass from cosmology



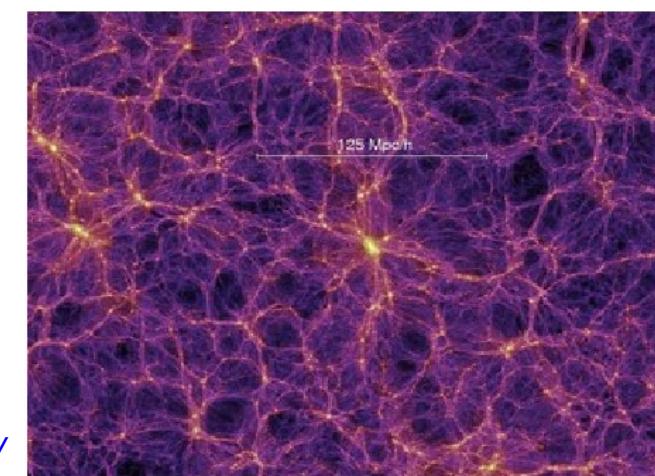
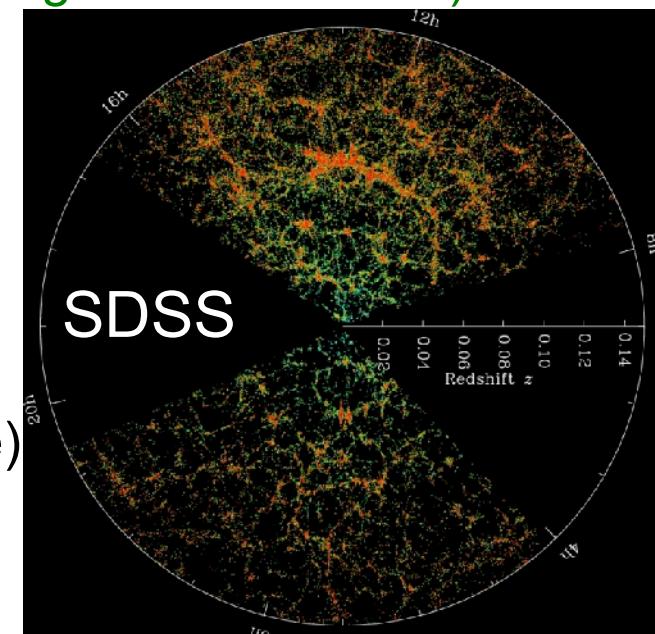
Planck Collaboration:
P. A. R. Ade et al., arXiv:1502.01589

measurement of CMBR
(Cosmic Microwave Background Radiation)

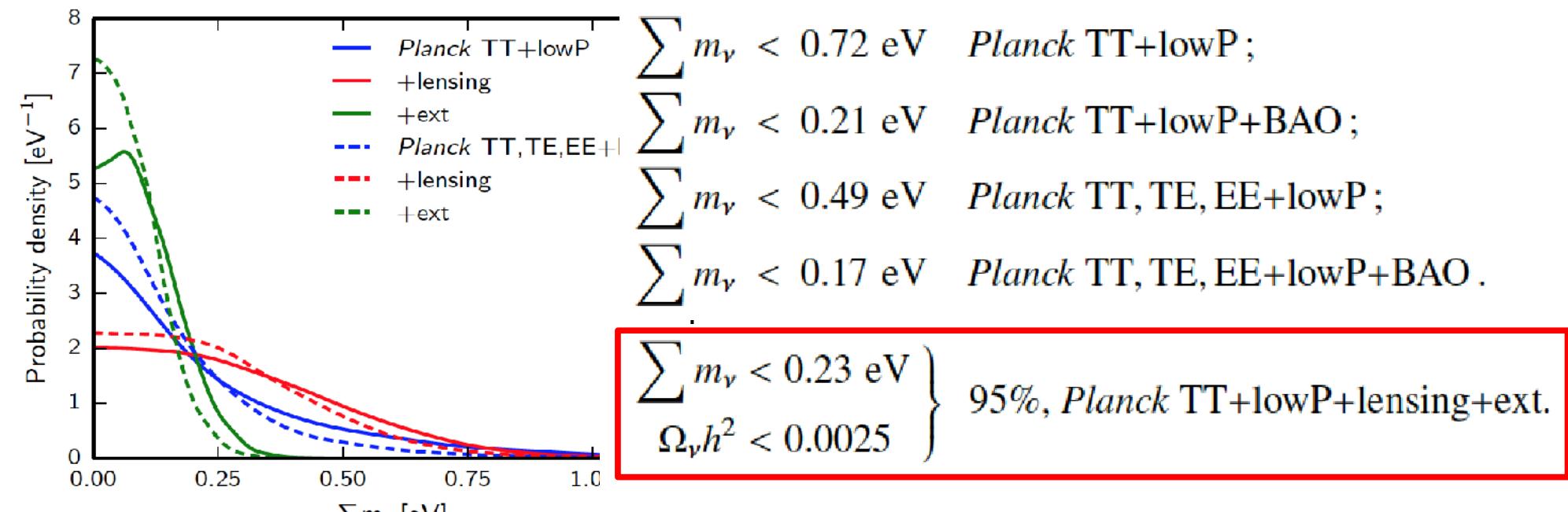
measurement of
matter density
distribution LSS
(Large Scale Structure)
by 2dF, SDSS, ...

compare to
numeric. models
including relic
neutrino density
of 336 cm^{-3}

Millenium simulation →
<http://www.mpa-garching.mpg.de/galform/presse/>



Neutrino mass from cosmology



Planck Collaboration: P. A. R. Ade et al., arXiv:1502.01589

Relies on Λ CDM model !

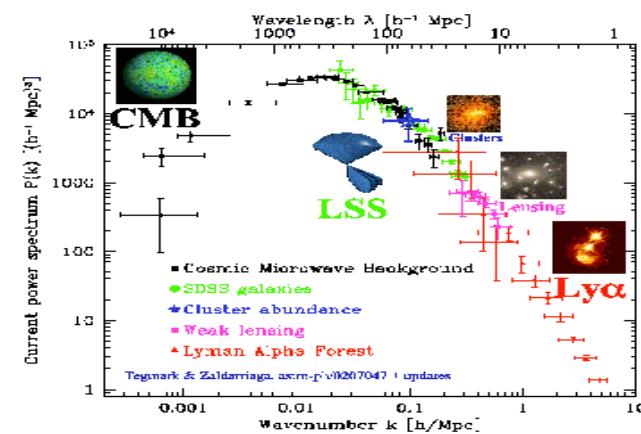
Is this fully correct, there are some discrepancies ?

**More than 95% of the energy distribution in the universe is not known
(dark energy, dark matter)**

Three complementary ways to the absolute neutrino mass scale

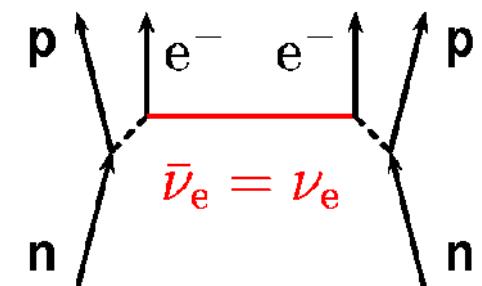
1) Cosmology

very sensitive, but model dependent
 compares power at different scales
 current sensitivity: $\sum m(\nu_i) \approx 0.23 \text{ eV}$



2) Search for $0\nu\beta\beta$

Sensitive to Majorana neutrinos
 Upper limits by EXO-200, KamLAND-Zen, GERDA, CUORE



3) Direct neutrino mass determination:

No further assumptions needed, use $E^2 = p^2c^2 + m^2c^4 \Rightarrow m^2(\nu) \text{ is observable mostly}$

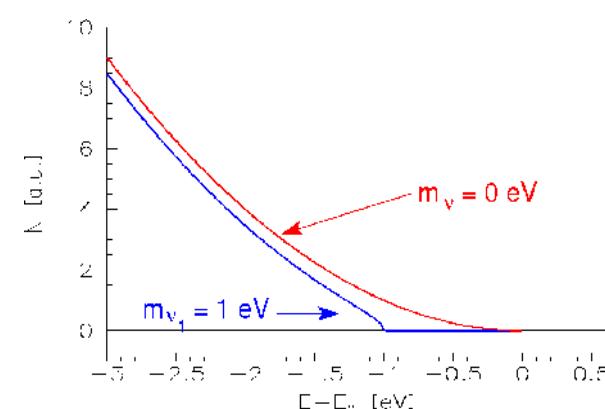
Time-of-flight measurements (ν from supernova)

SN1987a (large Magellan cloud) $\Rightarrow m(\nu_e) < 5.7 \text{ eV}$

Kinematics of weak decays / beta decays

measure charged decay prod., E-, p-conservation

- tritium, ^{187}Re β -spectrum
- ^{163}Ho electron capture (EC)



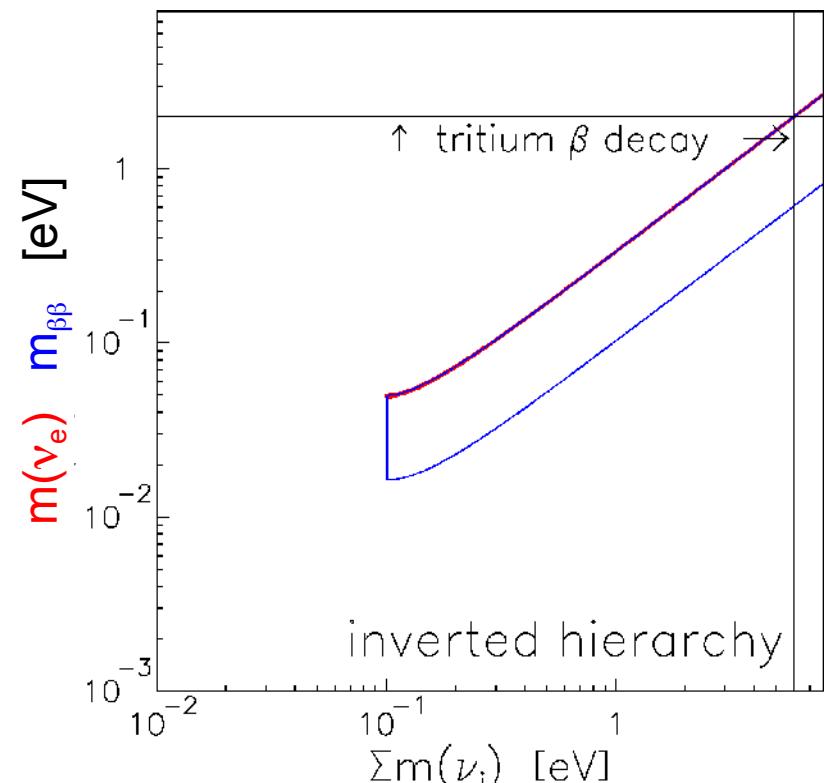
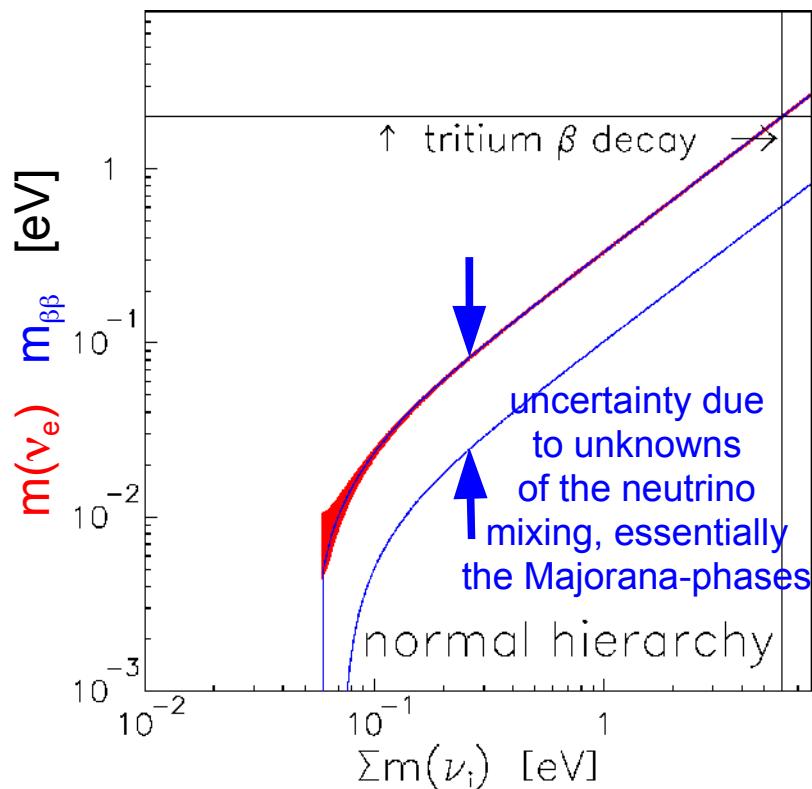
Comparison of the different approaches to the neutrino mass

Direct kinematic measurement: $m^2(\nu_e) = \sum |U_{ei}|^2 m^2(\nu_i)$ (incoherent)

Neutrinoless double β decay: $m_{\beta\beta}(\nu) = |\sum |U_{ei}|^2 e^{i\alpha(i)} m(\nu_i)|$ (coherent)

if no other particle is exchanged (e.g. R-violating SUSY)

without additional uncertainties of nuclear matrix elements M and quenching factor g_A



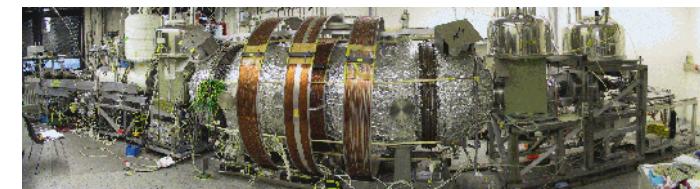
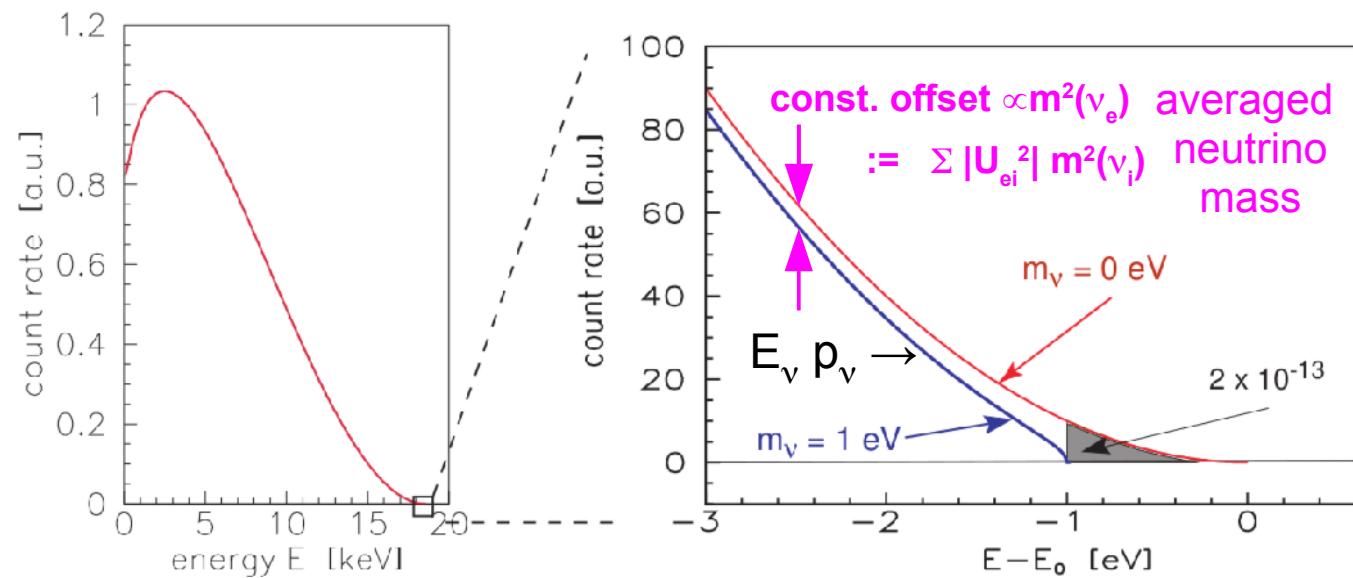
⇒ absolute scale/cosmological relevant neutrino mass in the lab by single β decay

Direct determination of $m(\nu_e)$ from β -decay (and EC)

$$\beta: dN/dE = K \ F(E, Z) \underbrace{p}_{p_e} \underbrace{E_{\text{tot}}}_{E_e} \underbrace{(E_0 - E_e)}_{E_\nu} \underbrace{\sum |U_{ei}|^2 \sqrt{(E_0 - E_e)^2 - m(\nu_i)^2}}_{p_\nu}$$

essentially phase space:

with “electron neutrino mass”: $m(\nu_e)^2 := \sum |U_{ei}|^2 m(\nu_i)^2$, complementary to $0\nu\beta\beta$ & cosmology
 (modified by electronic final states, recoil corrections, radiative corrections)



$m(\nu) < 2$ eV (Mainz, Troitsk)
 ν do not solve DM problem

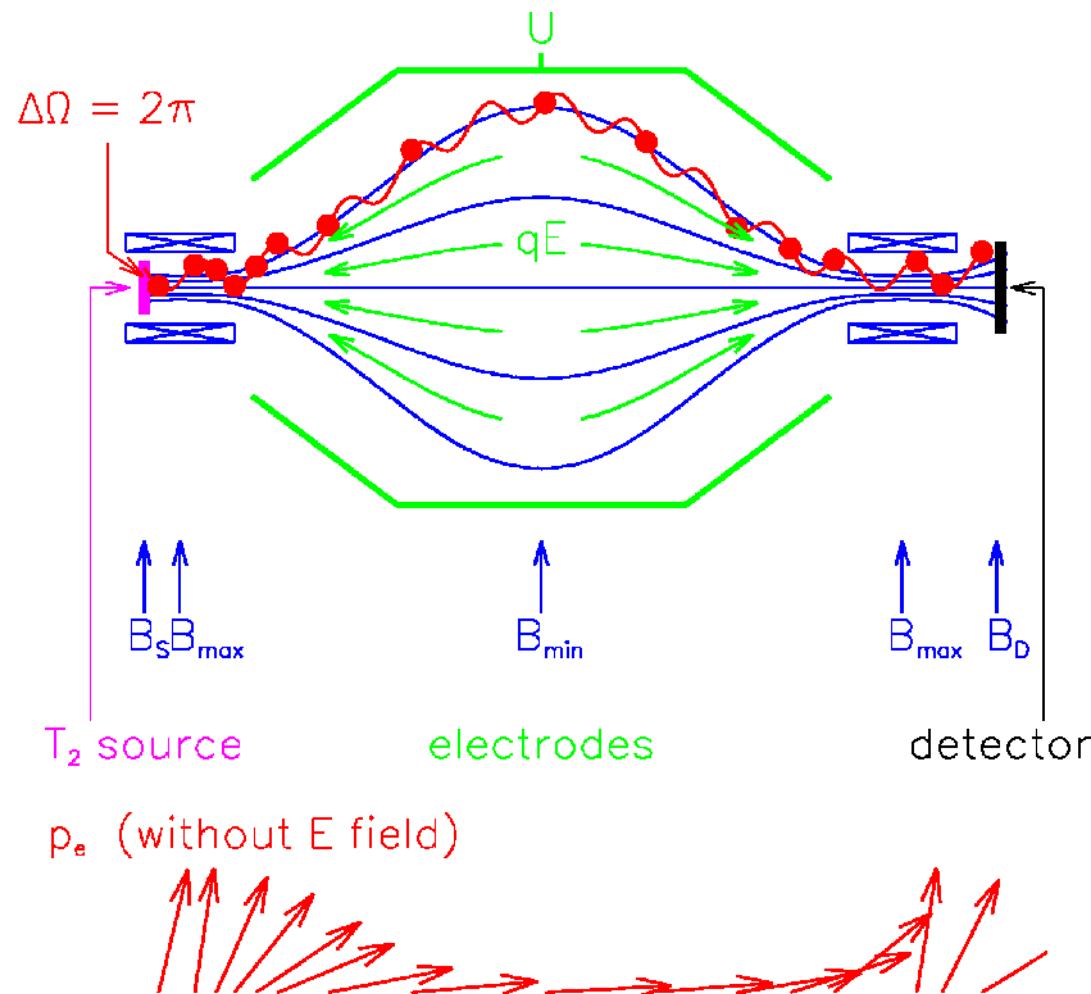


Need: **low endpoint energy**
very high energy resolution &
very high luminosity &
very low background

\Rightarrow **Tritium ${}^3\text{H}$ (${}^{187}\text{Re}$, ${}^{163}\text{Ho}$)**

\Rightarrow **MAC-E-Filter**
 (or bolometer for ${}^{187}\text{Re}$, ${}^{163}\text{Ho}$)

The classical way: Tritium β -spectroscopy with a MAC-E-Filter



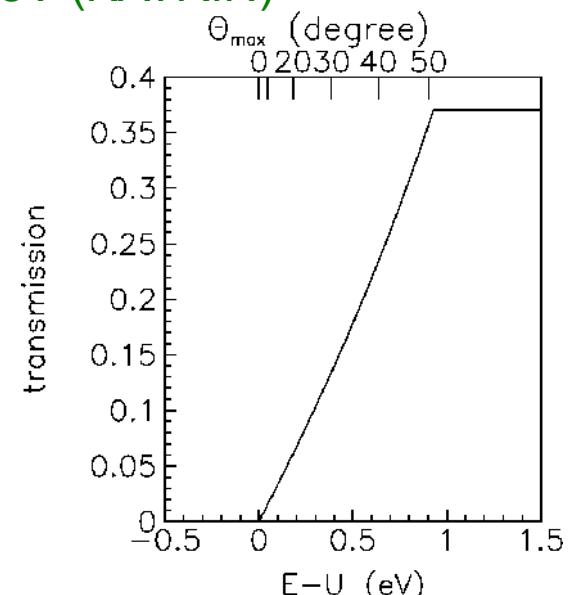
- Two supercond. solenoids compose magnetic guiding field
- adiabatic transformation:

$$\mu = E_\perp / B = \text{const.}$$

$$\Rightarrow \text{parallel } e^- \text{ beam}$$
- Energy analysis by electrostat. retarding field

$$\Delta E = E \cdot B_{min} / B_{max}$$

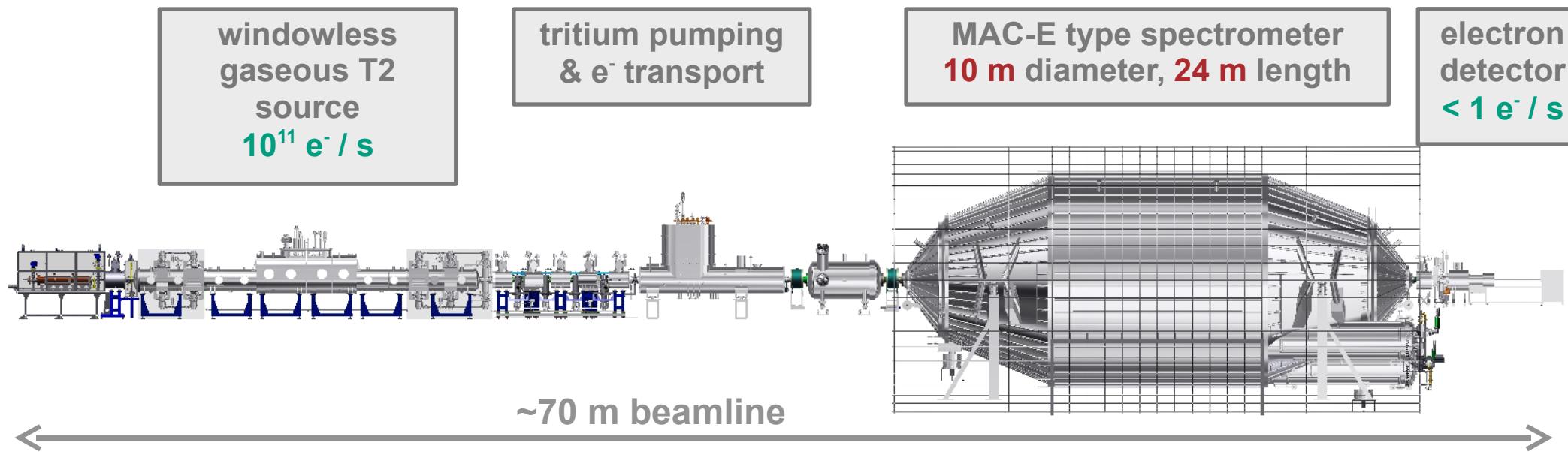
$$= 0.93 \text{ eV (KATRIN)}$$



⇒ sharp integrating transmission function without tails →

Magnetic Adiabatic Collimation + Electrostatic Filter
 (A. Picard et al., Nucl. Instr. Meth. 63 (1992) 345)

The KATRIN experiment



Sensitivity on $m(\nu_e)$:
 $2 \text{ eV} \rightarrow 200 \text{ meV}$



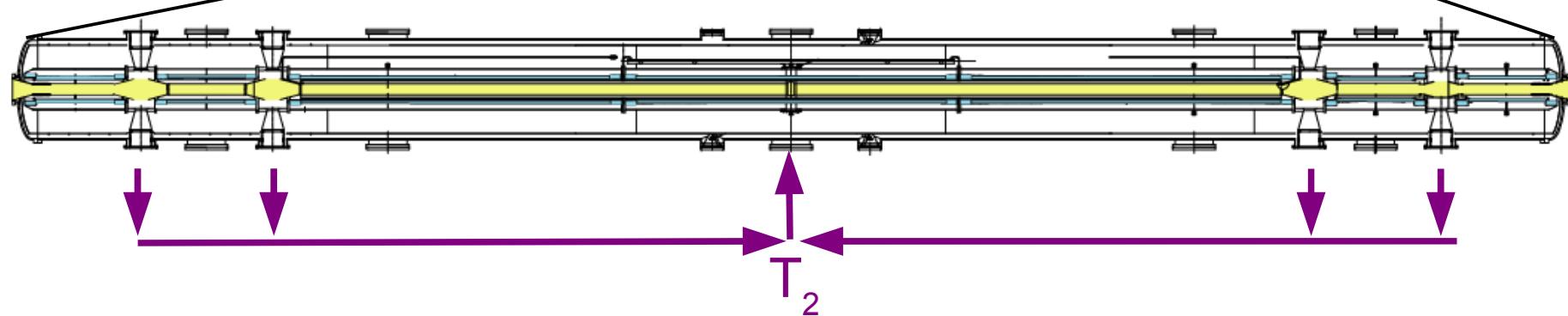
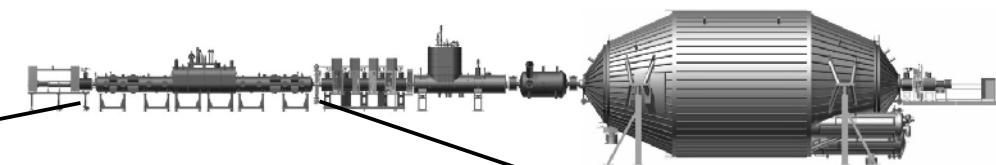
KATRIN at Karlsruhe Institute for Technology
Int. Collaboration: 20 institutions from 6 countries

Molecular Windowless Gaseous Tritium Source WGTS

per mill stability source strength request:

$$dN/dt \sim f_T \cdot N / \tau \sim n = f_T \cdot p V / R T$$

tritium fraction f_T & ideal gas law



WGTS: tube in long superconducting solenoids
 \varnothing 9cm, length: 10m, $T = 30$ K

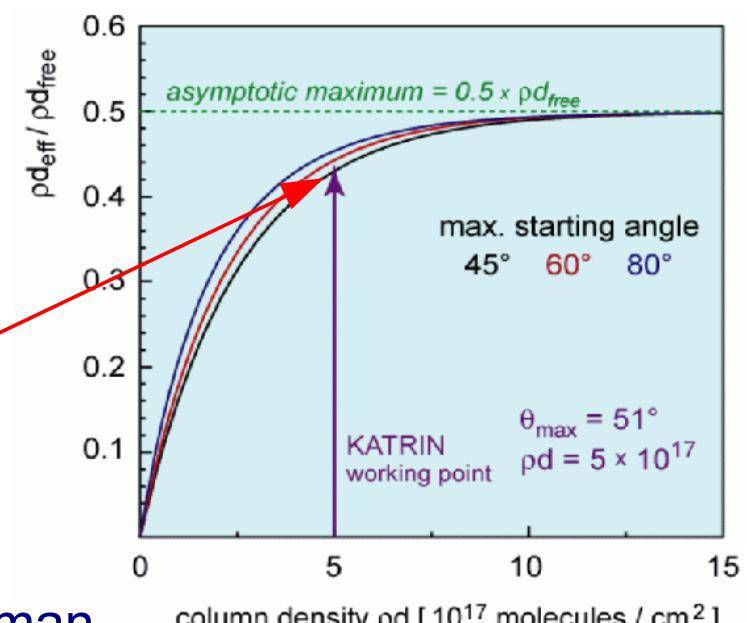
Tritium recirculation (and purification)

$$p_{\text{inj}} = 0.003 \text{ mbar}, q_{\text{inj}} = 4.7 \text{ Ci/s}$$

allows to measure with near to maximum count rate using

$$pd = 5 \cdot 10^{17} / \text{cm}^2$$

with small systematics



check column density by e-gun, T_2 purity by laser Raman

Molecular Windowless Gaseous Tritium Source WGTS

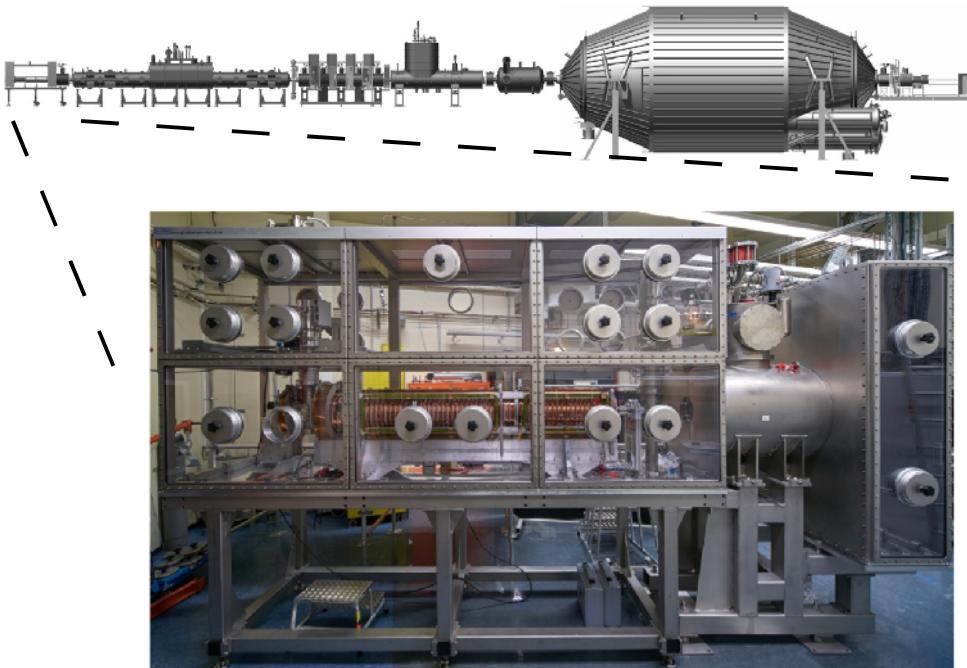
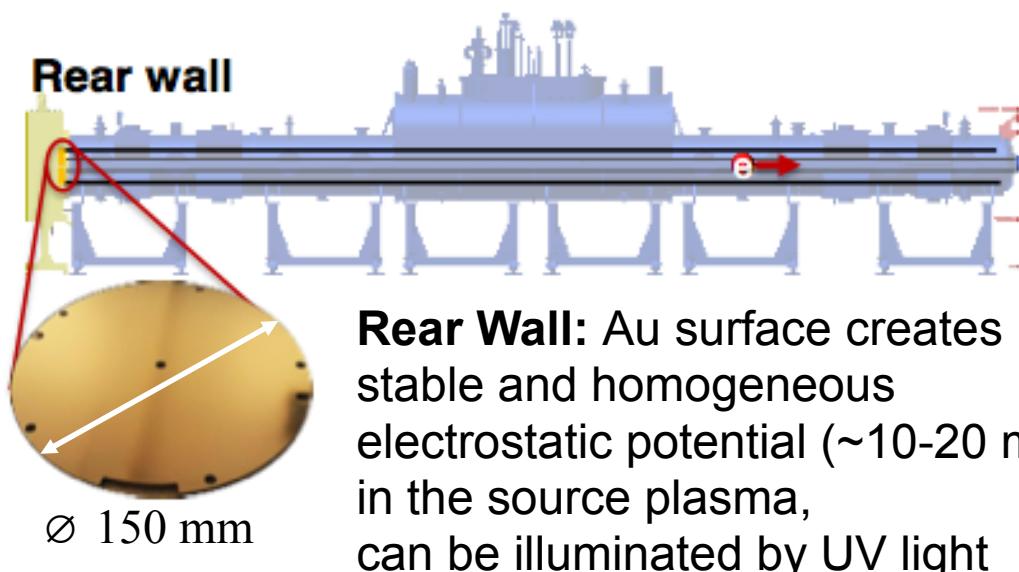


WGTS at Tritium Laboratory Karlsruhe

Calibration and monitoring rear system: controlling and studying systematics

**Essential for diagnostics of tritium source
& spectrometer transmission**

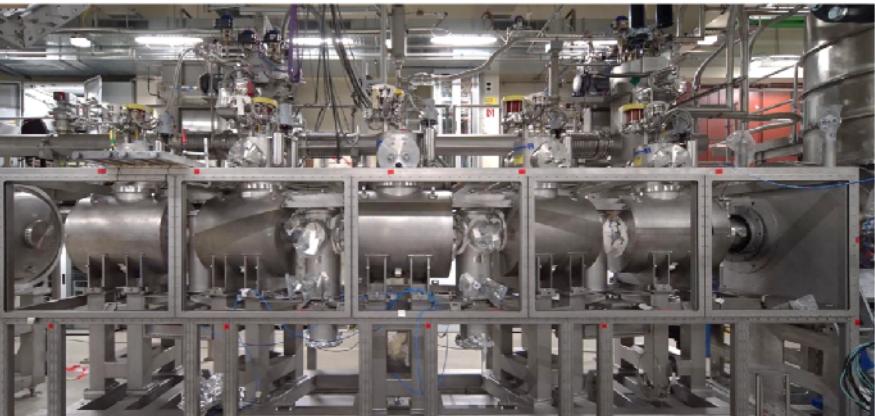
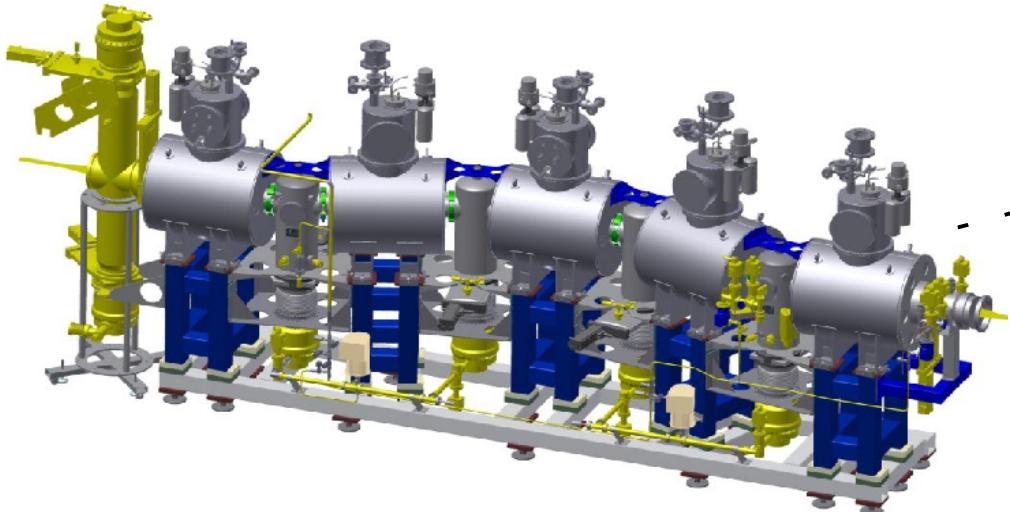
- **photo-electron gun:**
spectrometer transmission
column density & energy losses in source
- **rear wall:** definition of source potential,
neutralization of tritium plasma



- **X-ray detectors:**
online monitoring of tritium β -decay activity via X-rays (BIXS)



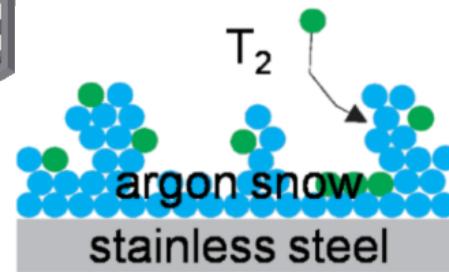
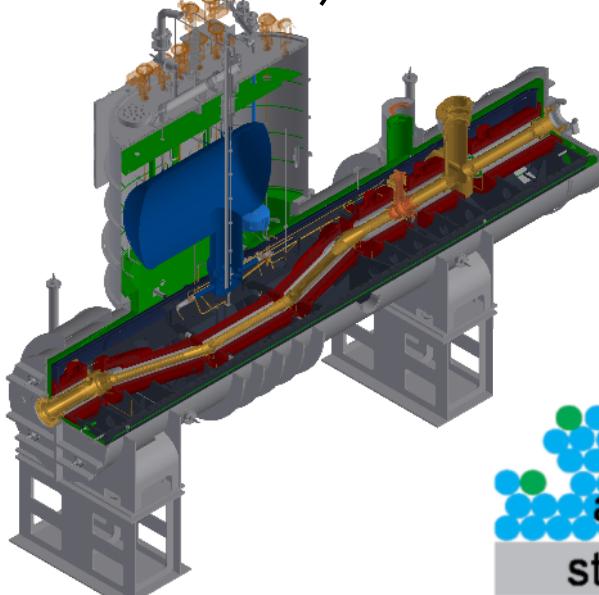
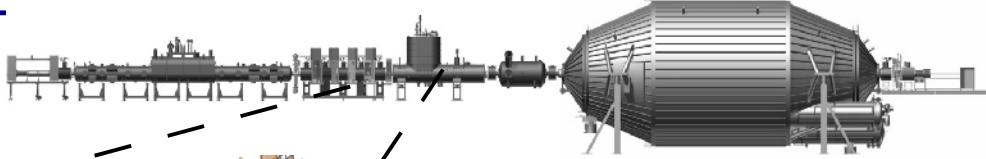
Differential and cryo pumping sections: supression of T_2 by 10^{14} (incl. WGTS)



- active pumping: 4 TMPs
- Tritium retention: 10^5
- magnetic field: 5.6 T
- **Ion monitoring by FTICR and ion manipulation by dipole and monopole electrodes inside**



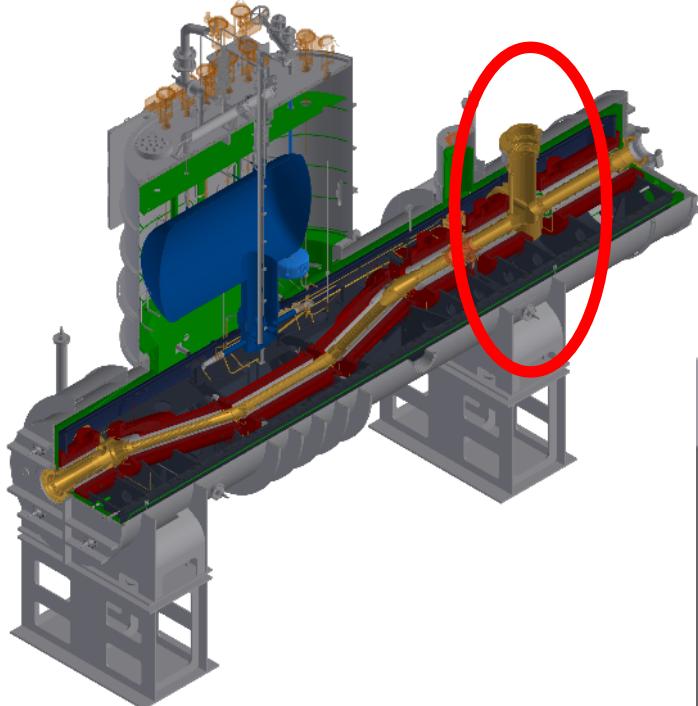
Bormio, nucl. phys. winter meet., January 2018



- based on cryo-sorption at Ar snow at 3-4 K
- Tritium retention: $>10^7$
- magnetic field: 5.6 T

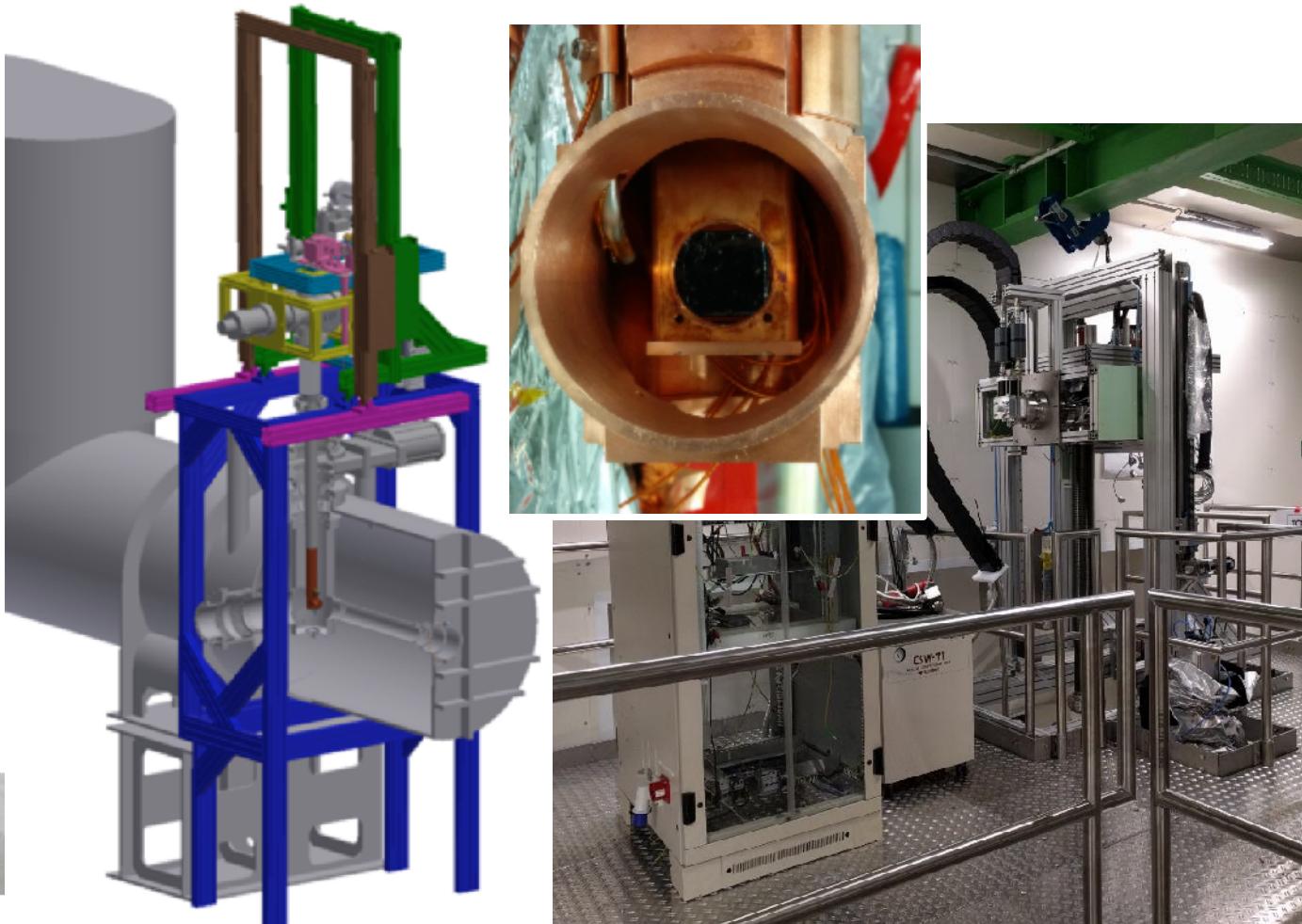


Monitoring and calibration instrumentation of the CPS



Condensed $^{83\text{m}}\text{Kr}$ conversion electron source

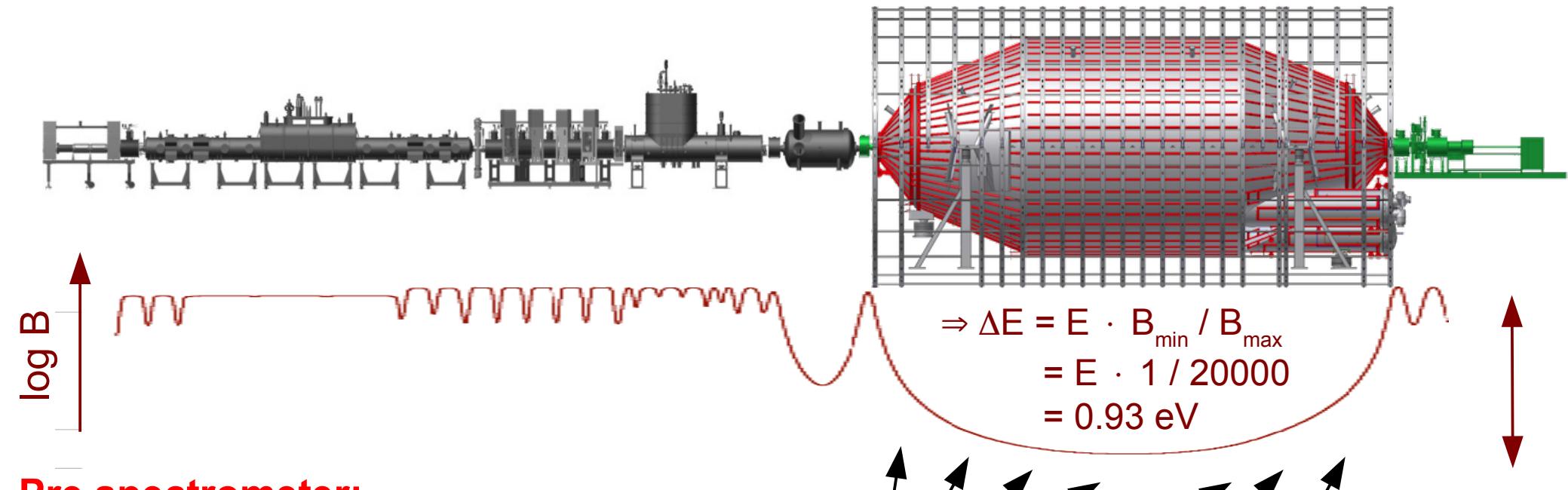
for energy calibration and studies of transmission properties
HOPG @ $T=25\text{K}$, UHV, on HV, can scan full flux tube
surface control: heating & laser ablation, laser ellipsometry



Electron rate monitor
scanning small SD or PIN diode



KATRIN spectrometers of MAC-E-Filter type



Pre spectrometer:

- successful tests & developments of new concepts

Main spectrometer:

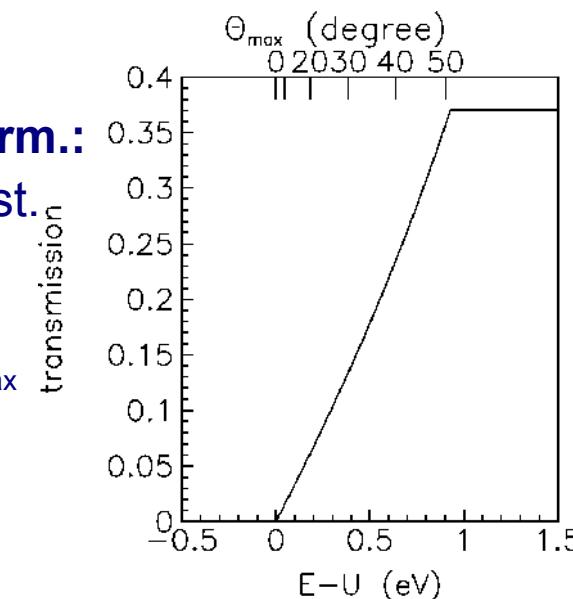
- huge size: 10m diameter, 24m length
1240 m³ volume, 690 m² inner surface
- ultra-high vacuum: $p = O(10^{-11} \text{ mbar})$
- ultra-high energy resolution: $\Delta E = 0.93 \text{ eV}$
- vacuum vessel on precise high voltage (ppm precision)

adiabatic transform.:

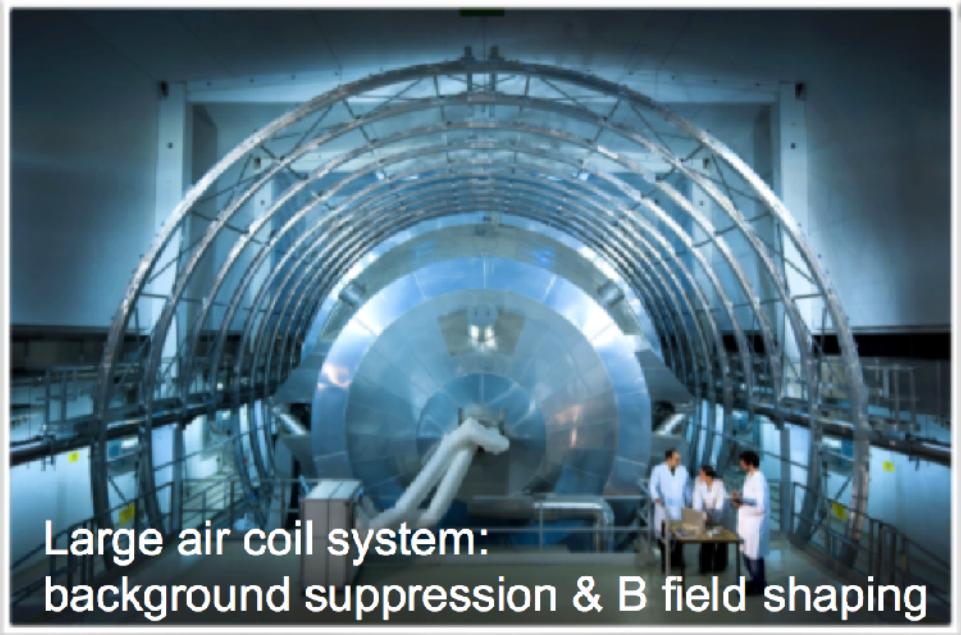
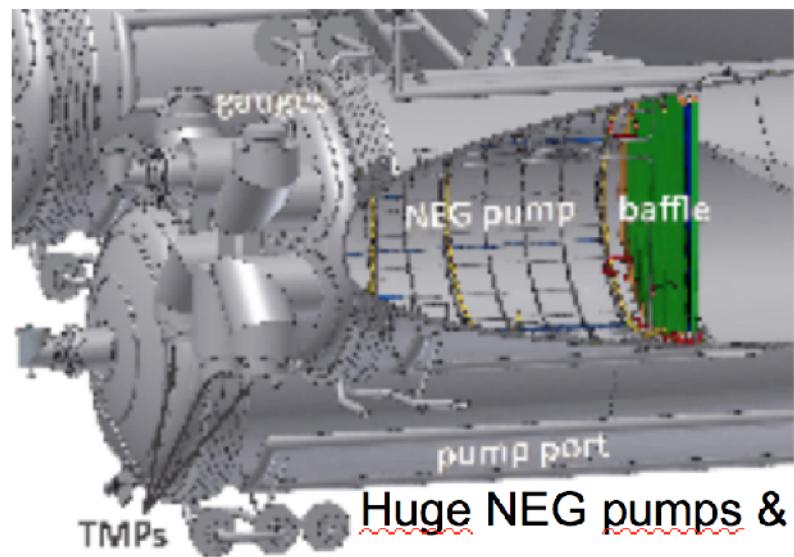
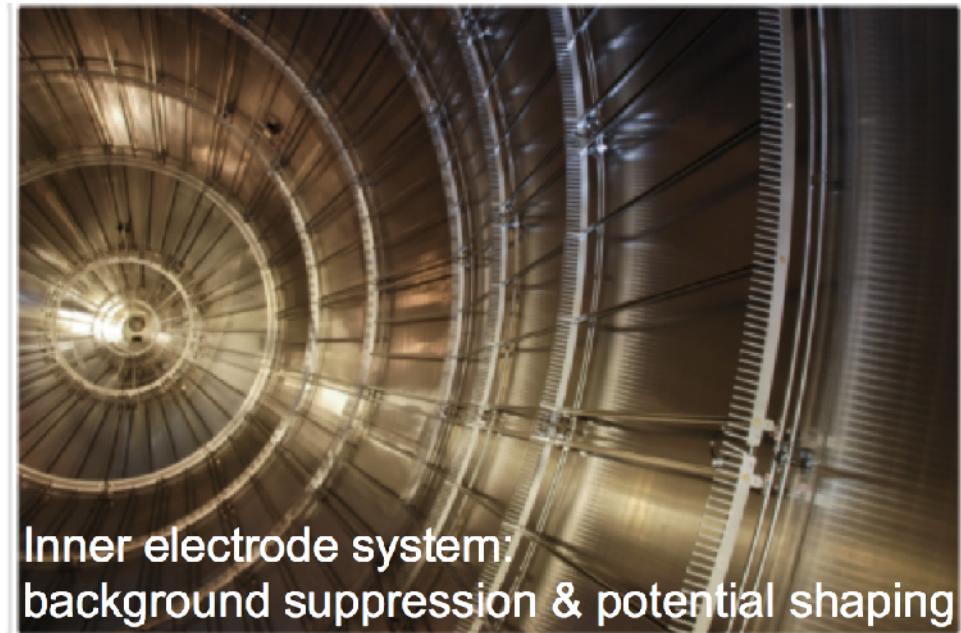
$$\mu = E_{\perp} / B = \text{const.}$$

\Rightarrow parallel e^- beam

$$\Delta E/E = B_{\min} / B_{\max}$$



KATRIN main spectrometer



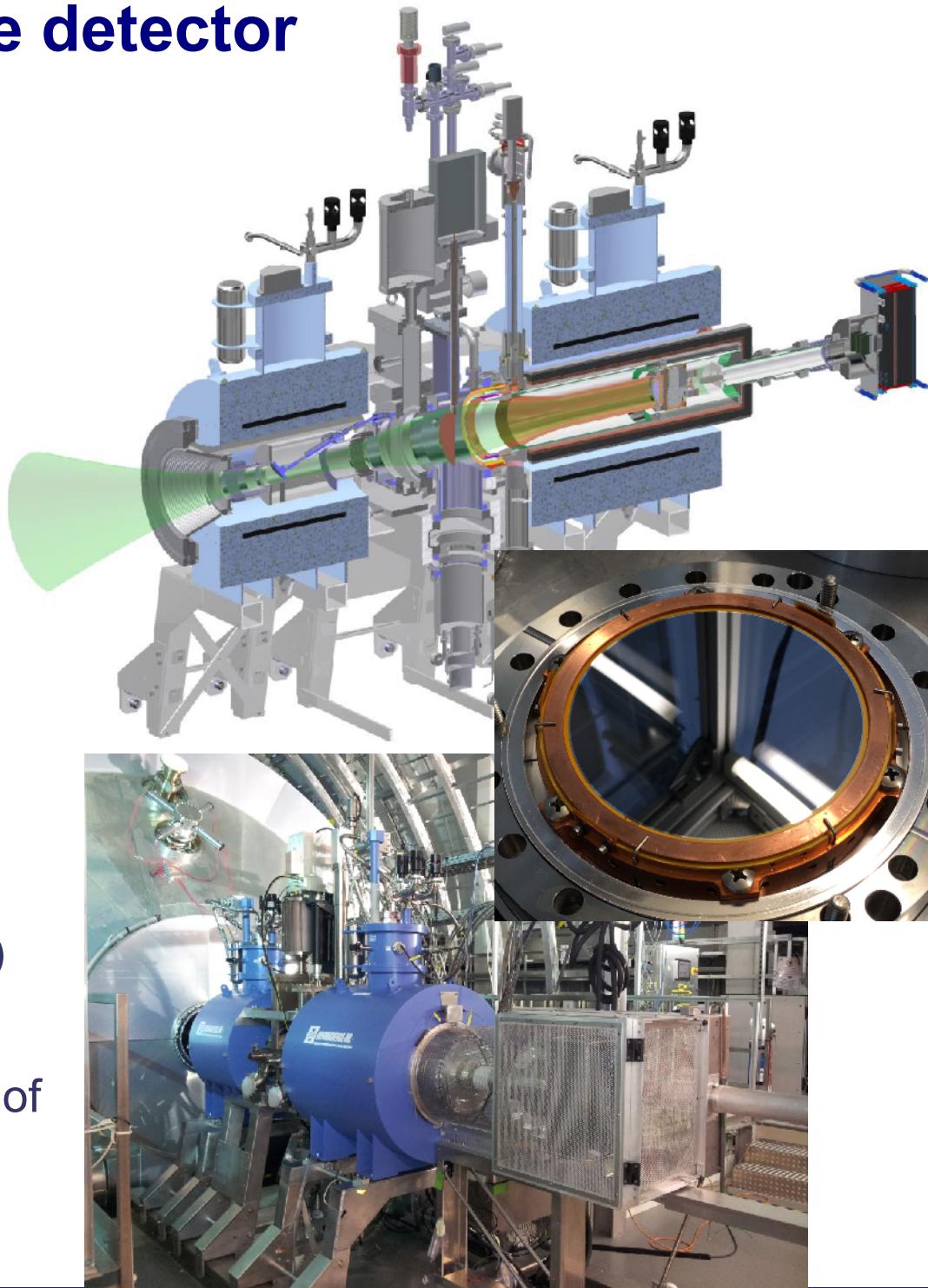
The detector

Requirements

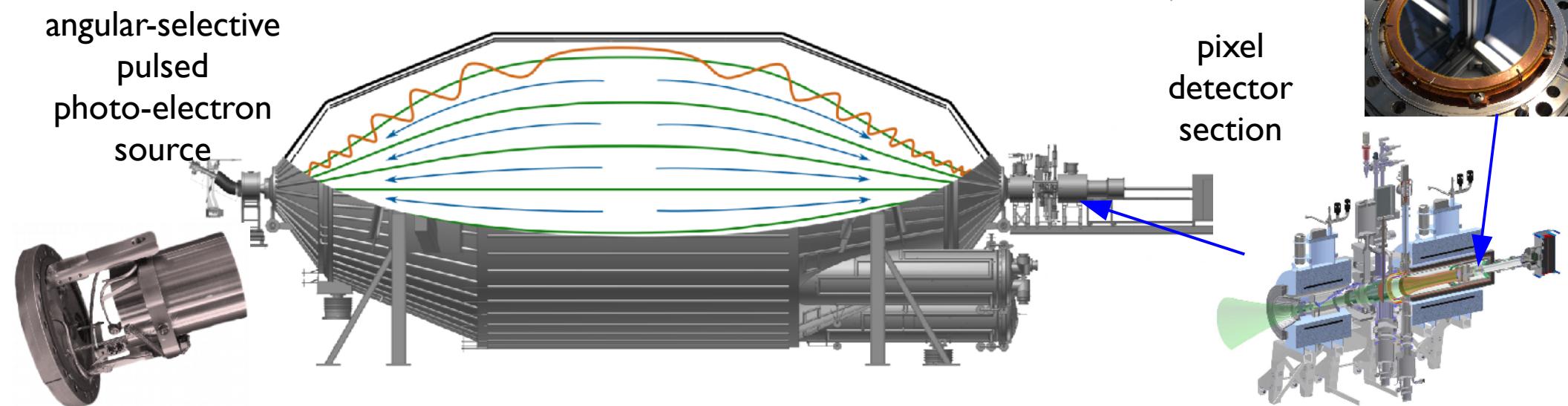
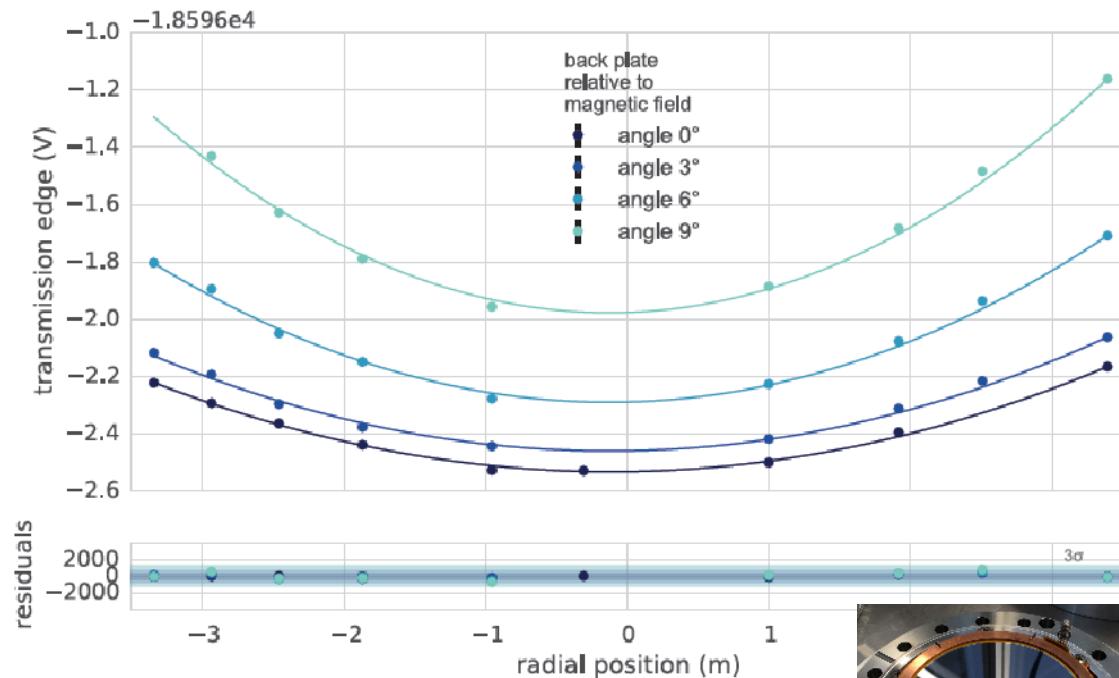
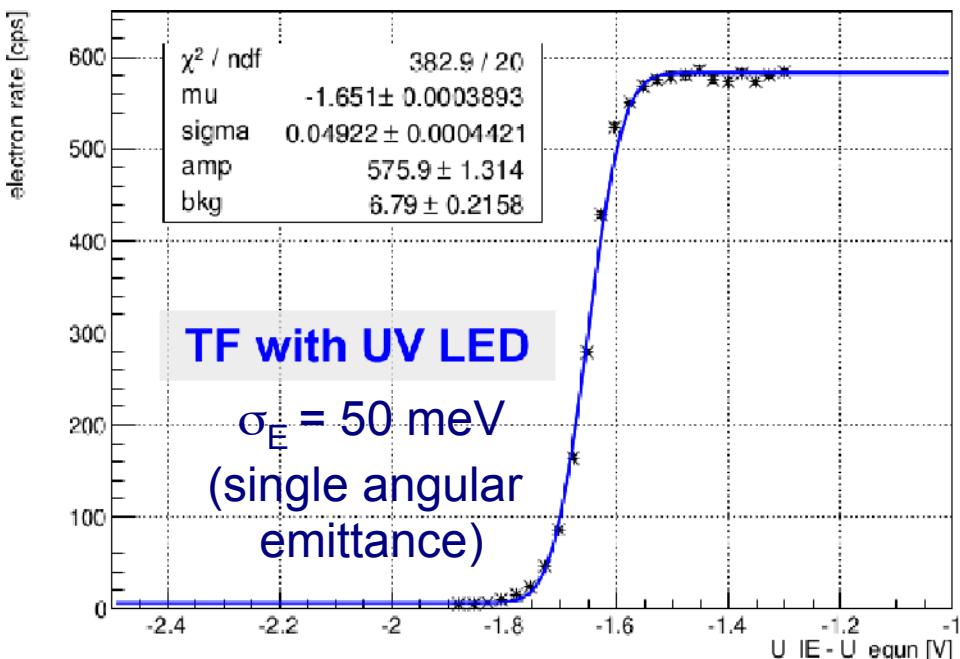
- detection of β -electrons (mHz to kHz)
- high efficiency (> 90%)
- low background (< 1 mHz)
(passive and active shielding)
- good energy resolution (< 1 keV)

Properties

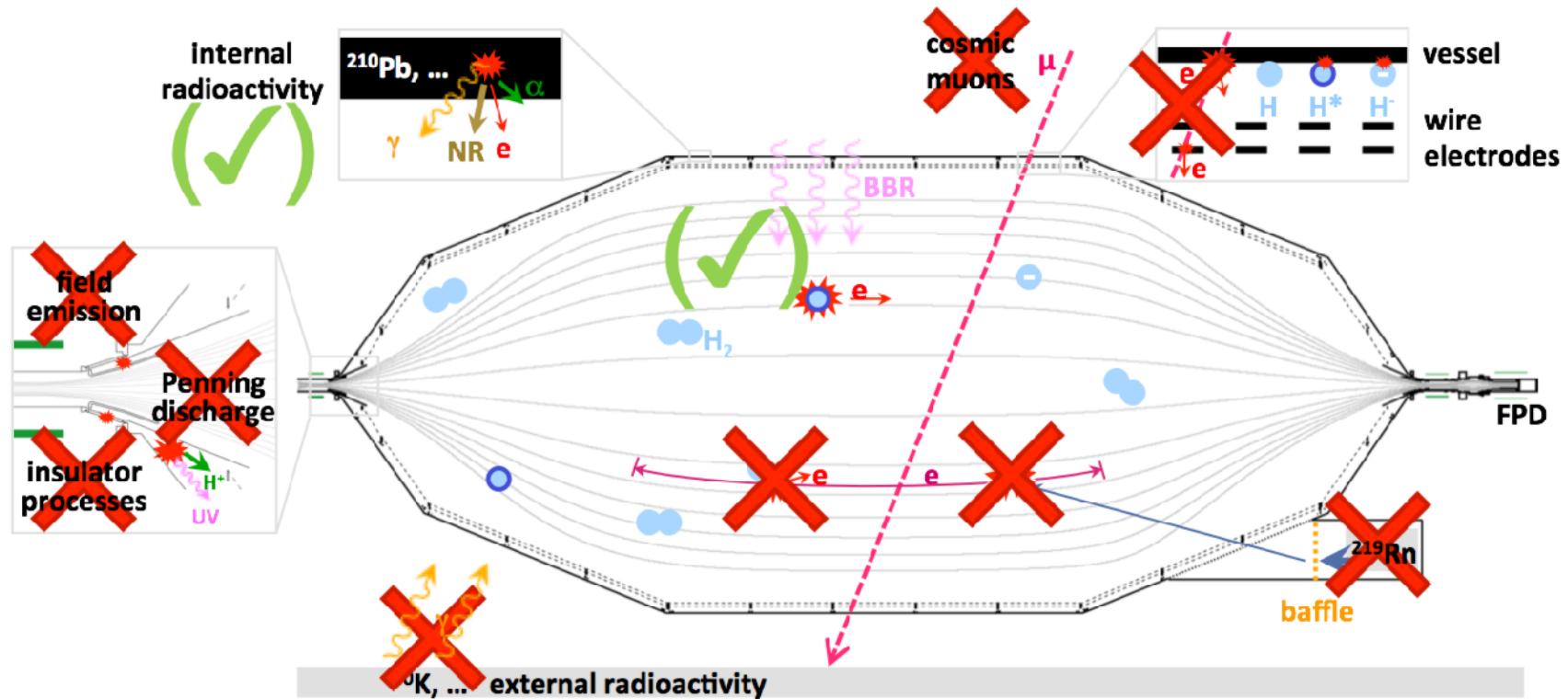
- 90 mm Ø Si PIN diode
- thin entry window (50nm)
- detector magnet 3 - 6 T
- post acceleration (30kV)
(to lower background in signal region)
- segmented wafer (148 pixels)
 - record azimuthal and radial profile of the flux tube
 - investigate systematic effects
 - compensate field inhomogeneities



Commissioning of main spectrometer ($\Delta E = 0.93$ eV) and detector



Background sources at KATRIN: detailed understanding, but ...



- 8 sources of background investigated and understood
- 7 out of 8 avoided or actively eliminated by
 - fine-shaping of special electrodes
 - symmetric magnetic fields
 - LN₂-cooled baffles (cold traps)
 - wire electrode grids

- 1 out of 8 remaining:
 - caused by ^{210}Pb on spectrometer walls (neutral H* atoms ionised by black-body radiation in spectrometer)

Background due to ionization of Rydberg atoms sputtered off by α decays

H* Rydberg atoms:

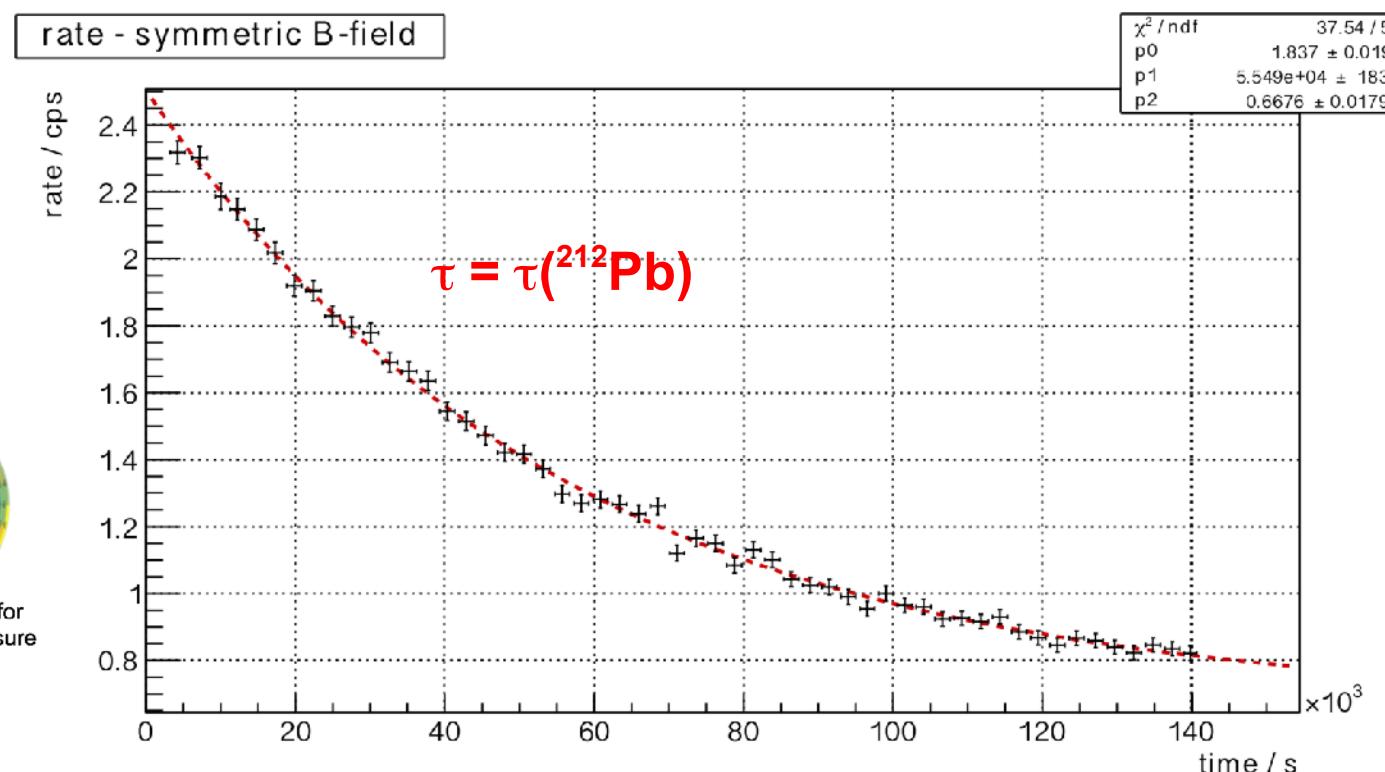
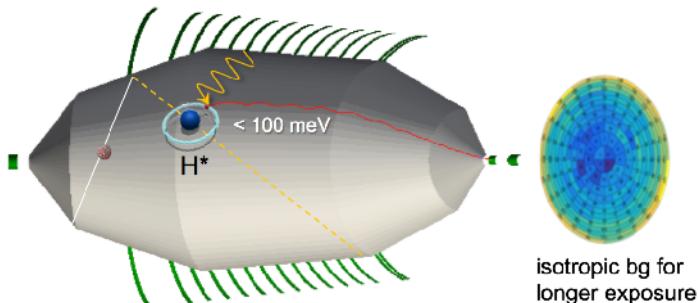
- desorbed from walls due to ^{206}Pb recoil ions from ^{210}Po decays
- non-trapped electrons on meV-scale
- bg-rate: ~0.5 cps

counter measures:

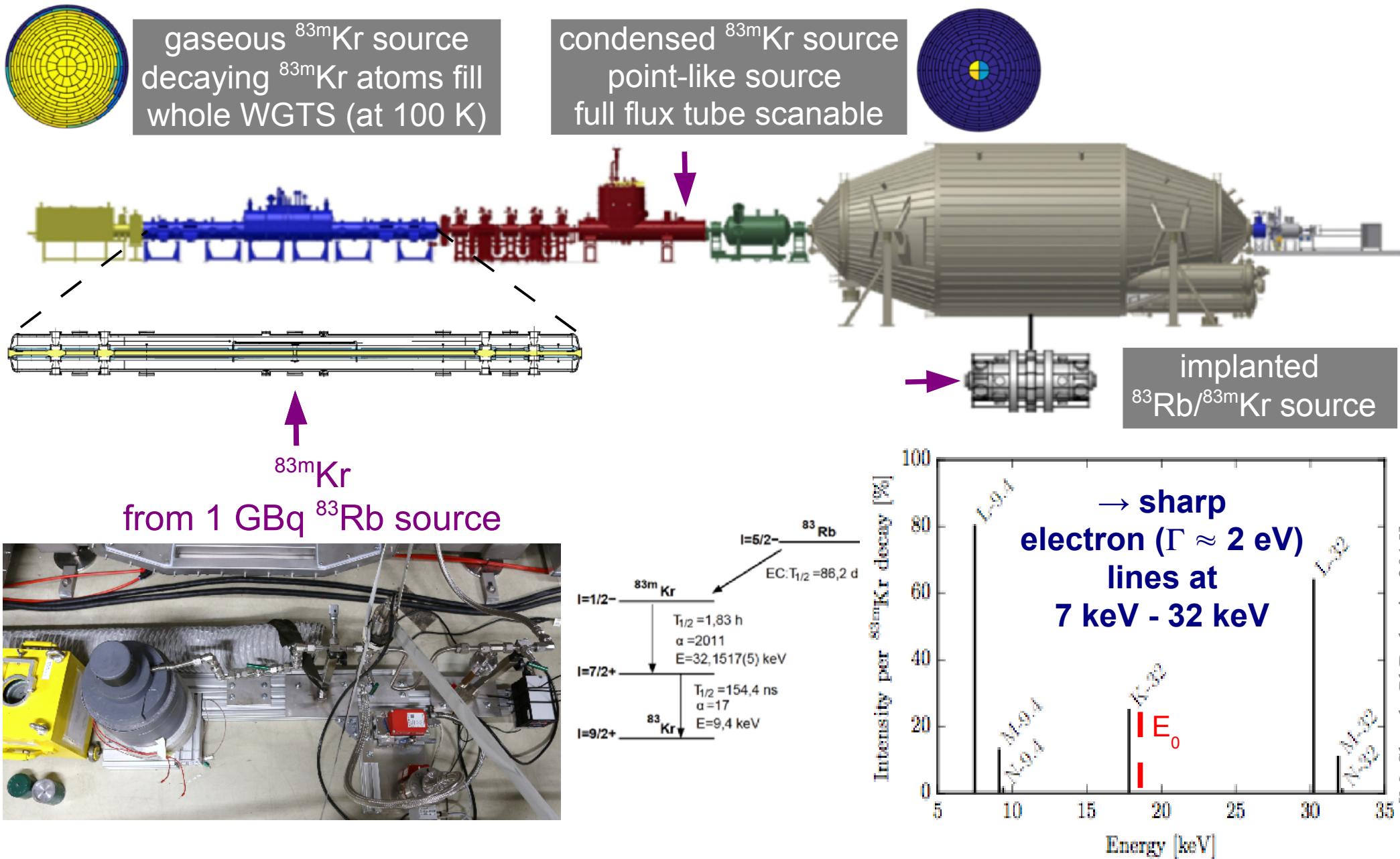
- reduce H-atom surface coverage:
 - a) extended bake-out phase: done
 - b) strong UV illumination source

Testing this hypothesis:

artificially contaminating the spectrometer with implanted short-living daughters of ^{220}Rn



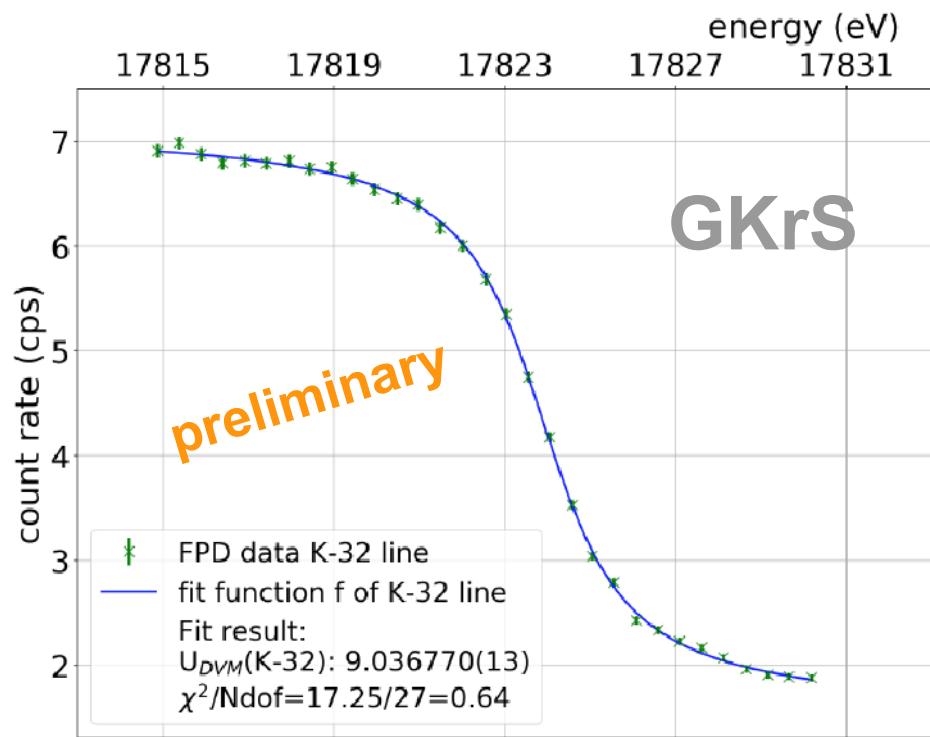
July 2017: calibration and commissioning campaign with all 3 ^{83m}Kr sources



Line scan & stability

gaseous (condensed) Kr source GKrS (CKrS)

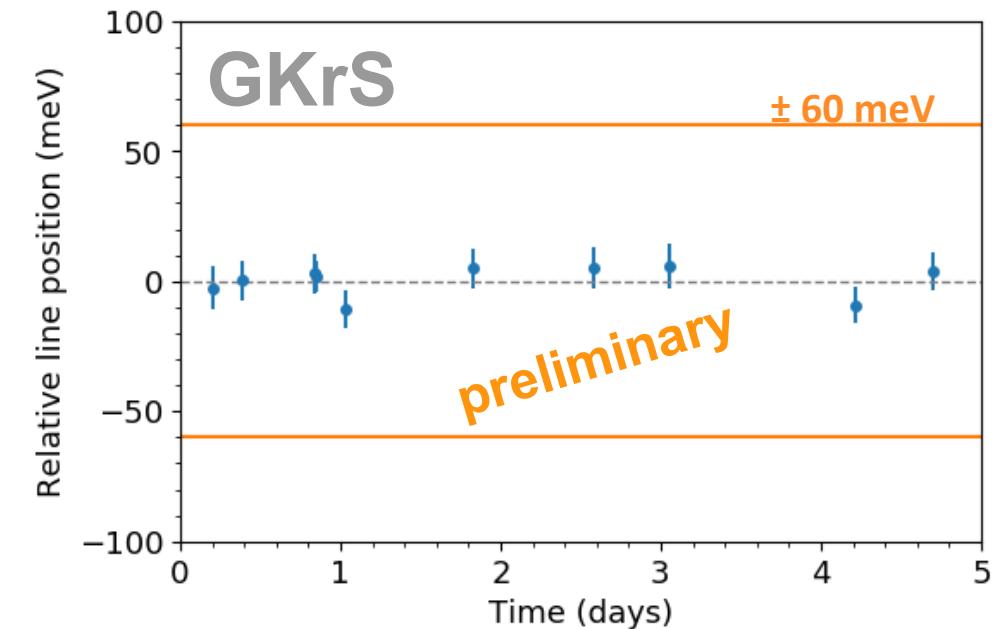
fitting well, line near tritium endpoint:
K-32 line (17.82 keV, $\Gamma \sim 2.7$ eV)



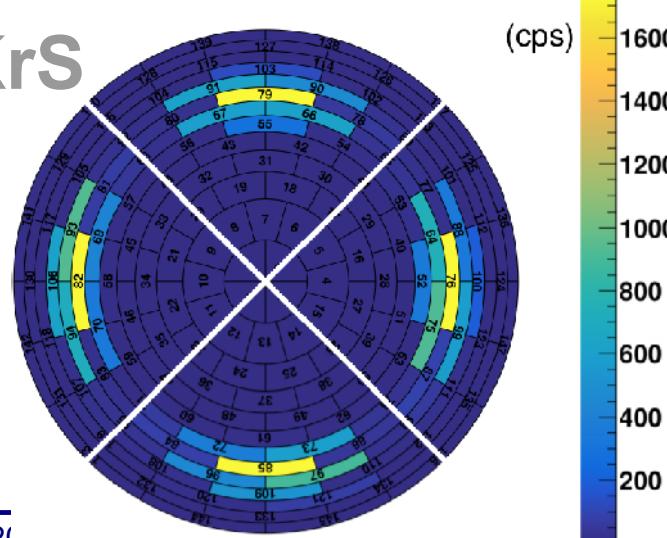
Just one example:

one out of many lines
 from 7 keV to 32 keV
 much more statistics

stability of L3-32 line position, 30.47 keV:

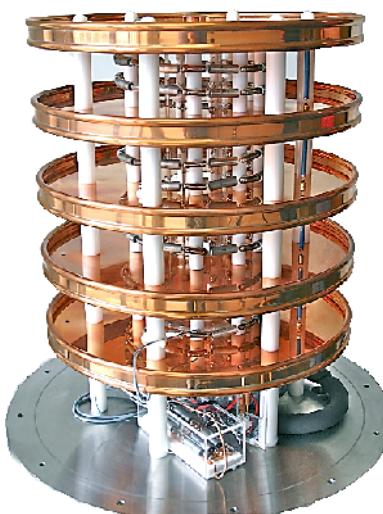


sending
 electrons
 on individual
 magnetic
 field lines



Absolute energy scale calibration by difference of electron conversion lines

Measure retarding voltage with ultra-high precision HV divider:

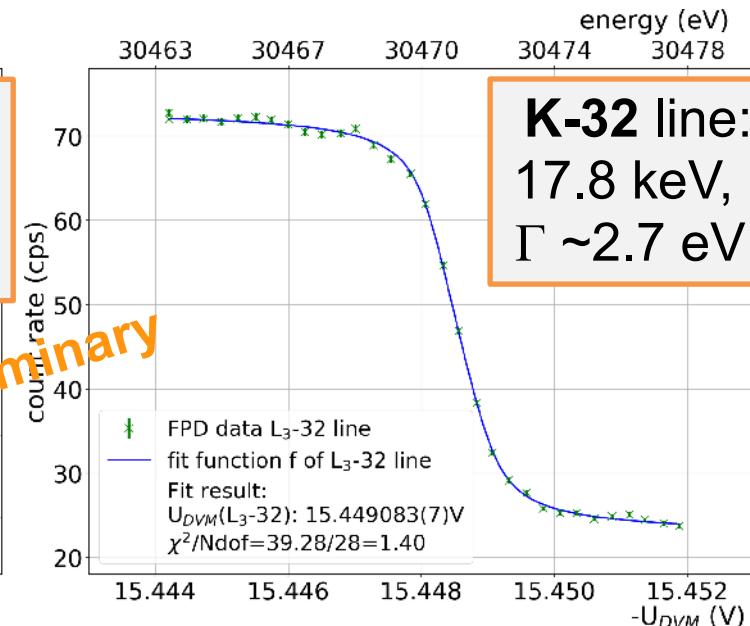
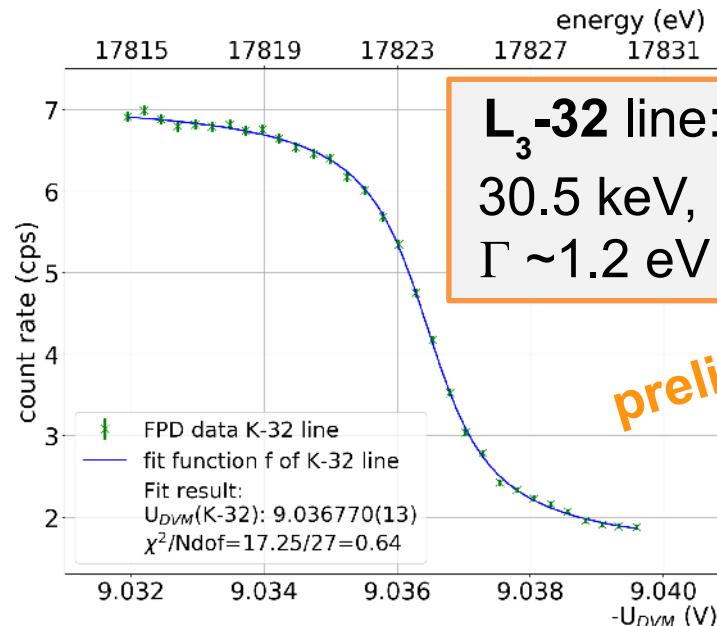


In cooperation with
German national
metrology institute



Last calibration at
PTB in 2013:
 $M = 1972.4531(20)$

Determine difference of conversion electron line positions:



K-32 line
 $E(\text{ce}) = 17824.23(50)$ eV
 $E_{\text{binding}}(\text{ce}) = 14327.26(4)$ eV
 $E_{\text{recoil}}(\text{ce}) = 0.120$ eV

 L3-32 line
 $E(\text{ce}) = 30472.19(50)$ eV
 $E_{\text{binding}}(\text{ce}) = 1679.21(3)$ eV
 $E_{\text{recoil}}(\text{ce}) = 0.207$ eV

Energy of electrons:

$$E_{\text{kin}} = E_y - E_{\text{binding}} + E_Y^{\text{rec}} - E_{\text{ion}}$$

Energy of γ -transition	Binding energy	Recoil energies
--------------------------------	----------------	-----------------

Transmission condition:

$$E_{\text{kin}} = -\Delta\Phi - q\Delta U + qU_{\text{spec}}$$

Work function difference
source - spectrometer Potential decrease in
analyzing plane (≈ 2 V)

considering line difference → systematic effects ($\Delta\Phi$, E_{γ}) cancel out

GKrS 2017: $M = 1972.449(10)$

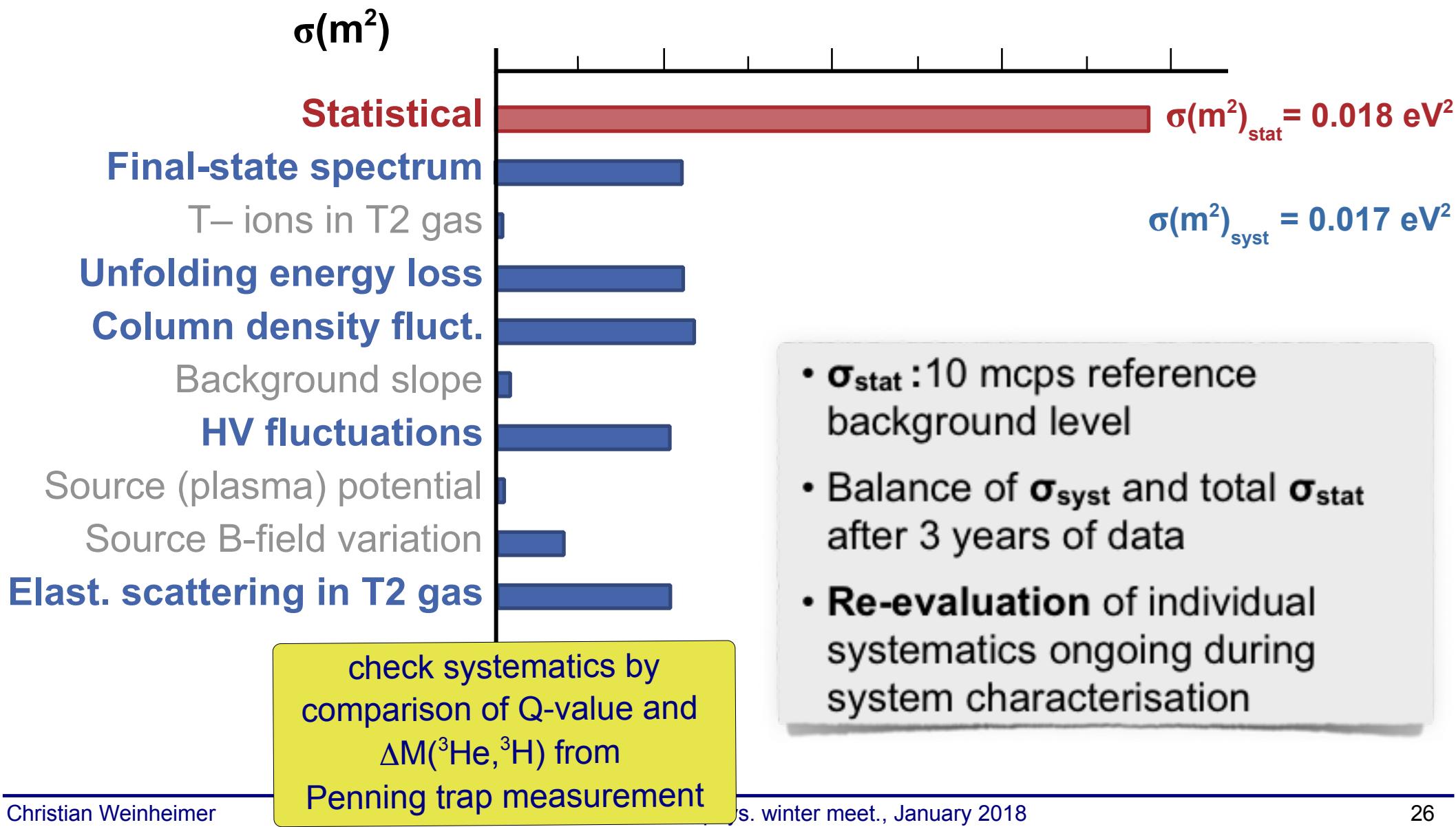
preliminary

→ both values agree very well !

HV divider scale factor changes only by 2 ppm over 4 years (5 ppm uncertainty) !

Statistical & systematic uncertainties

KATRIN's uncertainty budget (design sensitivity, ~2004):



Statistical & systematic uncertainties

KATRIN's uncertainty budget (design sensitivity, ~2004):

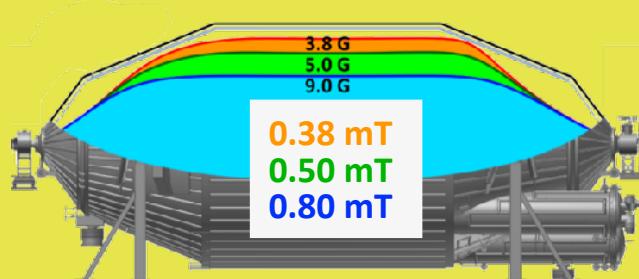
3 yr of data taking

sensitivity on the neutrino mass (stat.+sys. uncertainties):

→ 200 meV (design value)

Higher (Rydberg) background rate

→ using larger data range (E_0 -60 eV) and a bit less energy res.:



→ 240 meV (without further mitigation of the Rydberg background)

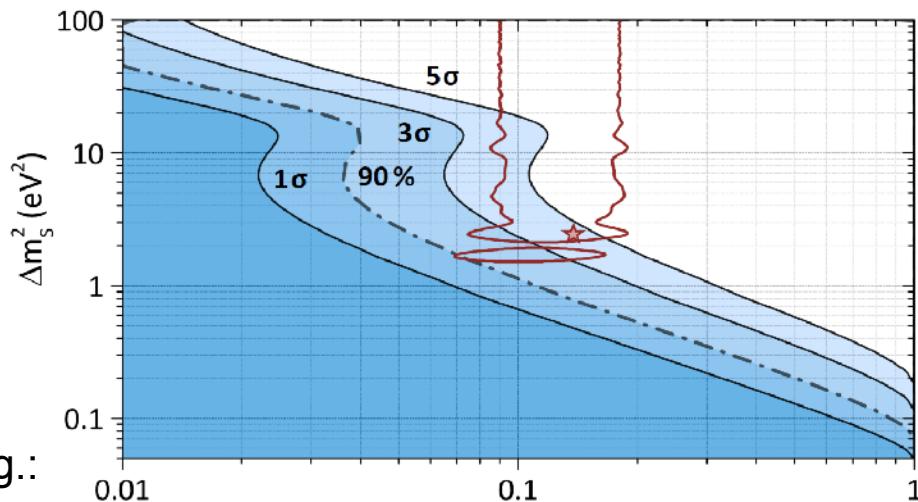
system characterisation

KATRIN will measure an ultra-precise β -spectrum → search for physics beyond the SM

Sterile neutrinos

$$dN/dE = K \cdot F(E, Z) \cdot p \cdot E_{\text{tot}} \cdot (E_0 - E_e) \left(\cos^2(\theta) \sqrt{(E_0 - E_e)^2 - m(v_{1,2,3})^2} + \sin^2(\theta) \sqrt{(E_0 - E_e)^2 - m(v_4)^2} \right)$$

eV ν :



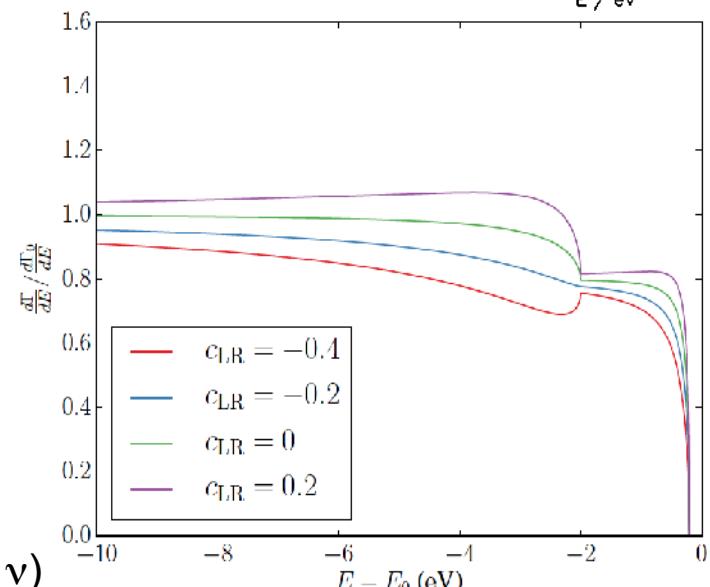
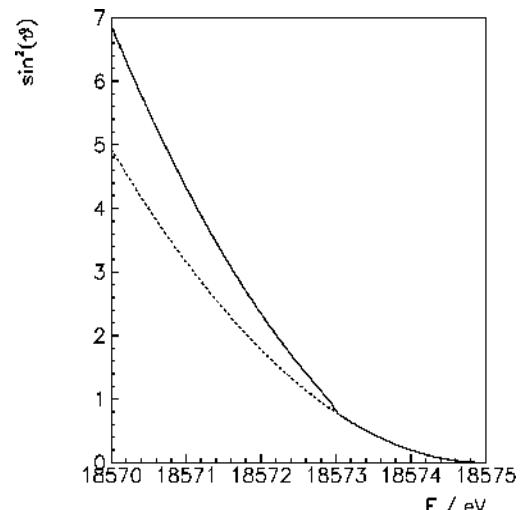
see e.g.:

J. A. Formaggio, J. Barret, PLB $_{\sin 2\theta_s}$ 706 (2011) 68

A. Sejersen Riis, S. Hannestad, JCAP02 (2011) 011

A. Esmaili, O.L.G. Peres, arXiv:1203.2632

M.Kleesiek,
PhD thesis,
KIT (2014)



keV ν :

see e.g.

S. Mertens et al., JCAP 02 (2015) 020

M. Drewes et al. JCAP 01 (2017) 025

non SM currents, ...

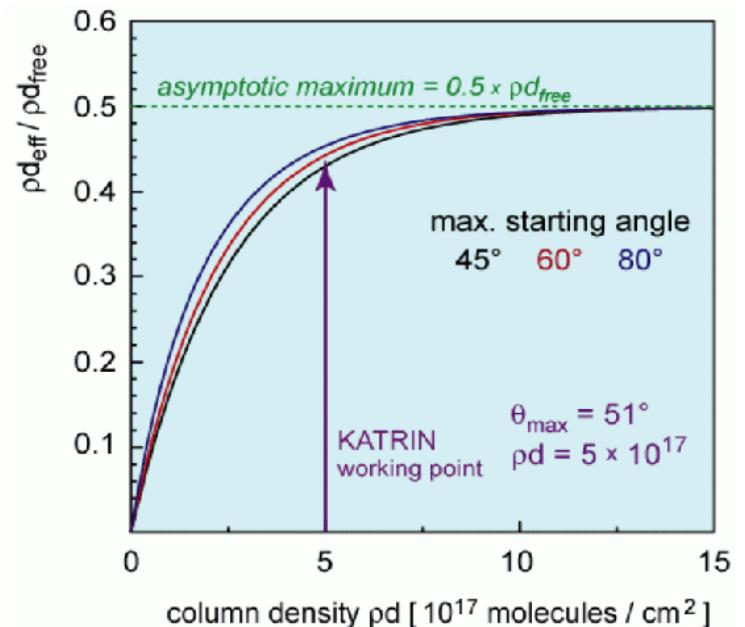
see e.g.: N. Steinbrink et al., JCAP 6 (2017) 15 (RH currents & sterile ν)

Can we go beyond or improve KATRIN ? Problems to be solved

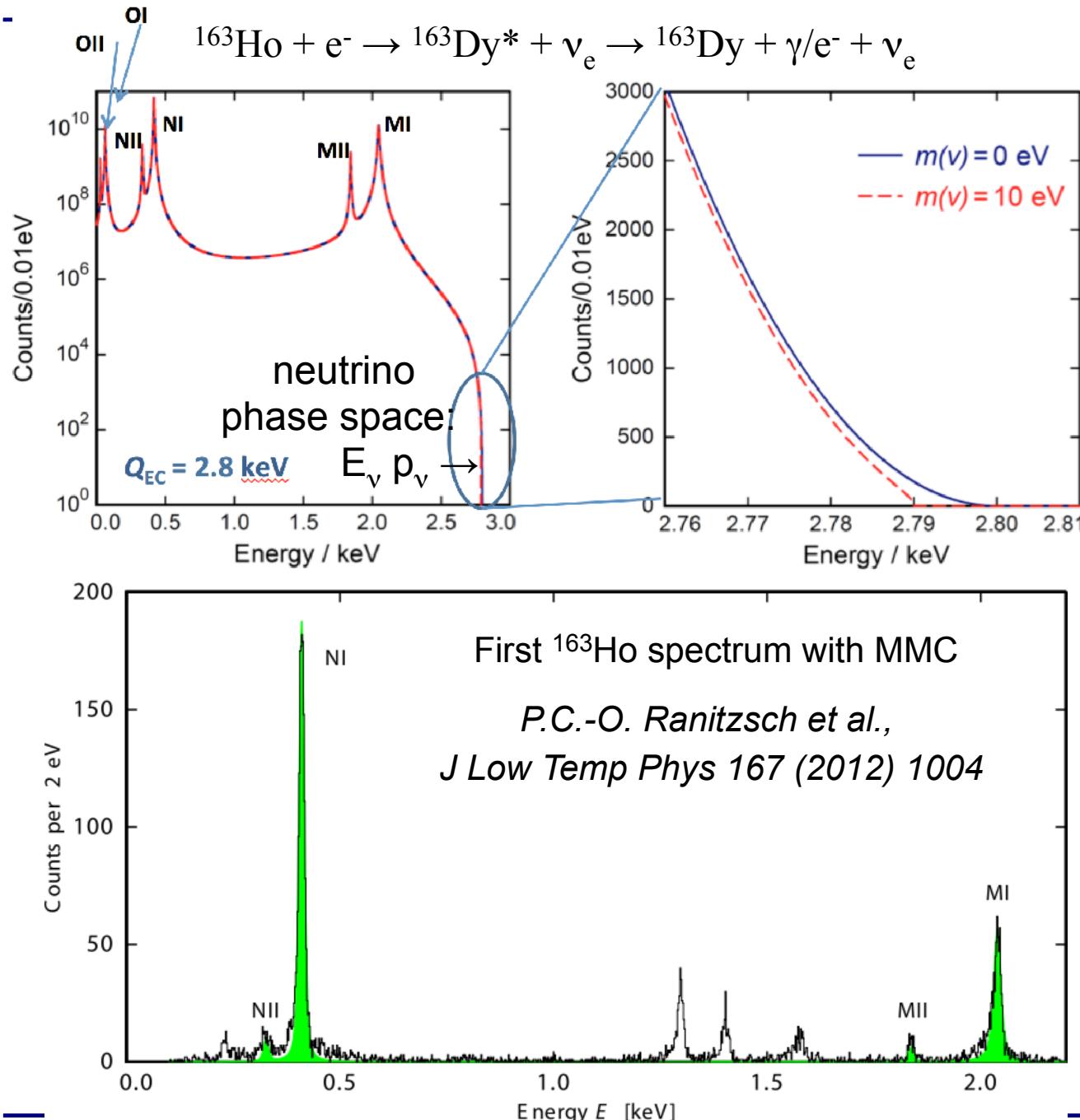
- 1) The source is already opaque
 - need to increase size transversally
 - magnetic flux tube conservation
 - requests larger spectrometer too
 - but a Ø100m spectrometer is not feasible

Possible ways out:

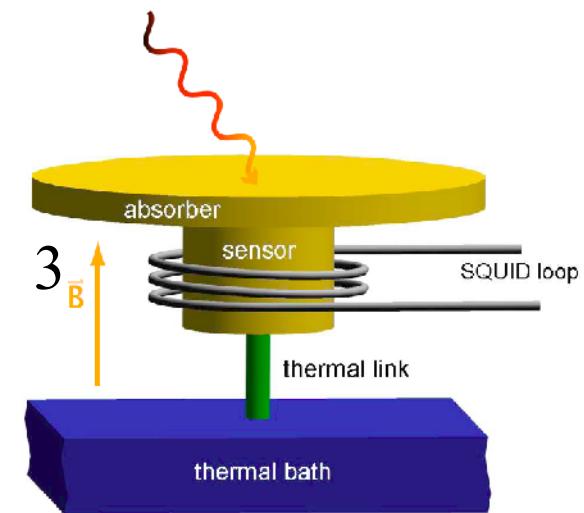
- a) source inside detector (compare to $0\nu\beta\beta$)
using cryogenic bolometers (ECHO, HOLMES,
NuMECS)



ECHo neutrino mass project: ^{163}Ho electron capture with metallic magnetic calorimeters (MMC)



MMC: determine ΔT by measuring change of magnetic properties
 $\Delta T = \Delta E/C$, $C \propto T^3$

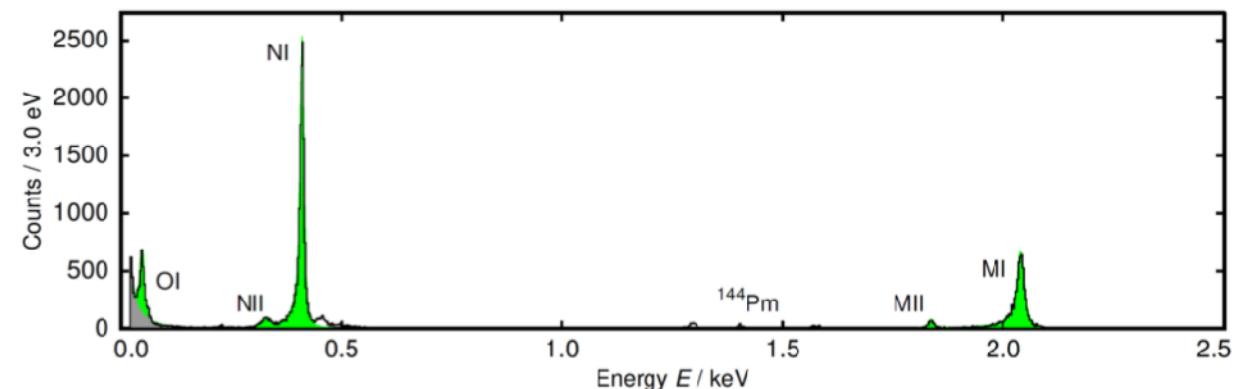
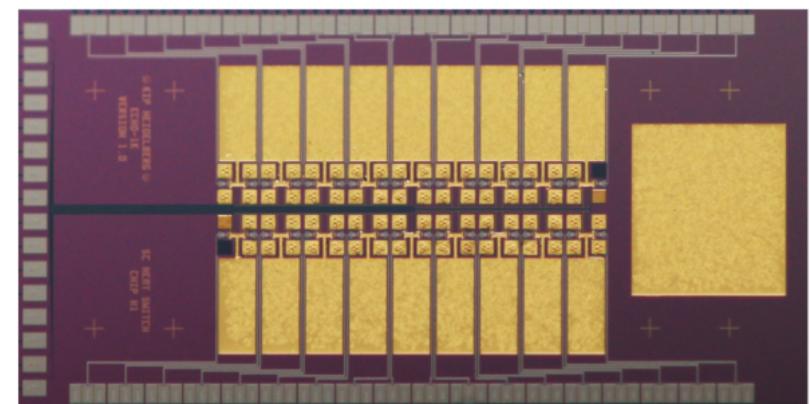


courtesy L. Gastaldo

Current status of ECHo

- Independent ^{163}Ho Q_{EC} measurement
 $Q_{\text{EC}} = (2.833 \pm 0.030_{\text{stat}} \pm 0.015_{\text{sys}}) \text{ keV}$
- High purity ^{163}Ho source has been produced
- ^{163}Ho ions have been successfully implanted in offline process @ISOLDE-CERN in 32 pixels
 @RISIKO in 8 pixels
 @RISIKO in 64 pixels
- Large MMC arrays have been tested and microwave SQUID multiplexing has been successfully proved
- New limit on the electron neutrino mass is approaching

Er161 3.21 h 3/2-	Er162 0+ EC	Er163 75.0 m 5/2- EC	Er164 0+ EC	Er165 10.36 h 5/2- EC	Er166 0+ 33.6 Ho165
Ho160 25.6 m 5+ EC	Ho161 2.48 h 7/2- EC	Ho162 15.0 m 1+ EC	Ho163 4570 y 7/2- EC	Ho164 29 m 1+ EC, β^-	Ho165 7/2- 100
*	*	*	*	*	



courtesy L. Gastaldo

ECHo neutrino mass project: timeline

Prove **scalability** with medium large experiment **ECHo-1K** (2015-2018)

- total activity 1000 Bq, high purity ^{163}Ho source (produced at reactor)
- $\Delta E_{\text{FWHM}} < 5 \text{ eV}$
- $\tau_{\text{rise}} < 1 \mu\text{s}$
- multiplexed arrays → microwave SQUID multiplexing
- 1 year measuring time 10^{10} counts → neutrino mass sensitivity $m < 10 \text{ eV}$
- Data taking will start early 2018

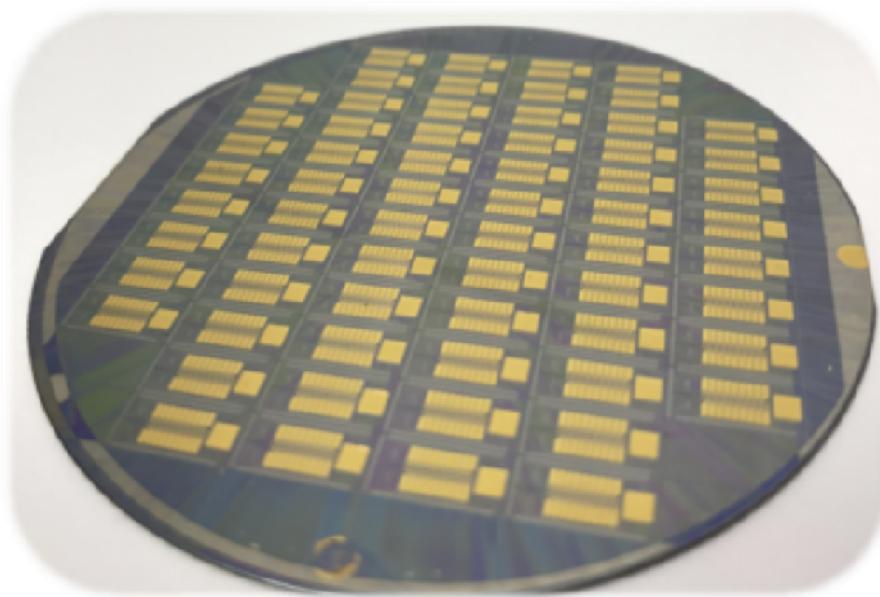
Future: ECHo-10M sub-eV sensitivity

In addition: high energy resolution and high statistics

^{163}Ho spectra allow to investigate
the existence of **sterile neutrinos**
in the **eV-scale and keV-scale**

Other ^{163}Ho EC projects:

HOLMES: ^{163}Ho implanted in Au absorber
with transition edge sensor (TES) readout



courtesy L. Gastaldo

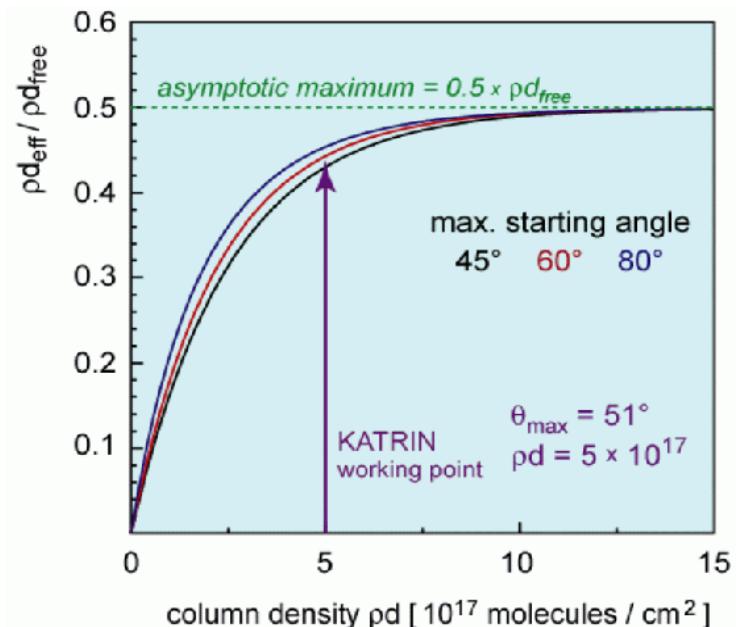
NuMECS

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Possible ways out:

- a) source inside detector (compare to $0\nu\beta\beta$)
 using cryogenic bolometers (ECHO, HOLMES,
 NuMECS)
- b) hand-over energy information of β electron
 to other particle (radio photon),
 which can escape tritium source (Project 8)



Project 8's goal: Measure coherent cyclotron radiation of tritium β electrons

General idea:

B. Montreal and J. Formaggio, PRD 80 (2009) 051301

- Source = KATRIN tritium source technology :

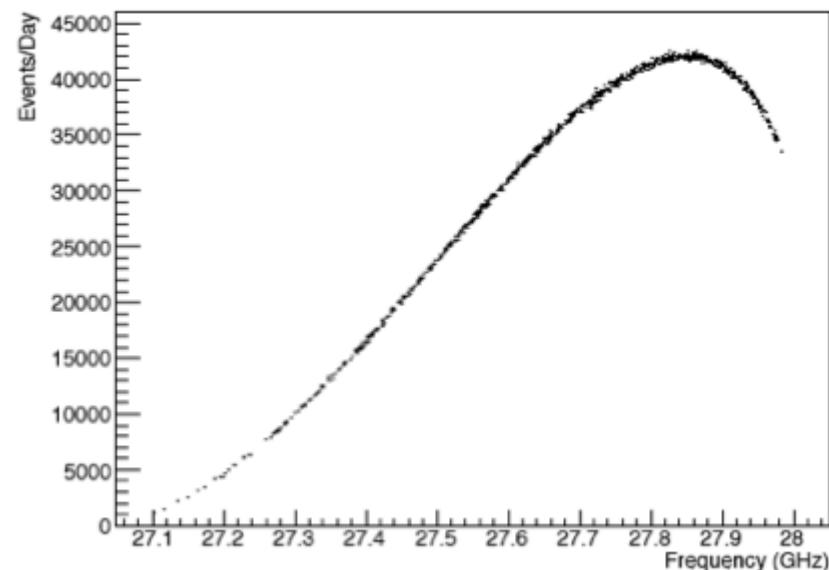
uniform B field + low pressure T_2 gas

β electron radiates coherent cyclotron radiation

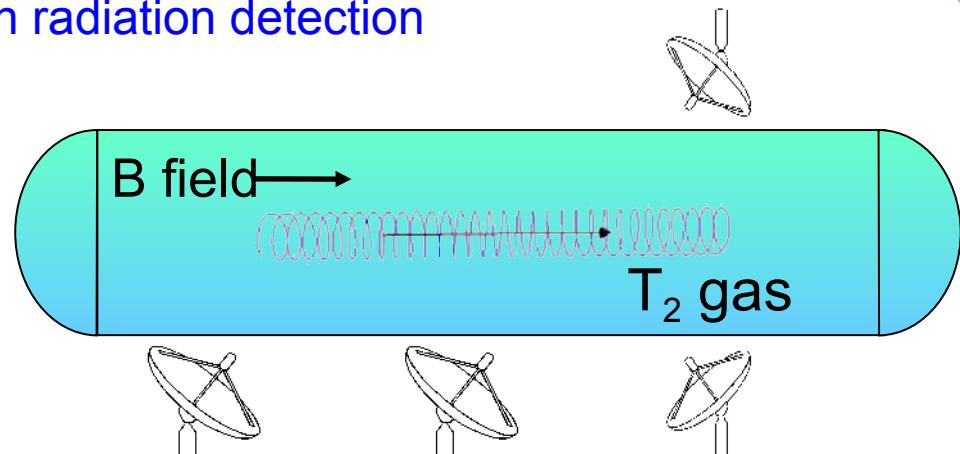
$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K + m_e}$$

But tiny signal: $P(18 \text{ keV}, \theta=90^\circ, B=1\text{T}) = 1 \text{ fW}$

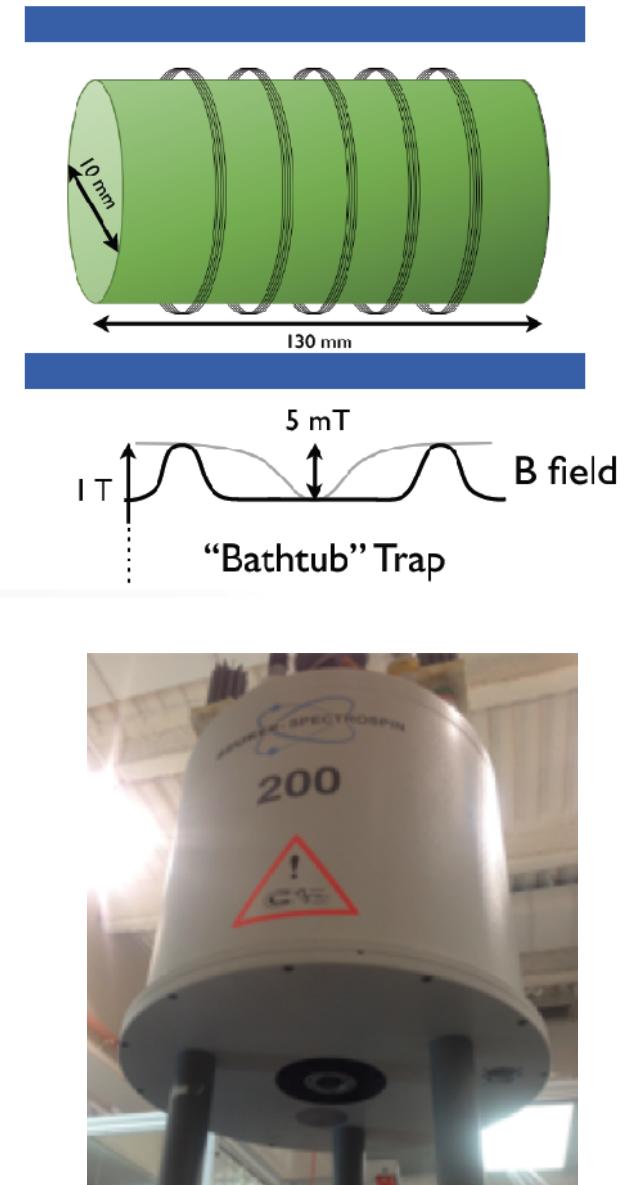
PROJECT 8



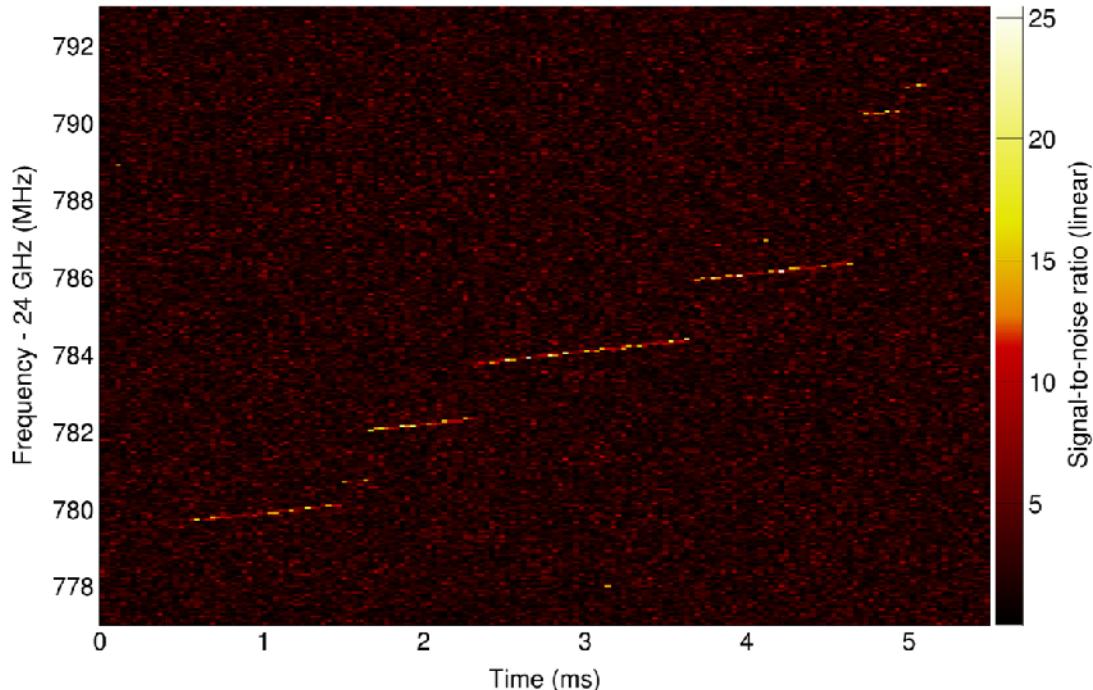
- Antenna array (interferometry) for cyclotron radiation detection since cyclotron radiation can leave the source and carries out the information of the β -electron energy



Project 8's phase 1: detection single electrons from ^{83m}Kr

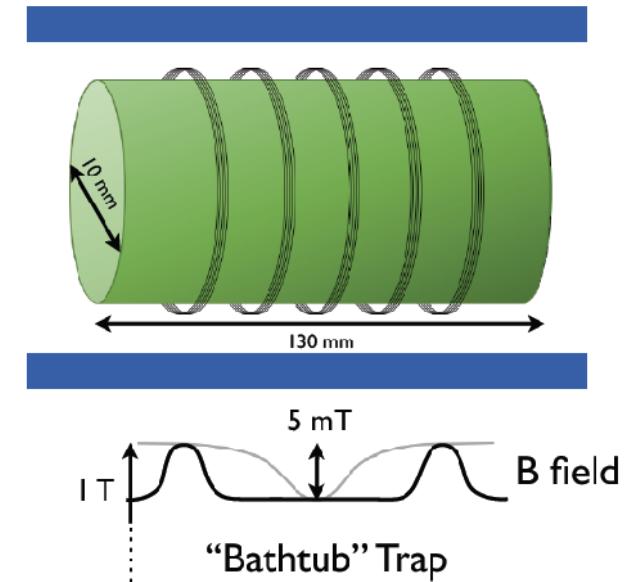


D. M. Asner et al., Phys. Rev. Lett. 114, 162501

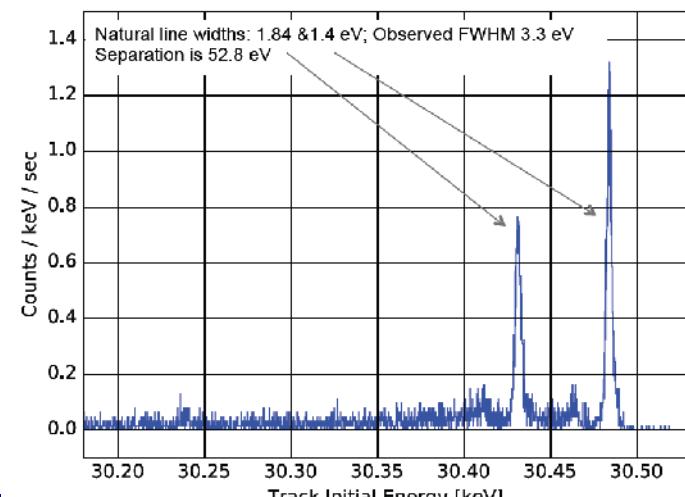
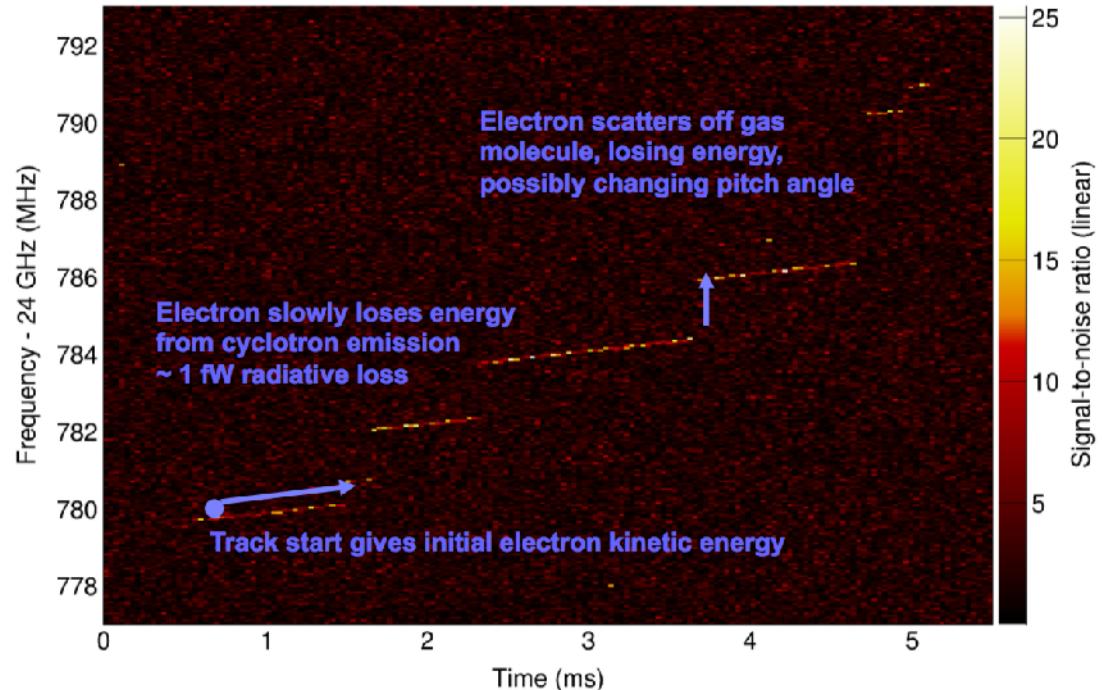


courtesy J. Formaggio, RGH Robertson

Project 8's phase 1: detection single electrons from ^{83m}Kr

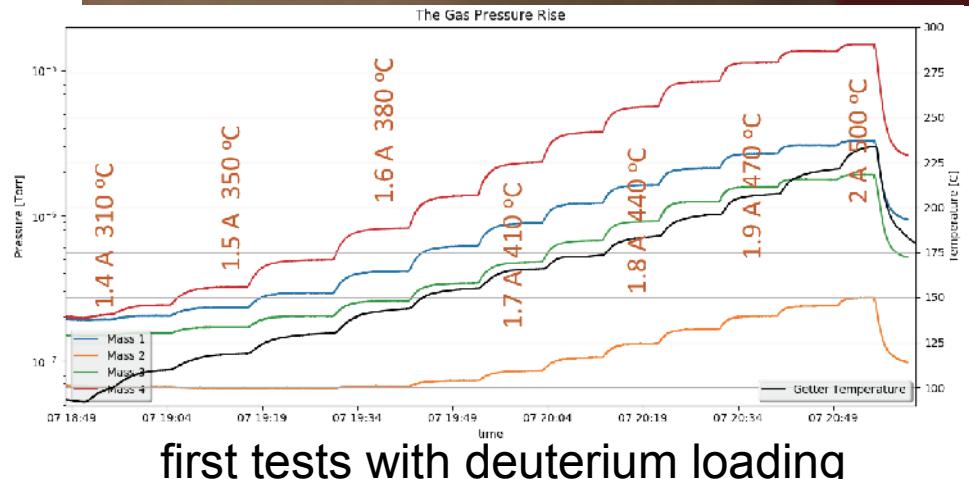
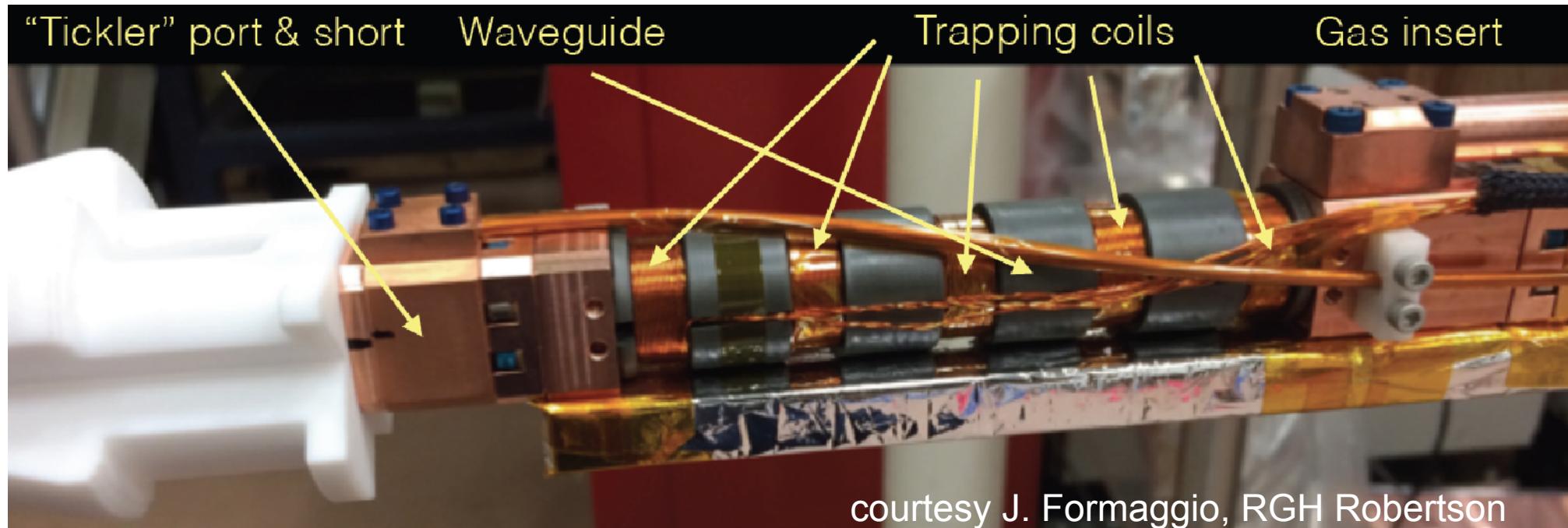


D. M. Asner et al., Phys. Rev. Lett. 114, 162501



courtesy J. Formaggio, RGH Robertson

Project 8's phase 2: Measure tritium beta spectrum



First detection of single electrons successfull

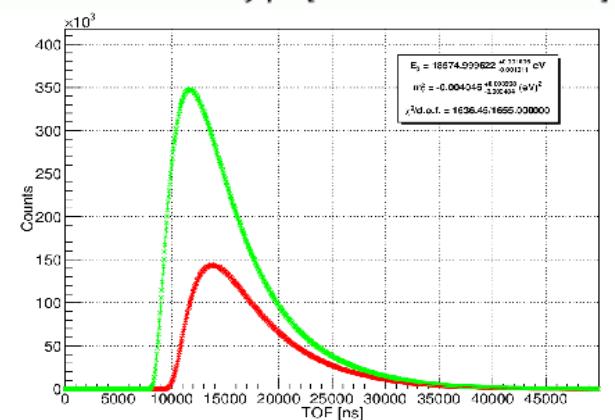
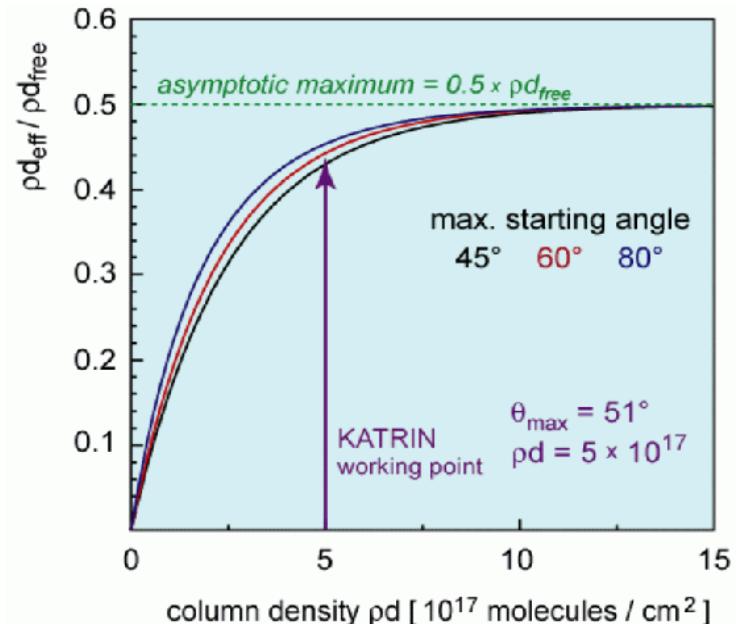
- tritium spectroscopy starting in 2017/18
- but still a lot of R&D necessary
- final goal: atomic tritium source
- Is a large scale experiment possible ?
- What are the systematic uncertainties & other limitations?

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- b) hand-over energy information of β electron
to other particle (radio photon),
which can escape tritium source (Project 8)
- c) make better use of the electrons
by differential measurement instead of integral
(measure all retarding voltage settings at once)
→ differential detector, e.g. cryobolometer array
(but 90mm diameter and multi Tesla field)
→ time-of-flight spectroscopy,
e.g. by electron tagging



→ Factor 5 improvement in m_ν^2 by TOF
w.r.t. standard KATRIN in ideal case !
N. Steinbrink et al. NJP 15 (2013) 113020

Conclusions

Direct neutrino mass experiments: complementary to cosmological analyses and $0\nu\beta\beta$
can look also for sterile neutrinos (eV, keV) and other BSM

KATRIN: direct neutrino mass experiment with 200 meV sensitivity

- System is complete (except tritium loops and rear wall and calibration system):
1st light in October 2016, ^{83m}Kr calibration measurements in July 2017 very successful
- Tritium data taking: start in 2018

KATRIN inauguration ceremony: June 11, 2018 (after Neutrino 2018 at Heidelberg)



Micro calorimeters experiments for ^{163}Ho EC

ECHo: technology ready, ECHo-1k will start in early 2018, ECHo-10M planned

HOLMES: large progress: start data taking in 2018

NuMECS: similar technology

Project 8:

Spectroscopy of tritium β -decay by radio-detection of cyclotron radiation

^{83m}Kr measurements successful, first tritium R&D run in 2017/2018