

# Astrophysical and Atmospheric Neutrinos in Neutrino Telescopes

Nikhef

Dorothea Samtleben  
Leiden University

& Nikhef (National Institute for Subatomic Physics, Amsterdam)



## **1 Introduction Neutrino astronomy**

## **2 Astrophysical neutrinos**

- Cosmic sources
- Neutrino relation to cosmic rays and gamma ray
- Flavor distribution

## **3 Atmospheric Neutrinos**

- Neutrino production in the atmosphere

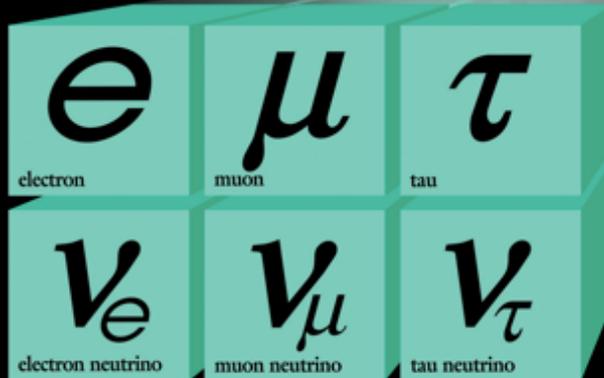
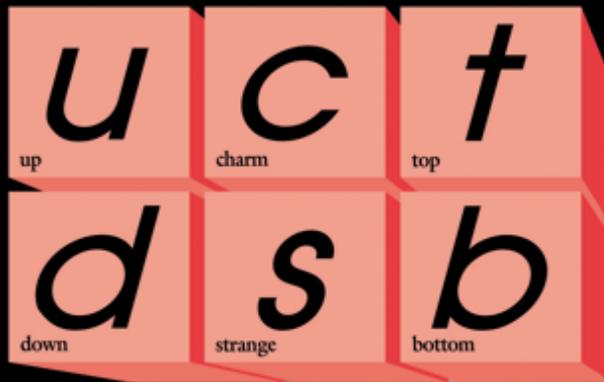
## **4 Neutrino telescopes**

- Detection principles
- Baikal/IceCube/ANTARES/KM3NeT

## **5 Measurement challenges and prospects**

- Atmospheric neutrino flux
- Point source searches
- IceCube detection of Cosmic Neutrinos
- Multimessenger observations
- Transient sources
- Dark Matter
- Neutrino oscillations & New Physics

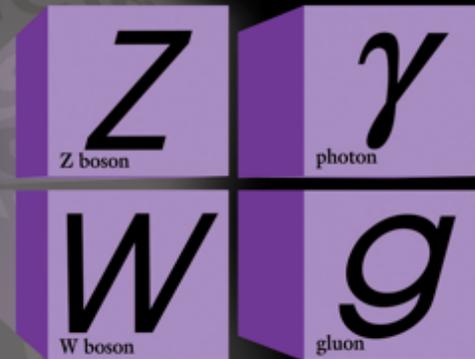
# Quarks



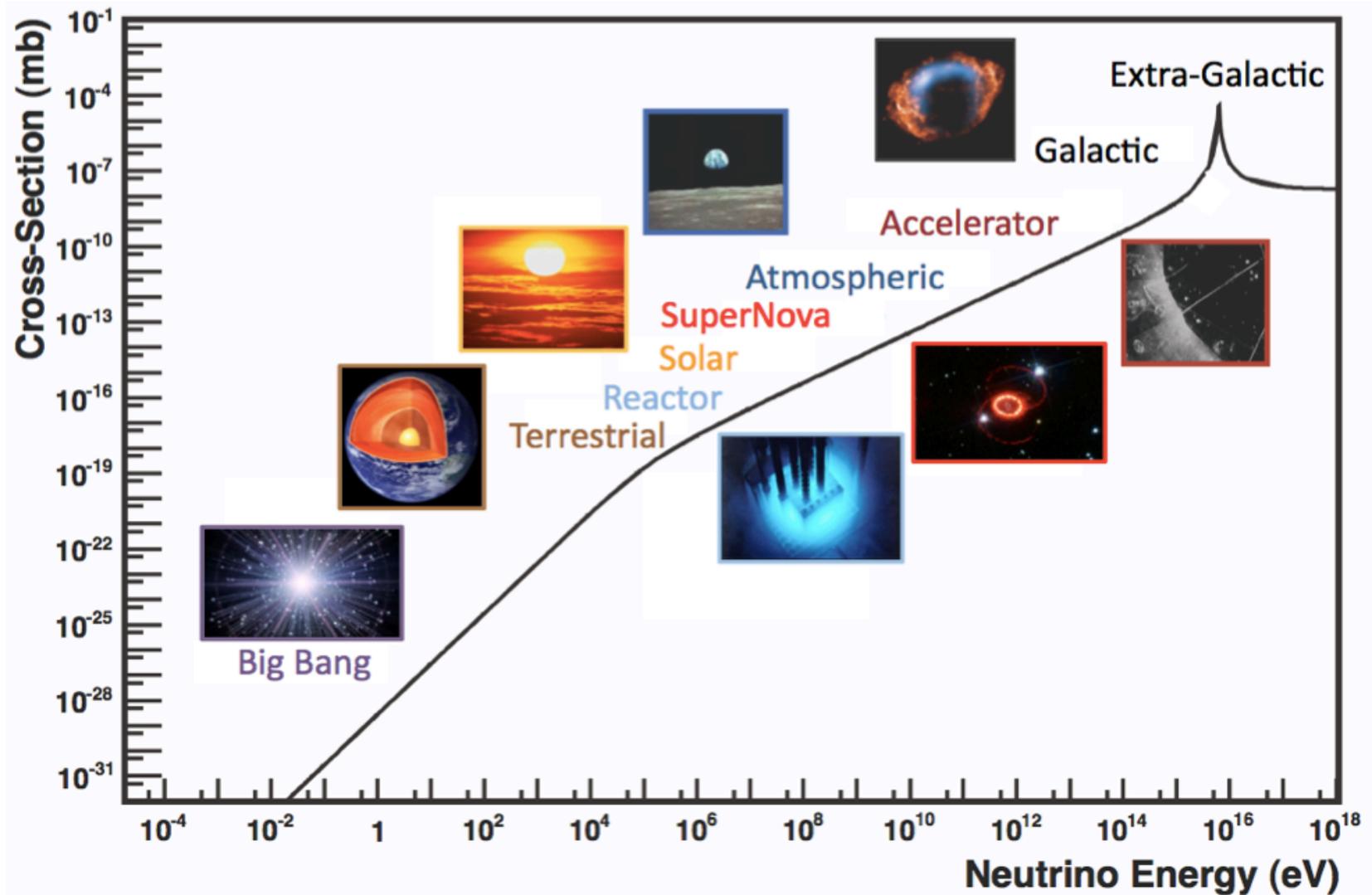
# Leptons



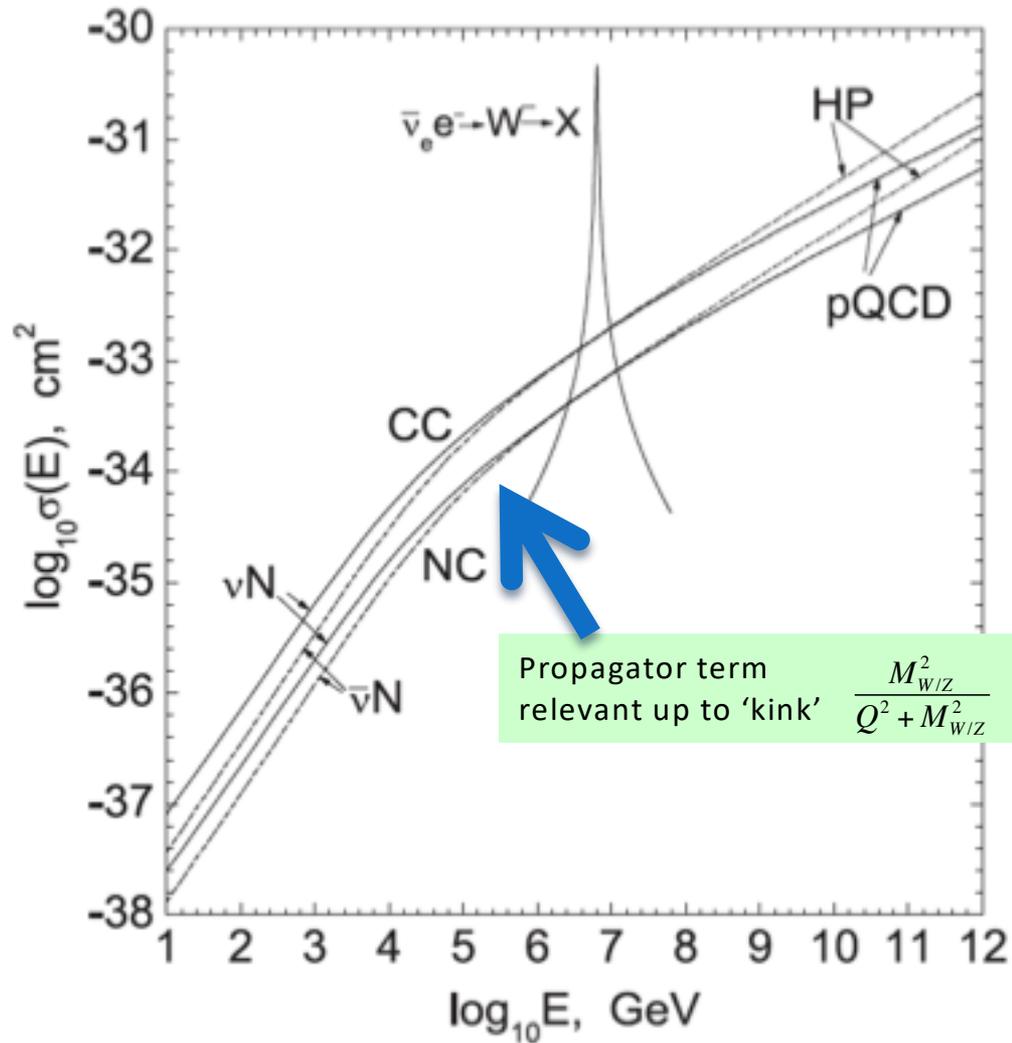
# Forces



# Where do we get neutrinos from?



# Neutrino cross section





# Science for Neutrino Telescopes

## **Astrophysics**

Neutrinos can surpass dense media and long distances -> new information

Multimessenger information  
(combine with  
electromagnetic/gravitational  
wave/cosmic ray observations)

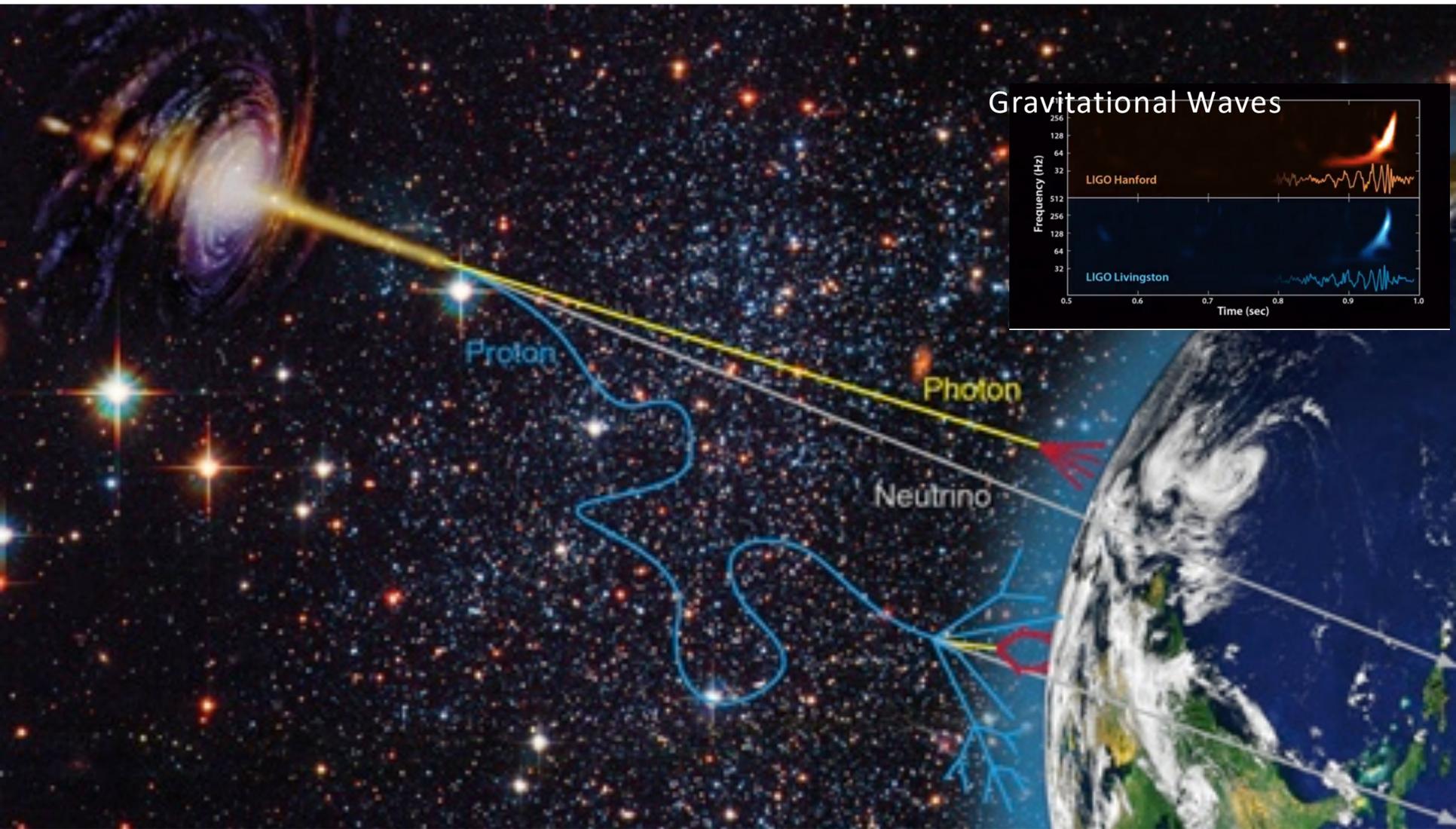
## **Particle Physics**

Dark Matter annihilation products  
possibly visible

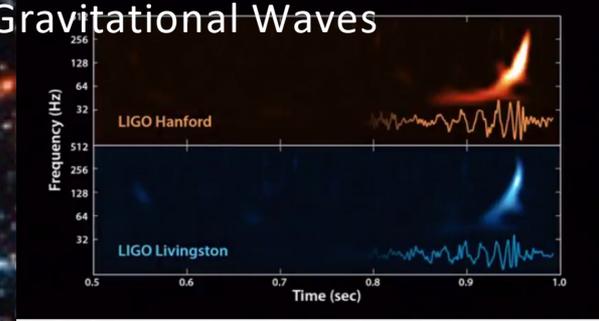
Potential new physics signatures in  
rates/flavor ratios

Atmosphere acts as 'beam dump' for  
cosmic rays  
=> neutrinos for oscillation studies

# Different Messengers



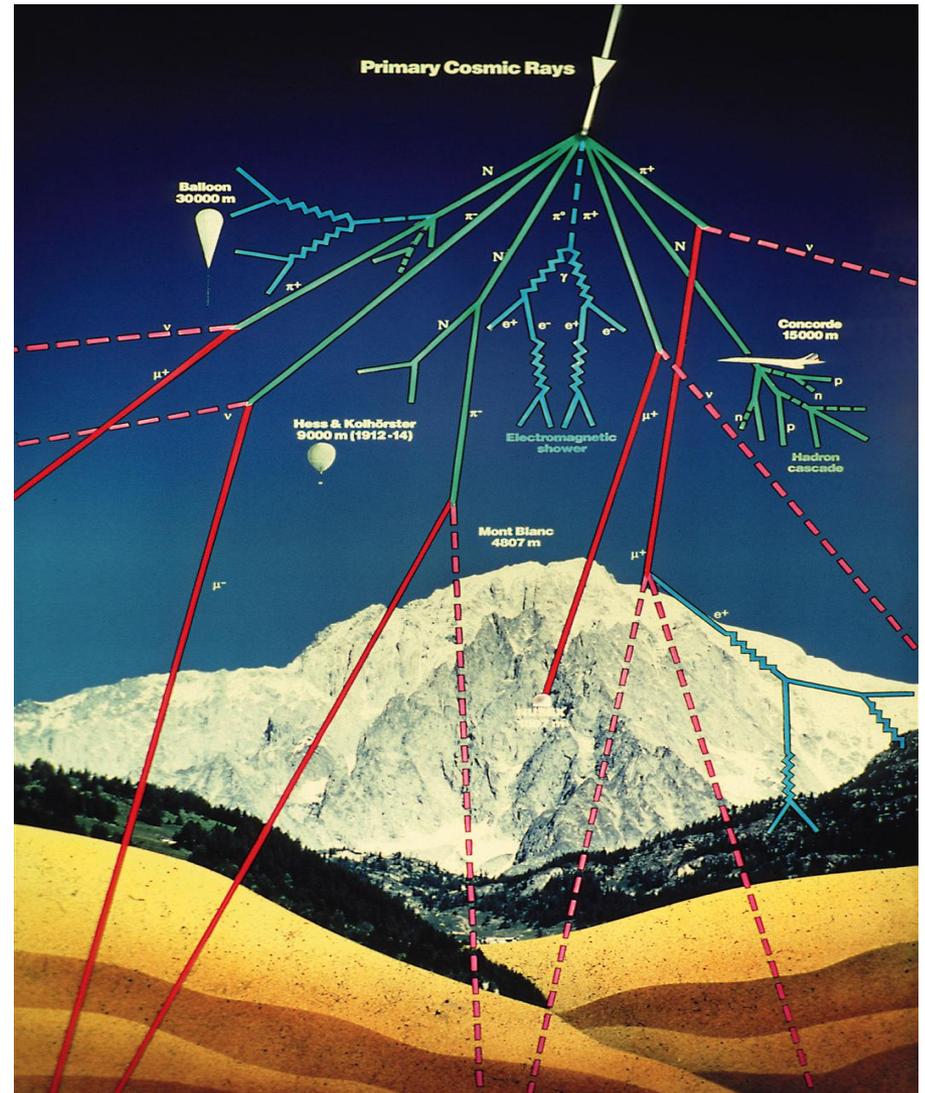
## Gravitational Waves



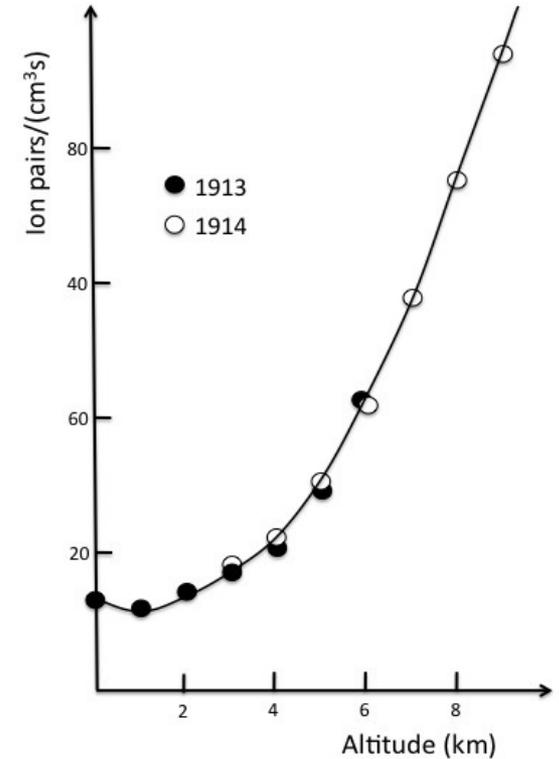
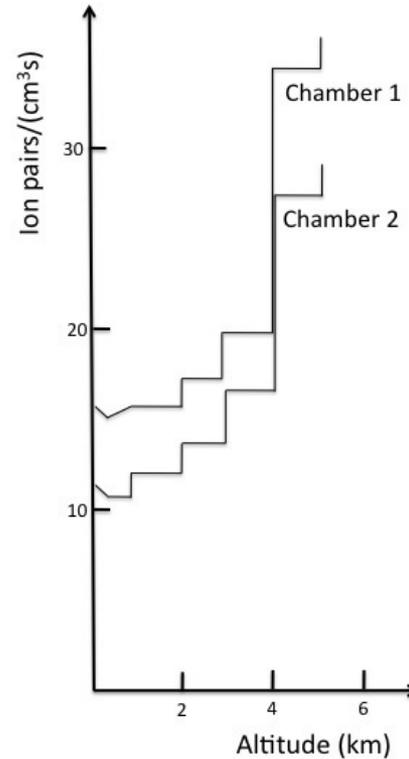
Neutrinos from galactic and extragalactic sources (supernovae, gamma ray bursts, active galactic nuclei...)



Neutrino beam 'for free':  
Showers from cosmic ray interactions in the atmosphere

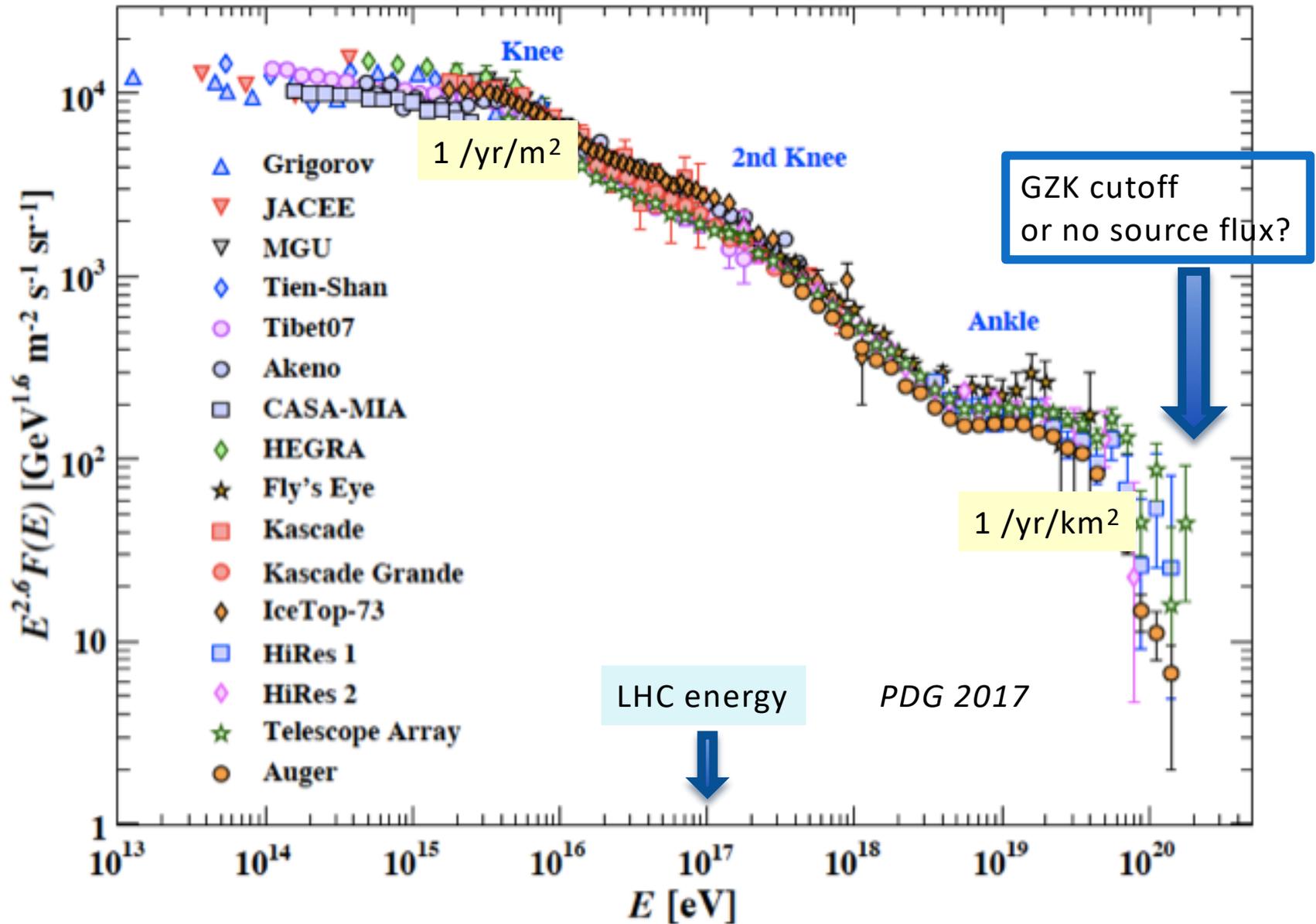


# 1936 Nobelprize for Victor Francis Hess (1883-1964)



*The results of my observation are best explained by the assumption that a radiation of very great penetrating power enters our atmosphere from above."*

# High energy cosmic ray spectrum

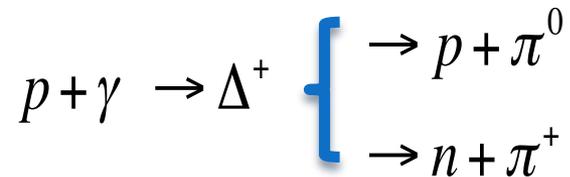


Note: Several measurements before LHC => far extrapolations used in the data evaluation for hadronic interaction

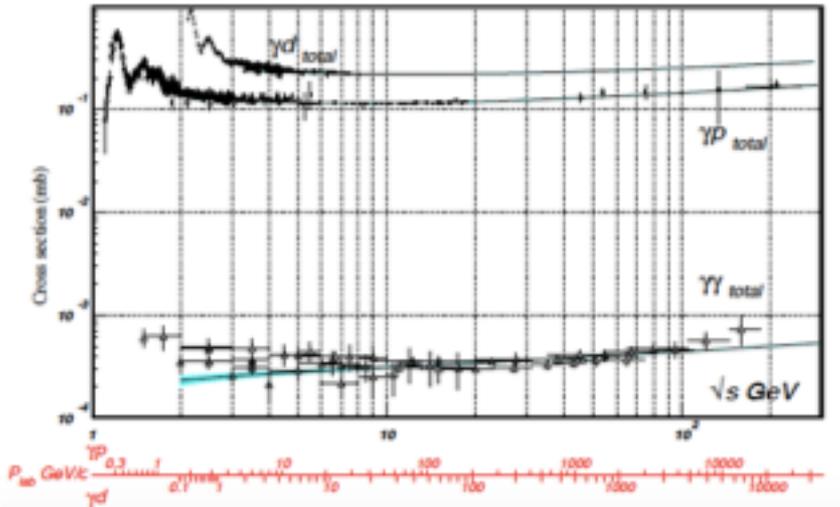
# Greisen Zatsepin Kuzmin (GZK) cutoff:

Energy limit in cosmic rays from protons interacting with cosmic background photons

**Average** energy for CMB photon  $\sim 6.4 \cdot 10^{-4} \text{ eV}$



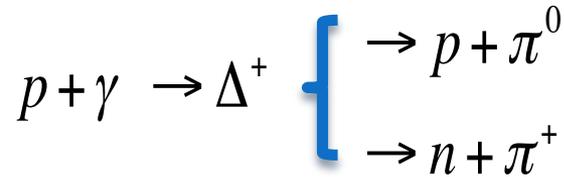
$p\gamma$  Cross section



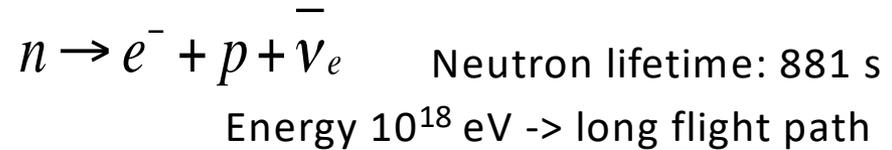
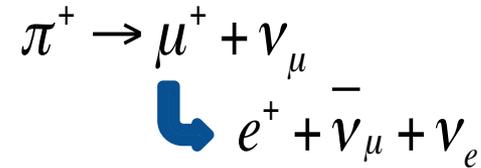
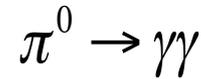
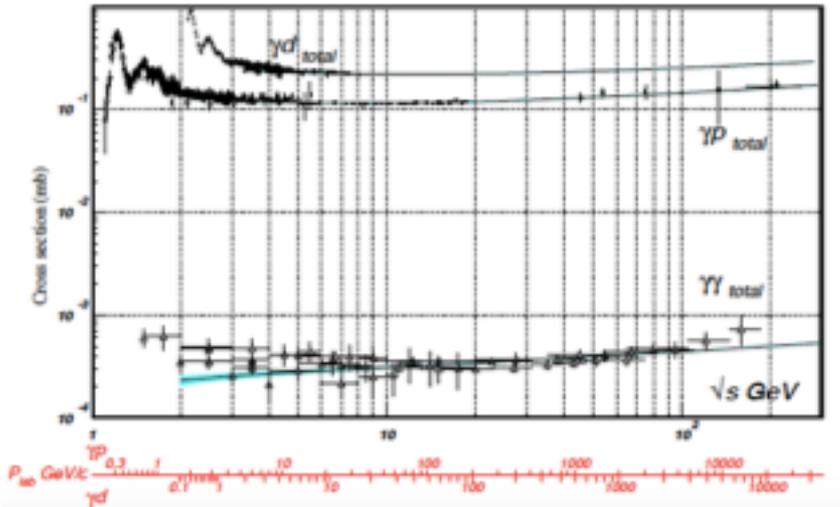
# Greisen Zatsepin Kuzmin (GZK) cutoff:

Energy limit in cosmic rays from protons interacting with cosmic background photons

**Average** energy for CMB photon  $\sim 6.4 \cdot 10^{-4} \text{ eV}$

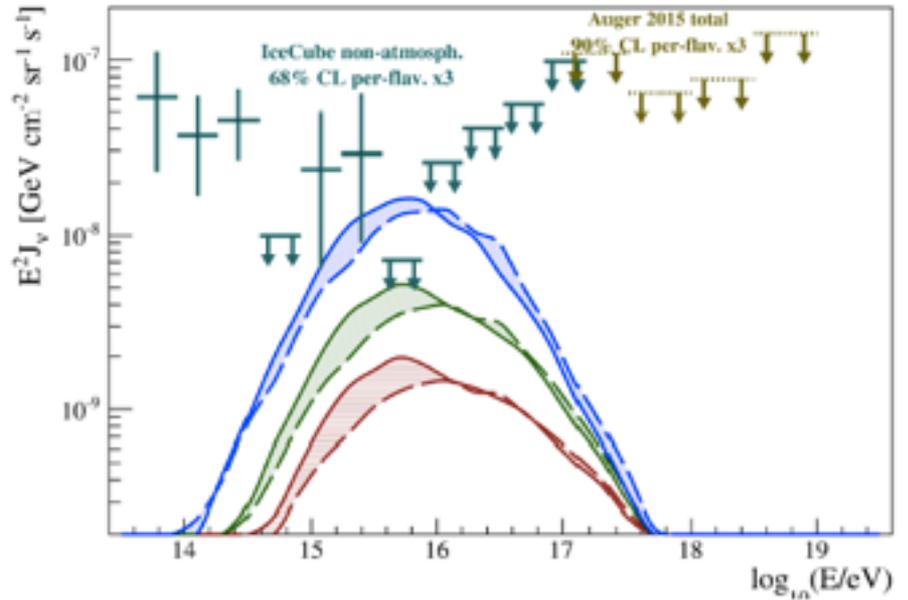
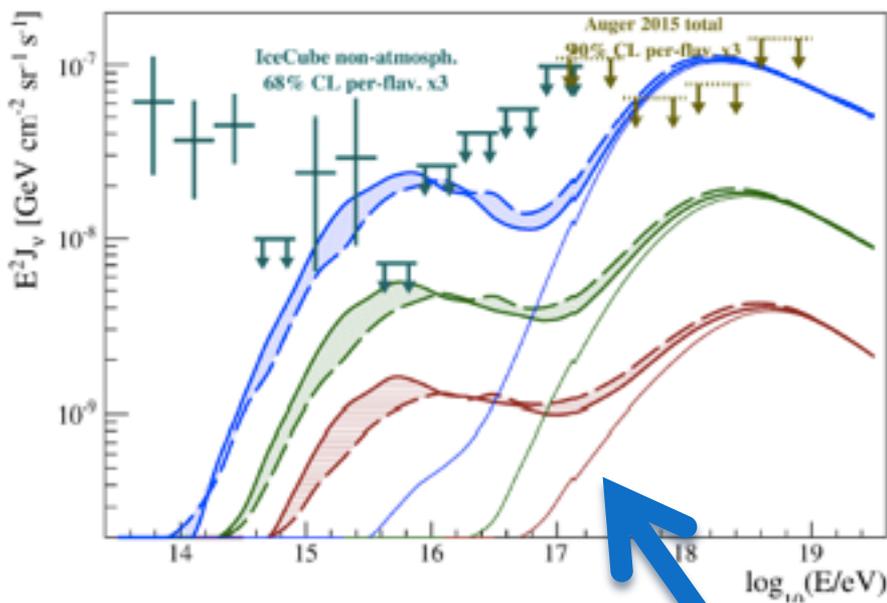


$p\gamma$  Cross section



$\Rightarrow$  Expectation of very high energetic neutrinos (cosmogenic neutrinos)

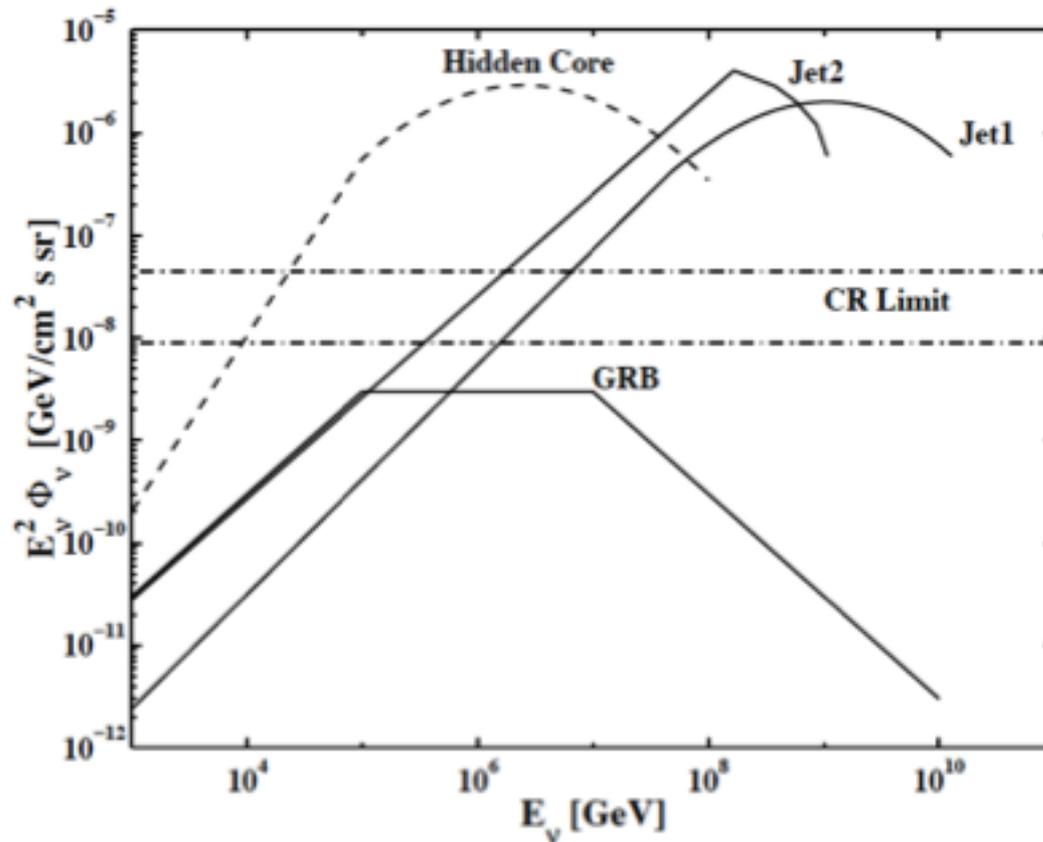
Cosmogenic neutrino spectra (left proton assumption, right nuclei)  
Colors show different source evolution models (bottom: no evolution over time)



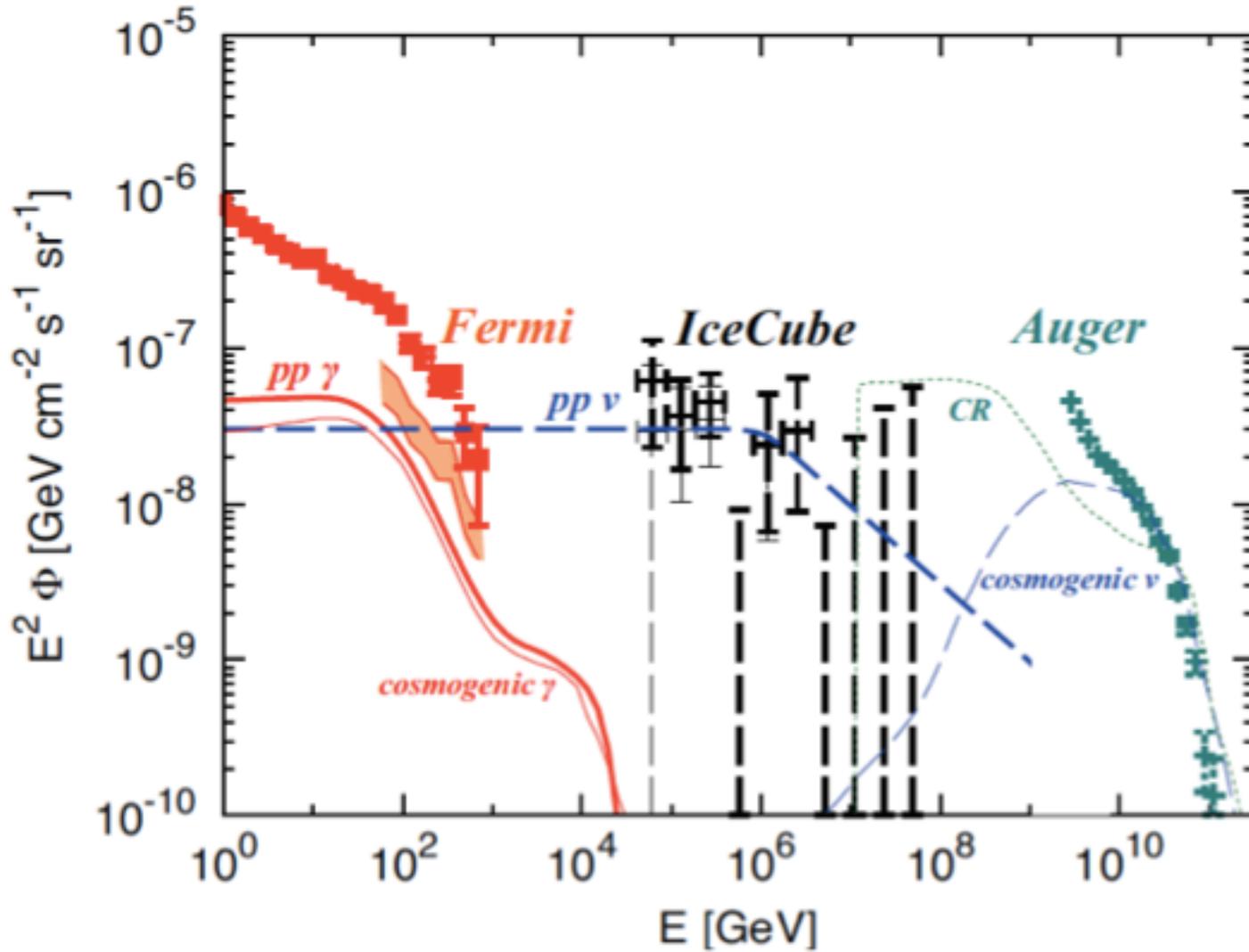
Only scattering on CMB (not Extragalactic Background Light)

# Waxman Bahcall Bound

Use measured Cosmic Ray spectrum  
=> Constraint on neutrino flux (optically thin sources)



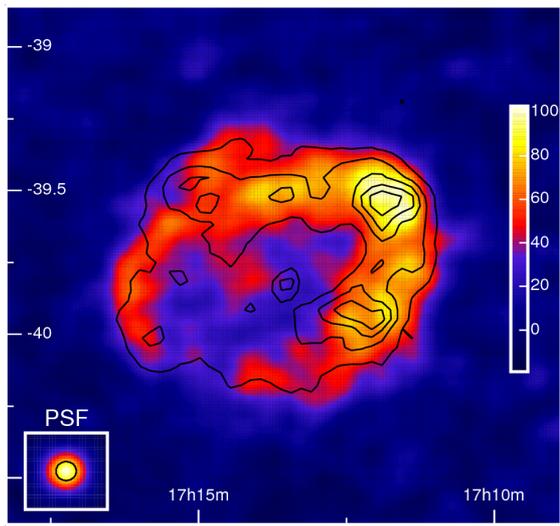
Photons – Neutrinos - Protons



# Cosmic particle accelerators

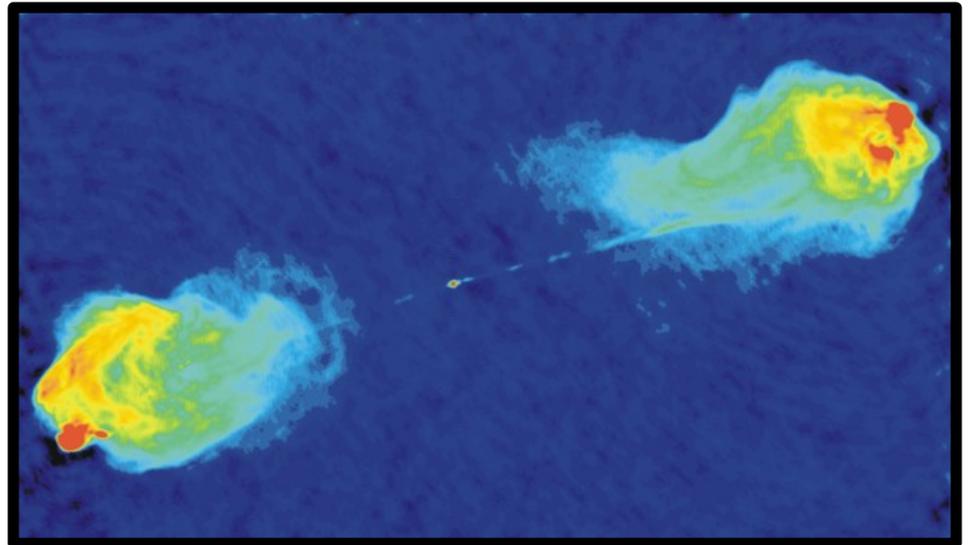
## Galactic

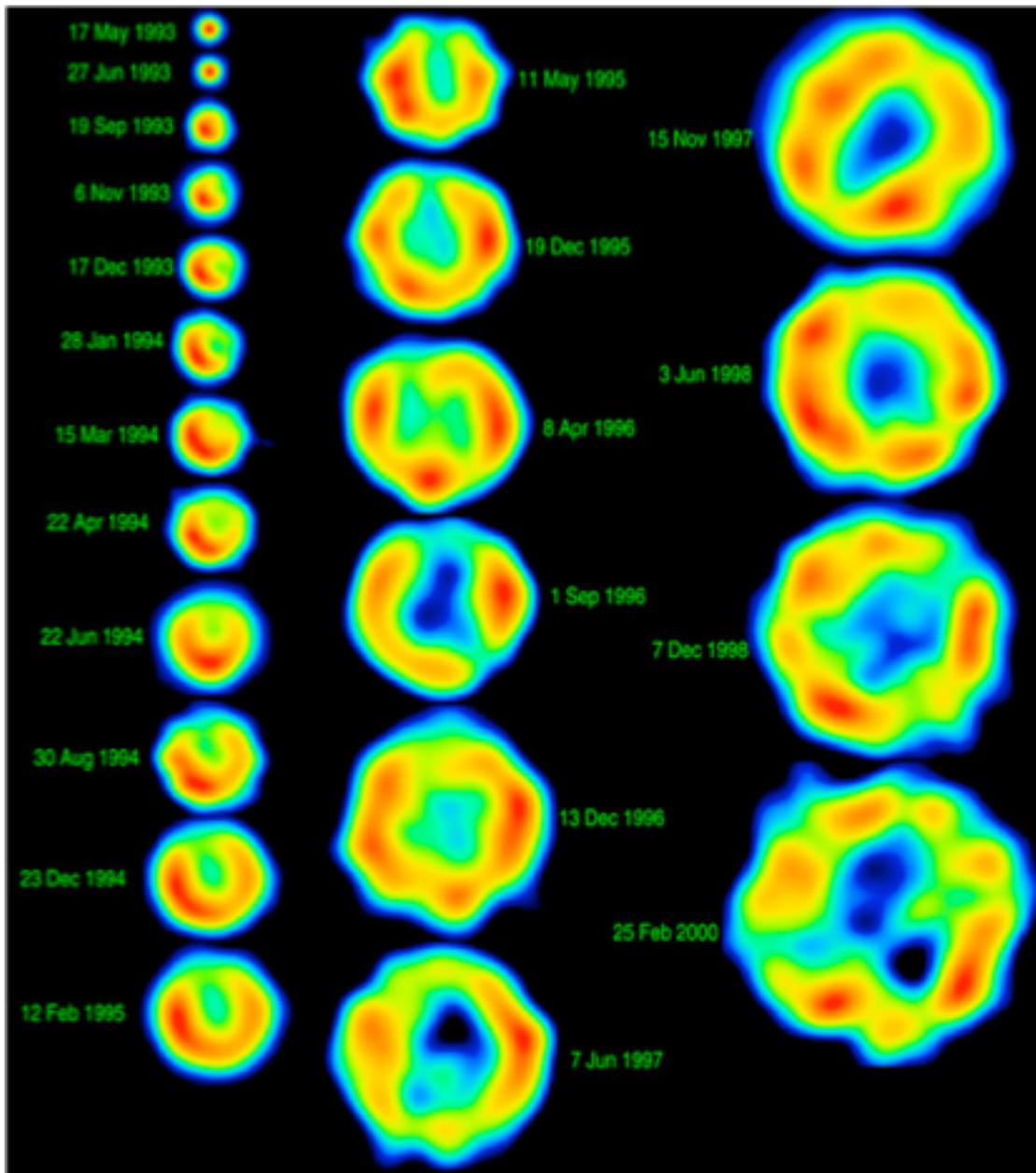
e.g. Supernova remnants



## Extra-galactic

e.g. Active Galactic Nuclei



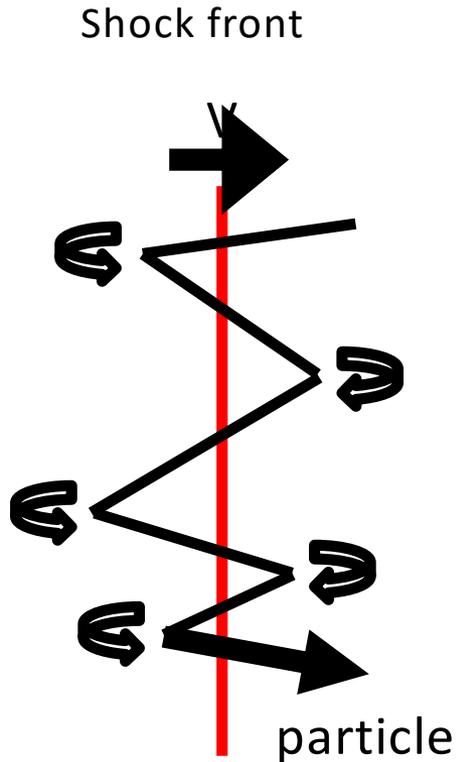


SN 1993J radio  
observations of 7 years

~10 Mly away

Starting with ~20000km/s  
expansion

# Fermi Acceleration



Acceleration:  $\Delta E = \alpha E$

Probability:  $P = \beta$

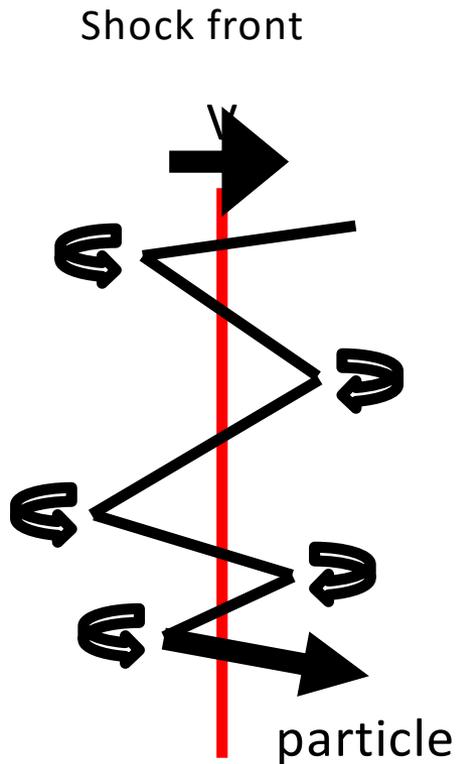
k Iterations:

$$E = E_0 (1 + \alpha)^k$$

$$N = N_0 (\beta)^k$$

$\alpha, \beta$  determined by shock dynamics, relativity  
=> Power Law  $E^{-2}$  expected

# Fermi Acceleration



Acceleration:  $\Delta E = \alpha E$

Probability:  $P = \beta$

$k$  Iterations:

$$E = E_0 (1 + \alpha)^k$$

$$N = N_0 (\beta)^k$$

$$\frac{N}{N_0} = \left( \frac{E}{E_0} \right)^{\frac{\ln(\beta)}{\ln(1+\alpha)}}$$

$$\frac{dN}{dE} \propto E^{\frac{\ln(\beta)}{\ln(1+\alpha)} - 1}$$

$\alpha, \beta$  determined by shock dynamics, relativity  
=> Power Law  $E^{-2}$  expected

# Extragalactic sources

## Blazars

(subclass of Active Galactic Nuclei - **AGN**)

Radio-loud active galactic nuclei with relativistic jets pointing towards Earth

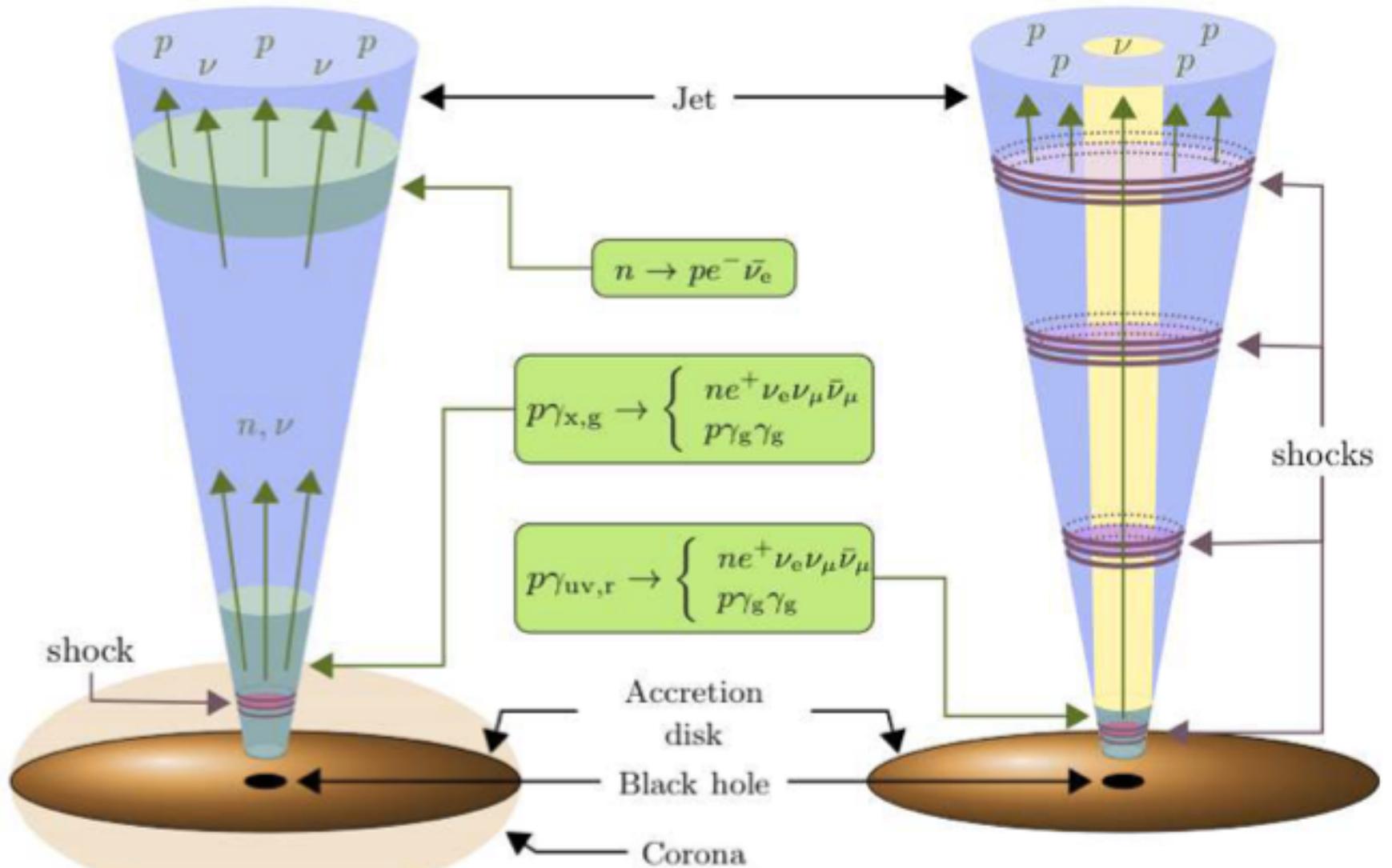
- > **Flat Spectrum Radio Quasars (FSRQ)**, strong/broad optical emission lines)
- > **BL Lacertae** (weak optical emission lines)

Also classified according to synchrotron peak position (associated to the energy of the accelerated electrons)

- > high synchrotron peaked (**HSP**)
- > low and intermediate synchrotron peaked (**LSP**)

HSP BL Lacs (rare) very powerful  $\gamma$  emitters

# Different blazar models



$10^6$ - $10^9$  solar masses  
massive black hole accreting

Intense  $\gamma$  ray flashes first detected 1967 (US military satellites)

-> published only 1973

### Short Gamma Ray Bursts

Likely NS-NS mergers, less energetic

### Long Gamma Ray Bursts

Core collapse of massive star to black hole

Seen up to  $z \sim 8$

Luminosities  $10^{53} - 3 \cdot 10^{54}$  erg/s

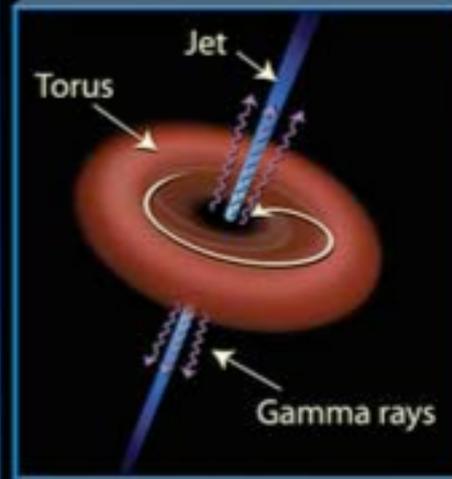
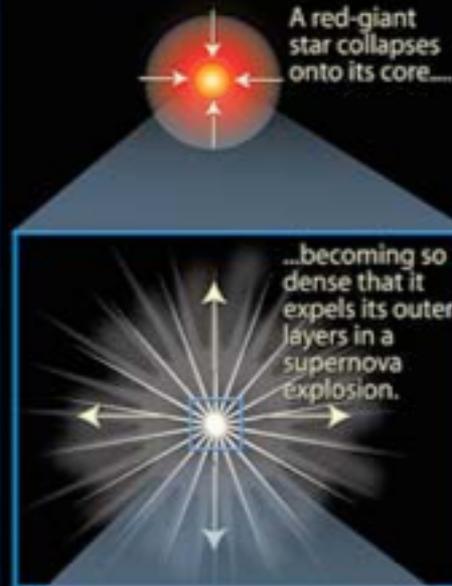
$10^{-7}$ /yr/galaxy

Highly relativistic outflows

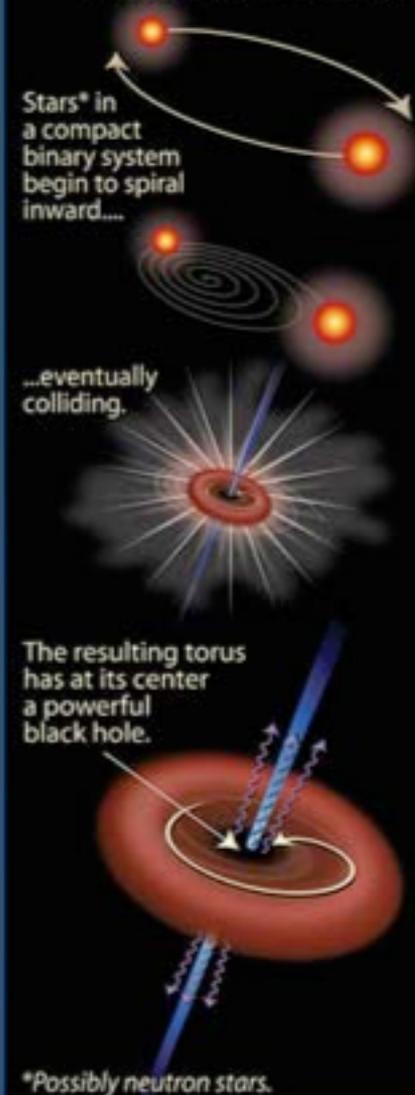
$\Gamma$  factors  $\geq 100$

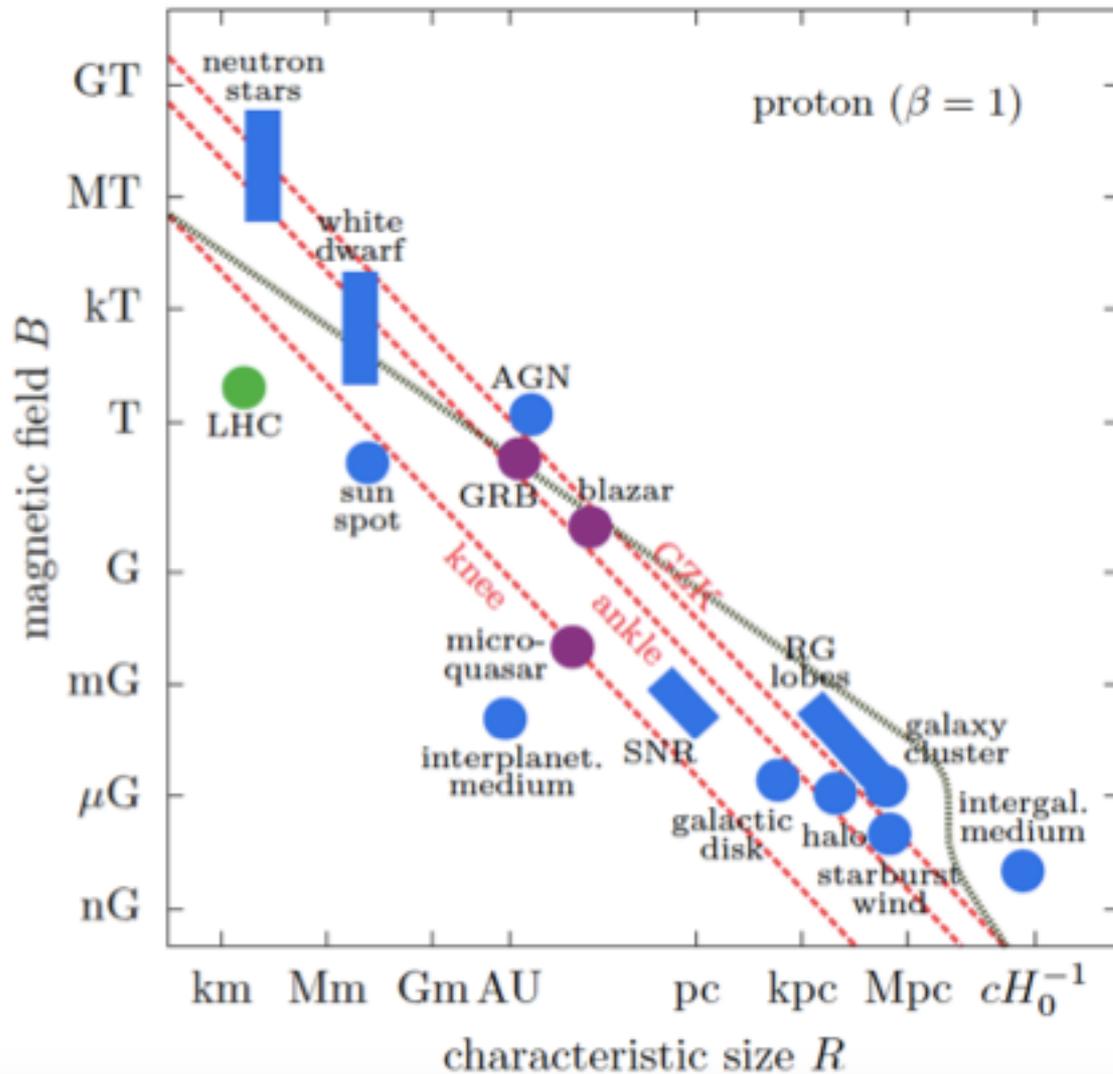
## Gamma-Ray Bursts (GRBs): The Long and Short of It

### Long gamma-ray burst ( $>2$ seconds' duration)



### Short gamma-ray burst ( $<2$ seconds' duration)





Confinement constraints for cosmic particle accelerators:

Magnetic field as function of size of sources

$$E_{\max} \cong 0.9\beta Z \frac{B}{\mu\text{G}} \frac{R}{\text{kpc}} \text{EeV}$$

$R$ : Accelerator size

$B$ : Magnetic field strength

Red line: GZK energies

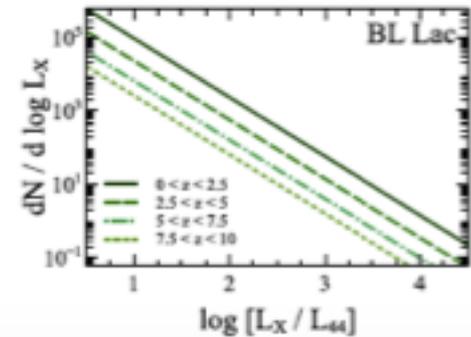
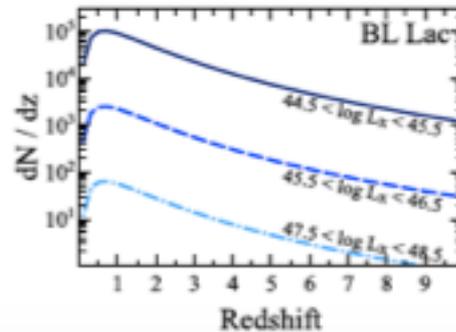
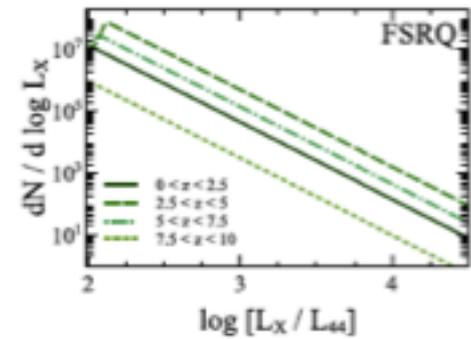
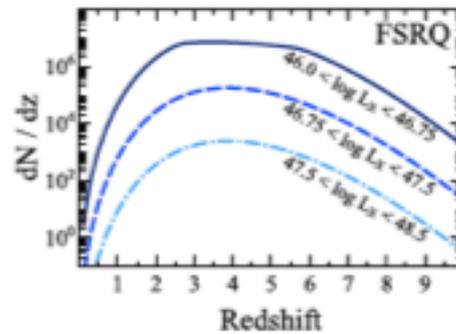
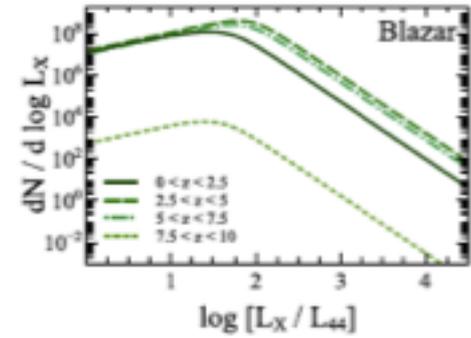
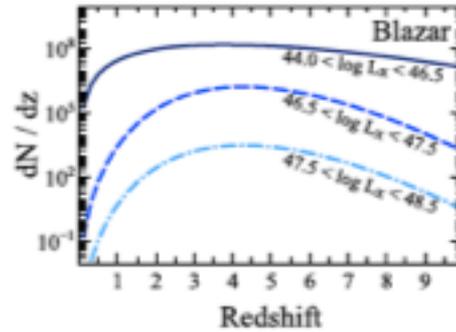
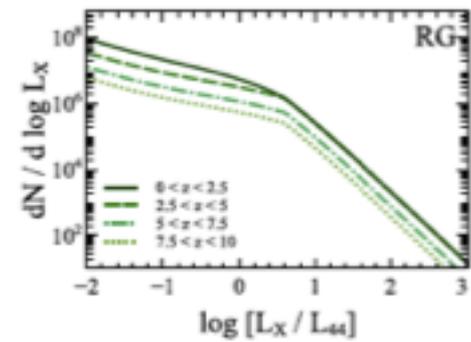
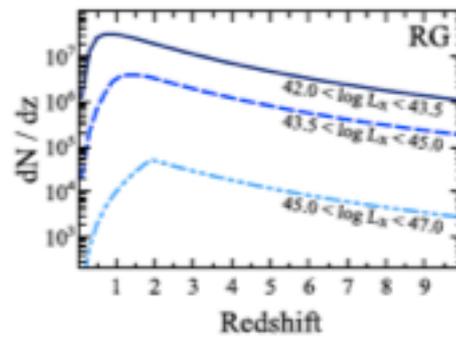
Grey line: synchrotron losses in the sources

Aartsen et al, arXiv 1701.03731

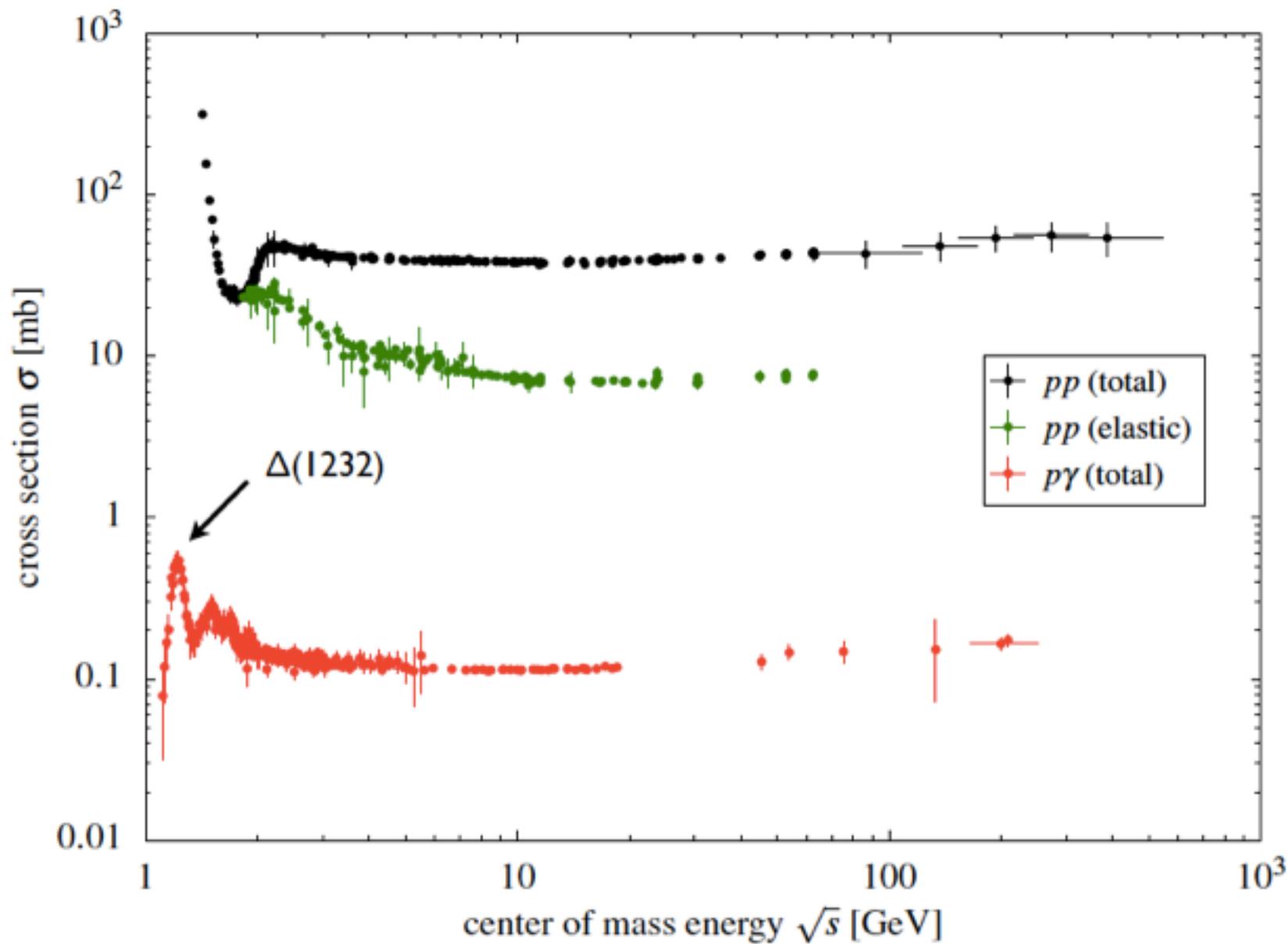
## Active Galactic Nuclei:

Source and luminosity evolution with redshift

-> Different redshifts  $z$  contribute differently to neutrino yield

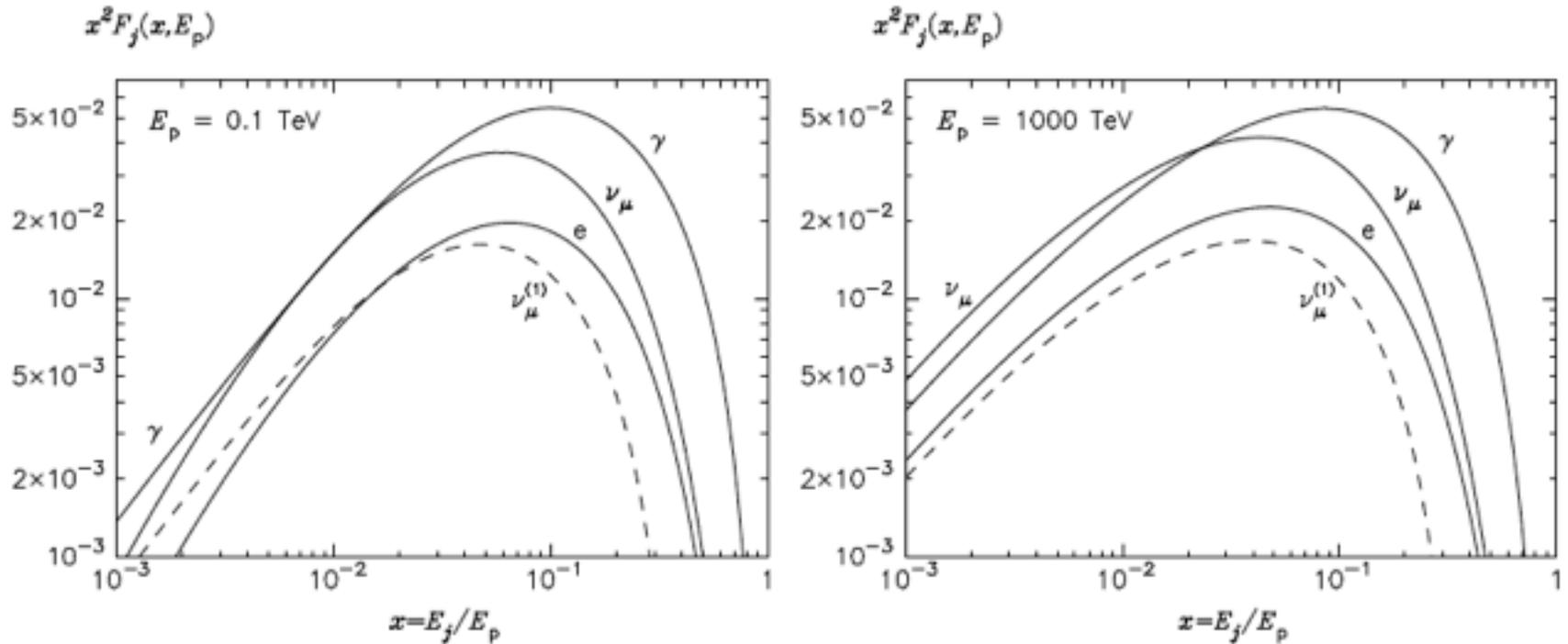






Data PDG (plot: Ahlers)

# Energy distribution of photons/neutrinos from pp interactions



$E_{\text{proton}} : E_\gamma : E_\nu$   
 $1 : 0.1 : 0.05$

# Inverse Compton Scattering:

Relativistic electrons in astrophysical sources ( $\Gamma \sim 100-1000$ )  
Interact in source radiation fields

## Waveband

Radio

Far-infrared

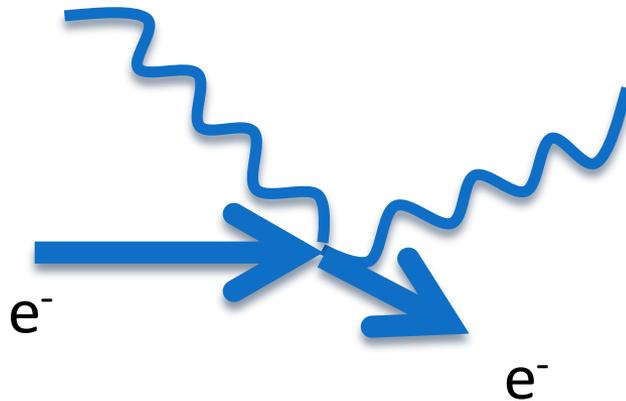
Optical

## Scattered Waveband

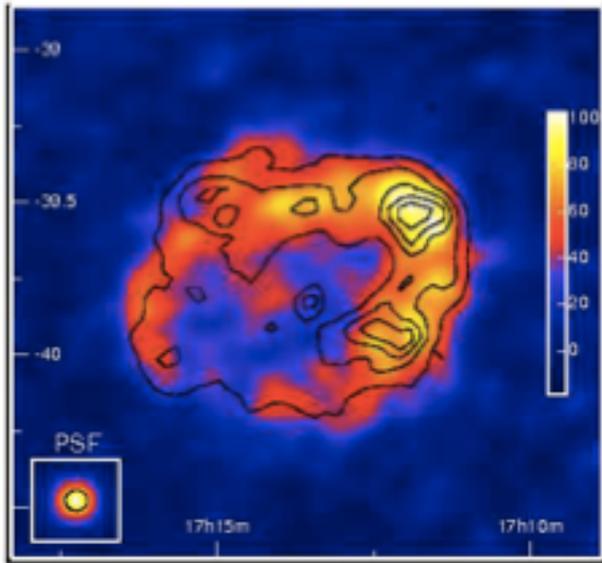
UV

X rays (100eV – 100keV)

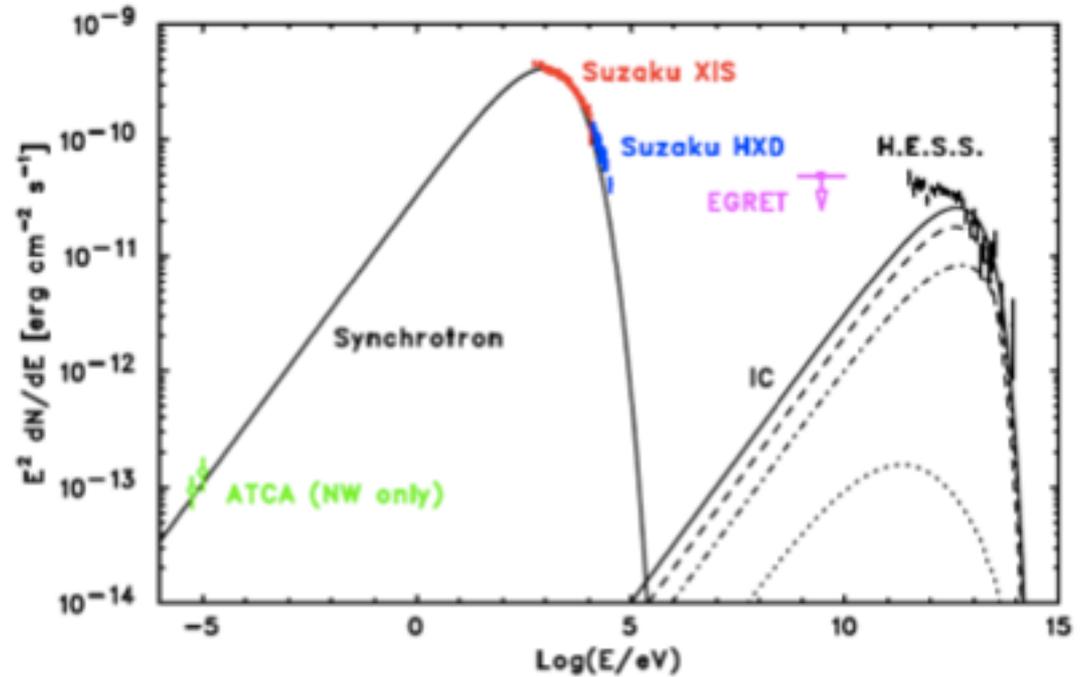
$\gamma$  rays (GeV-TeV)



# Supernova remnant RX J1713.7-3946



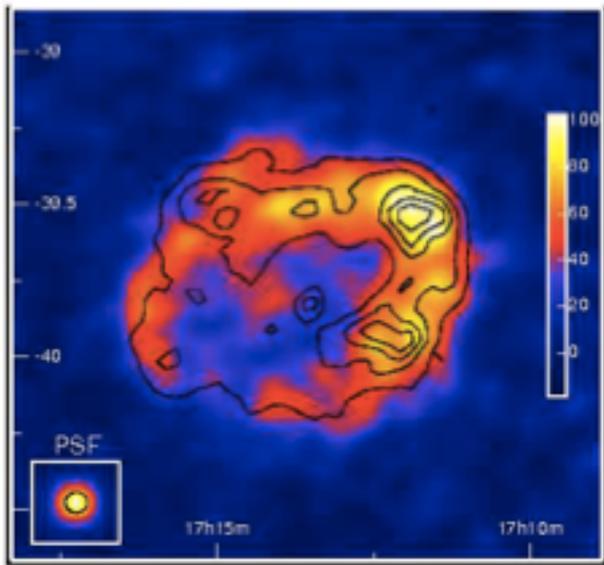
Color: TeV (HESS), contour: keV (ASCA)



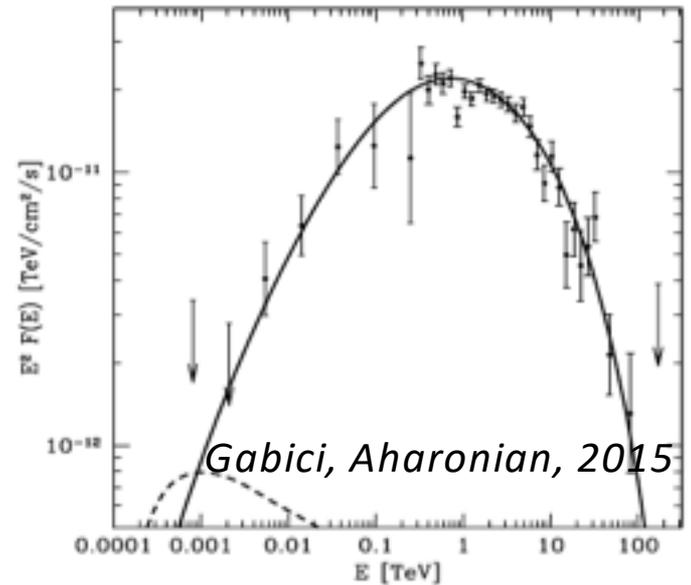
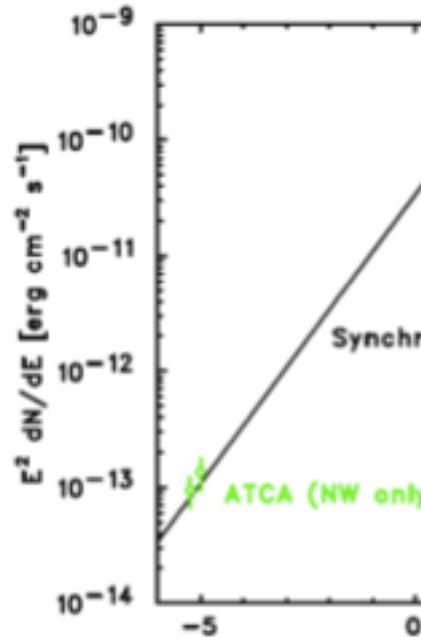
*Gabici, 2008*

**Leptonic scenario:** All spectra from synchrotron, bremsstrahlung, IC  
**Hadronic scenario:**  $\gamma$  rays from  $\pi^0$  decay ( $> \sim 70$  MeV, sharp peak)

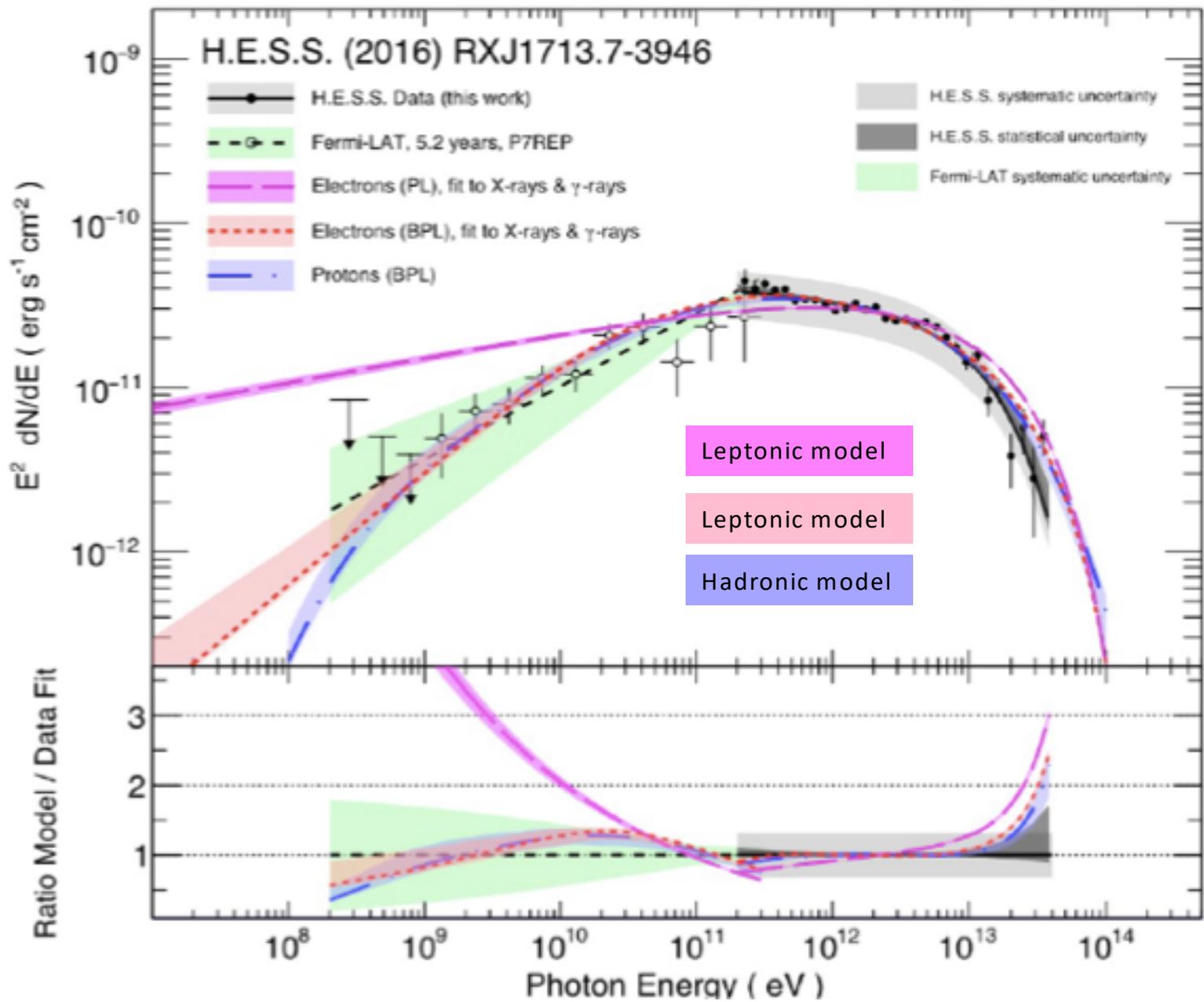
# Supernova remnant RX J1713.7-3946



Color: TeV (HESS), contour: keV (ASCA)



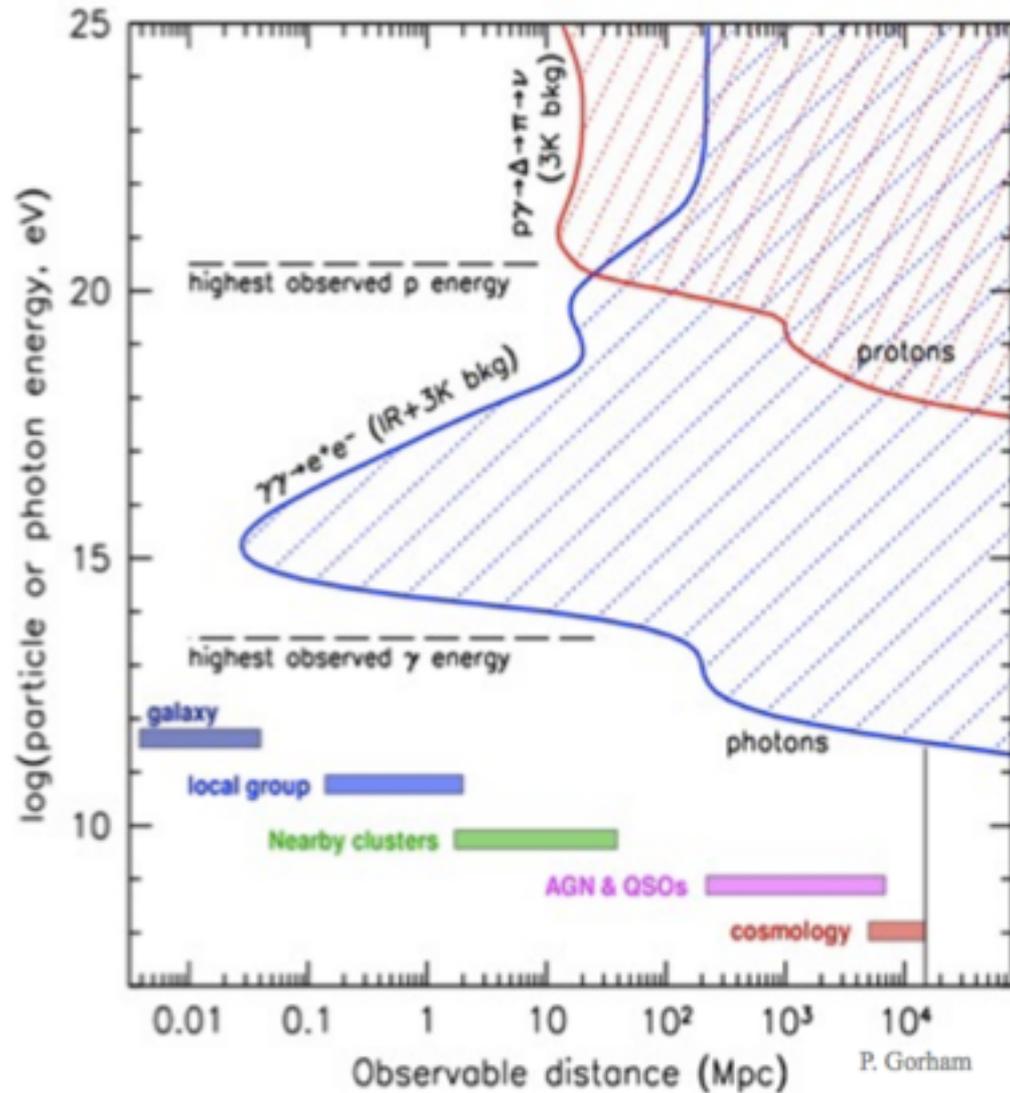
**Leptonic scenario:** All spectra from synchrotron, bremsstrahlung, IC  
**Hadronic scenario:**  $\gamma$  rays from  $\pi^0$  decay ( $>68$  MeV, sharp peak)



# Path lengths for particles & photons

$\gamma + \gamma$  background  $\rightarrow e^+e^-$   
 $p + \gamma \rightarrow \Delta^+$

Large part of the Universe can not be observed with high energetic protons/photons



# Neutrino Oscillations

Flavor eigenstates are not equal to mass eigenstates

Flavor eigenstate

Mass eigenstate

$\nu_e$

$\nu_1$

$\nu_\mu$

$\nu_2$

$\nu_\tau$

$\nu_3$



$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \\
 = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}c_{13} \end{bmatrix}.$$

# Neutrino Oscillations

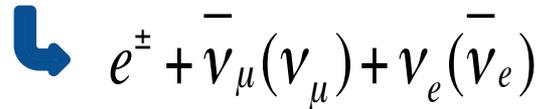
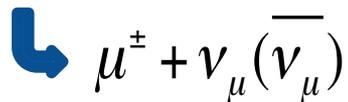
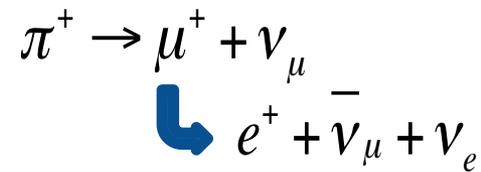
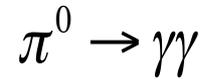
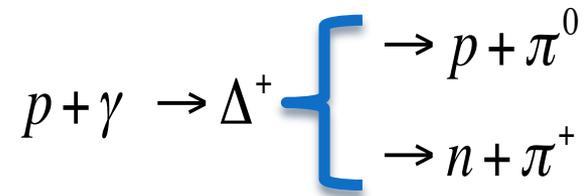
- Neutrino is created in single flavor eigenstate (superposition of different mass eigenstates)
- Propagation of the different mass eigenstates depends on energy and **mass**
  - => Leads to differences in the composition of the superposition
  - => Leads to flavor changes, depending on travel length/energy/**mass differences**

Flavor changes ONLY if neutrinos have mass

Oscillation pattern determined by mass differences (thus no mass measurement)

Flavor distribution at astrophysical source is different from detected flavors on Earth

## Diffferent source scenarios



# Different source scenarios

$$\nu_e : \nu_\mu : \nu_\tau$$

## 'Standard'

-> charged pion decay, muon decay

$$1 : 2 : 0$$

## Muon damped source

-> strong magnetic fields, muon decay suppressed

-> pion decay dominant

$$0 : 1 : 0$$

## Neutron beam source

-> extremely strong magnetic field

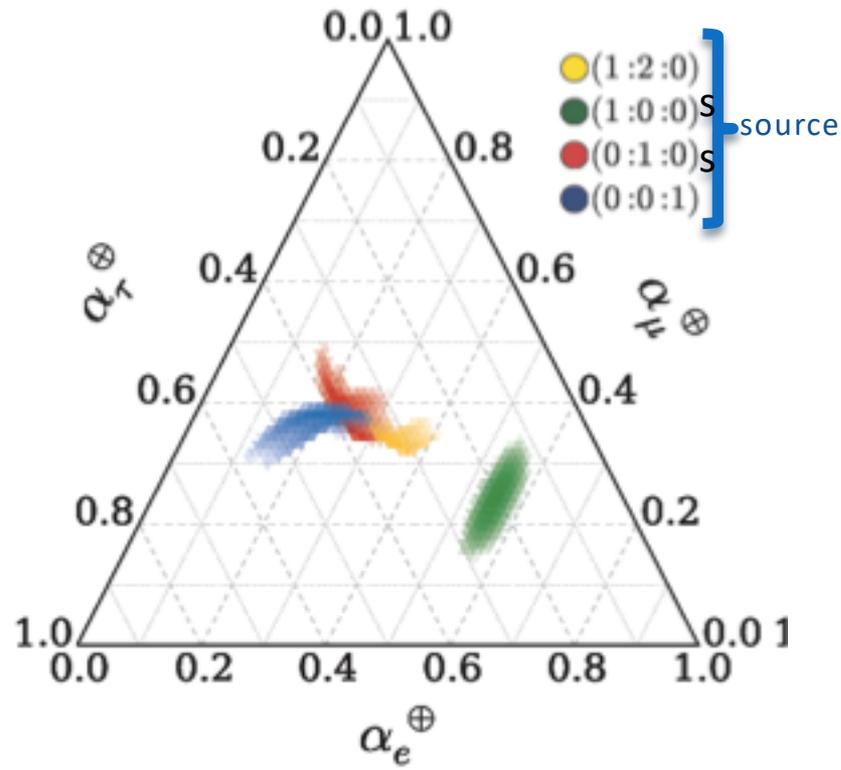
-> cosmic rays heavy nuclei

$$1 : 0 : 0$$

**Note:** Also different neutrino/antineutrino ratios

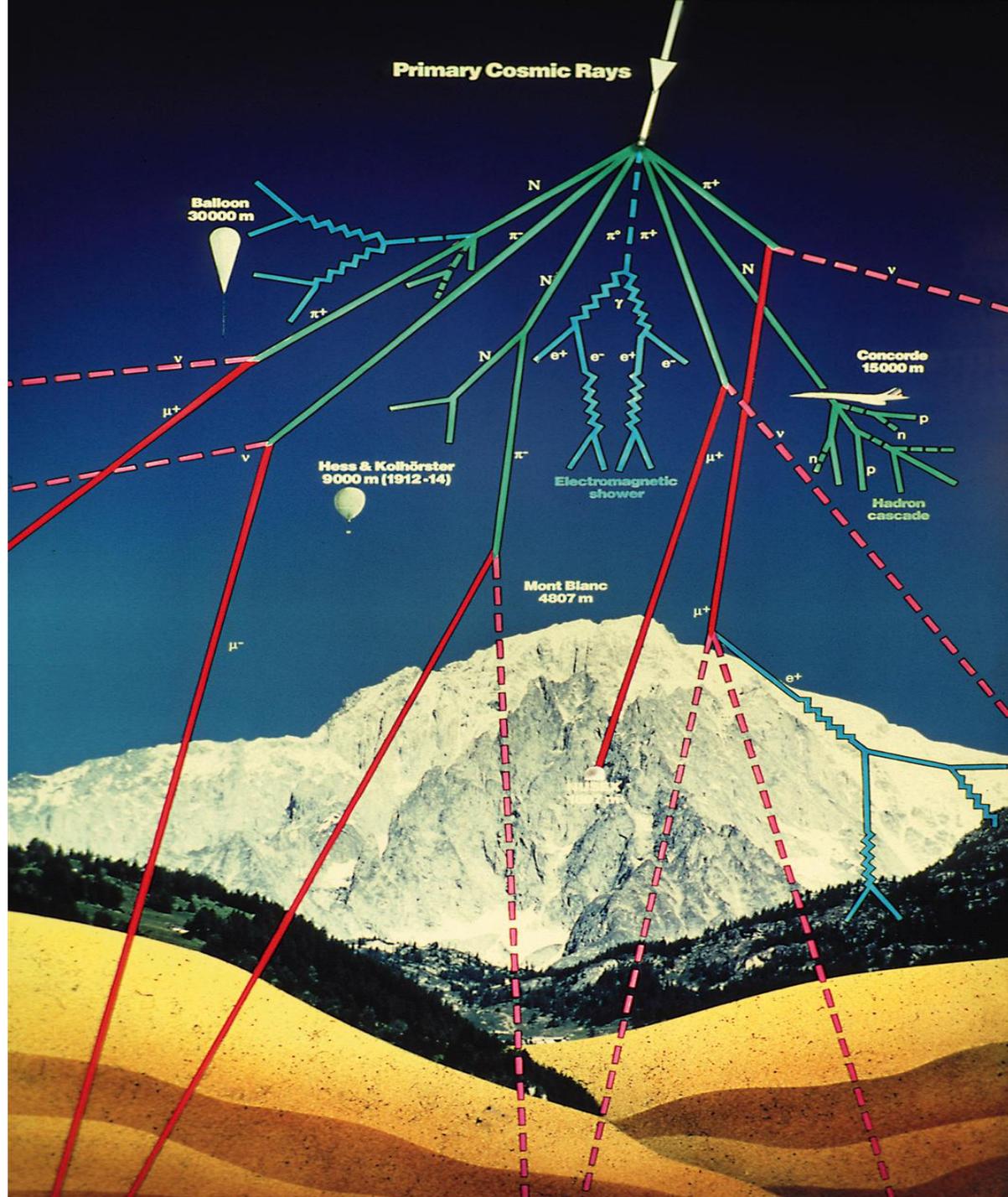
# Neutrino flavor ratio at Earth

'Standard' phase space  
-> Deviations indicate new physics



# Atmospheric Neutrinos

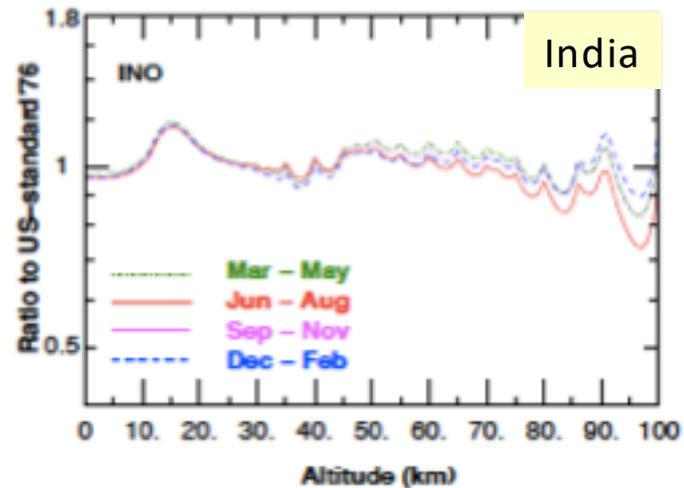
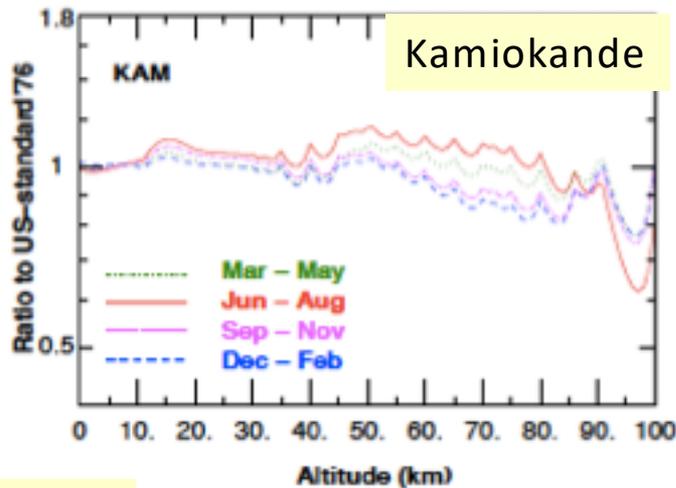
# Primary Cosmic Rays



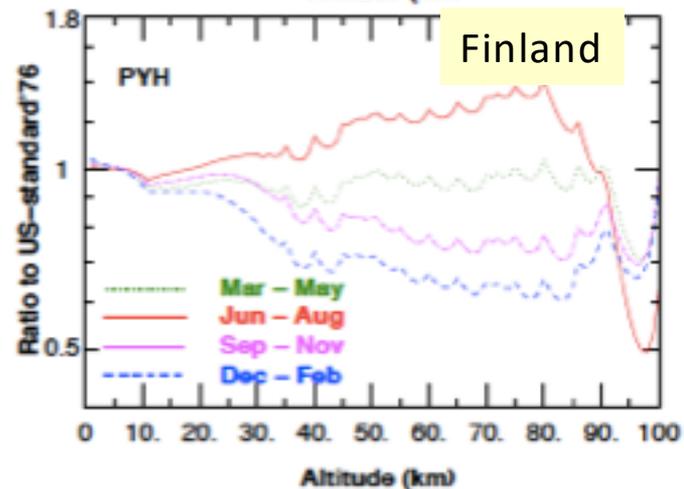
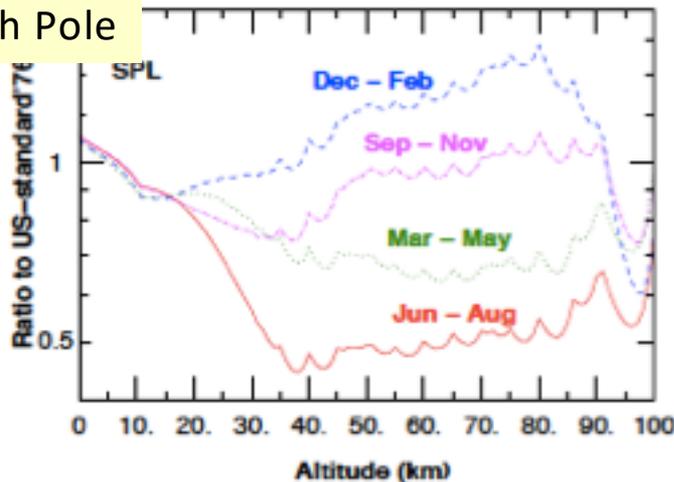
# 'Moving' Target: The Atmosphere

## Air density profile

Air density profile compared to 'reference' constant model  
Changing mostly at pole region

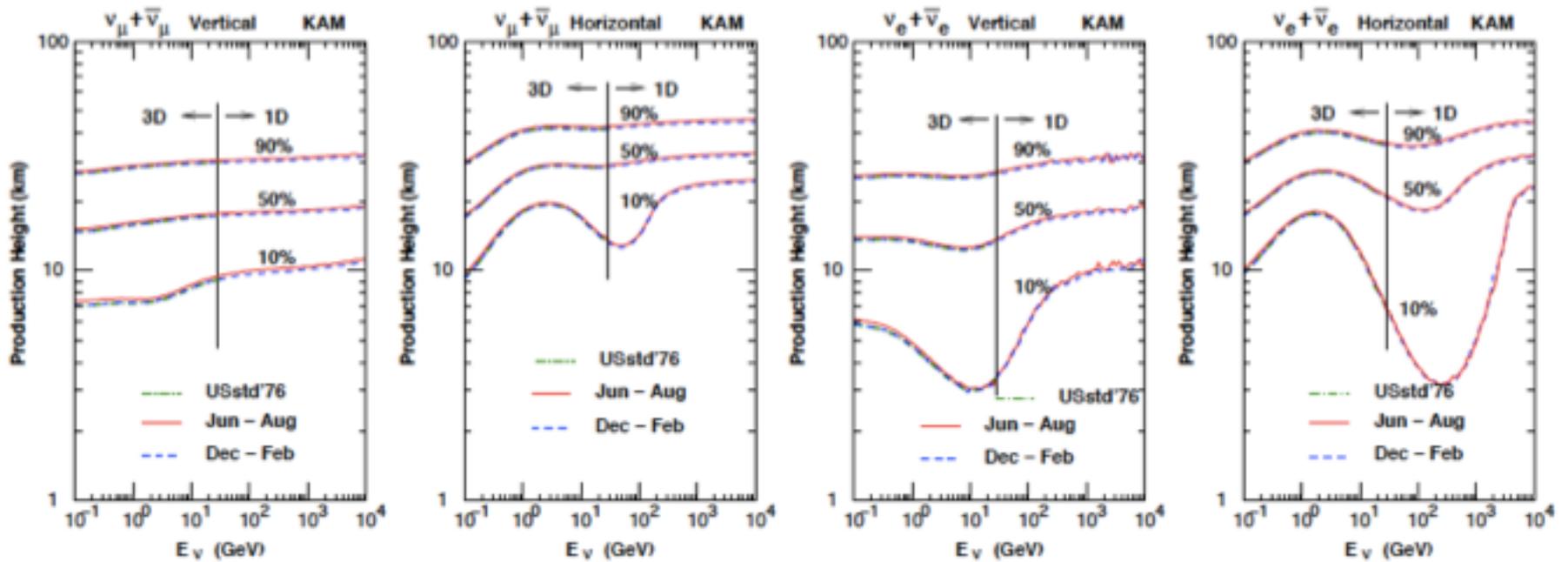


South Pole

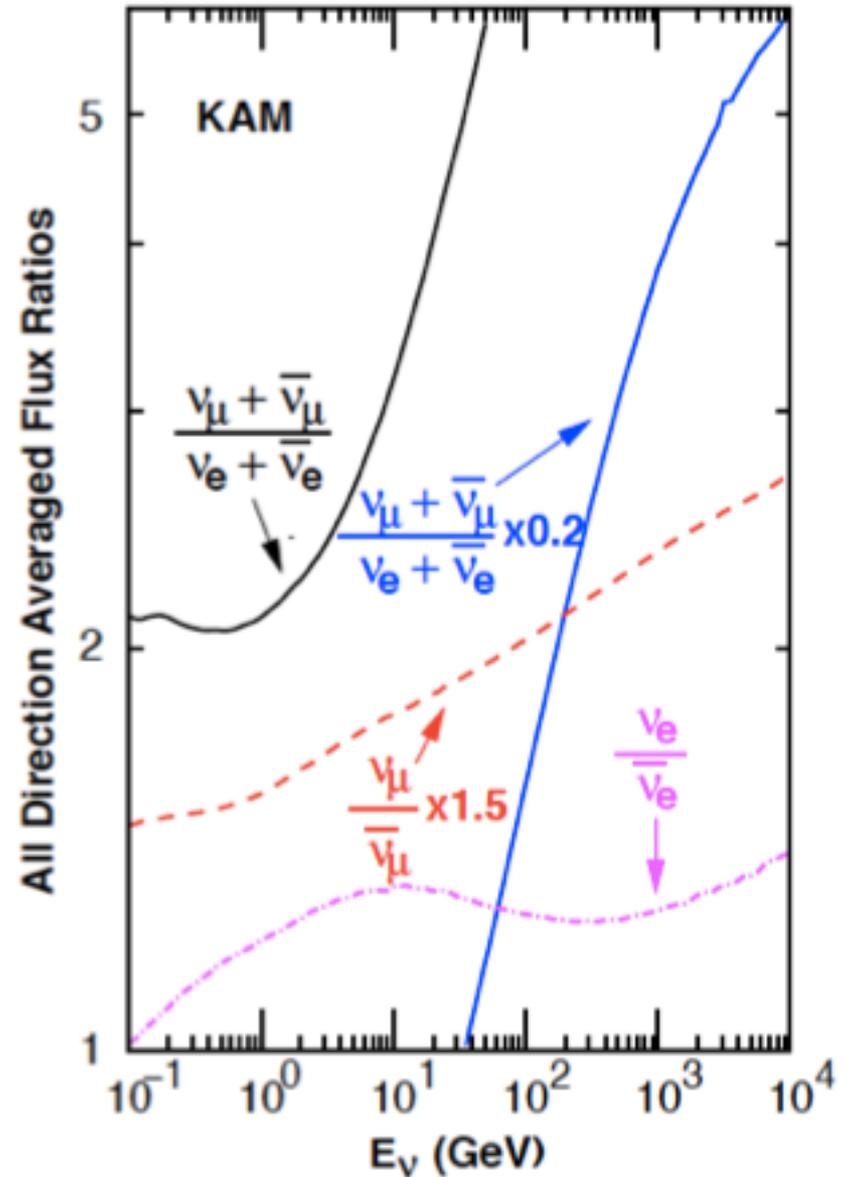
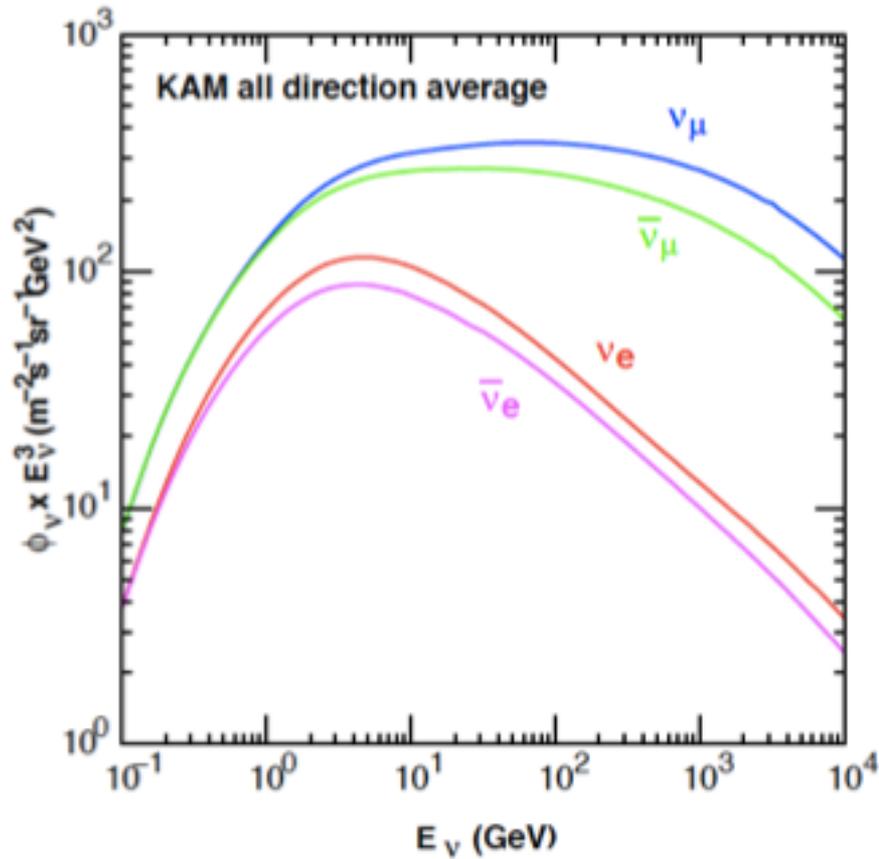


Collisions of primary cosmic rays with atmosphere (N, O, C ...)  
-> pions, kaons, ... -> decay -> neutrinos

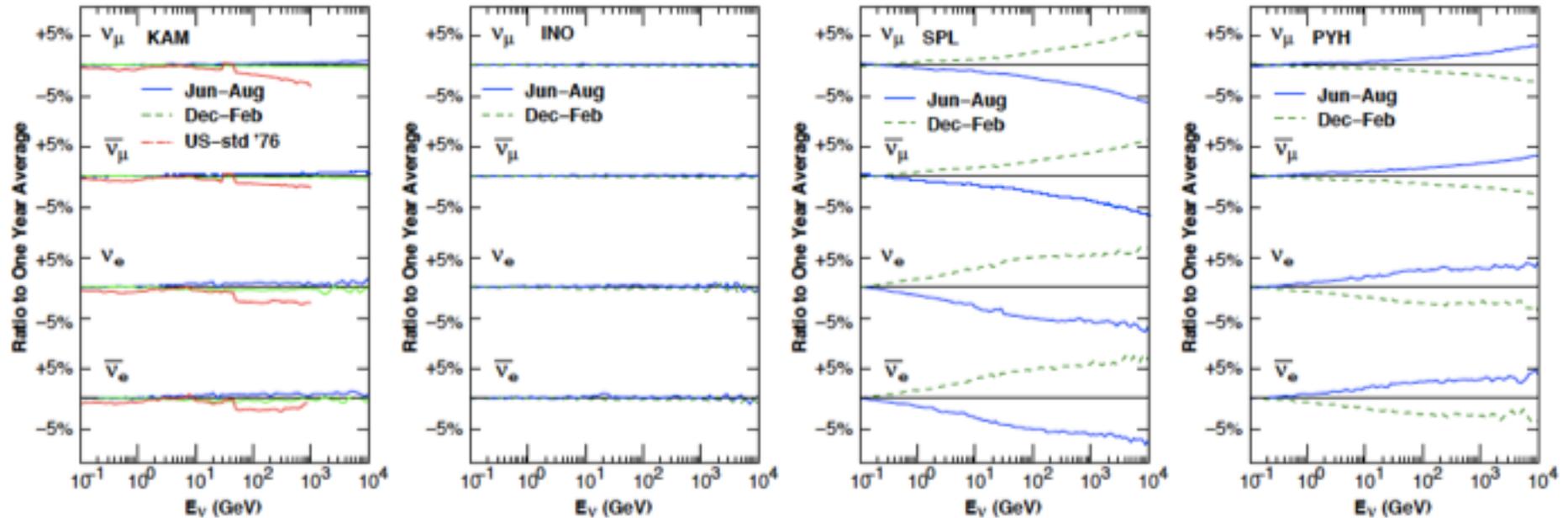
Production height distribution at the site of Superkamiokande



# Flavors and neutrino/antineutrinos



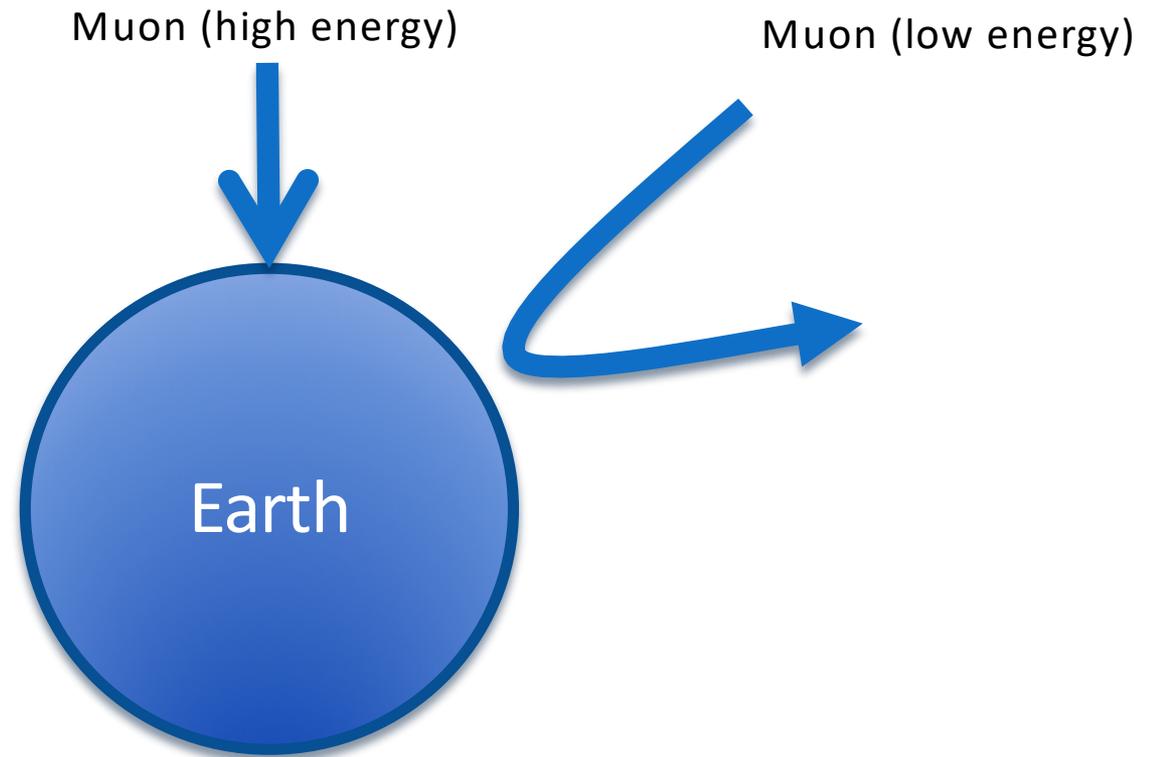
# Seasonal Variations



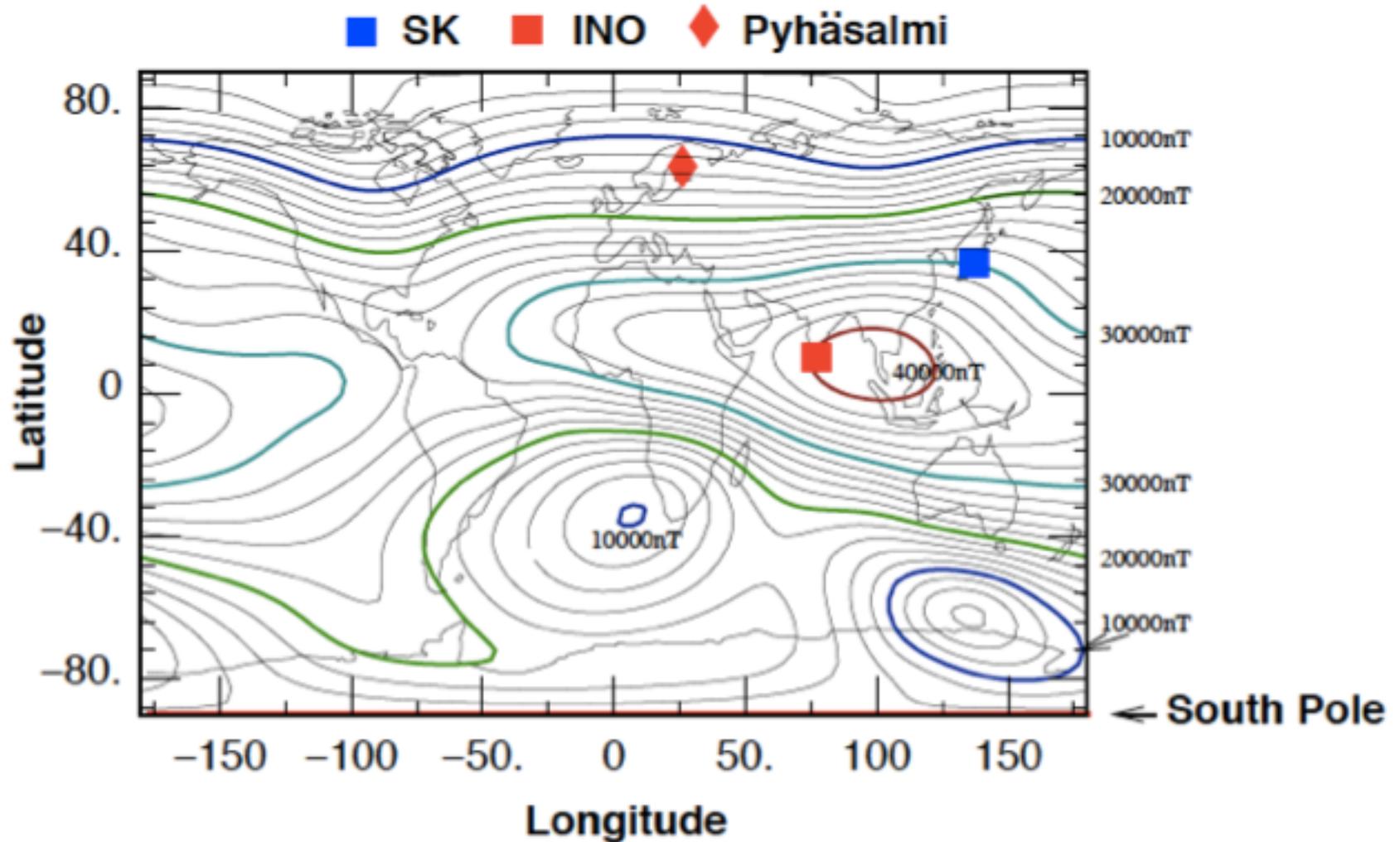
Regions close to poles:

- >100 GeV: Air density at high altitudes higher
  - > shorter interaction length (pions, >100 GeV)
- >10 GeV: Muons created at lower altitudes, hit faster rock
  - > very low energetic neutrinos

# Geomagnetic effect

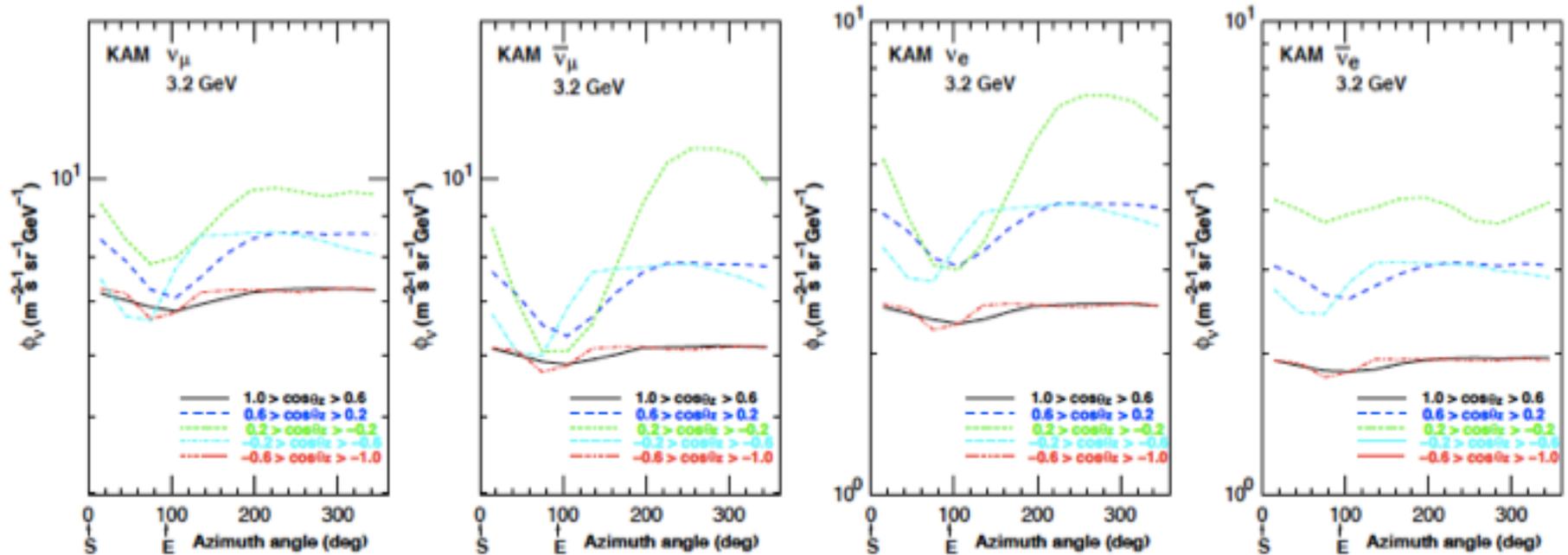
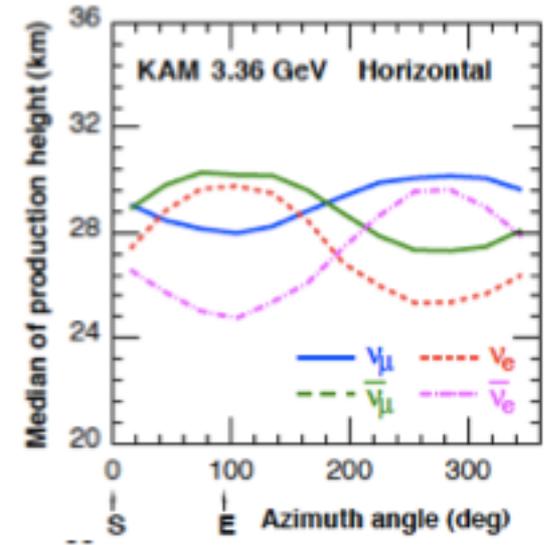


# Geomagnetic effect



# Azimuthal asymmetries

Noticable asymmetries for low energetic neutrinos



# Prompt atmospheric neutrino flux

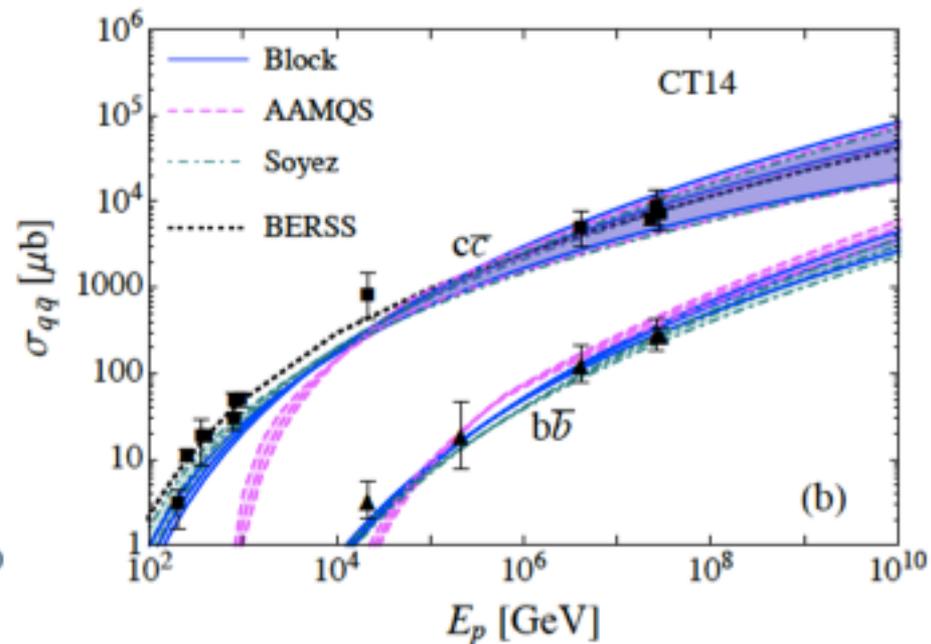
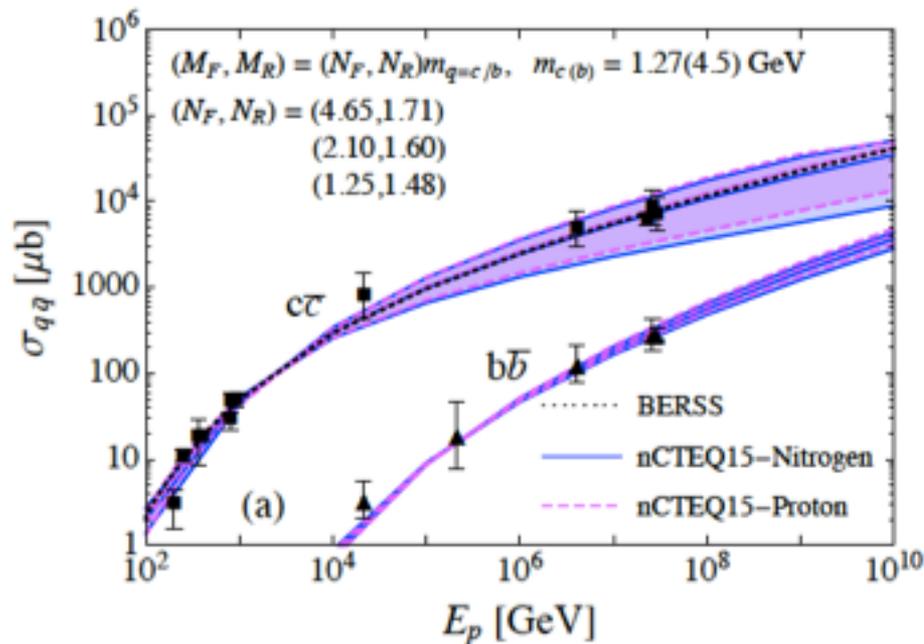
Neutrinos from  $D^\pm$  ( $B^\pm$ ) decays

Fast decay, no energy loss in interactions

-> hard energy spectrum -> background to astrophysical neutrinos

Total cross sections  $c\bar{c}$  and  $b\bar{b}$

Bands indicate modelling uncertainties



Left, right: Different models

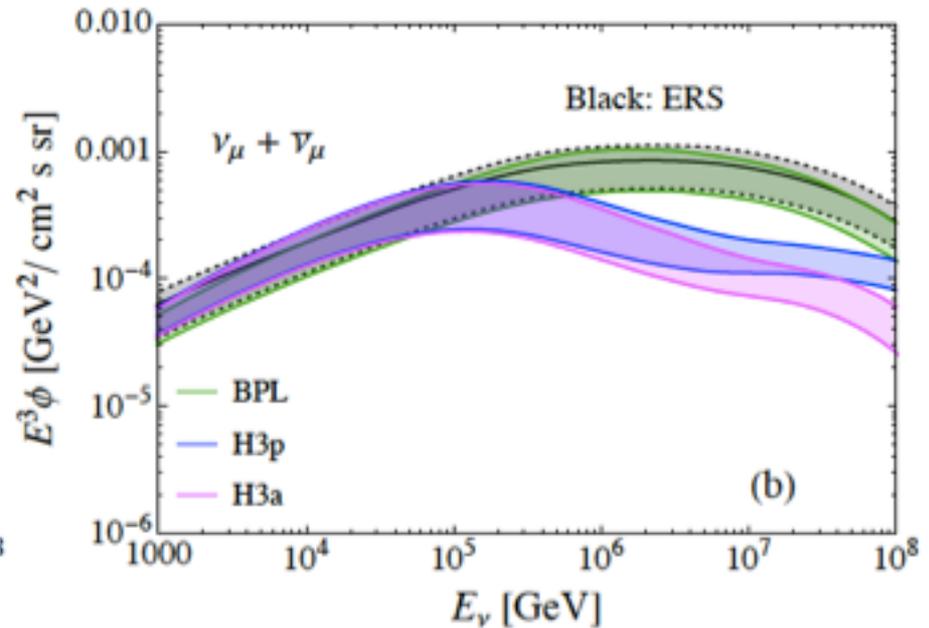
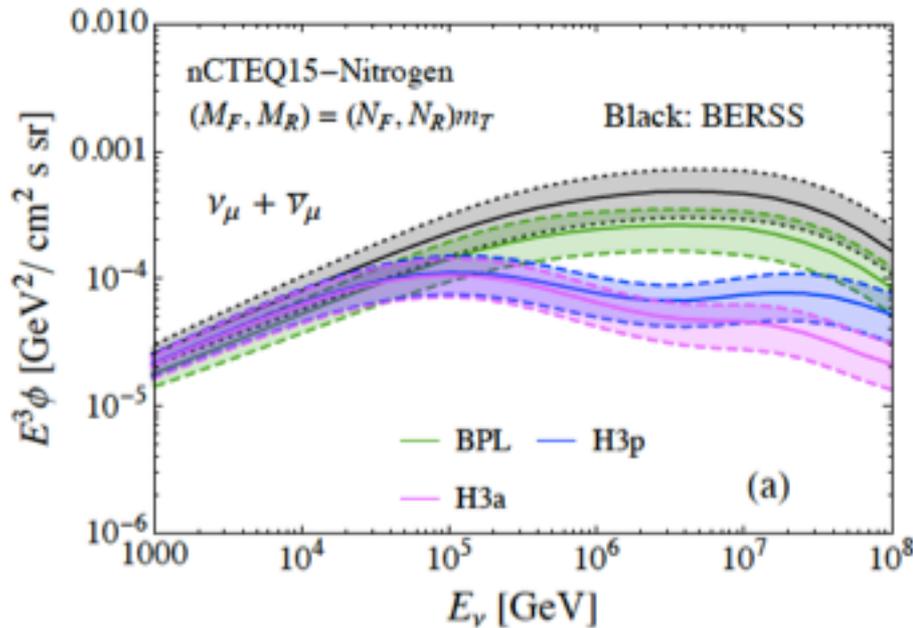
# Prompt atmospheric neutrino flux

Neutrinos from  $D^\pm$  ( $B^\pm$ ) decays

Fast decay, no energy loss in interactions

-> hard energy spectrum, background to astrophysical neutrinos

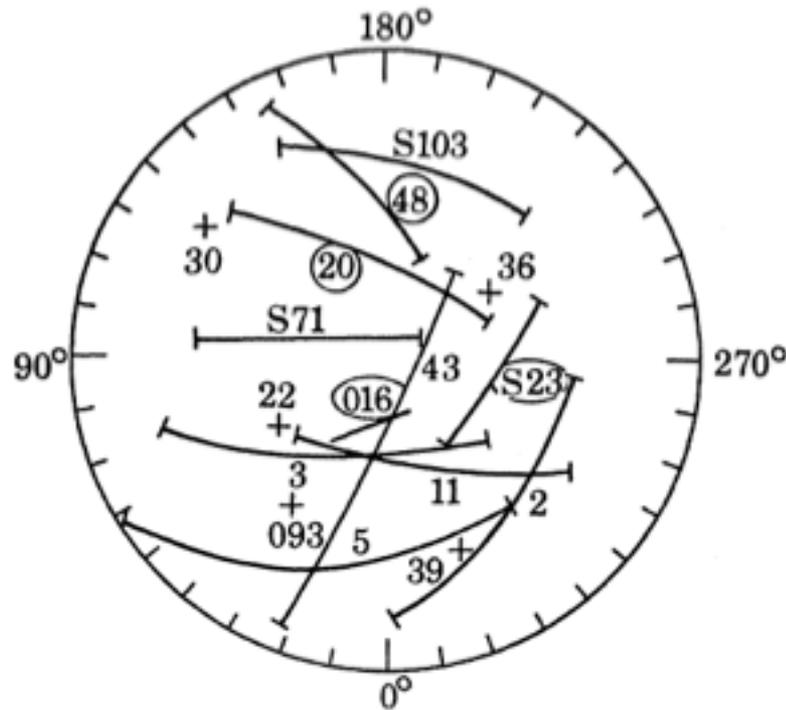
## Energy spectrum



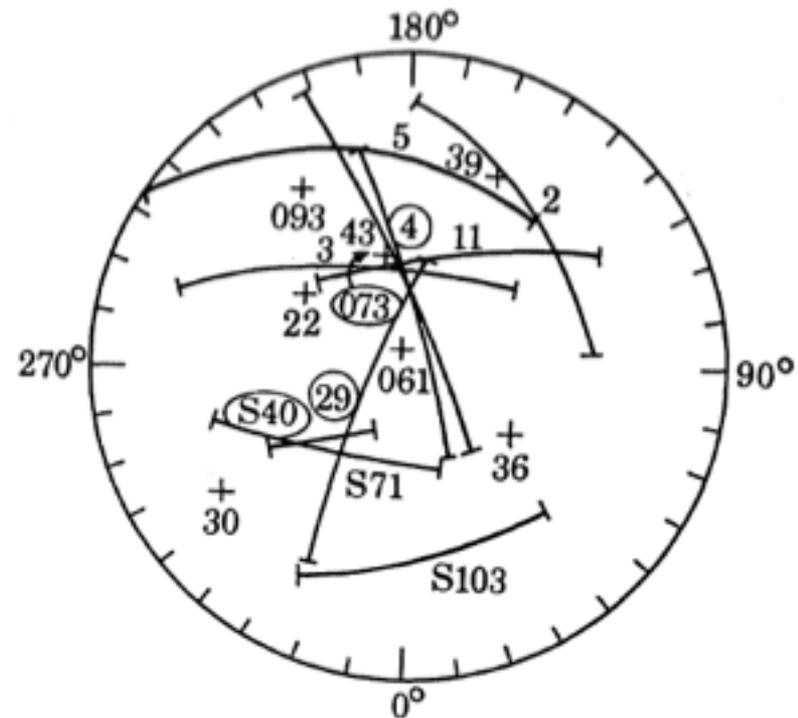
# Neutrino telescopes

# First neutrino sky map (1971)

Kolar Gold Field (India)



(a) Northern Celestial Hemisphere



(b) Southern Celestial Hemisphere

~GeV neutrinos from cosmic ray interactions in the atmosphere

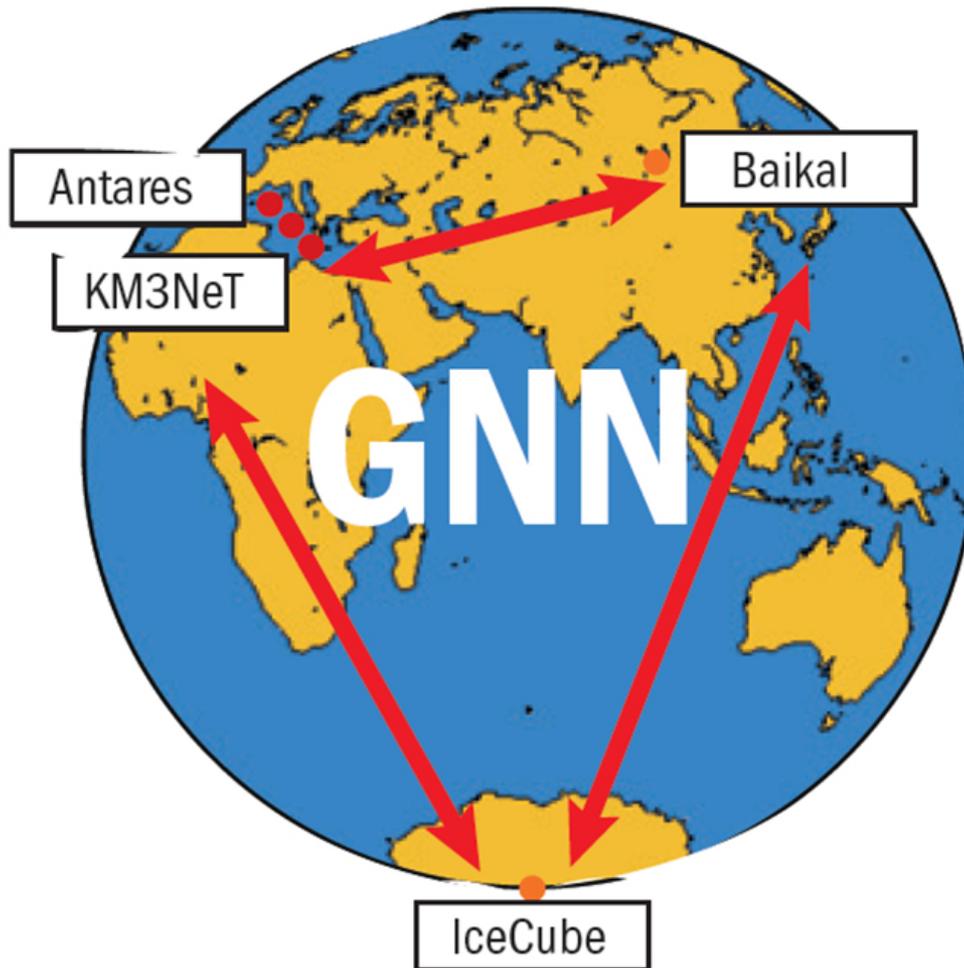
Search for cosmic sources:

Probability of 4 arches crossing:  $10^{-3}$ ,

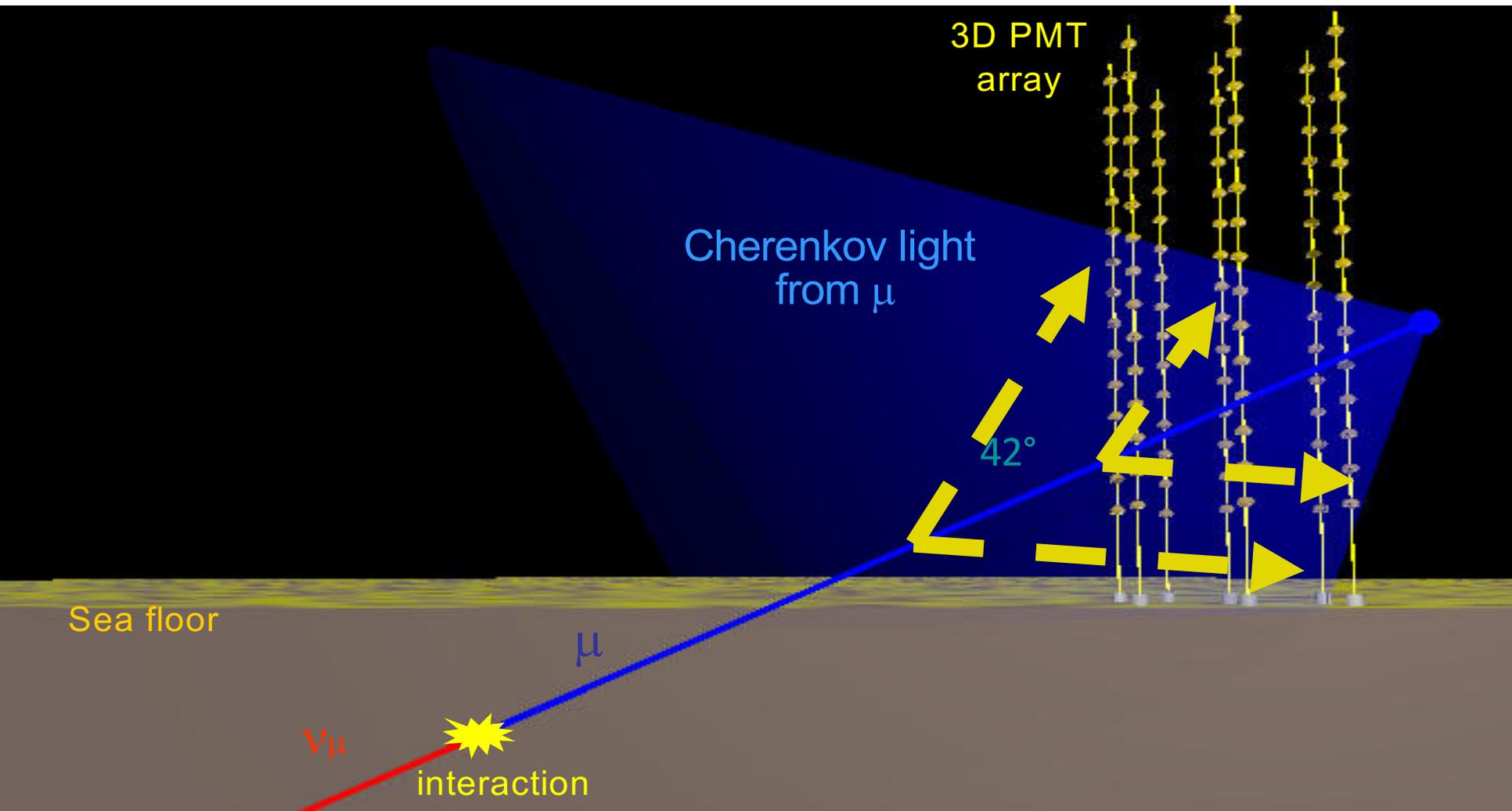
no cosmic ray source (strong radio/pulsar) closeby identified

# GNN

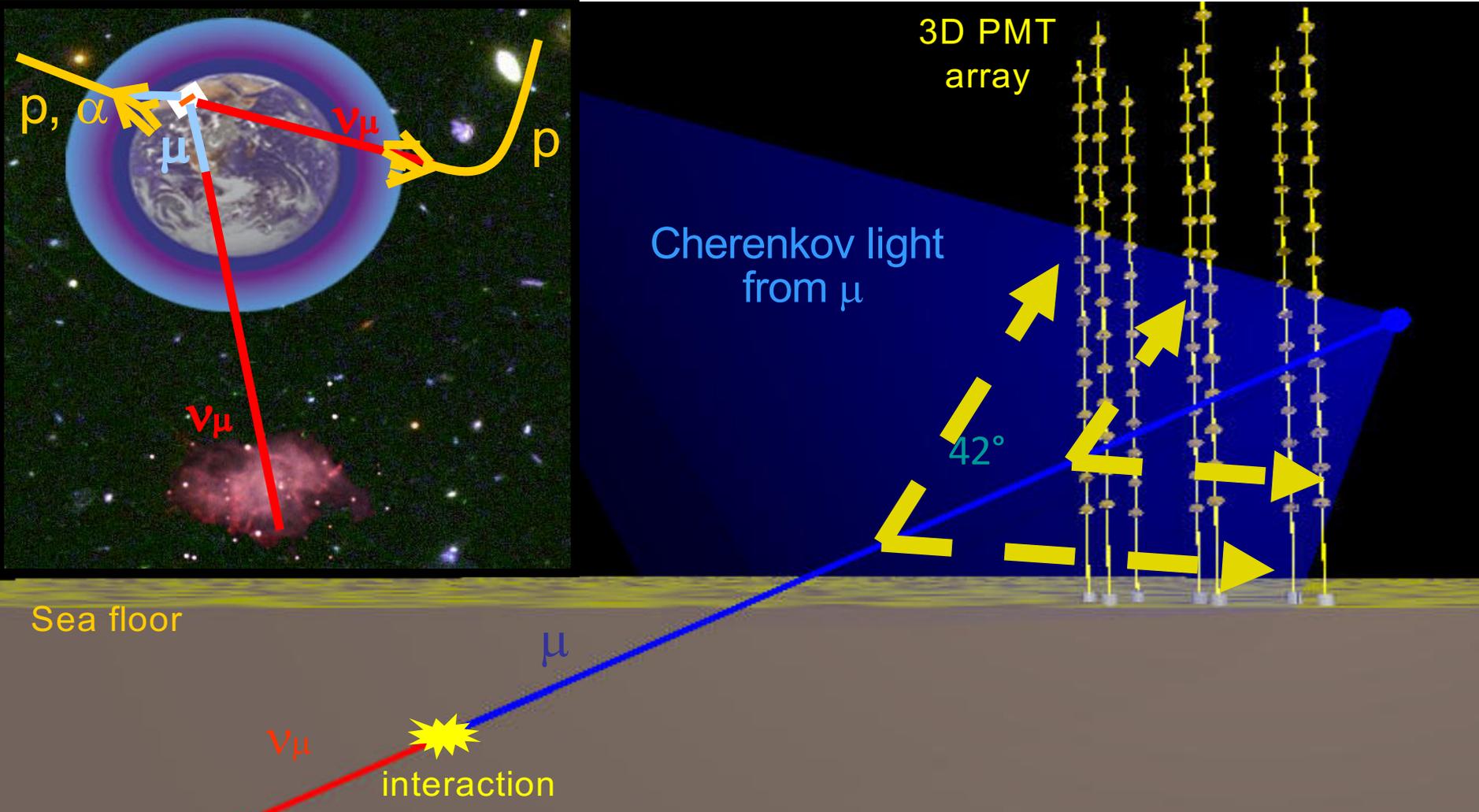
THE GLOBAL NEUTRINO NETWORK



Small interaction probability -> Huge detectors -> Use natural resources (ice, water)



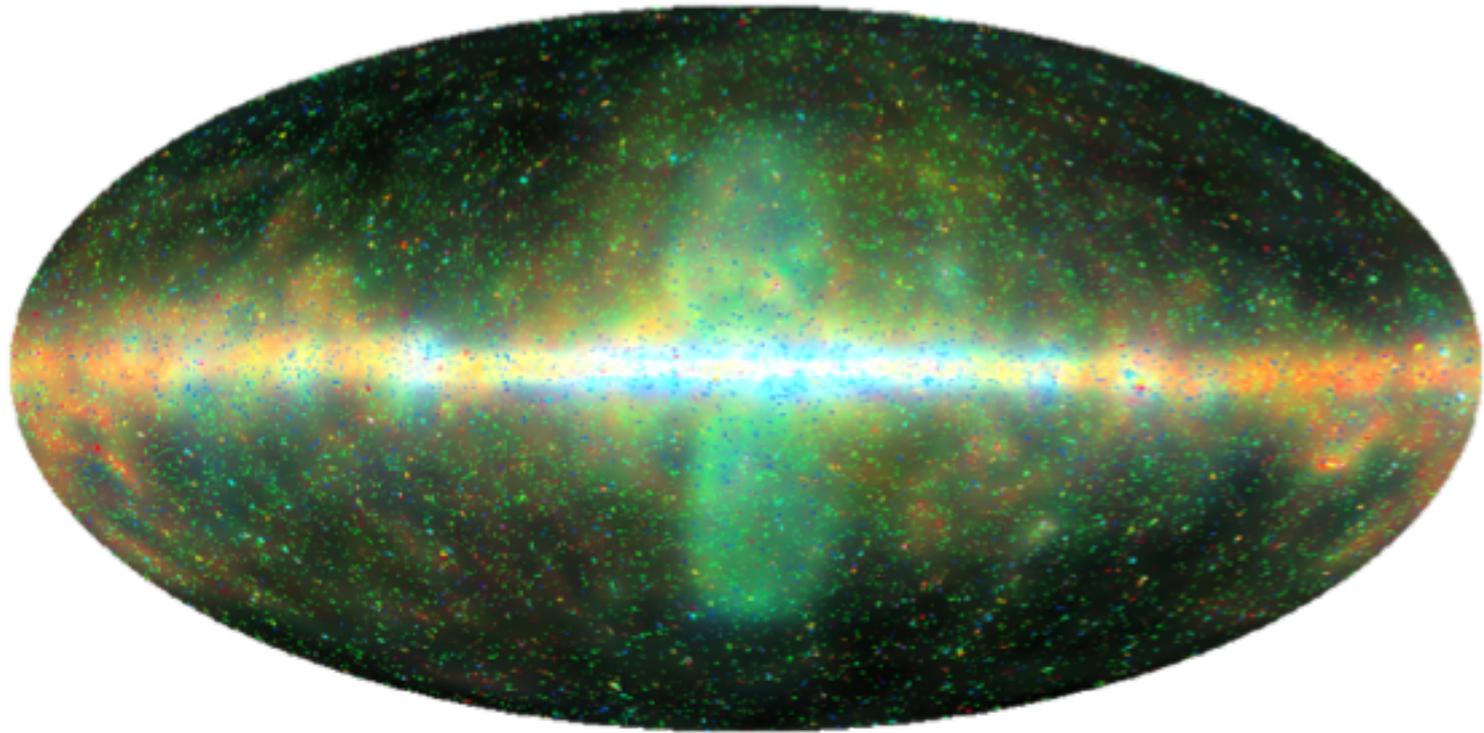
(Muon) Neutrino CC interaction in Earth  
=> Muon passes detector



Cosmic rays interact with atmosphere  
 => Background showers,  
 -> muons passing downwards  
 -> neutrinos from all directions

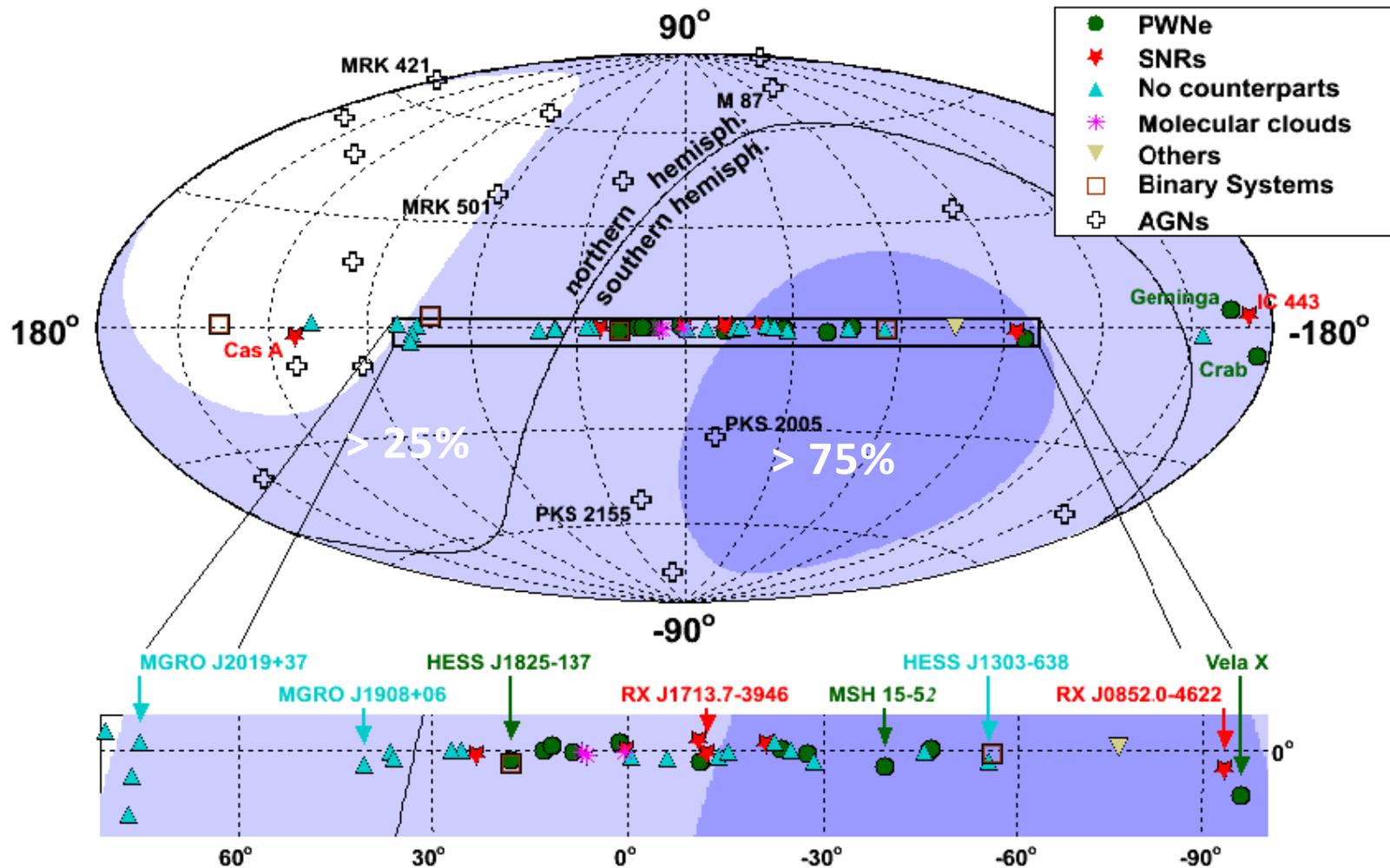
(Muon) Neutrino CC interaction in Earth  
 => Muon passes detector

# The sky seen in gamma rays (galactic coordinates)



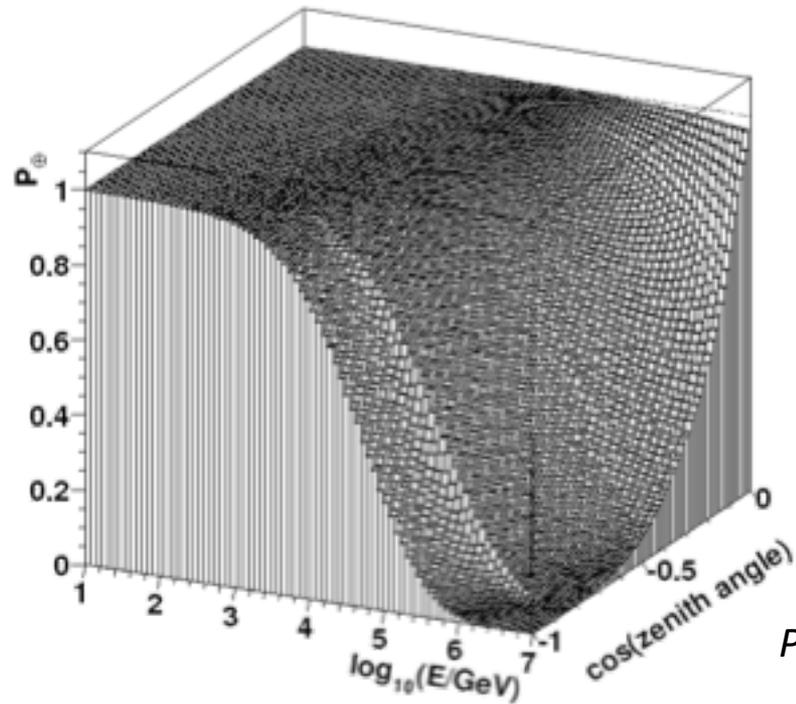
*Rolf Bühler, ICRC 2015, Den Haag*

# Field of view for medium latitude site (ANTARES)



# Absorption in the Earth

Probability to transverse the Earth as function of energy and zenith



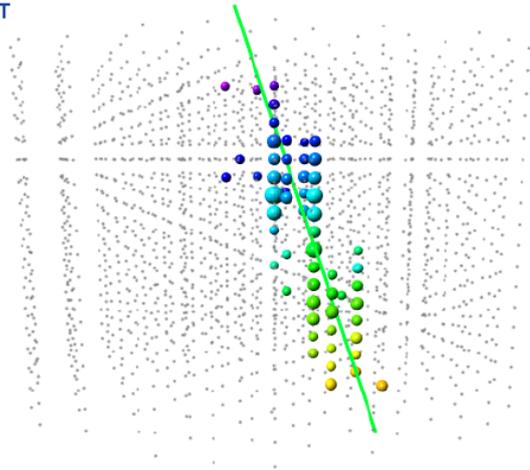
*PhD thesis, Heijboer*

Cross section rises with energy -> Earth becomes opaque for high energy neutrinos

Exception: Tau neutrinos (regeneration:  $\tau^- \rightarrow \mu^- + \nu_{\mu} + \nu_{\tau}$ )

# Neutrino interaction signatures

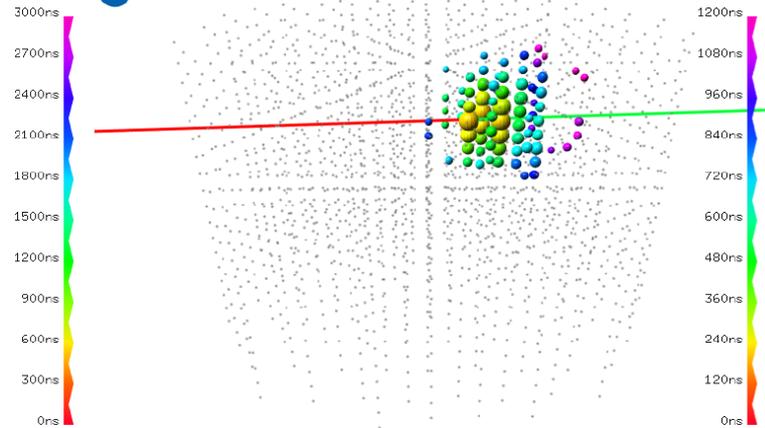
KM3NeT



## Track

-> muon ( $\nu_\mu$  interaction)

KM3NeT

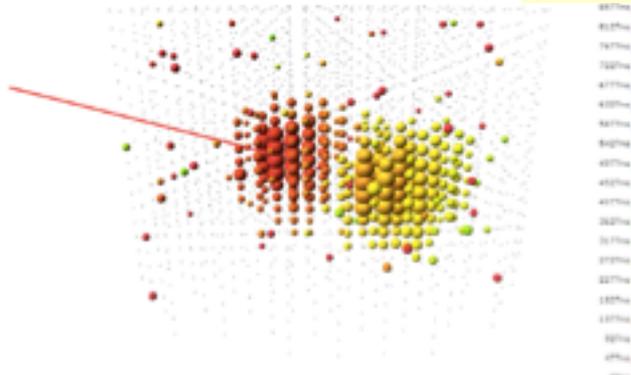


## Shower

-> electron (CC  $\nu_e$  interaction)

-> all flavors (NC  $\nu_e / \nu_\mu / \nu_\tau$  interactions)

KM3NeT

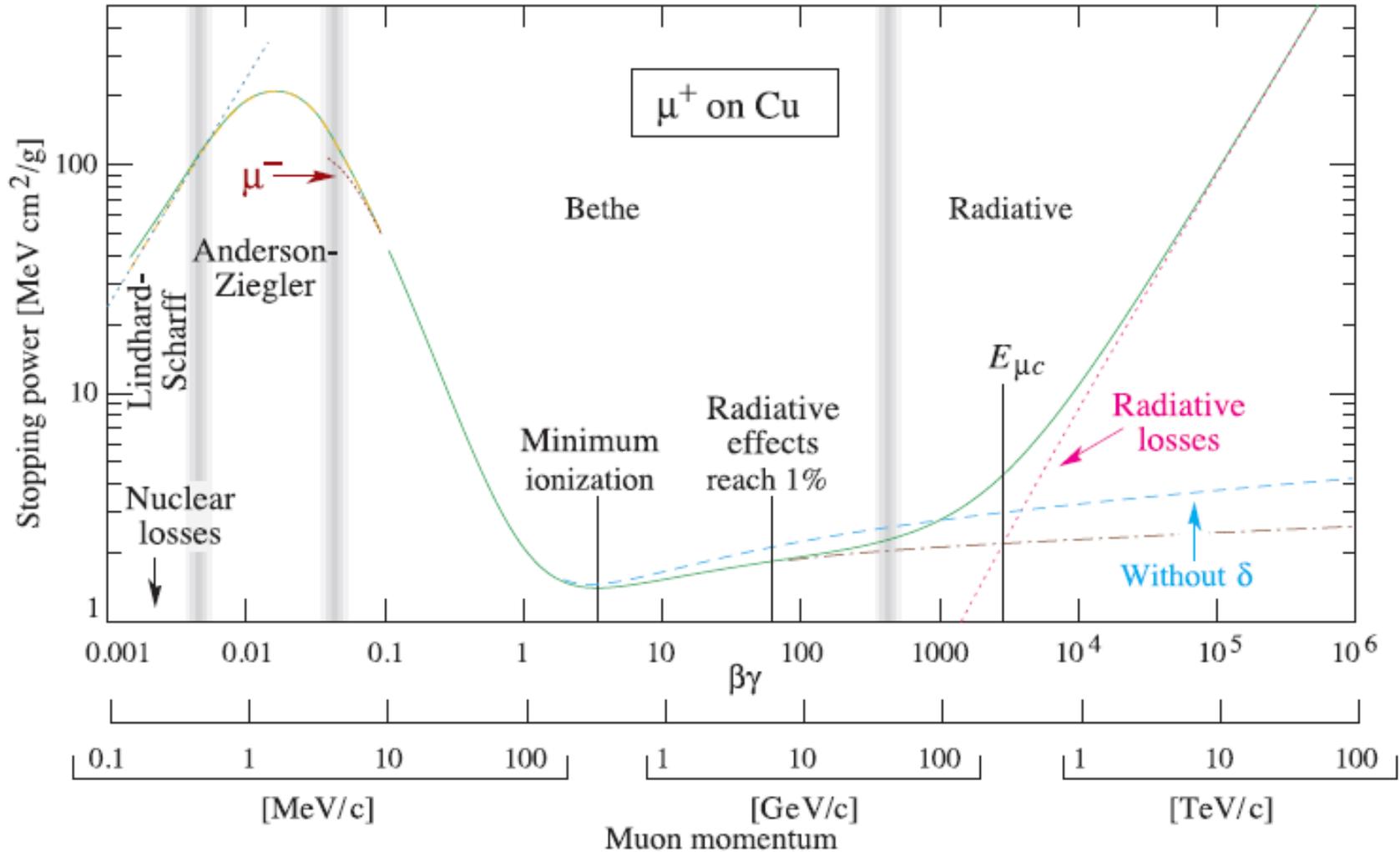


## 'Double Bang'

-> from high energetic tau ( $\nu_\tau$  interaction)

'long'  $\tau$  lifetime ( $10^{-13}$ s)-> 50m/PeV (visible for very high energies)

# Energy loss of muons

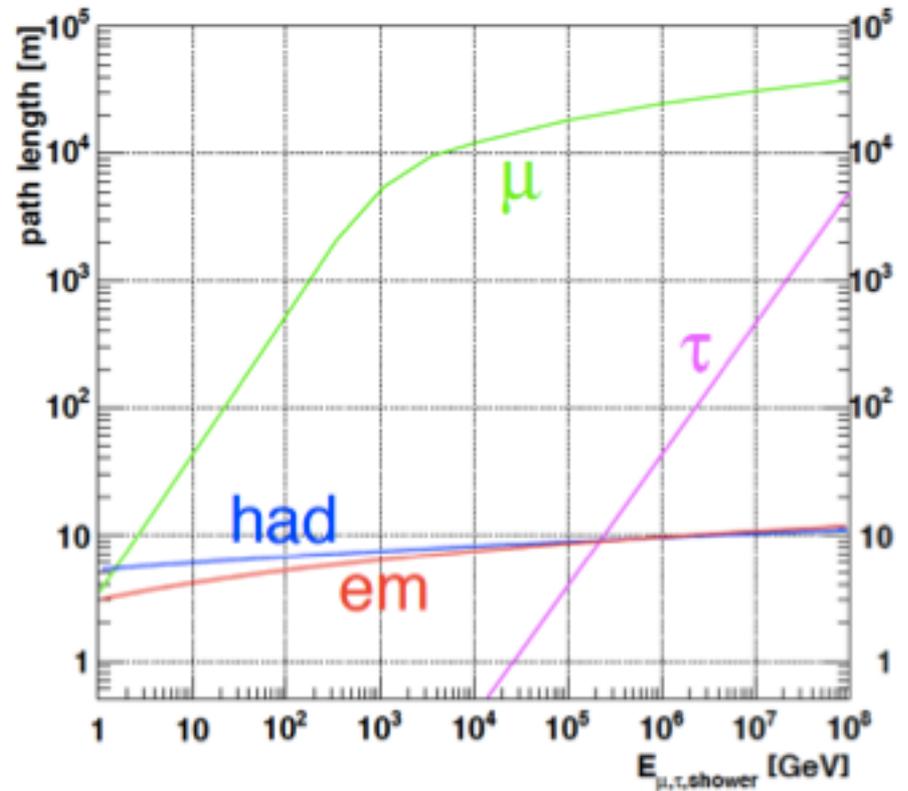


# Range of $\mu, \tau$ and showers in water

Energy loss  $dE/dx \sim a + b \cdot E$

-> a, b slightly dependent on energy  
a  $\sim 2$  MeV/cm

-> TeV muons travel several kilometers  
in water



Chiarusi, Spurio, 2009

# Cherenkov Light

$$\frac{dN_\gamma}{dx d\lambda} = \frac{2\pi\alpha}{\lambda^2} \left(1 - \frac{1}{\beta^2 n^2}\right)$$

⇒  $3.5 * 10^4$  photons emitted between 300-600nm  
per meter of a muon track

⇒ Transparency of detector housing relevant

# Photomultipliers

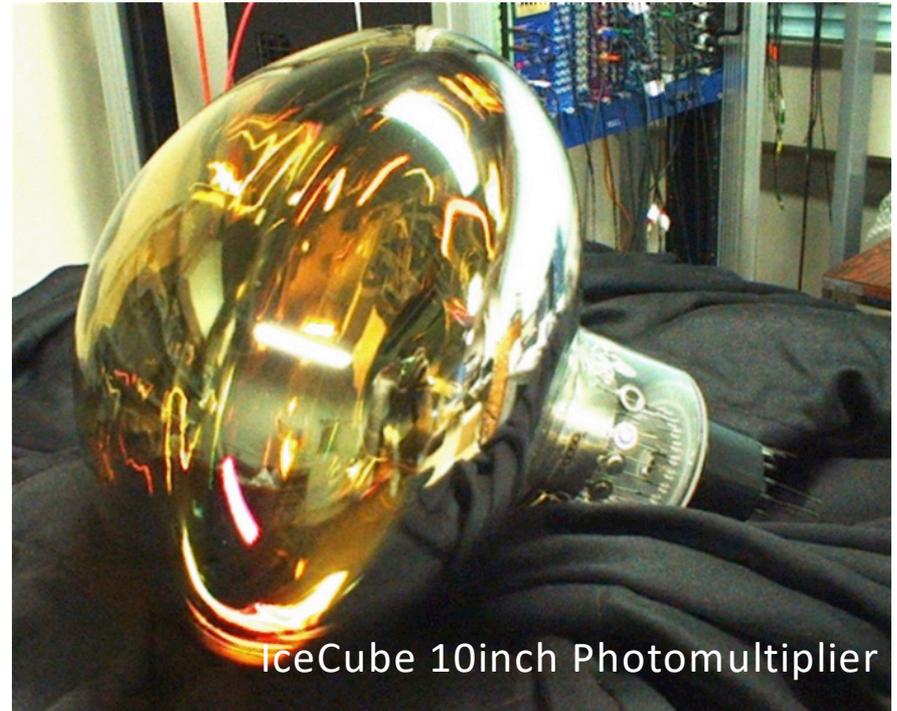
## Relevant characteristics:

- Quantum Efficiency
- Dark Count
- Time spread

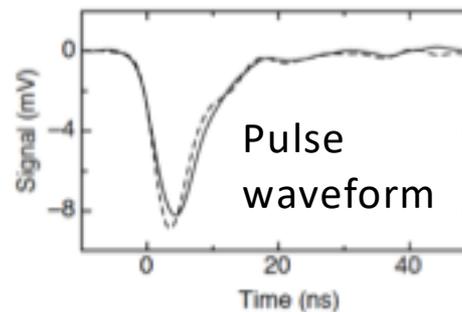
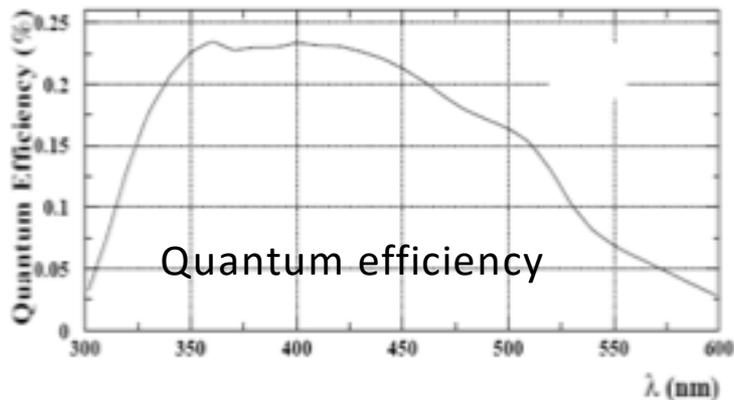
Glass sphere surrounds PMTs as pressure housing

Large PMTs require also shielding from Earth magnetic field

-> mu metal grid

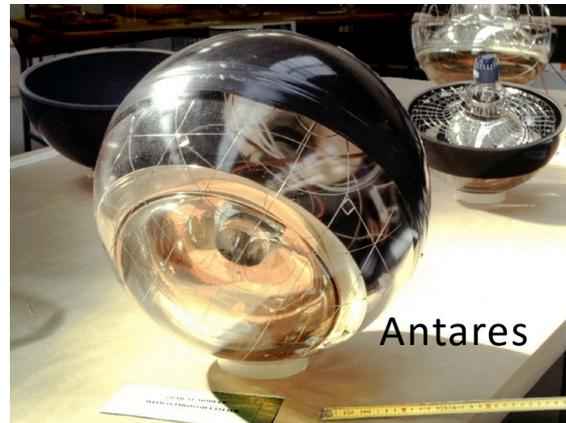


IceCube 10inch Photomultiplier



Typical gain  $10^7$   
Quantum efficiency  $\sim 25\%$   
Noise rate  $\sim 500\text{Hz}$   
 $\sim 2\text{ns}$  time precision

# Optical Modules



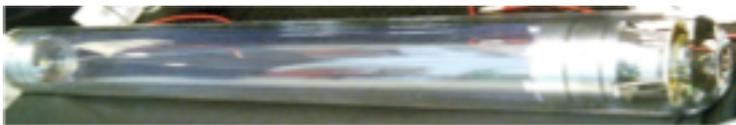
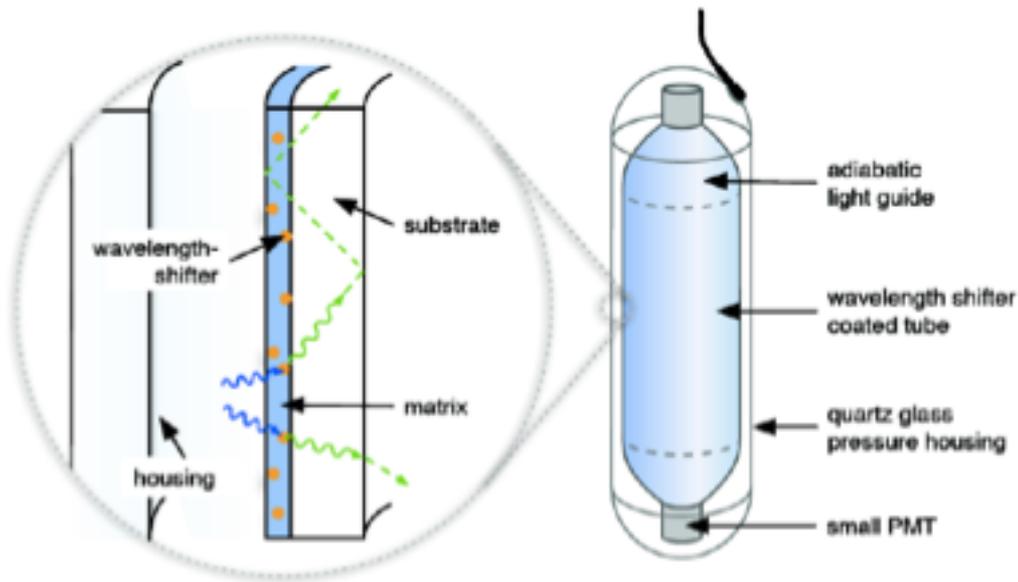
Small PMTs:

-> Photon counting

-> Directional resolution

# Further developments

## Wavelength-shifting module



Higher efficiency, lower noise

## D-Egg module



Smaller radius  
Upwards sensitivity  
Glass with improved UV transparency

# Baikal: NT200(+) -----> Gigaton Volume Detector (GVD)

Lake with 1.3km depth

**1981:** Start of first underwater neutrino telescope in the Baikal Lake (1 string)

**Since 1998** NT200 (8 strings)

**2005:** +3 strings (NT200+)

**Since 2011:** Upgrade to GVD

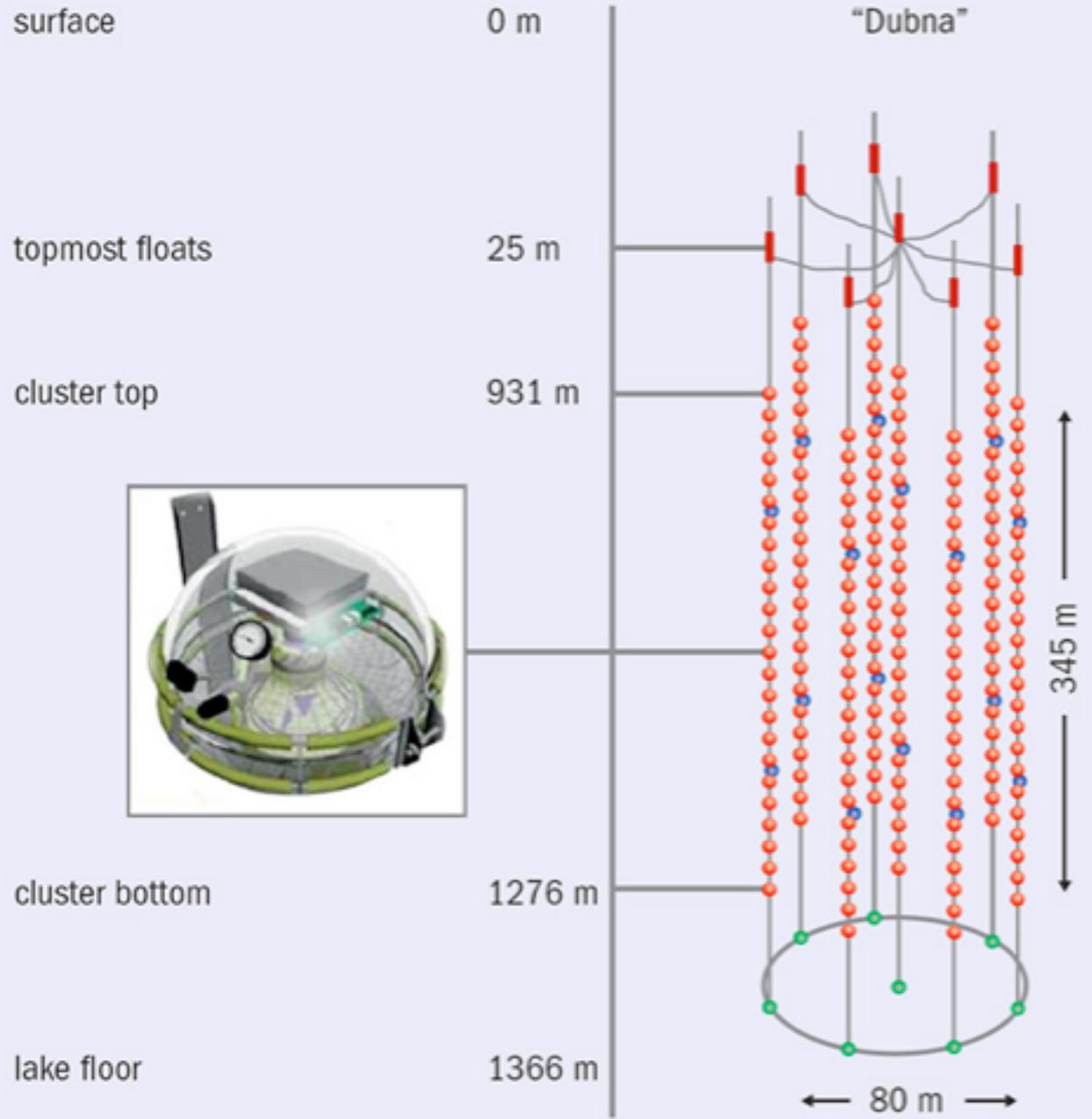


*Picture: Cern Courier 2005*

Deployment of  
first cluster of the  
Baikal Gigaton  
Volume Detector  
(GVD)

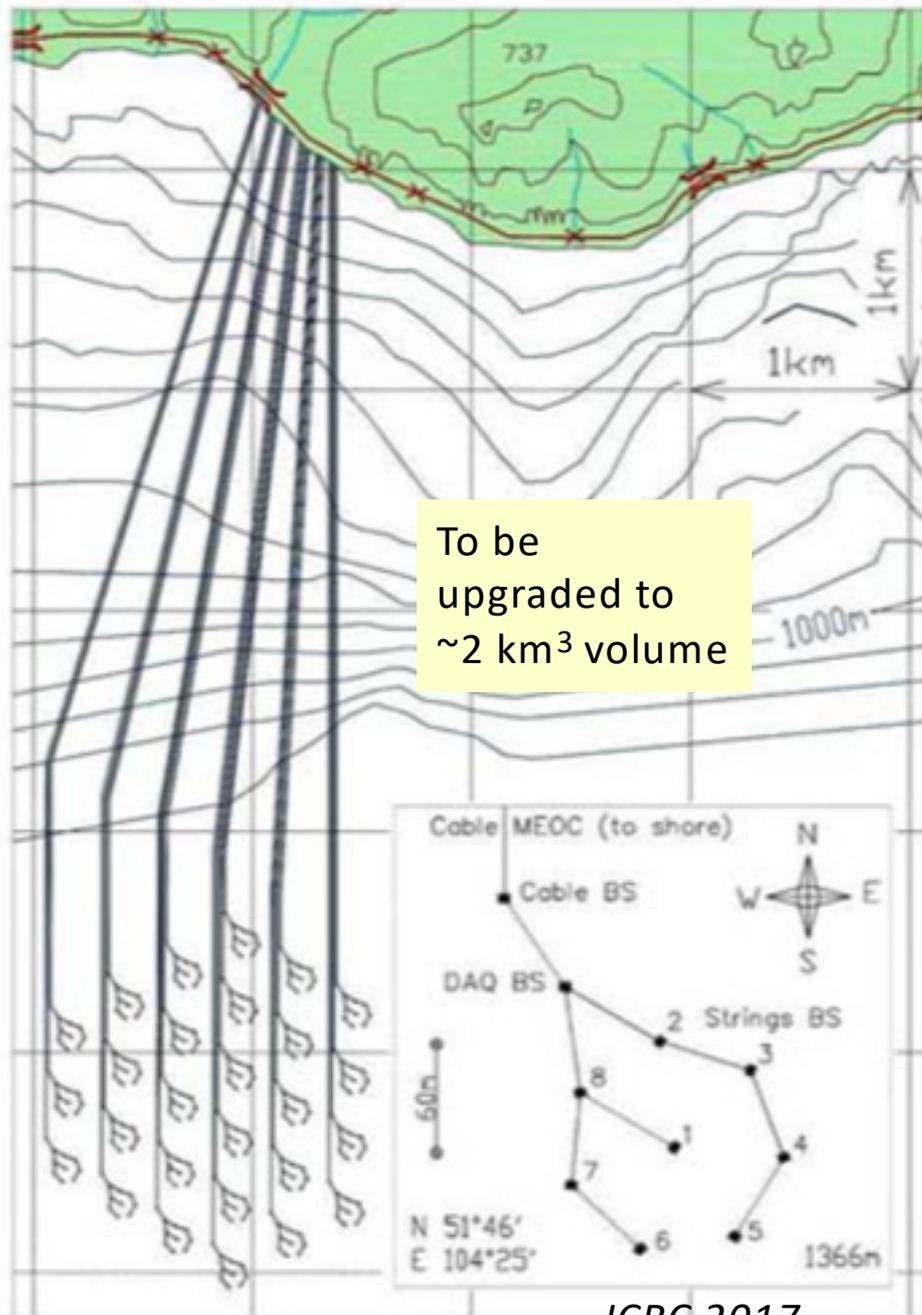


- First of 8 clusters
- in total 0.4 km<sup>3</sup> volume
- to be deployed til 2020

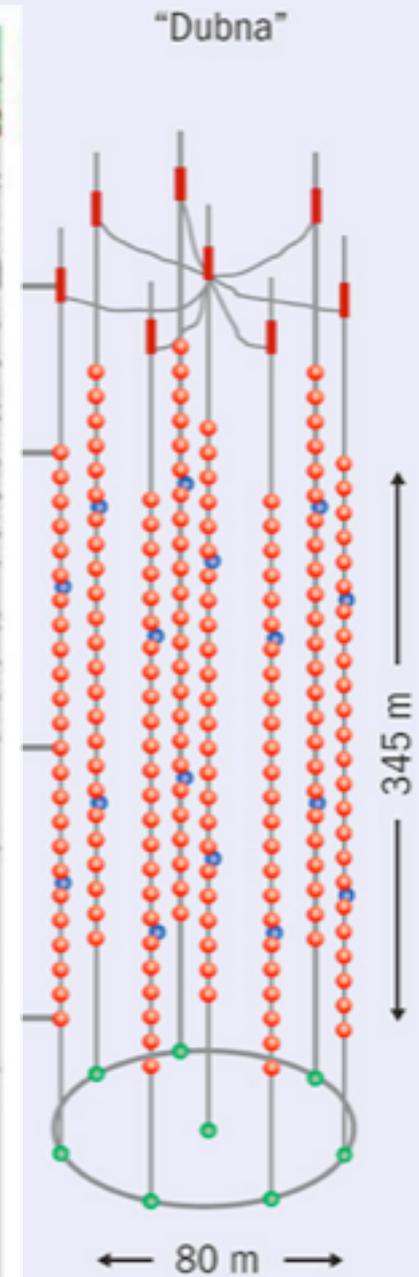


First cluster of Baikal GVD

- First of 8 clusters
- in total 0.4 km<sup>3</sup> volume
- to be deployed til 2020



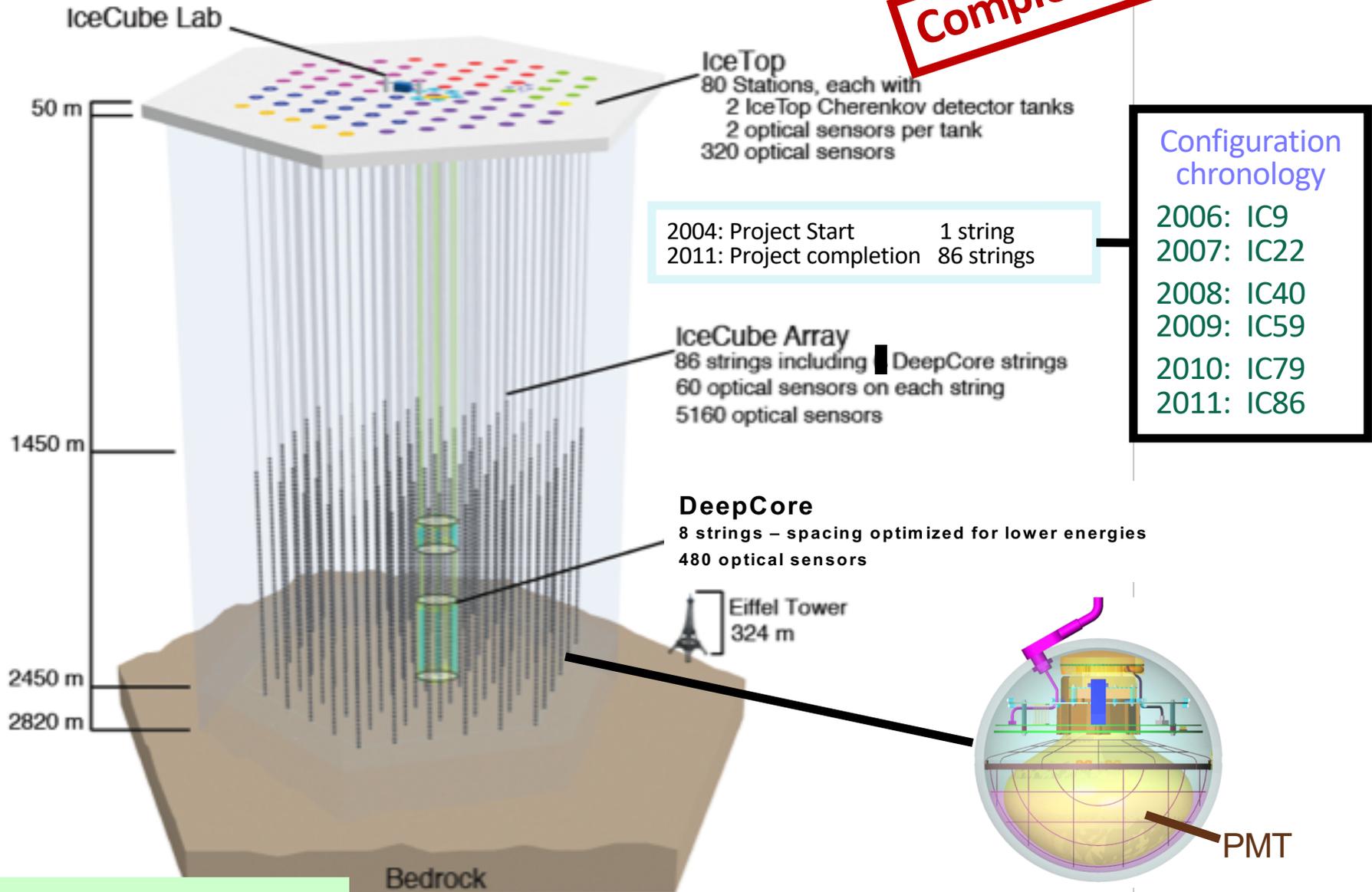
ICRC 2017

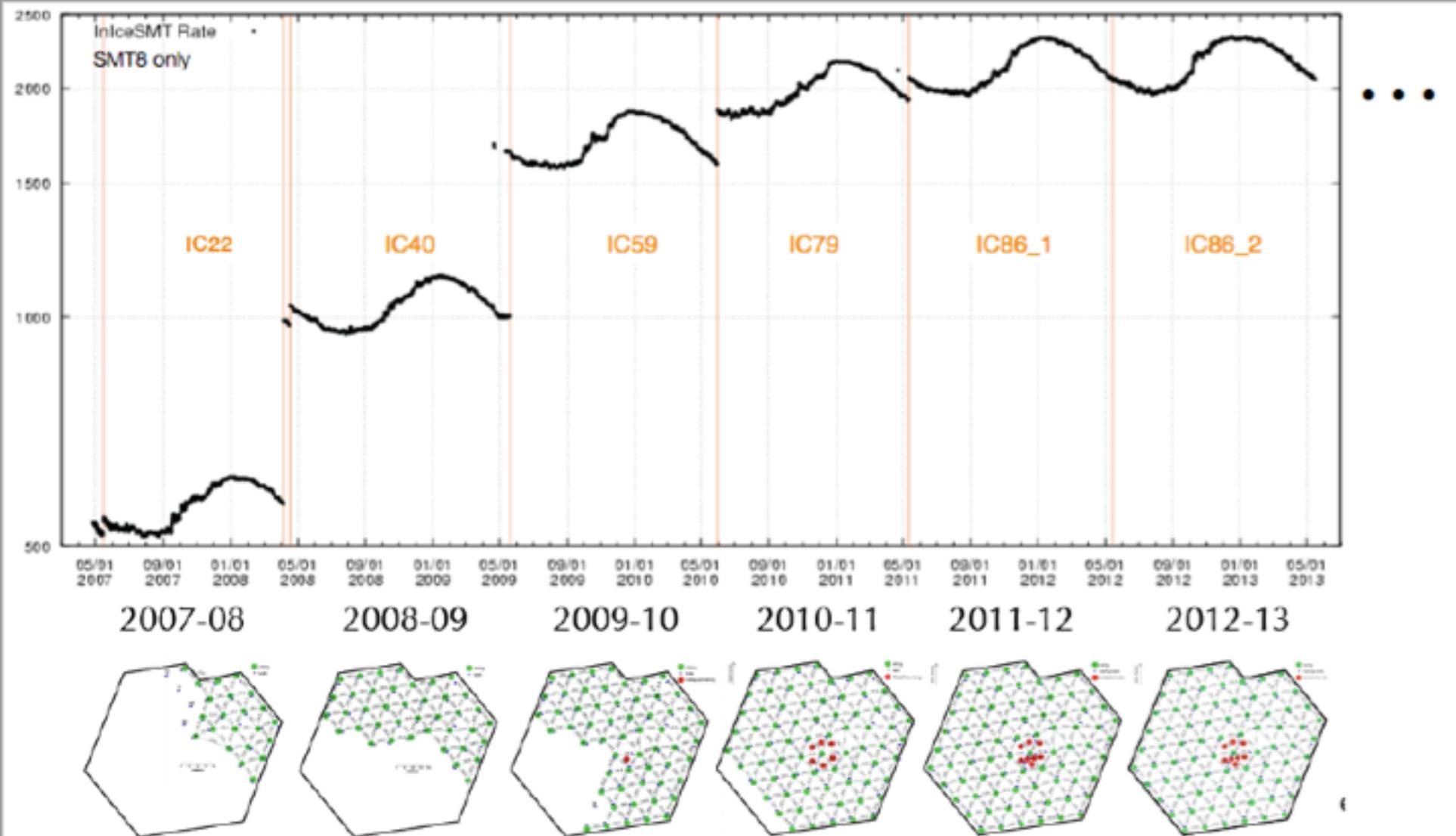


2015

# The IceCube Neutrino Observatory

**Completed: Dec 2010**





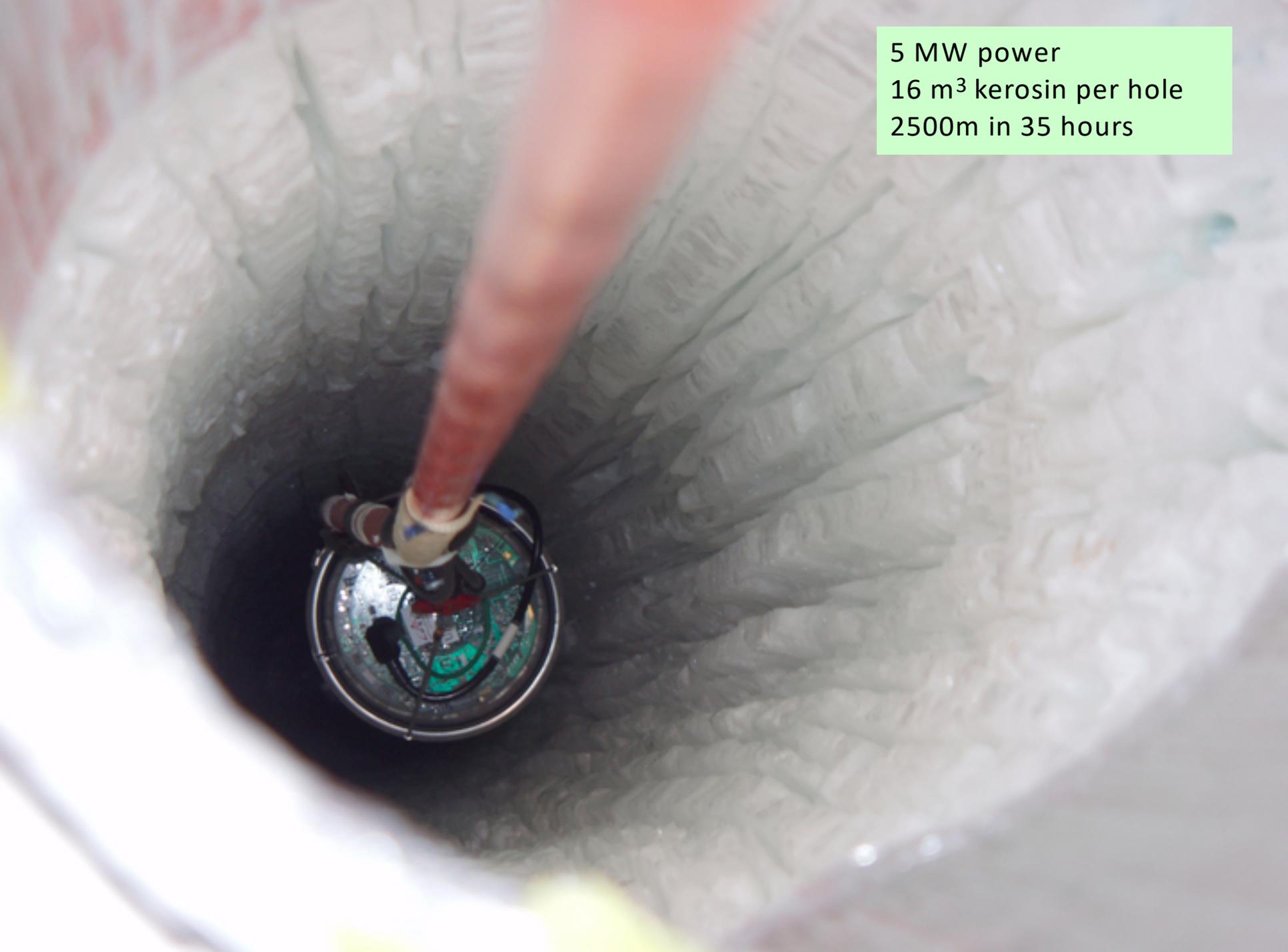
## IceCube Operations

Full detector in operation since 2011 (now in IC86-V)  
 Stable behavior except for seasonal variations,  
 equilibration of DOM noise levels after installation



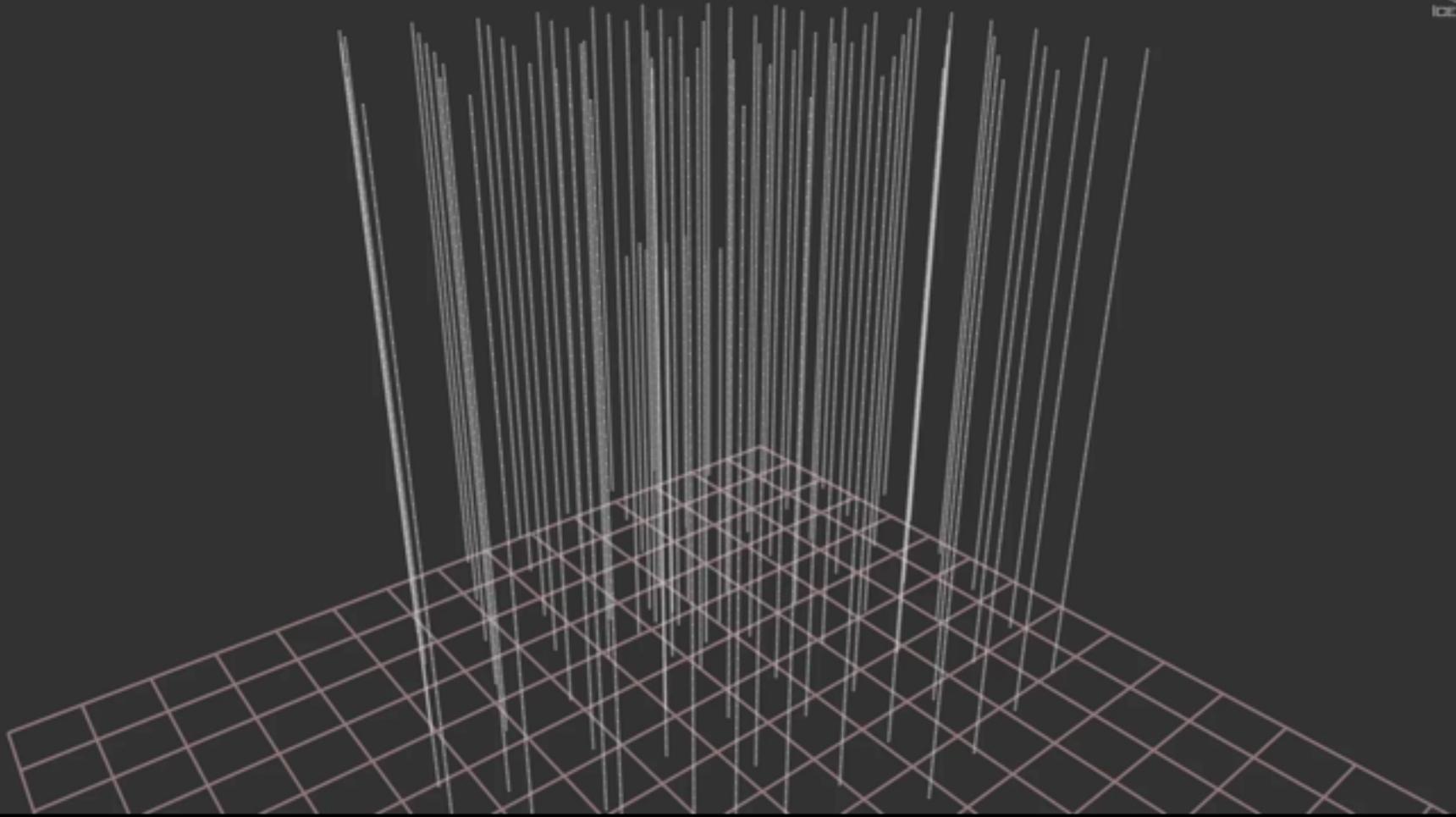


5 MW power  
16 m<sup>3</sup> kerosin per hole  
2500m in 35 hours



# 1.14 PeV shower ('Ernie')

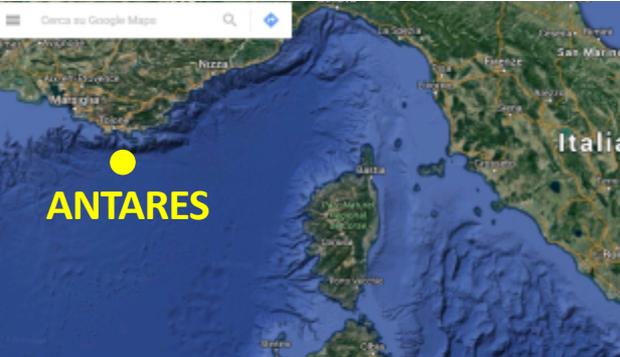
Tue, 09 Aug 2011  
t = 9700 ns



# One of the extraterrestrial neutrino events in IceCube - moved to Paris

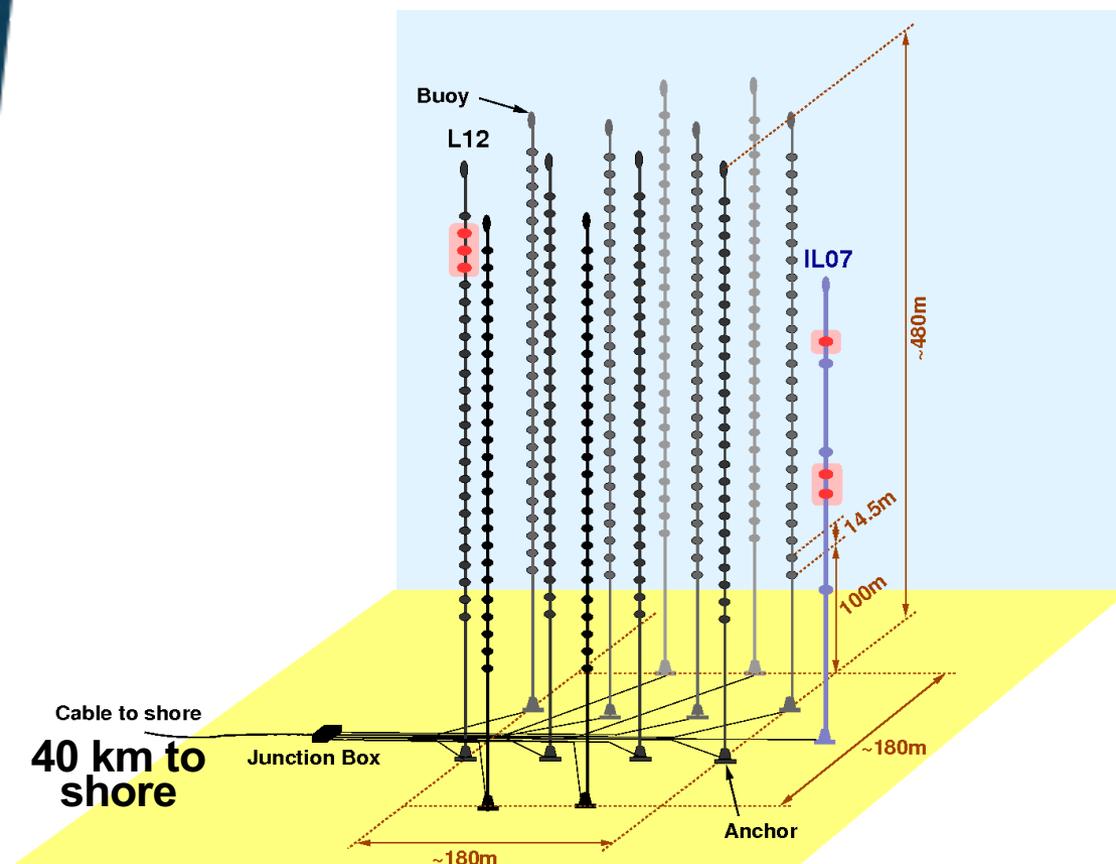
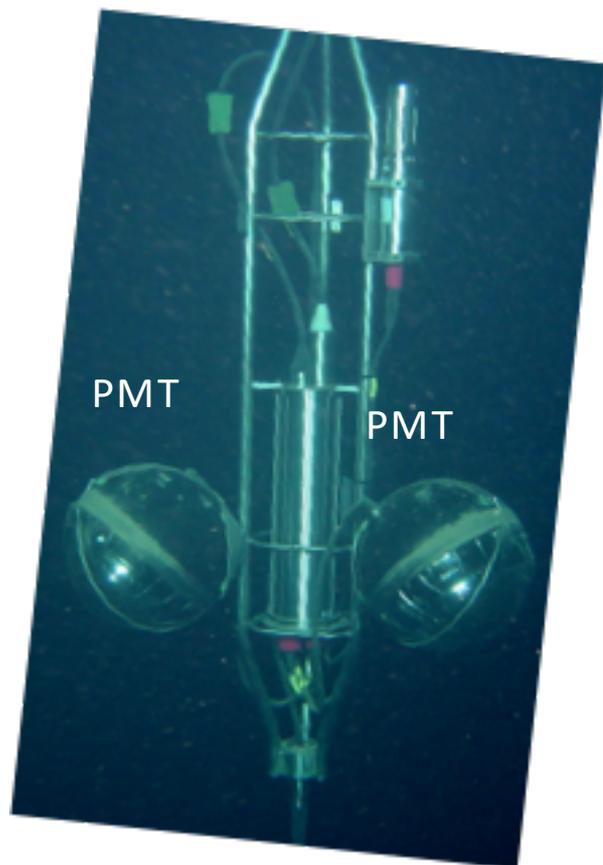


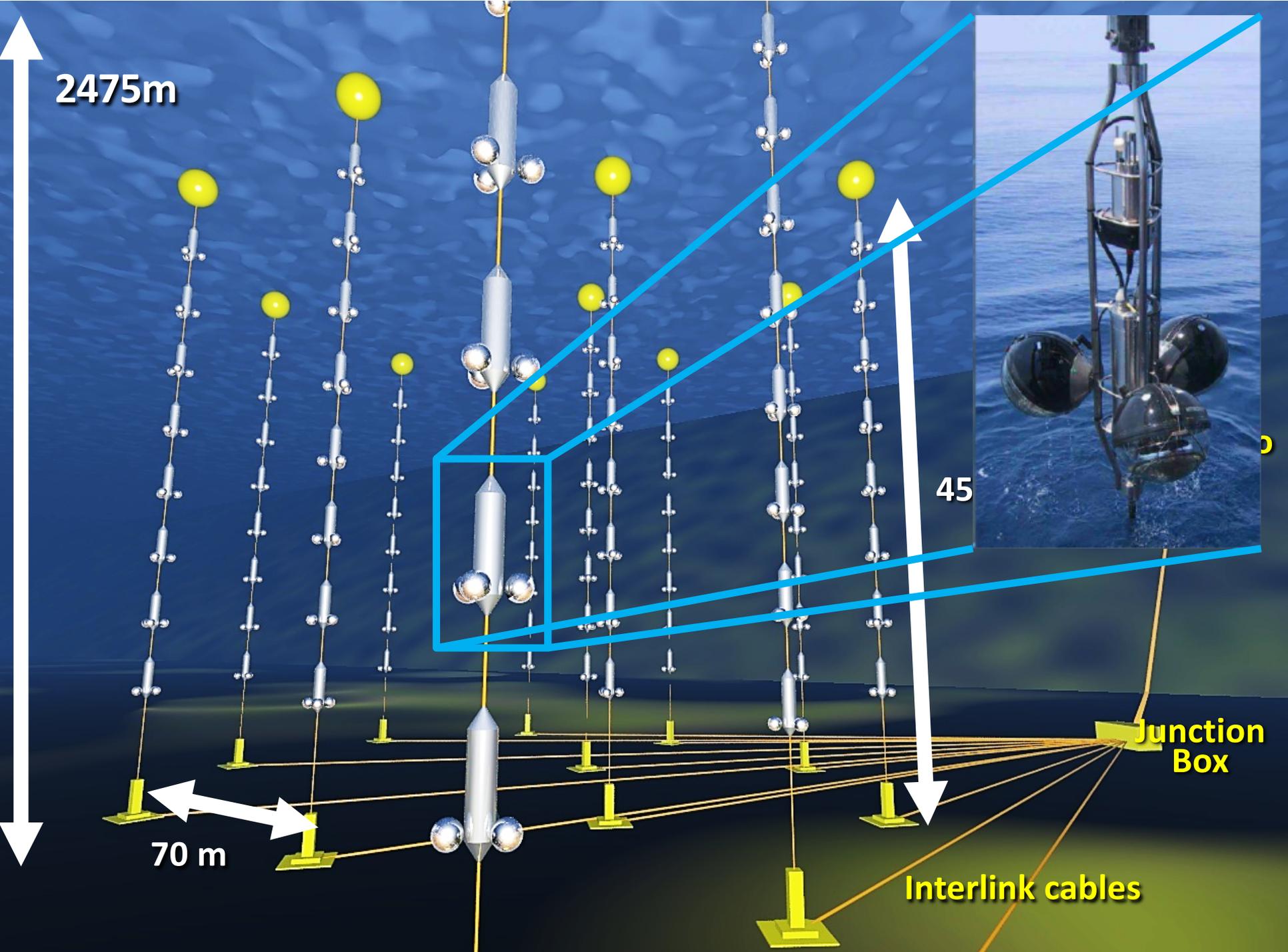
Picture by Doug Cowen, Rencontres de Blois 2013



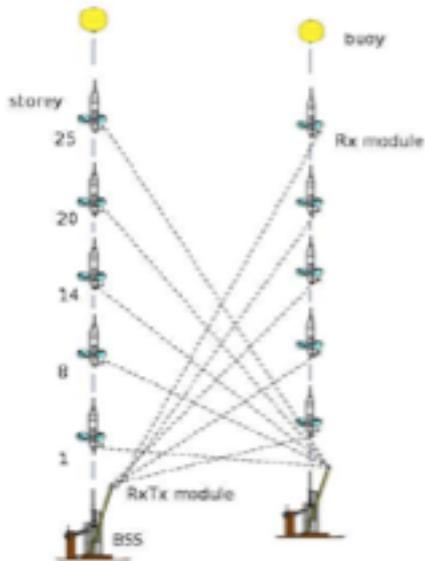
# ANTARES

- Running since 2007 at 2475m depth
- 885 10" PMTs
- 12 lines
- 25 storeys/line
- 3 PMTs / storey



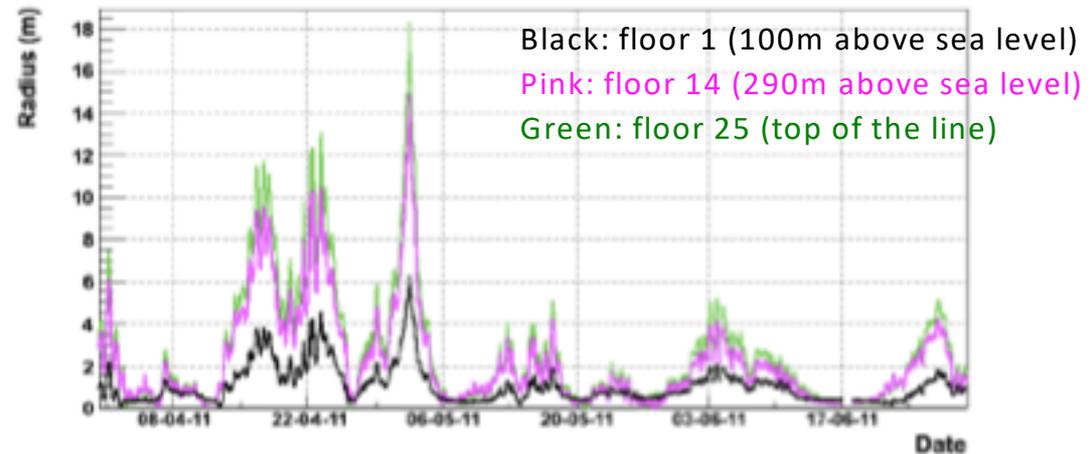
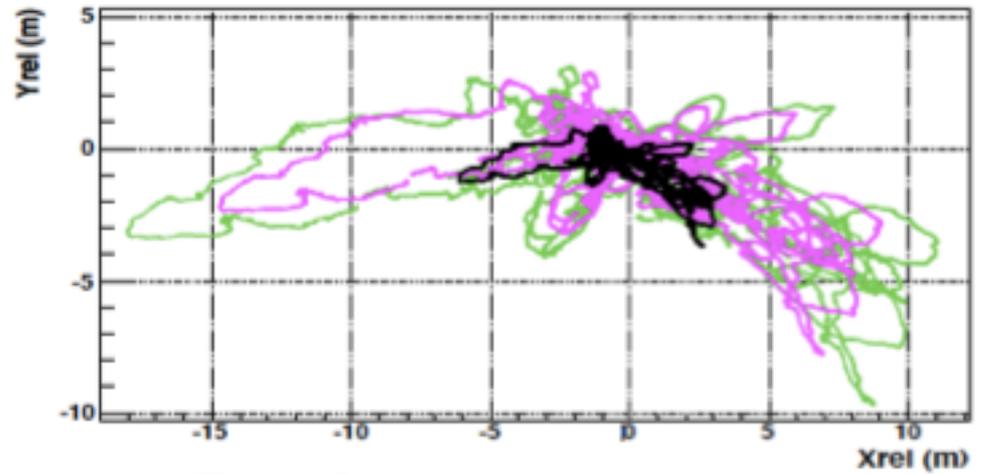


# Acoustic positioning system

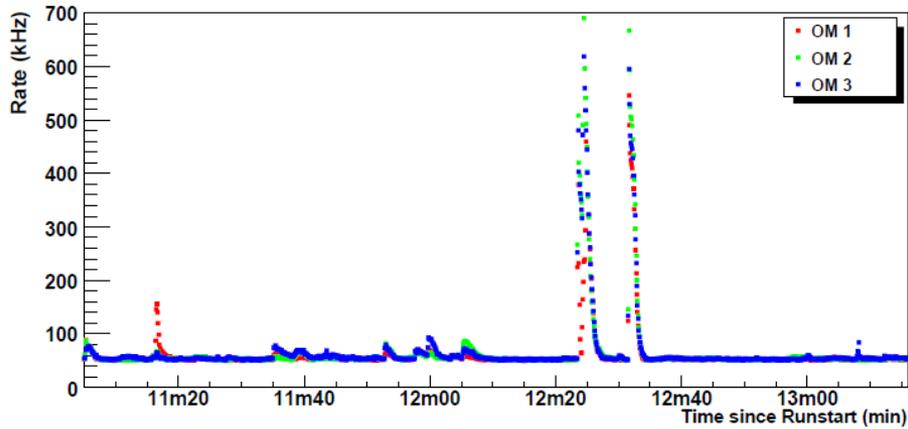


Typical sea currents <7cm/s  
Monitoring every 2 minutes

Line movements in 3 months period



# Optical backgrounds in the Sea



Optical background due to

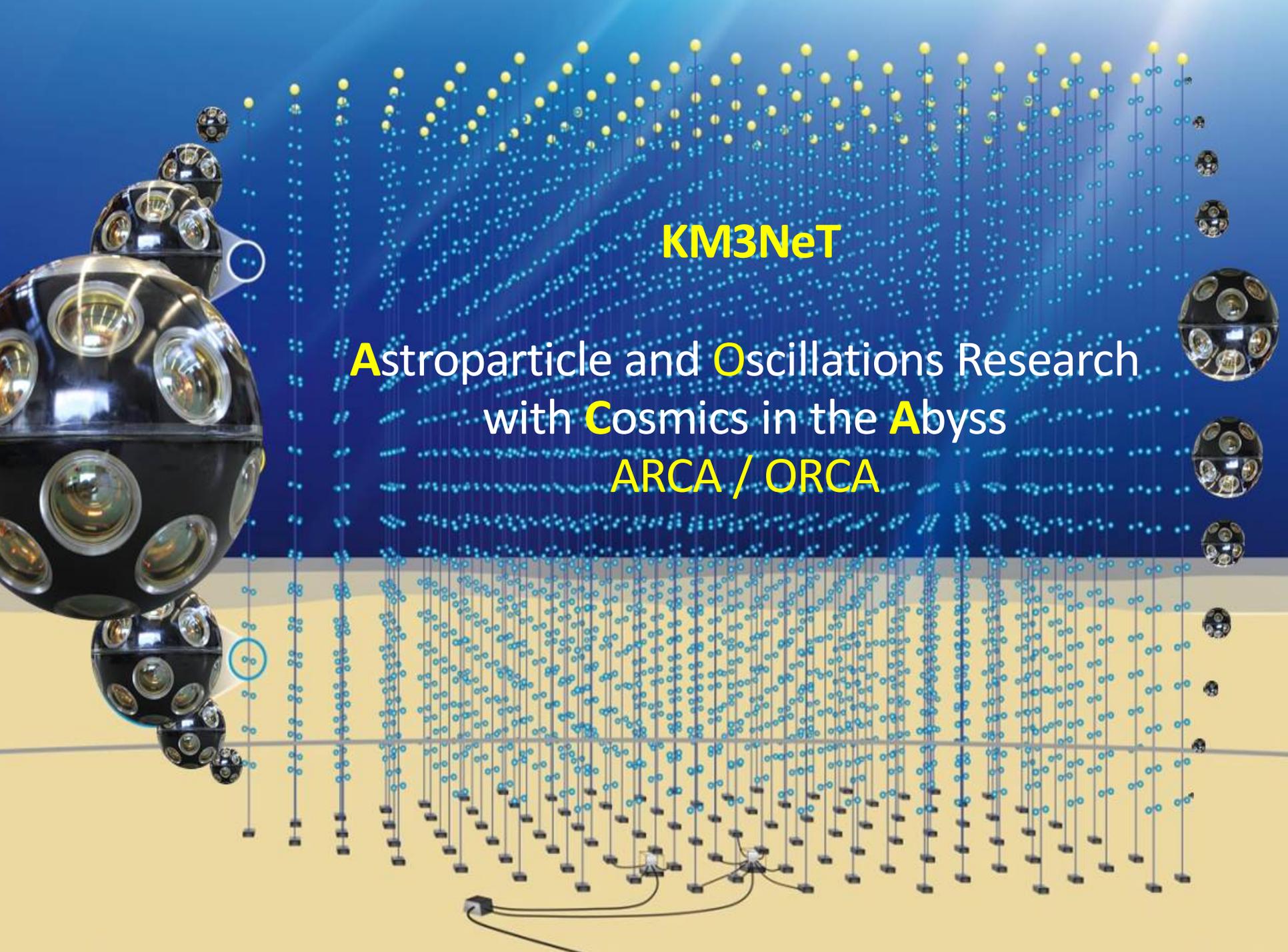
- $^{40}\text{K}$  decay (salt in water)  
-> can be used for calibration
- bioluminescent organisms  
(e.g. megaplankton, pyrosoma,  
size 0.2-2000mm)

Baseline hit rate 50-120kHz

Short bursts/ashes with higher rates

This is NOT a neutrino ...

Video from biocam installed 2010



**KM3NeT**

**A**stroparticle and **O**scillations Research  
with **C**osmics in the **A**byss  
**ARCA / ORCA**



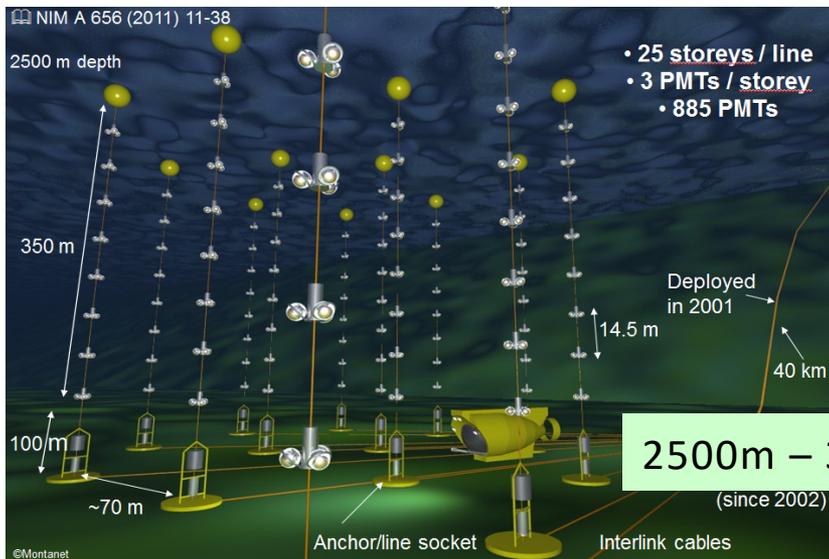
# **New telescope will be 'second biggest structure created by mankind' after Great Wall of China**

- **Detector uses 'towers' taller than Burj Khalifa in Dubai**
- **'Watches' for light flashes in 2.2 billion kg of water**
- **Detects tiny, fast-moving particles which usually pass straight through matter**

By **ROB WAUGH**

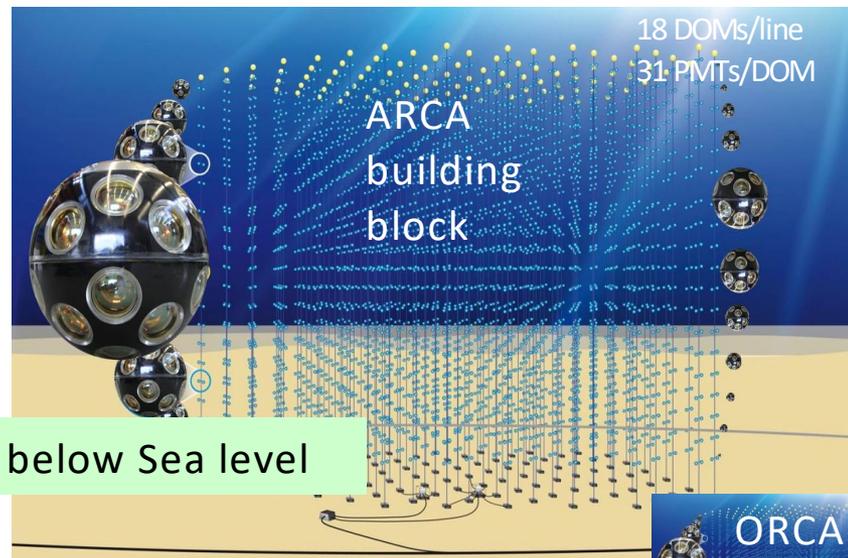
**UPDATED:** 10:58 GMT, 27 December 2011

# The ANTARES/KM3NeT Neutrino Telescopes



2500m – 3500m below Sea level

- Running since 2007
- 40km from French coast
- 12 lines, 885 10" PMTs



- First strings deployed 2016
- 2x115 strings (128k 3" PMTs) Italian site (ARCA)
- 1x115 strings (64k 3" PMTs) French site (ORCA)

ORCA	ANTARES	ARCA
Low energy	Medium energy	High energy
3 GeV – 50 GeV	10 GeV < E < 1 TeV	E >> 1 TeV

← Earth and Sea sciences: Oceanography, Biology, Geology, Climate monitoring →

Atmospheric neutrinos  
Neutrino oscillations  
Neutrino mass ordering

Dark Matter  
Exotics

Cosmic Sources

# Status of ARCA/ORCA

## **ARCA**

- 2 strings operated til April 2017, interruption, attempt to repower again later this year
- Full restoration of sea-bed network by mid-2019

## **ORCA**

- Successful deployment & operation of first string (Sept 2017)
- Cable problem, replacement in summer 2018, resume operations thereafter

## **Construction**

- DOM and detector string assembly proceeding
- Construction & Deployment feasible til -2023 (depending on funds)









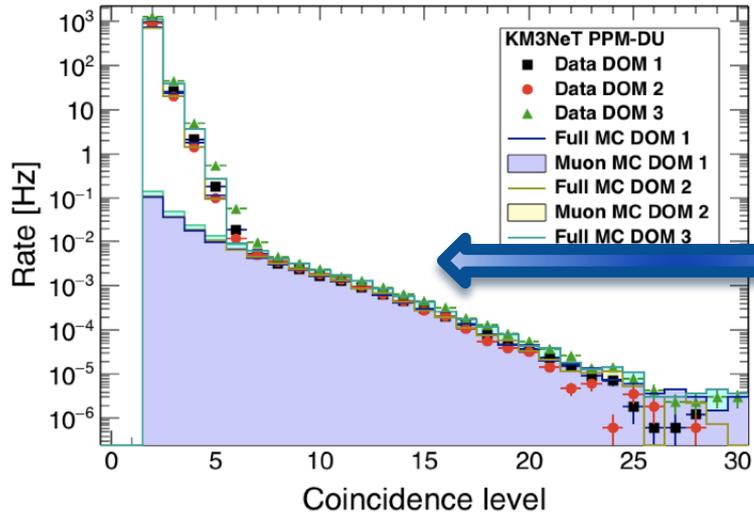
# Deployment



ARCA deployment



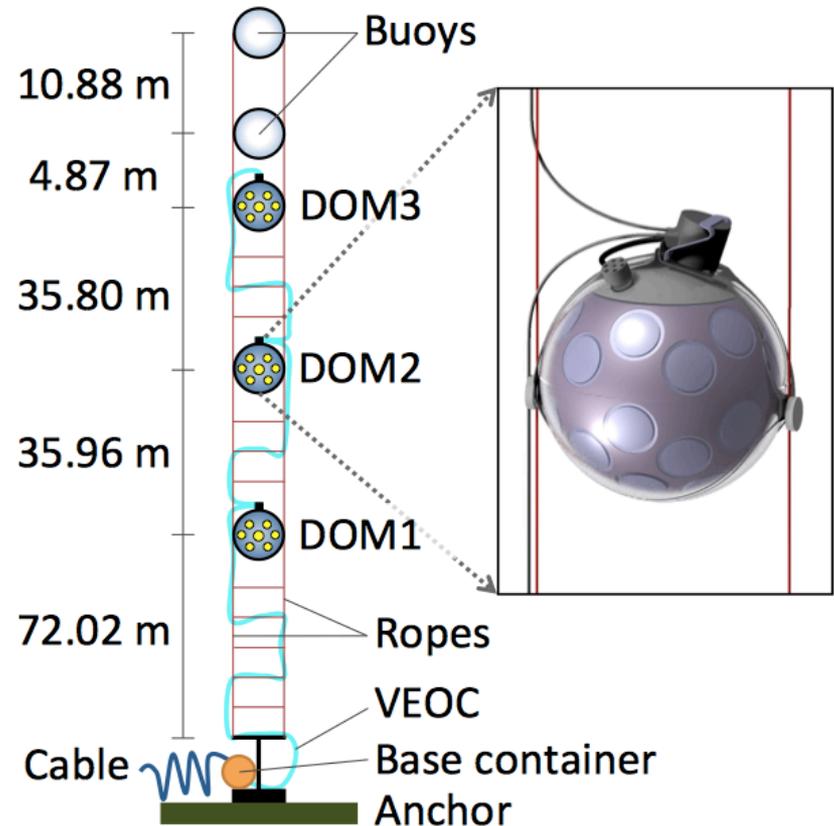
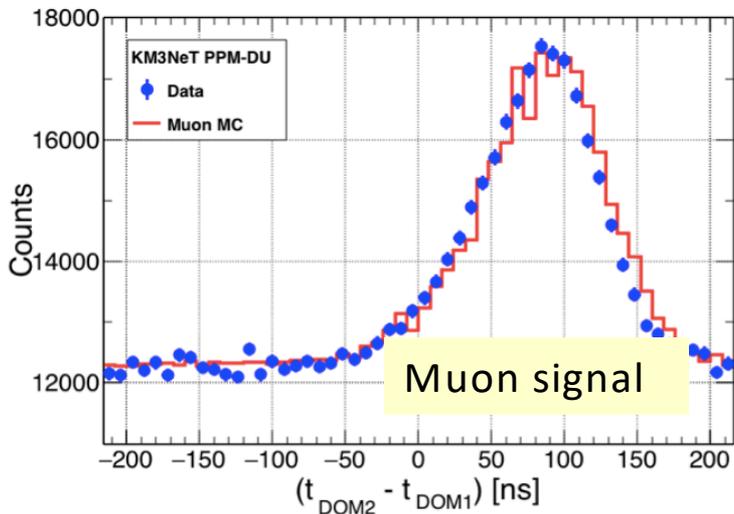
## Multifold coincidences



First results from mini-string with 3 DOMs

Muon identification with a single DOM  
(high multiplicity of coincident signals)

## Signal time differences between 2 DOMs

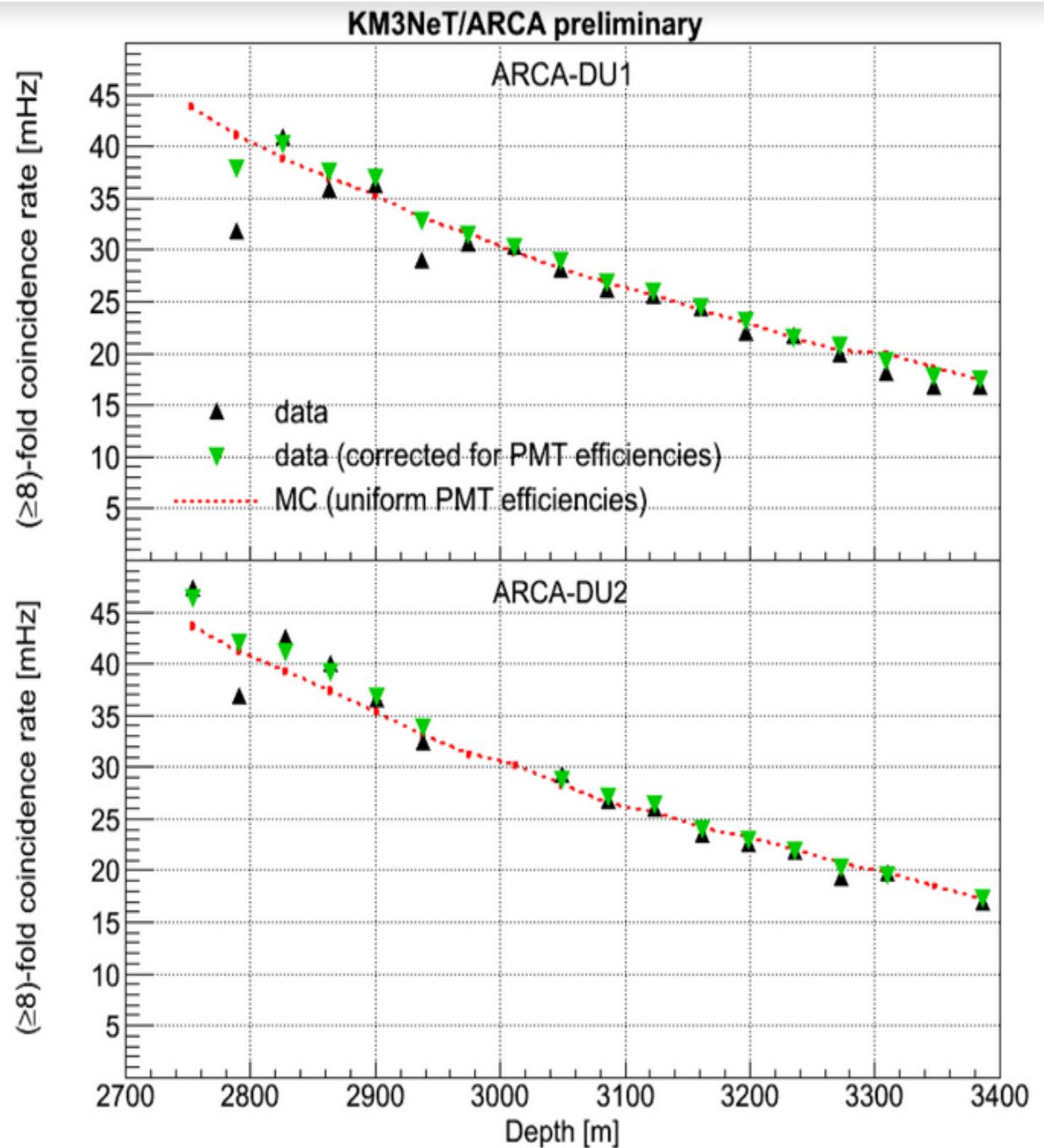


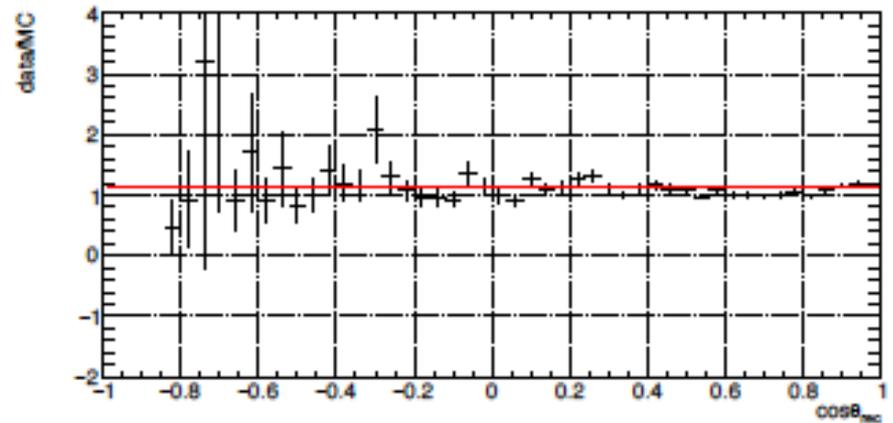
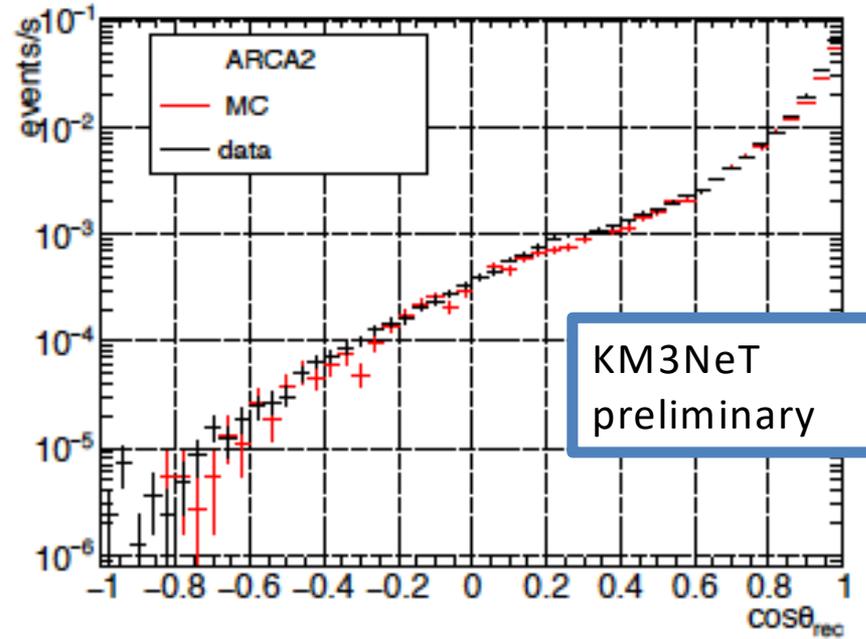
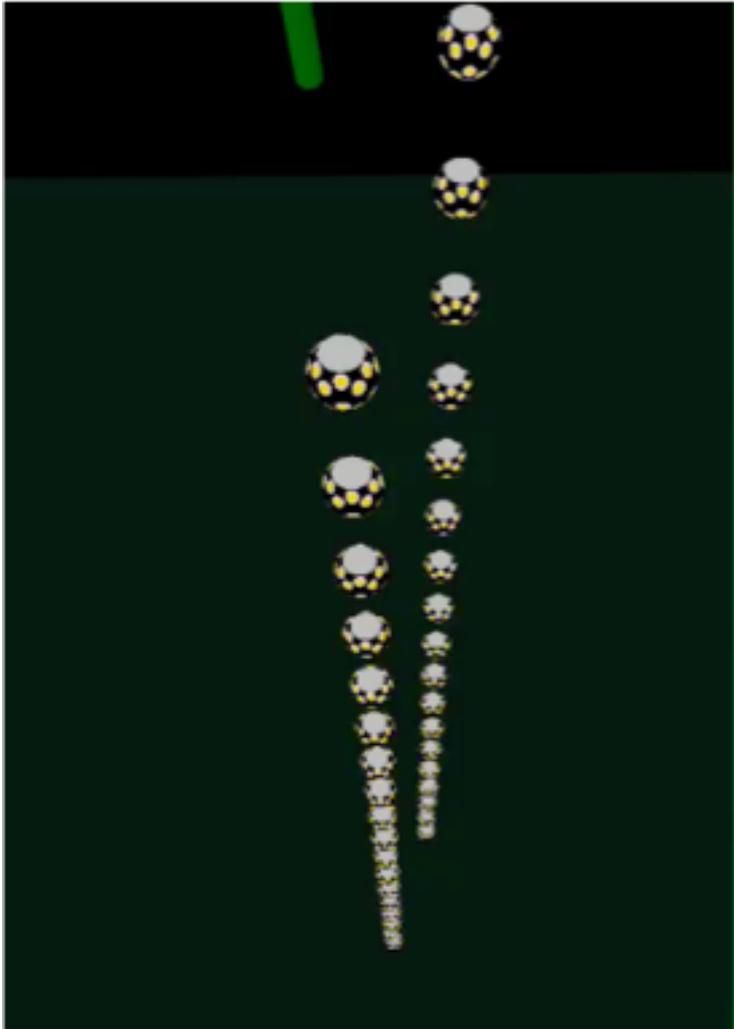
Results from 2 full ARCA strings

Muons identified by high multiplicity on single DOMs

⇒ Muon rate as function of depth

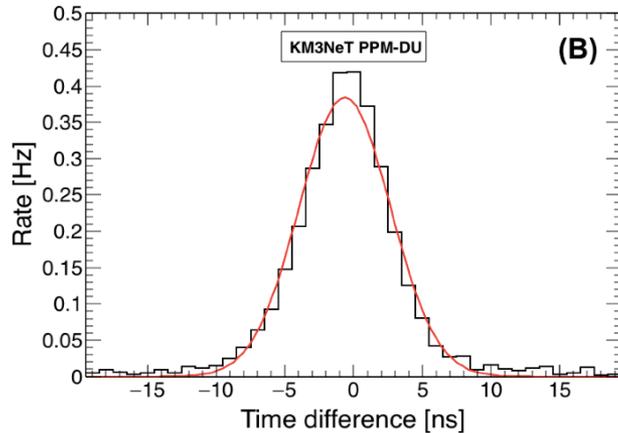
Efficiency correction from K40 calibration



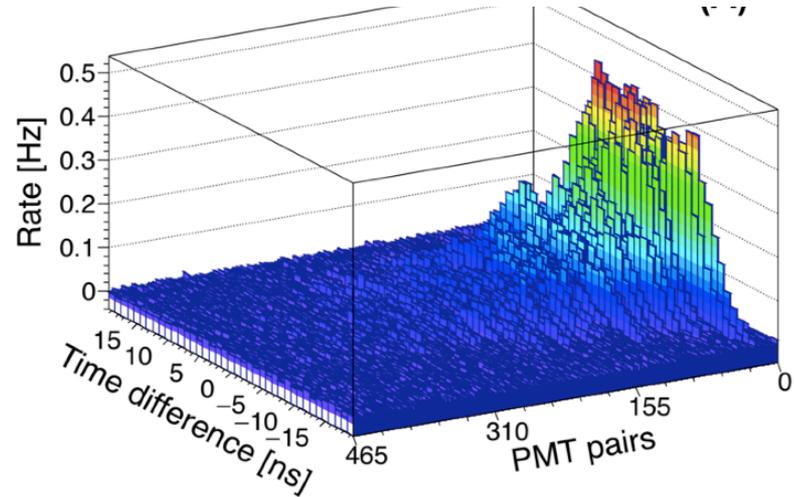


# Calibration using potassium decay in sea water

Hit time differences from a PMT pair



Hit time differences – all pair combinations



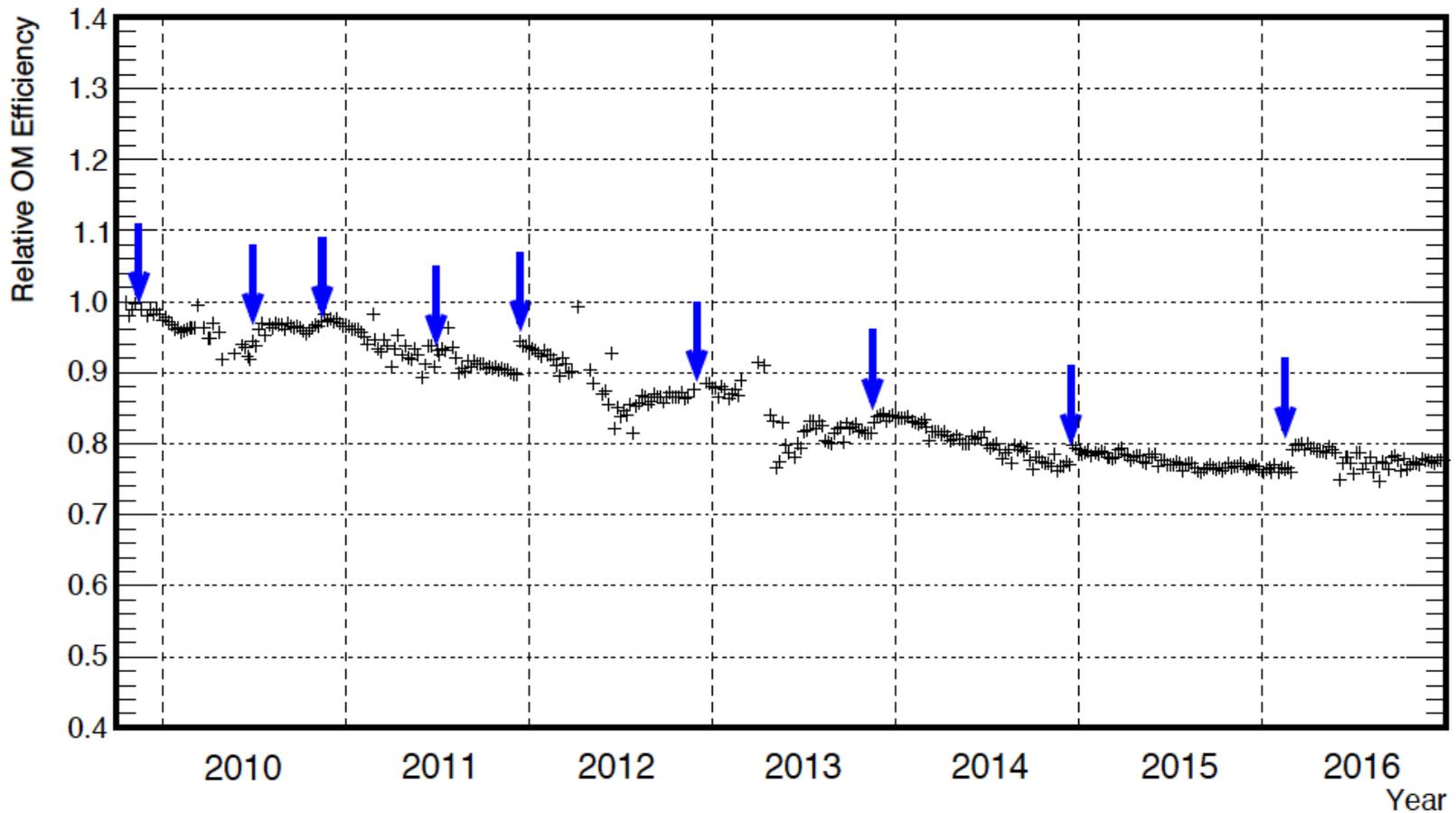
$^{40}\text{K}$  beta - decay (salt in seawater) -> light signal can be used for calibration

Correlated signal between PMT pairs:

- Height => Efficiency determination
- Position => Time calibration (nanosecond accuracy)
- Width => Time spread of PMT

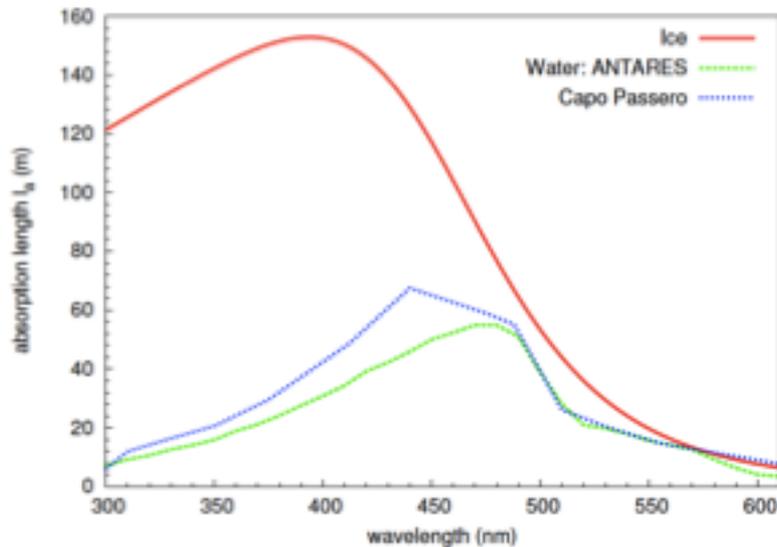
# Antares K40 measurements

- After 7 years, 20% efficiency drop (blue : HV tuning)
- Long-term operation in deep sea possible

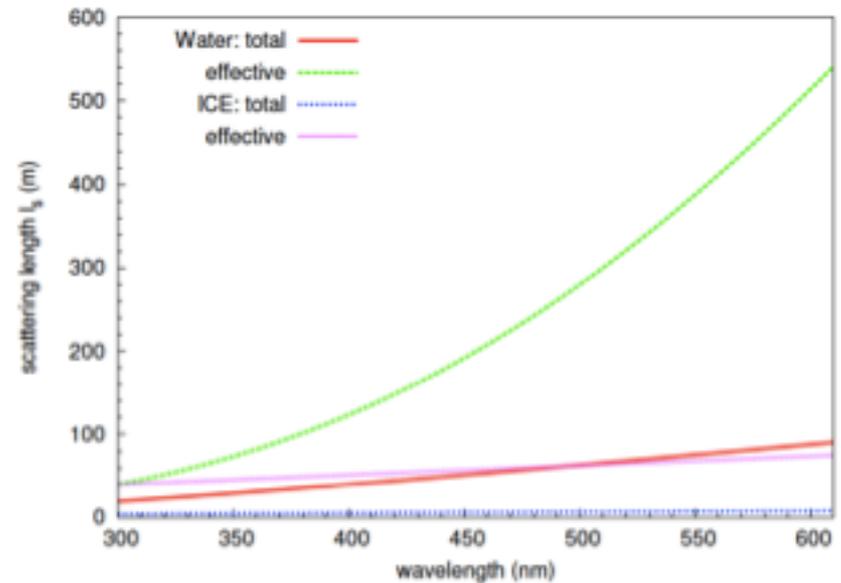


# Different absorption/scattering properties at the different sites

absorption



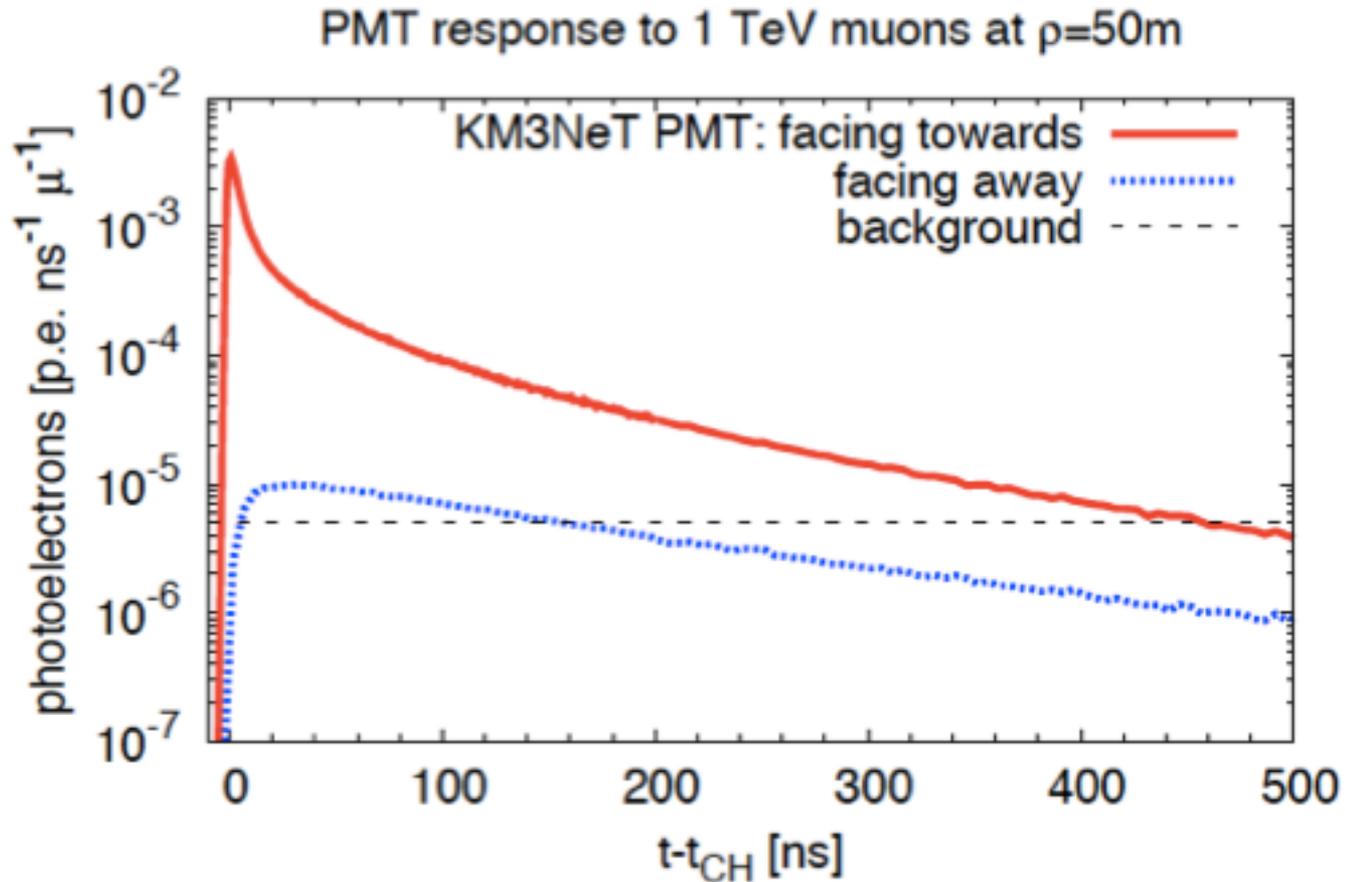
scattering



For Ice properties are depth dependent  
-> different dust layers

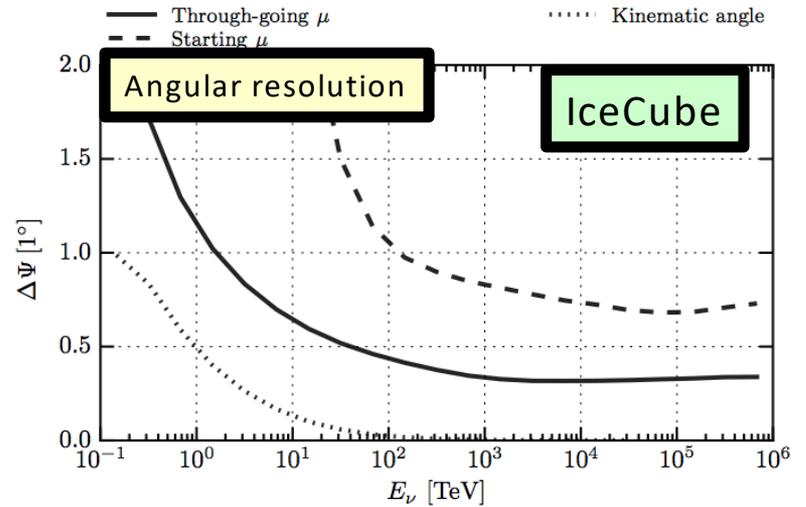
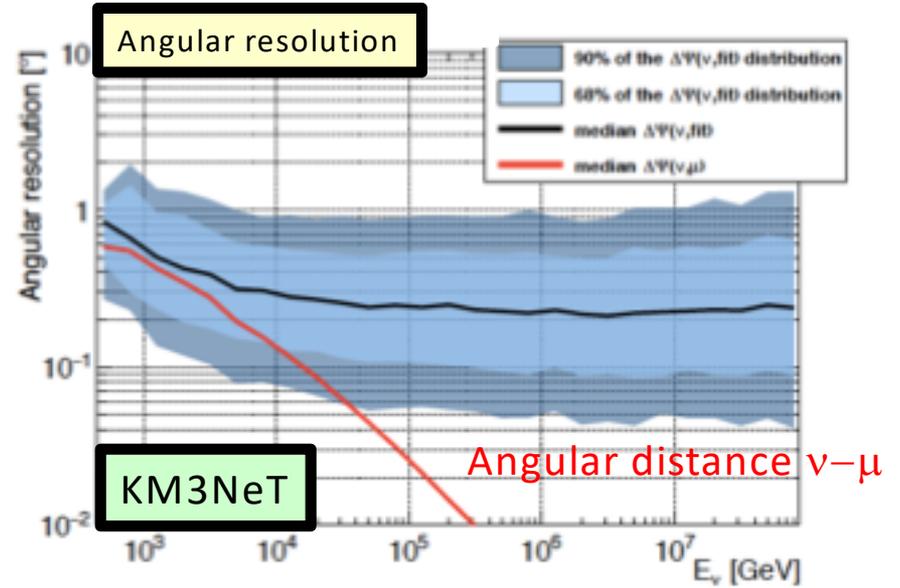
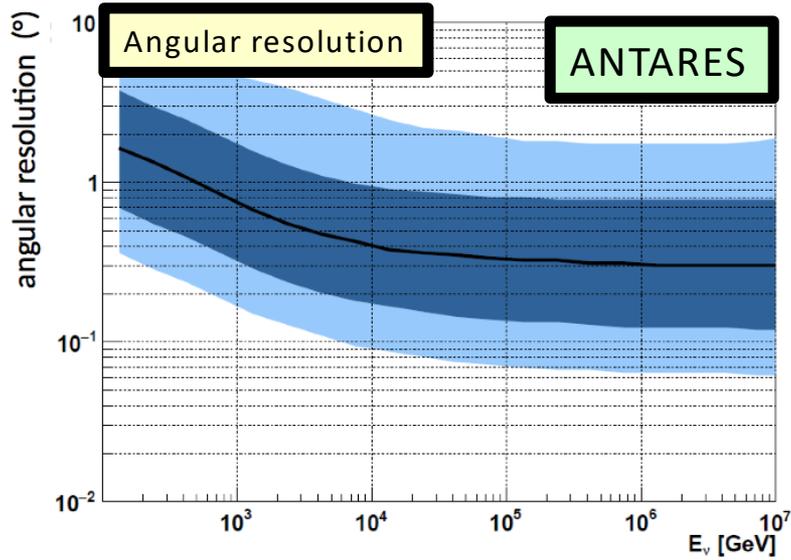
For Lake Baikal significantly lower absorption/scattering lengths than in Mediterranean water (~20m)

# Time residual distribution for signals from a muon in water

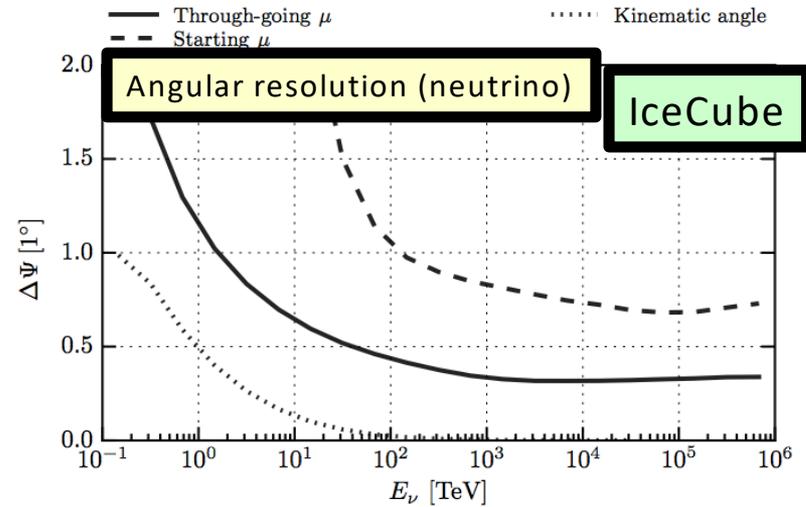
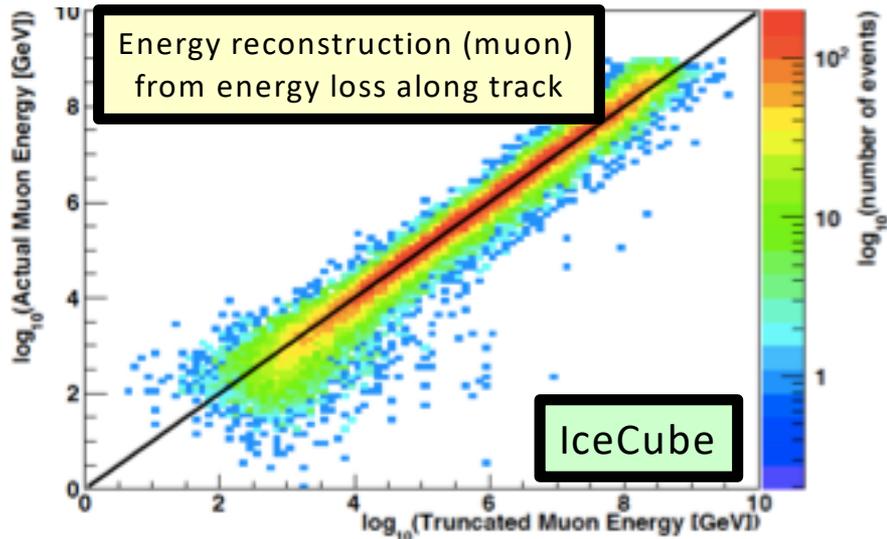
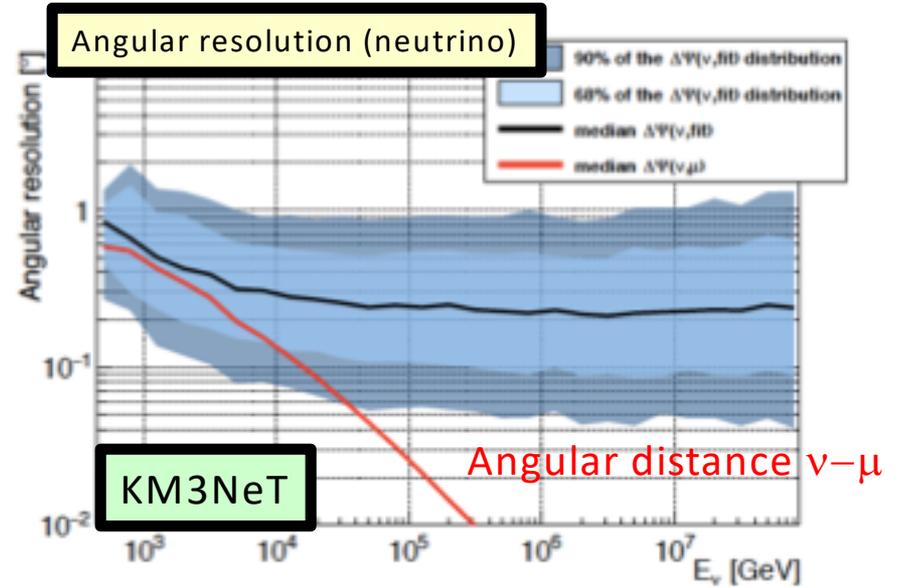
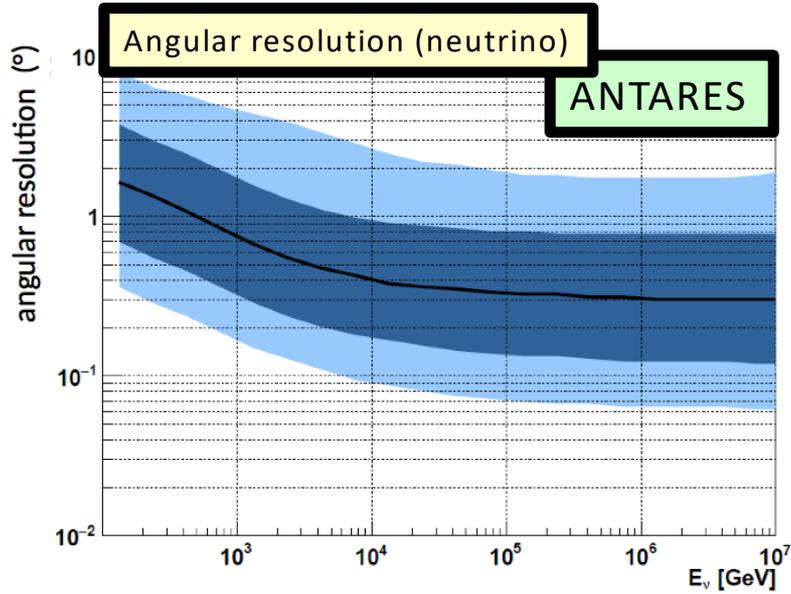


Large scattering length => at 50m still extremely precise time information

# Track reconstruction performance

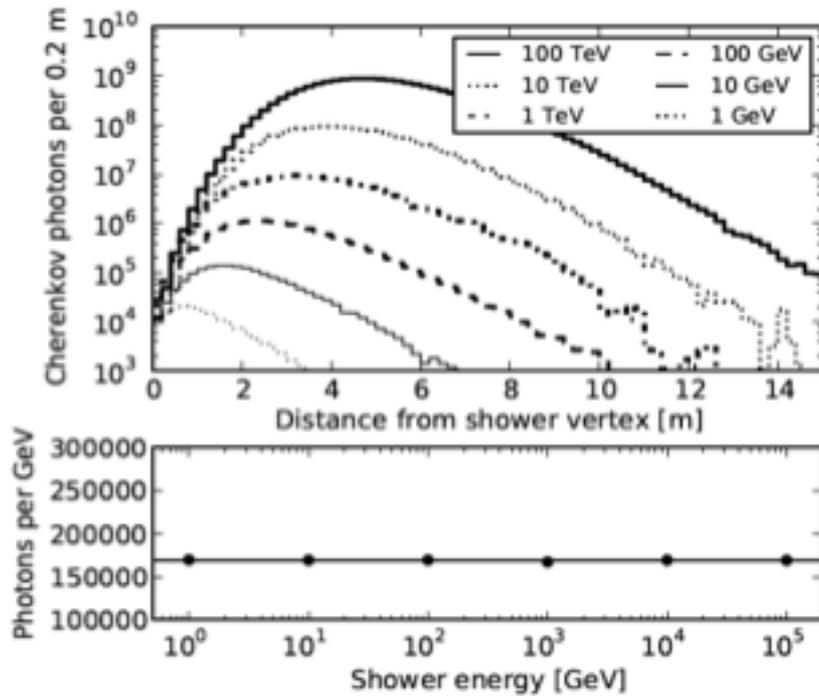


# Track reconstruction performance

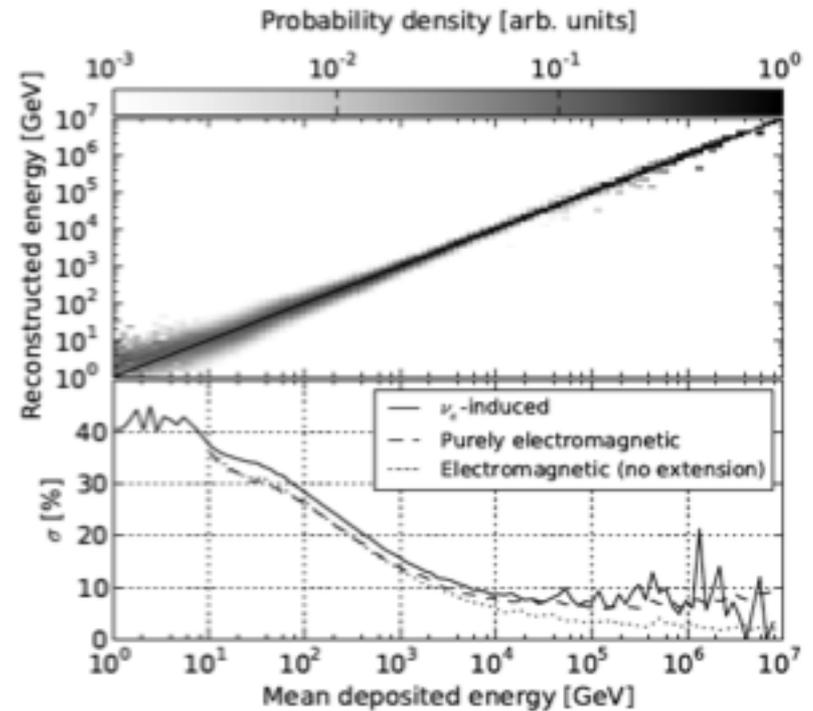


# Shower reconstruction performance

## Photon yield as function of distance



## Energy reconstruction

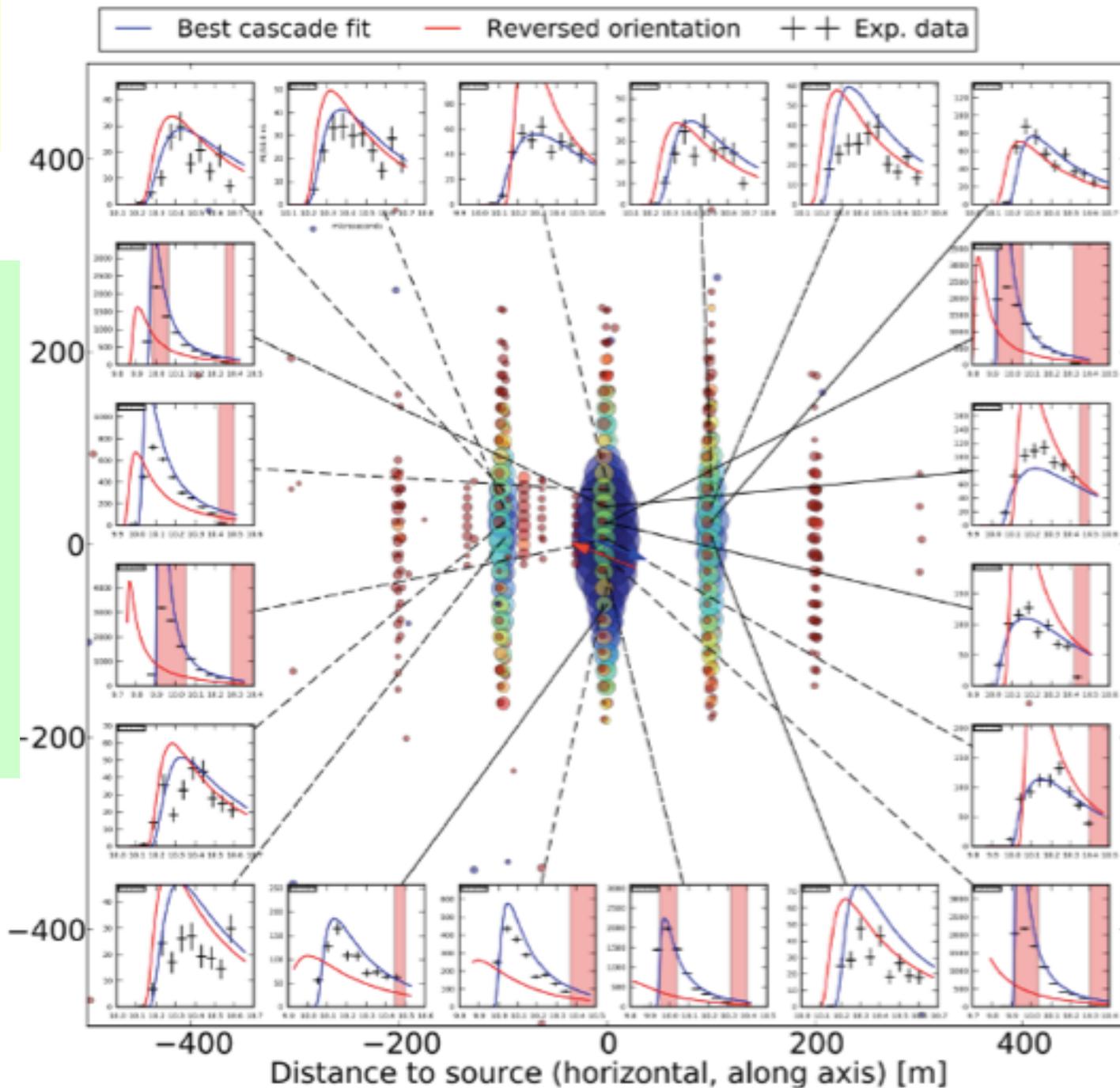


IceCube  
High energy  
cascade 'Bert'

Likelihood fit on the  
waveforms

Shaded areas  
disregarded  
(saturation/  
Systematics)

High energy events  
direction resolution  
7-25 degrees

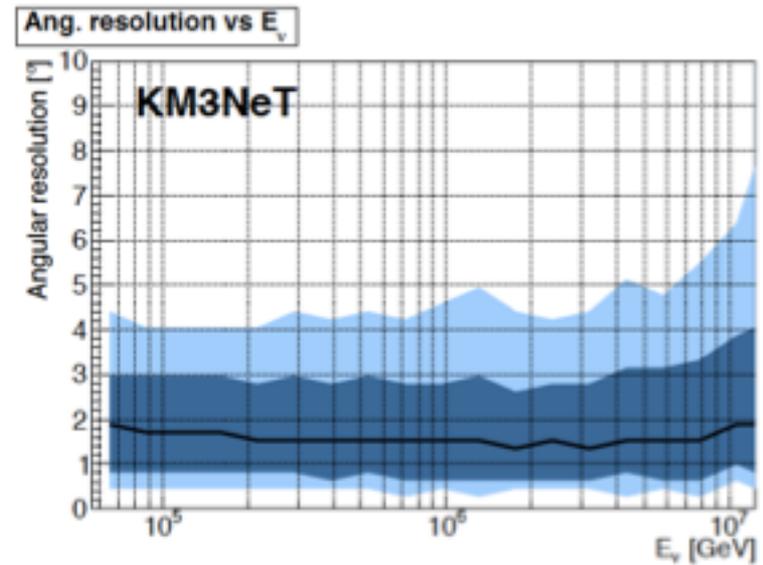
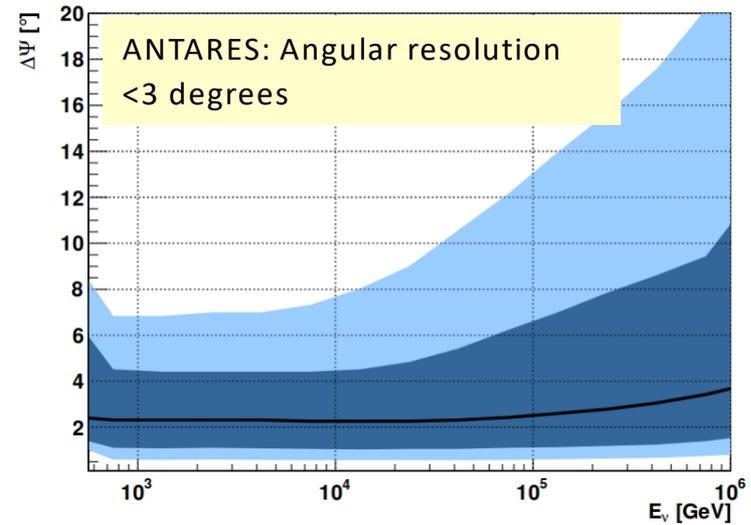


# Shower reconstruction performance

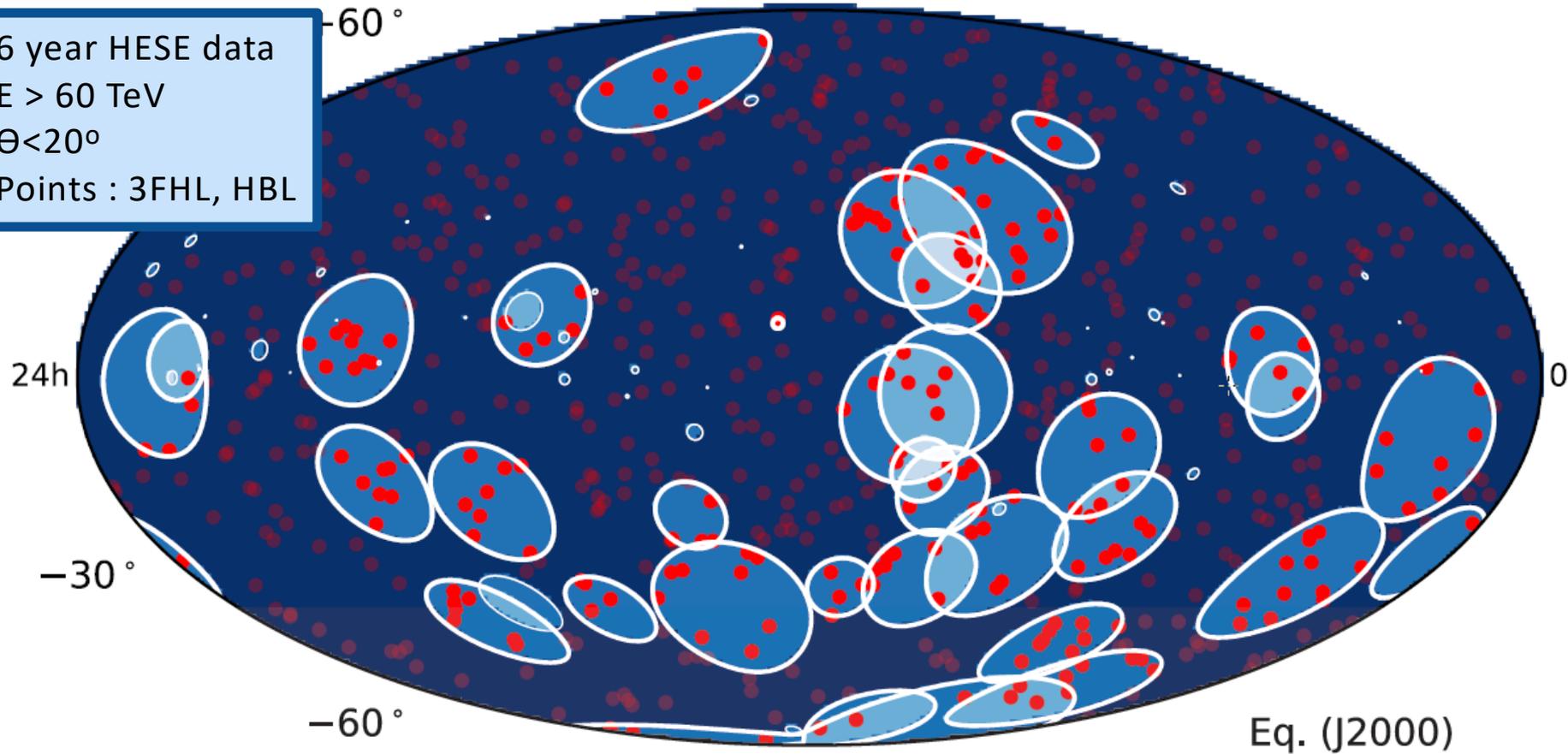
Shower light contains directional information

-> little scattering in water

-> angular resolution  $\sim 2$  degrees



6 year HESE data  
 $E > 60$  TeV  
 $\Theta < 20^\circ$   
Points : 3FHL, HBL



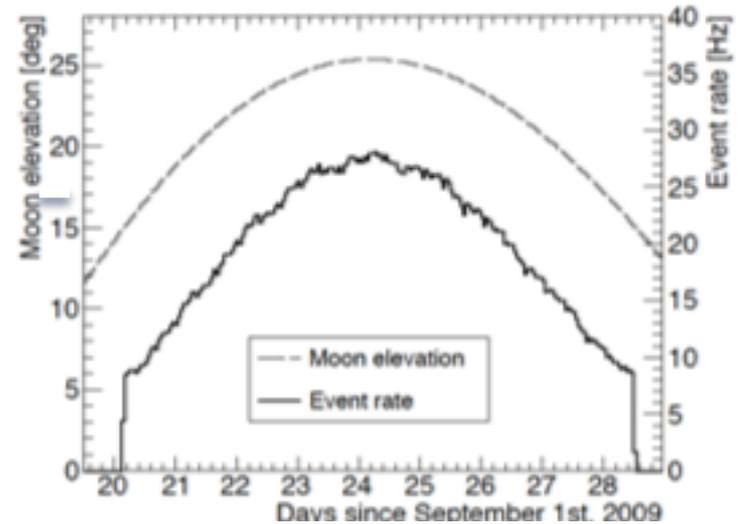
Resolution for  $\nu_e$   
ANTARES ○  
KM3NeT ●

Resolution for  $\nu_\mu$   
ANTARES ·  
KM3NeT ·

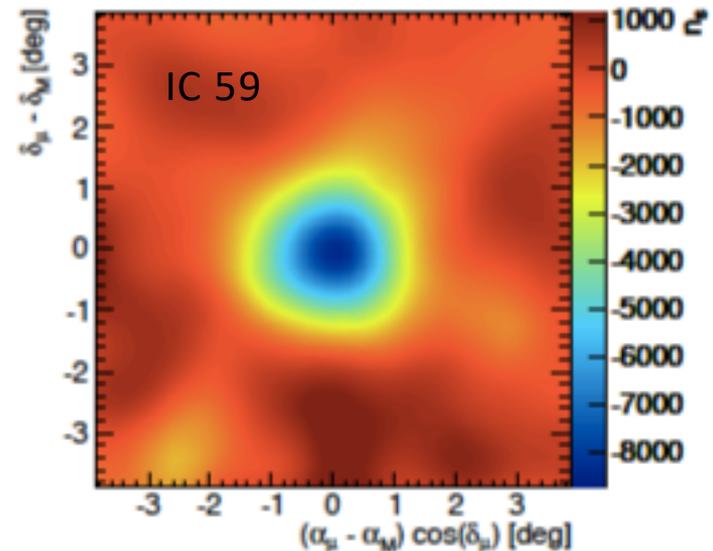
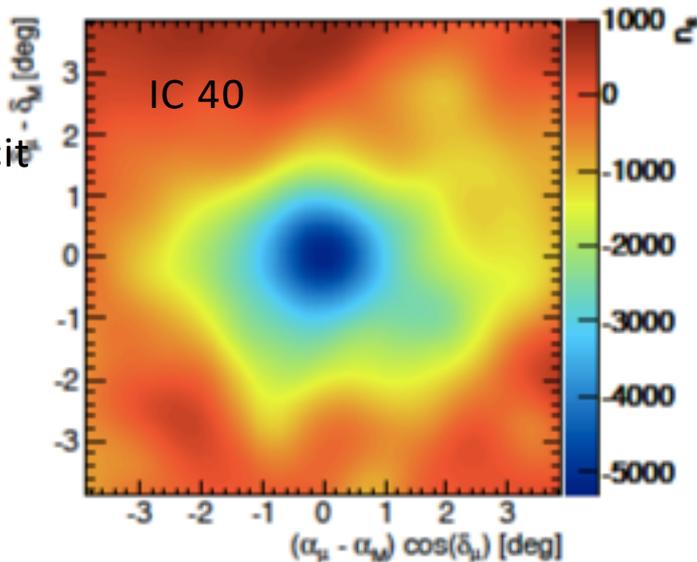
# Pointing: Only calibration source: The Moon (Sun)

Cosmic ray 'hole' in direction of moon  
-> look at downward going muons

IceCube measurement of the moon  
-> cross check of pointing,  
angular resolution



Fitted deficit  
events



# A. CPU performance requirements of major current and future astroparticle physics projects

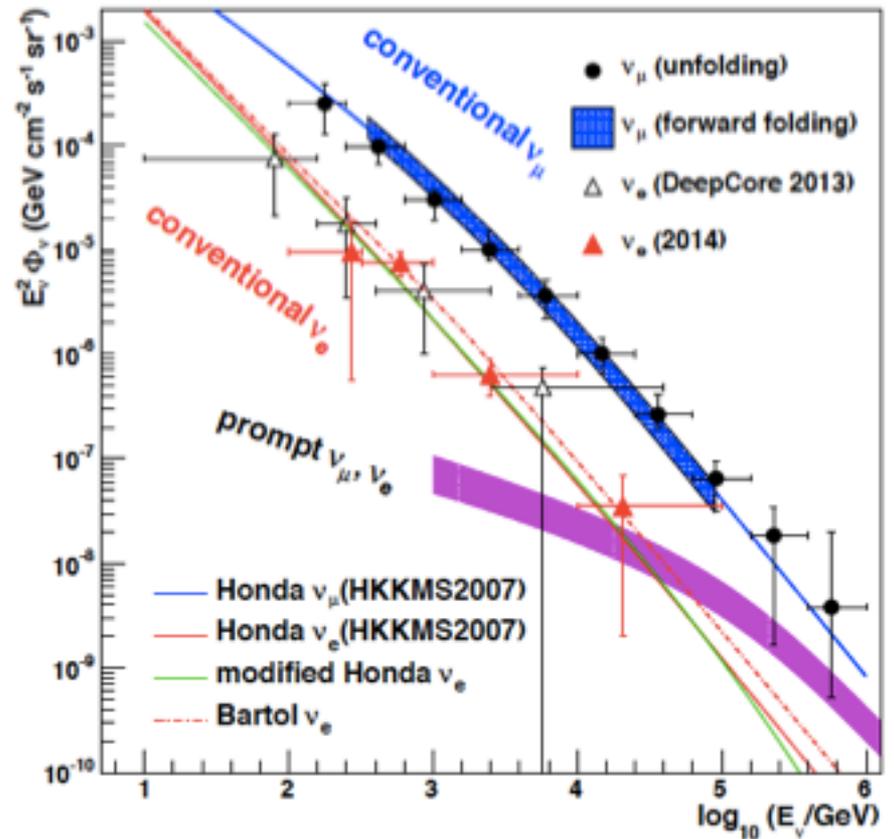
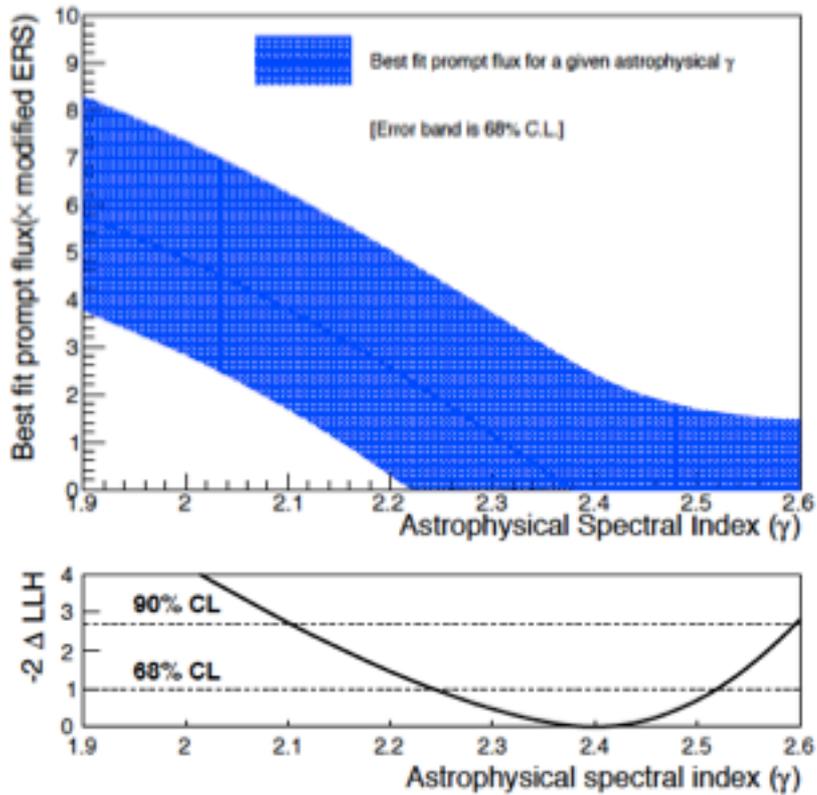
Towards a Model for Computing  
In European Astroparticle Physics  
arXiv 1512.00988

Unit is HS06

		2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
data with event-like structure	AUGER (total)	1,4	2,2	2,6	3,5	4,6	4,6	4,6	4,6	4,6	4,6
	HESS	1,6	2,0	10	14	16	16	16			
	MAGIC	0,8	0,8	8,0	8,0	8,0	8,0	8,0			
	CTA	10	10	10	10	10	10	24	30	40	50
	ANTARES	1,6	2,9	5,7	5,7	5,7					
	KM3NeT			0,5	0,5	3,0	10	20	50	100	200
	IceCube	15	15	15	15	15	15	15	15	15	15
	FERMI	15	15	15	15	15	15	15			
	AMS	2,3	9,0	30	50	60	70	80	90	100	110
	<i>subtotal</i>	48	57	97	122	137	149	183	190	260	380
data with signal-like structure	VIRGO	2,0	2,3	3,0	8,0	12					
	advanced VIRGO						30	50	80	90	90
	LIGO			80	80	80					
	advanced LIGO						750	1200	1200	1200	1200
	<i>subtotal</i>	2,0	2,3	83	88	92	780	1250	1280	1290	1290
data with image-like structure	PAU	10	40	110	90	90	90	90	90	90	90
	SNLS	1,0	1,0	1,0	1,0	1,0					
	EUCLID			0,2	0,5	6,2	13	19	25	31	38
	LSST			0,2	0,5	6,2	14	28	43	57	152
	<i>subtotal</i>	11	41	111	92	103	117	137	158	178	280
	Other	2	2	2	2	2	2	2	2	2	2
	<b>CPU (kHS06) total</b>	<b>63</b>	<b>102</b>	<b>293</b>	<b>304</b>	<b>335</b>	<b>1047</b>	<b>1572</b>	<b>1629</b>	<b>1730</b>	<b>1951</b>
	<b>LHC Tier-0(2012)</b>	0,096	0,16	0,45	0,47	0,51	1,6	2,4	2,5	2,7	3,0

(Selected) Measurements

# Atmospheric flux measurement



# Neutrino sky seen by Antares (9 years, equatorial coordinates)

## Antares

$\sim 10^6$  atmospheric muons per day

$\sim 3$  atmospheric neutrinos per day

## IceCube

$\sim 10^8$  atmospheric muons per day

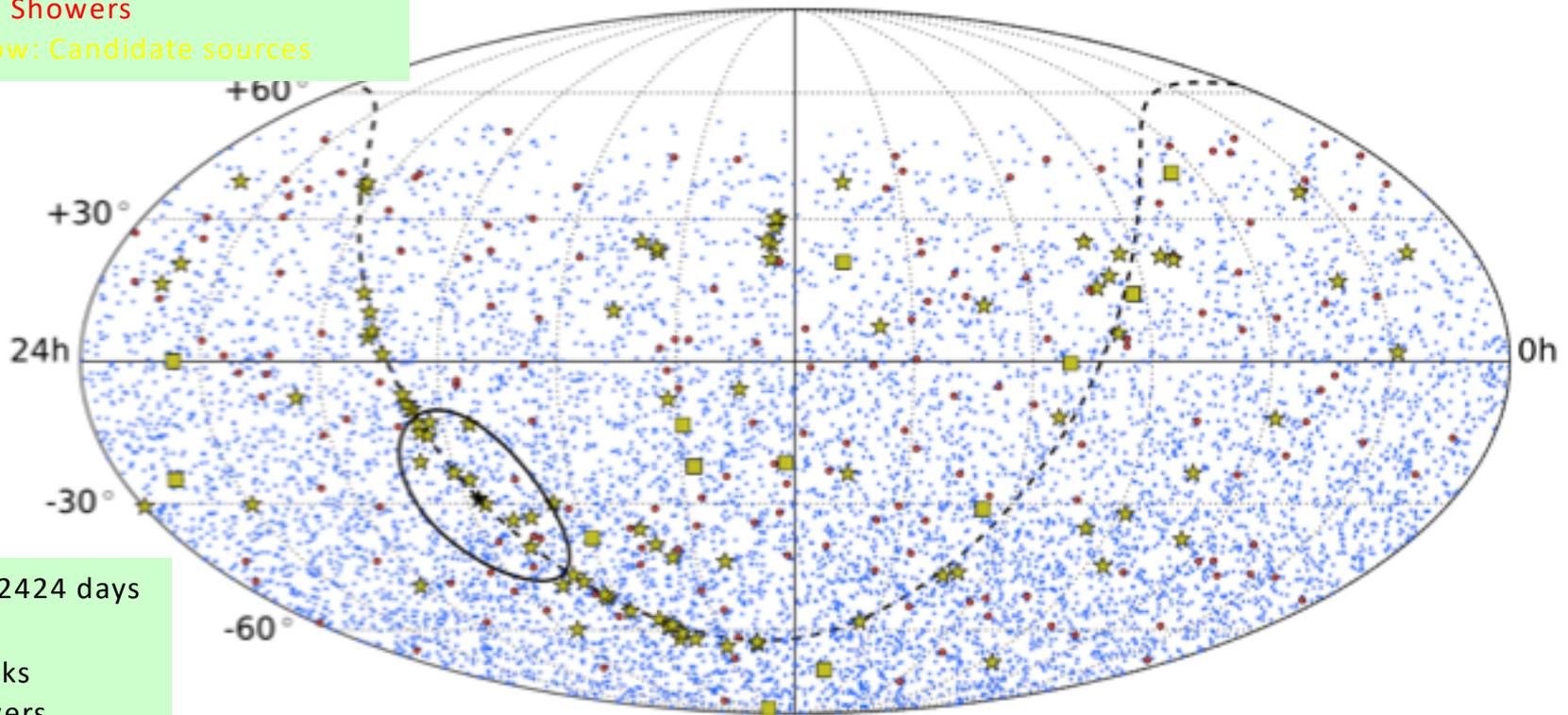
$\sim 300$  atmospheric neutrinos per day

Quality important to eliminate  
mismeasured muon signals

Blue: Tracks

Red: Showers

Yellow: Candidate sources



Livetime 2424 days

Events:

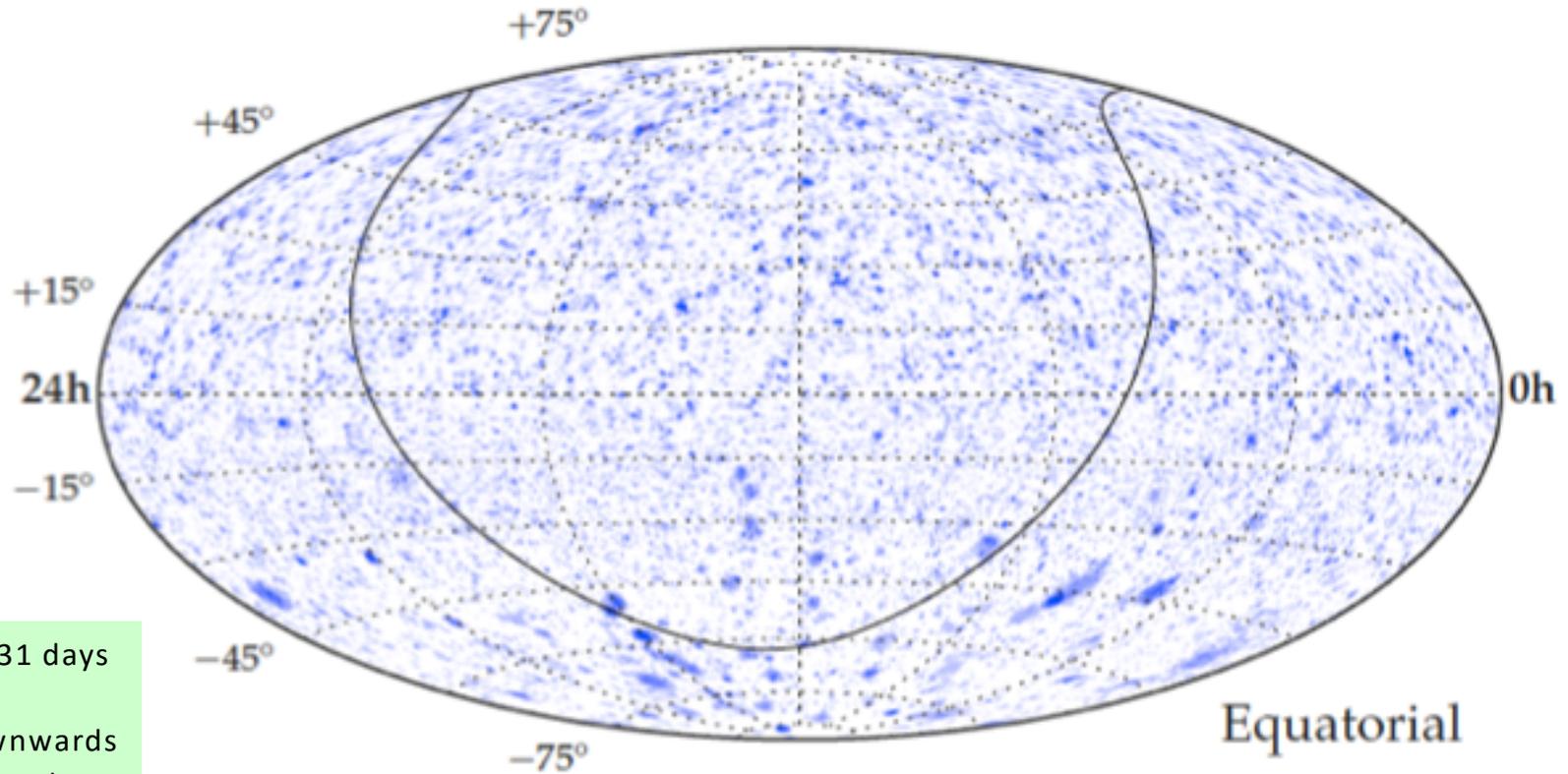
7629 tracks

180 showers

# IceCube 7 year Skymap (probabilities)

Spatial clustering and energy evaluated for signal and background hypothesis

$$\log \Lambda = 2 \log \frac{\mathcal{L}(n_S, \gamma)}{\mathcal{L}(n_S = 0)}$$

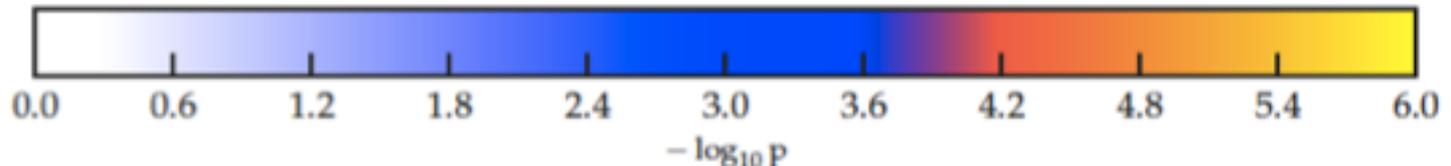


Livetime 2431 days

Events:

289078 downwards

422791 upwards

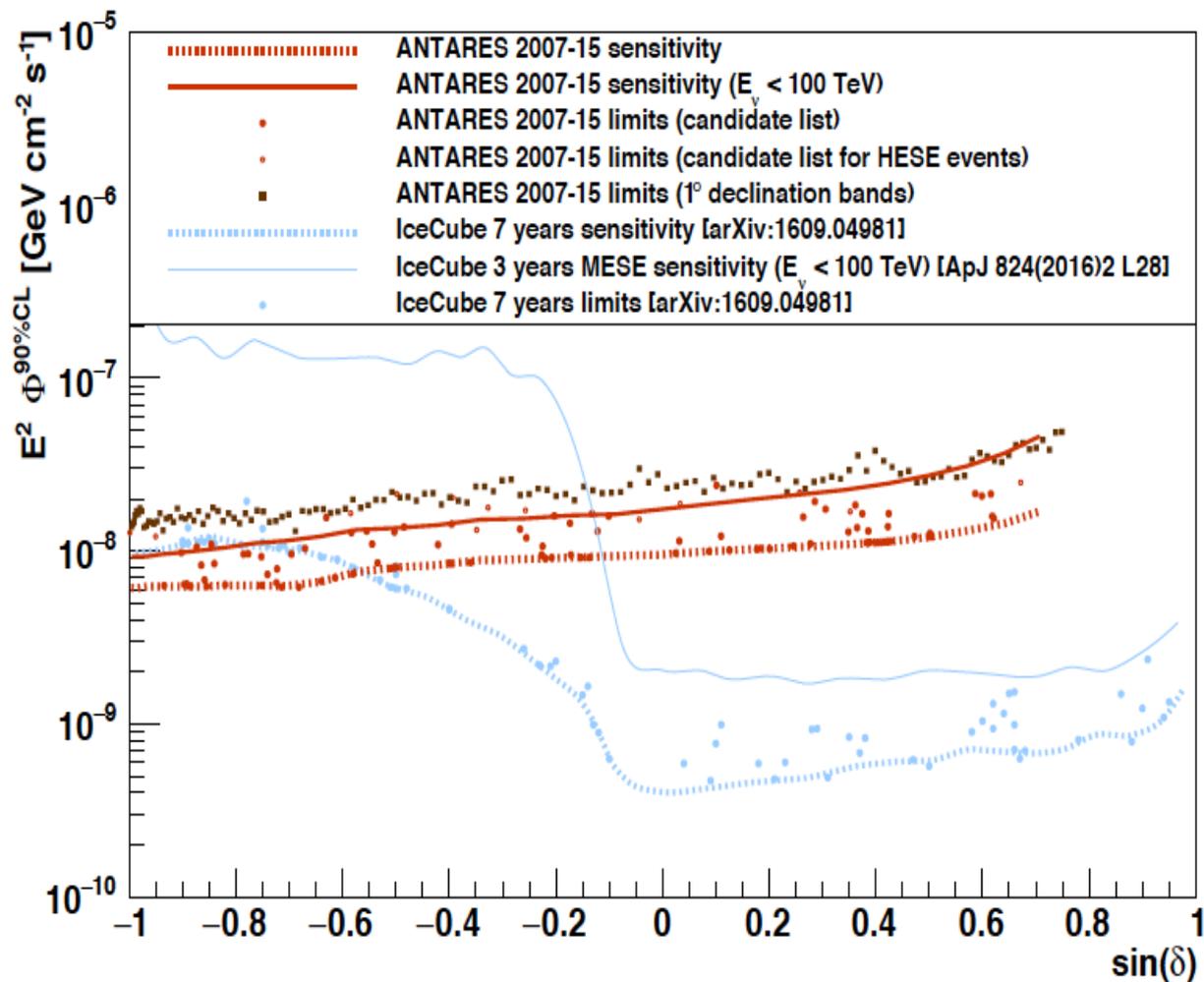


No significant excess -> Upper limits on point source fluxes

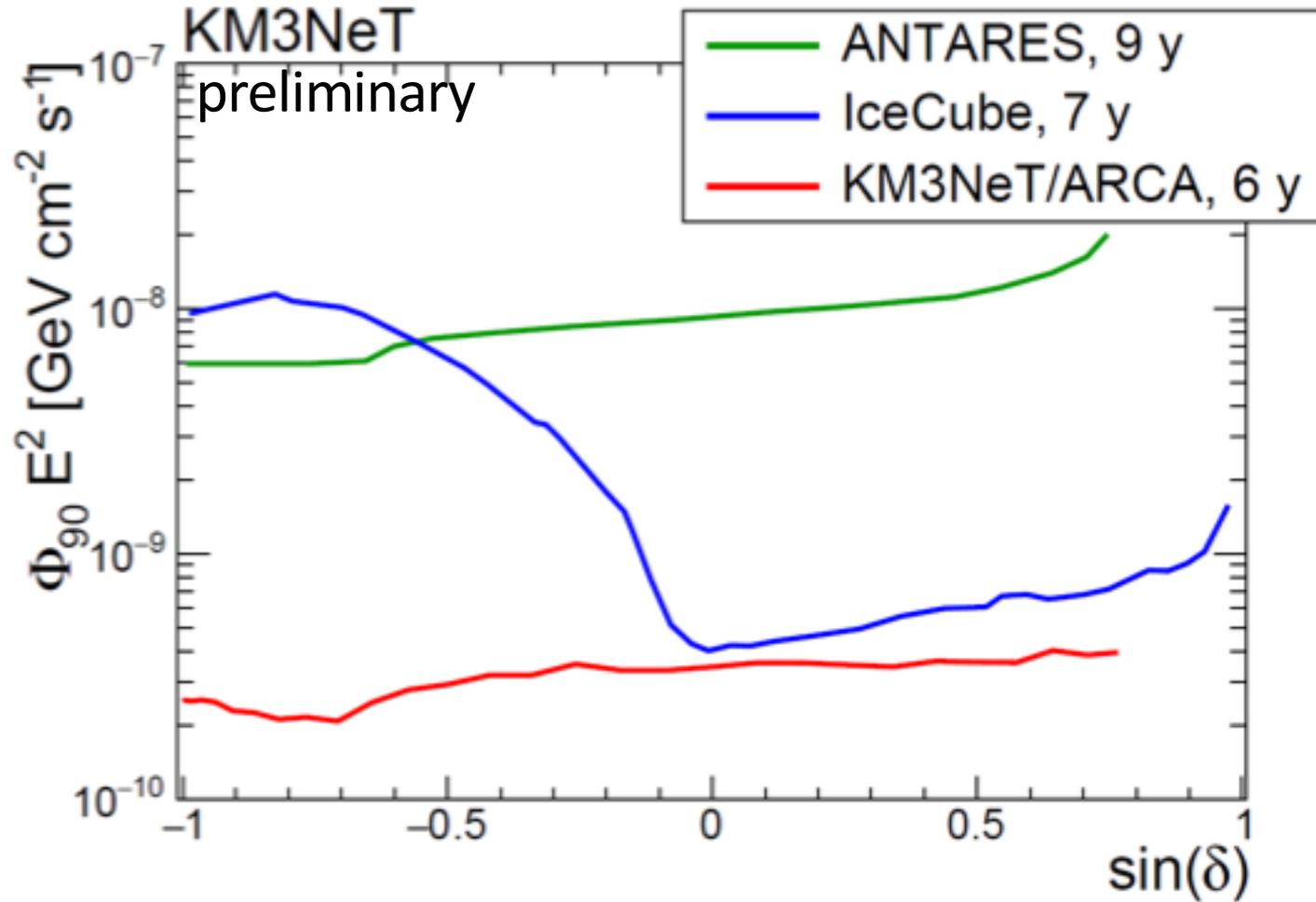
IceCube most sensitive  
In Northern Sky

ANTARES most sensitive  
at low energies in Southern  
Sky

Common analysis can improve  
limits in the Southern  
Hemisphere

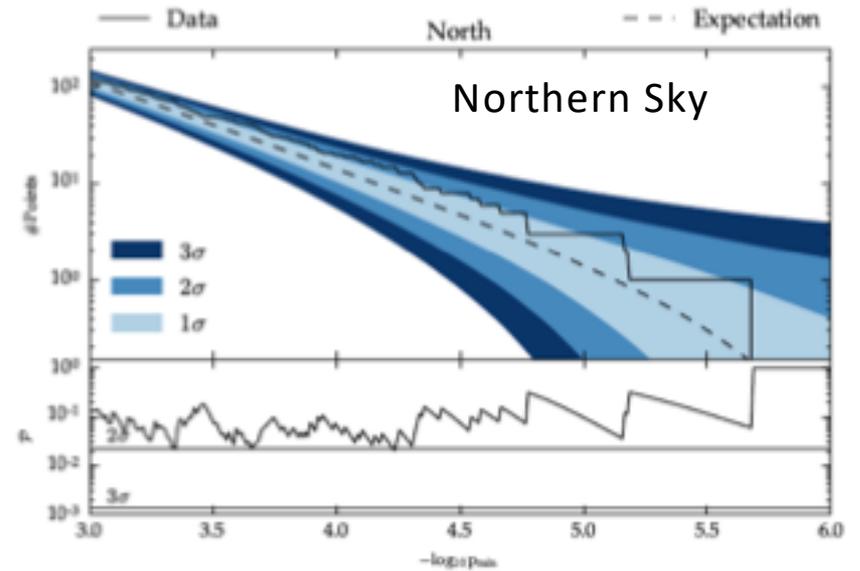
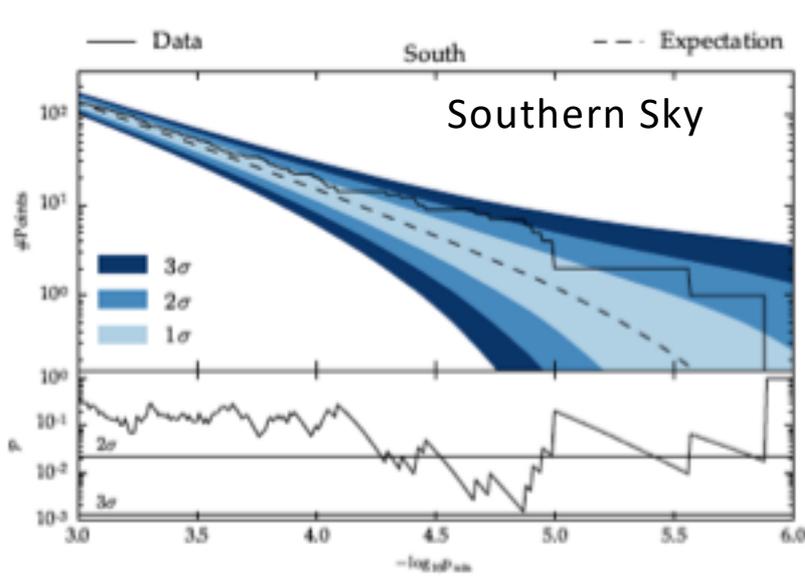


# Prospects for KM3NeT



# Excess in number of 'warm' spots?

IceCube: Probabilities for number of high-probability clusters



No significant excess identified

# Cosmic neutrino detection by IceCube

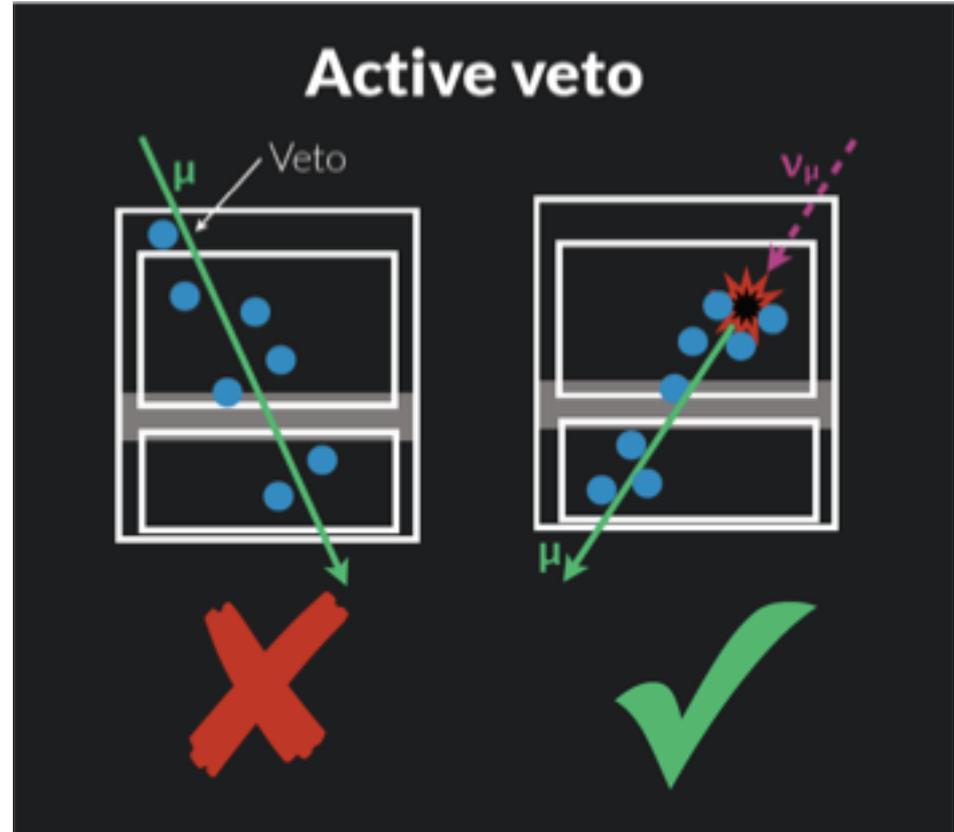
**2013:** IceCube reports detection of two  $\sim$ PeV energy cascades ('Ernie & Bert')

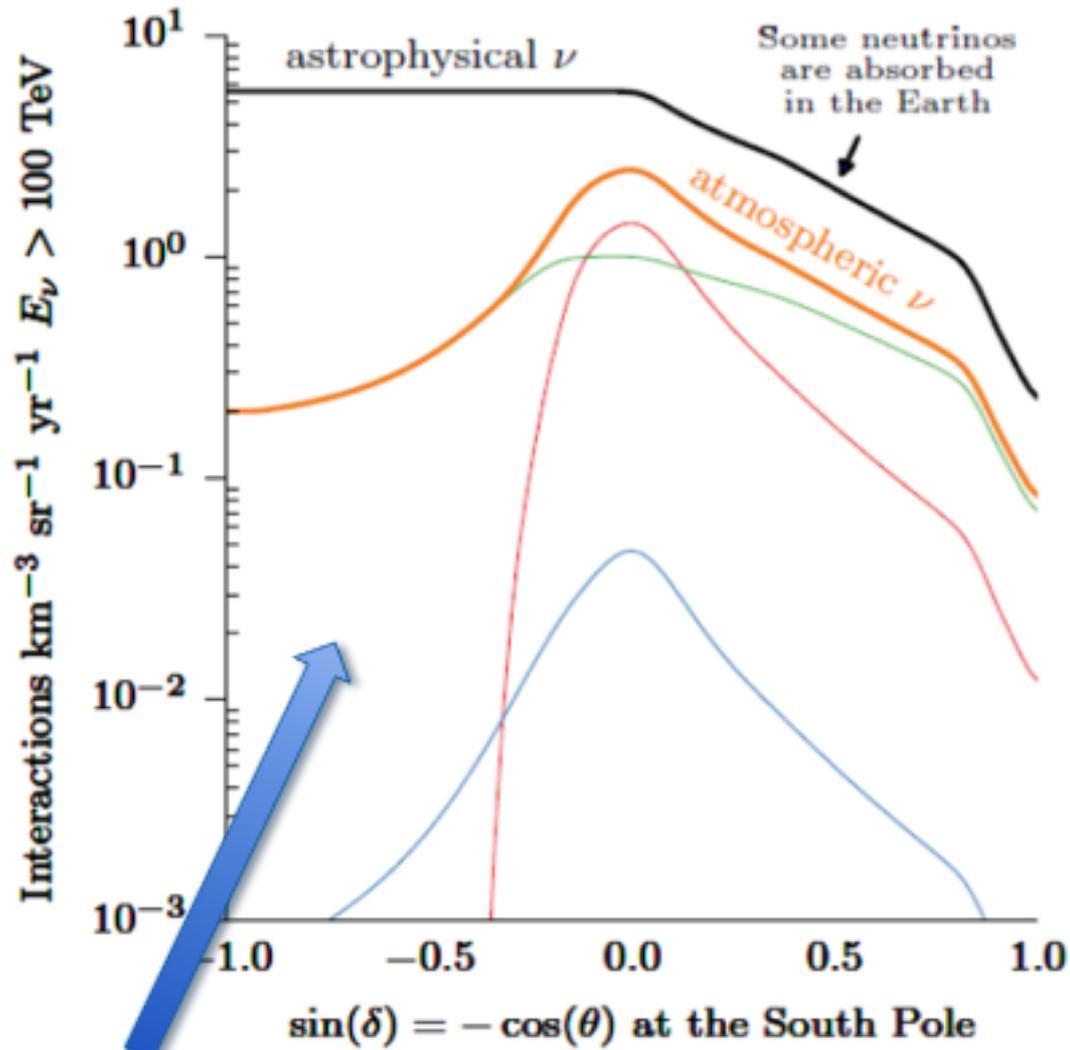
-> no atmospheric background

**2013++:** Reducing atmospheric background with veto in top of the detector

-> **H**igh **E**nergy **S**tarting **E**vents (HESE)

-> Access to Southern Hemisphere





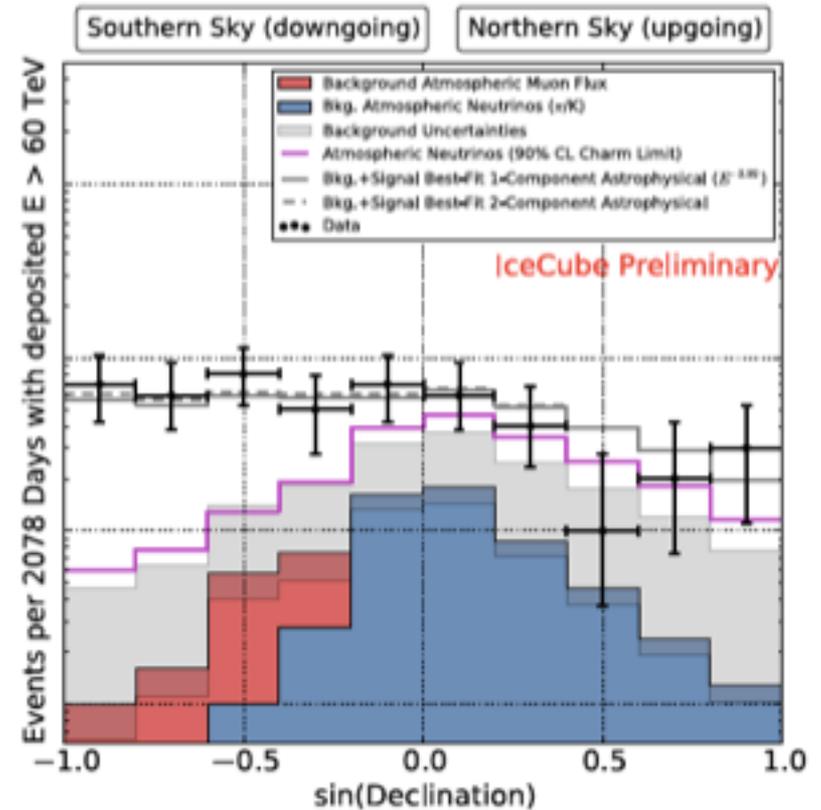
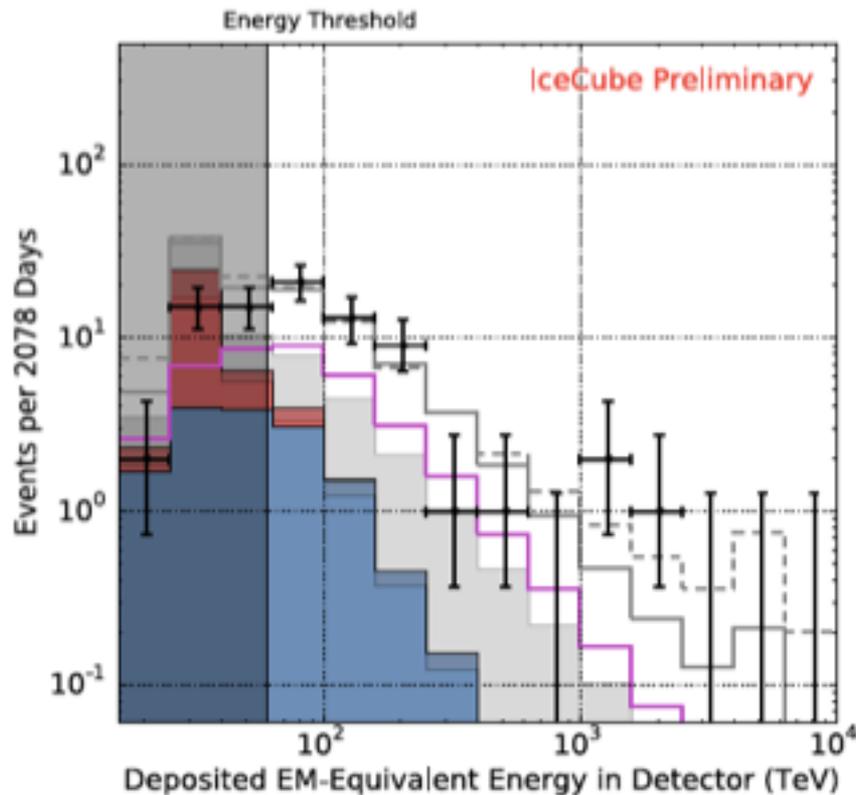
prompt flux  
 atmospheric  $\nu_\mu$   
 atmospheric  $\nu_e$

Atmospheric downward going neutrino background reduced with veto

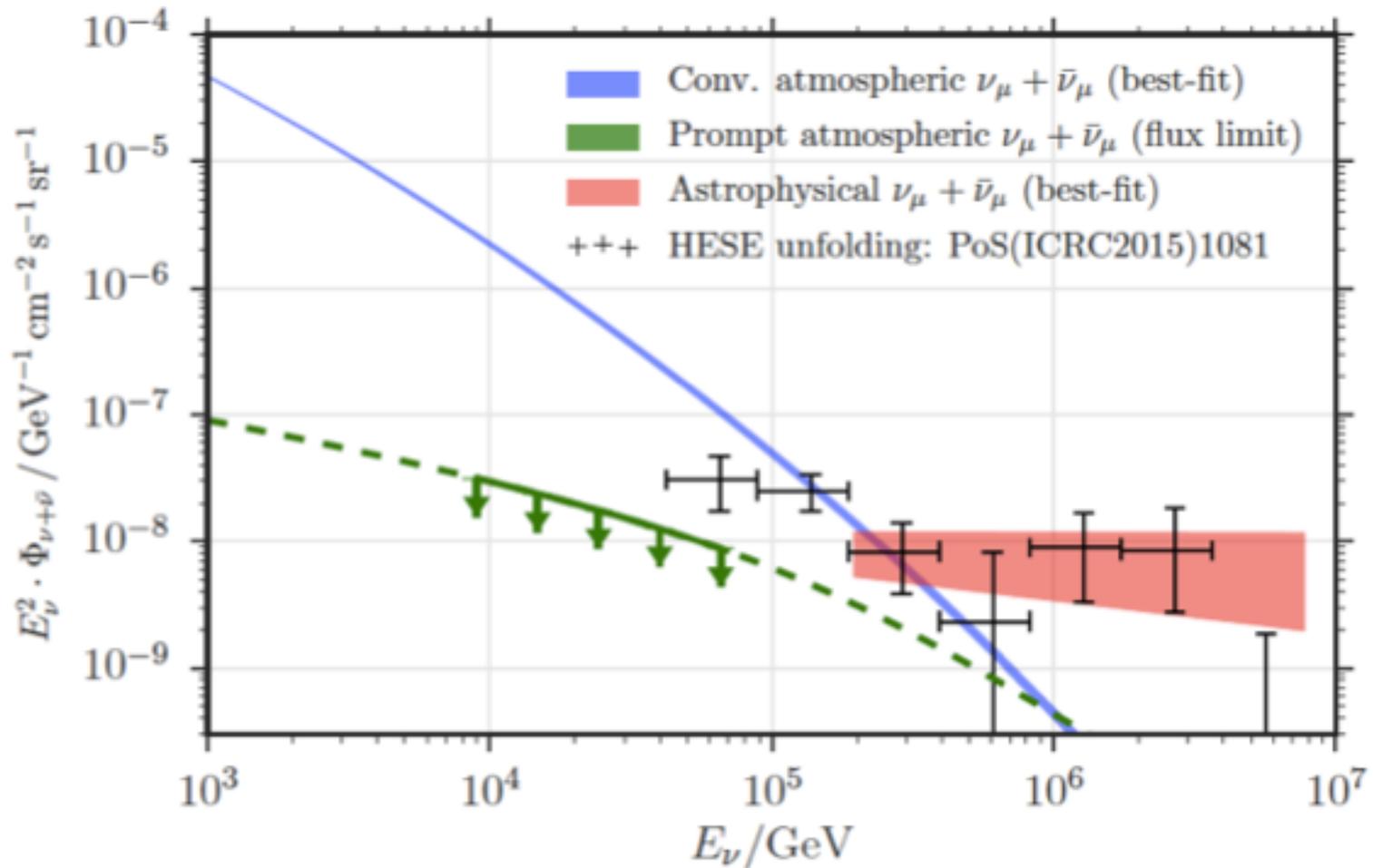
# HESE Energy distribution 6 years

2 yrs 28 evts  $4.1 \sigma$   
3 yrs 37 evts  $5.9 \sigma$   
4 yr 54 evts  $\sim 7 \sigma$   
6 yr 82 evts

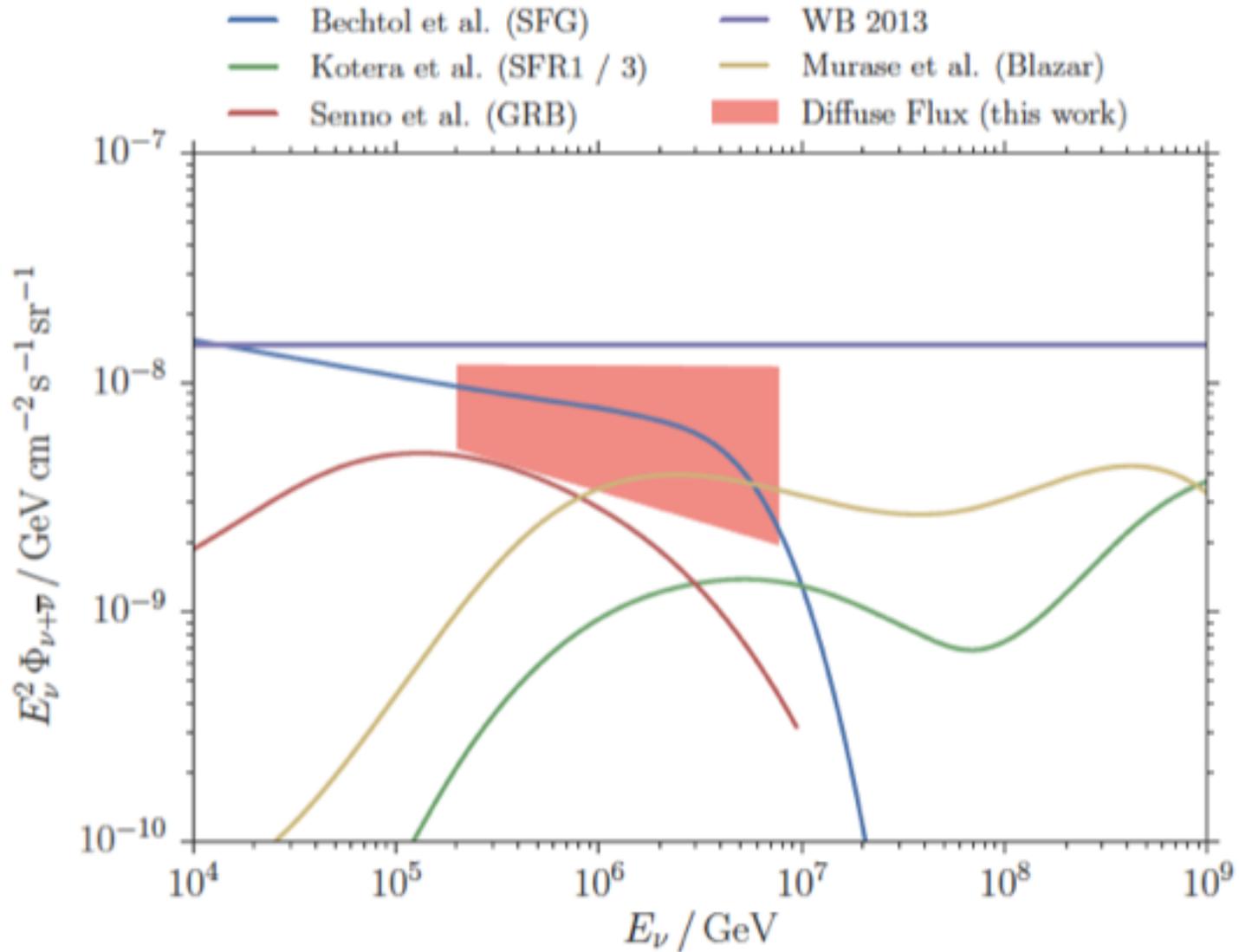
6 year analysis with 10 TeV threshold



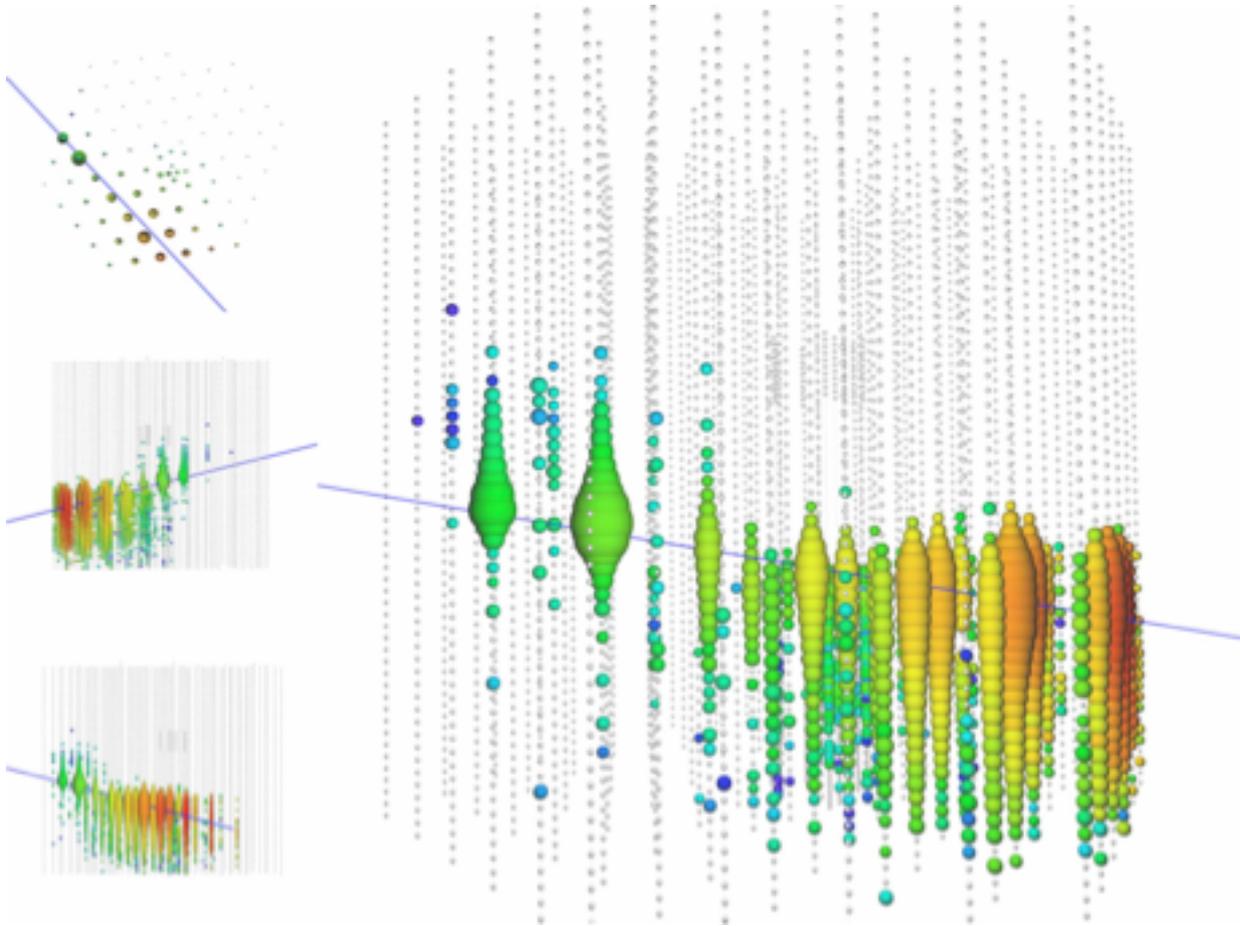
# IceCube Diffuse flux analysis using throughgoing muons



# Comparison of different models



# The highest energy event (track)



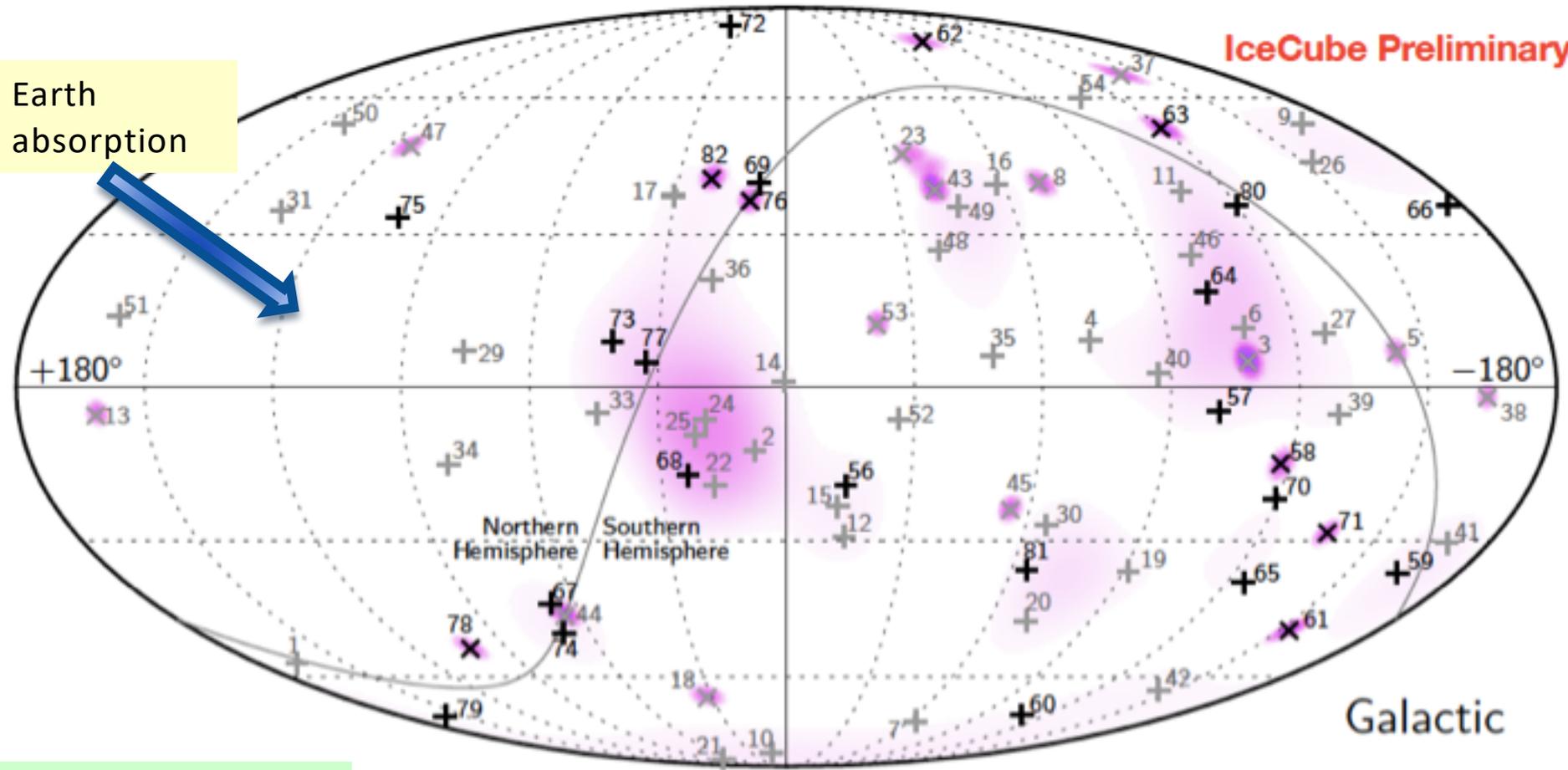
Deposited energy  $2.6 \pm 0.3$  PeV

Most probable  $\nu$  energy  $\sim 7$  PeV

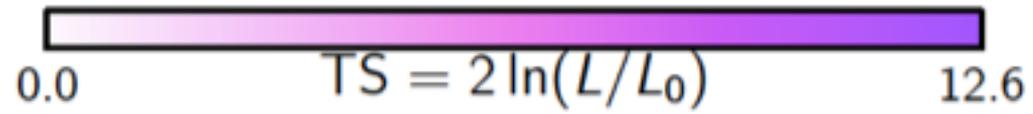
# Skymap for high energy neutrinos (IceCube, ICRC 2017)

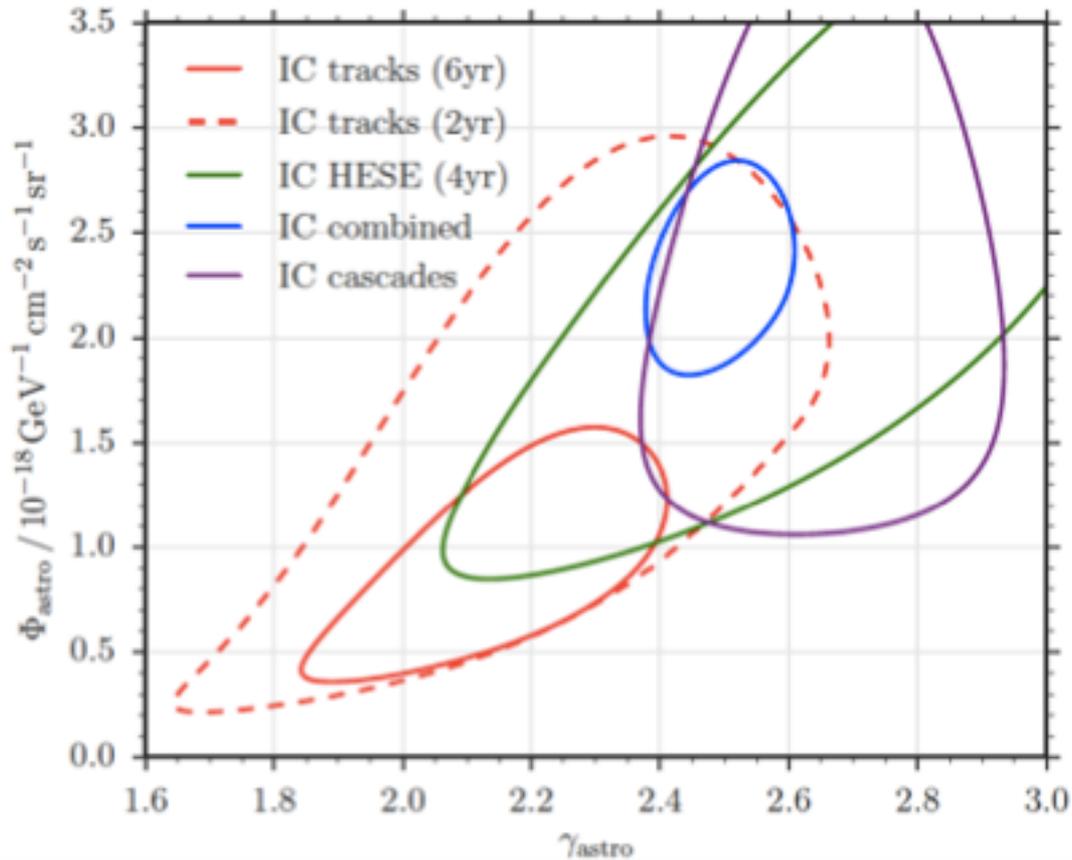
Earth absorption

**IceCube Preliminary**



no statistically significant clustering  
-> isotropic  
-> extragalactic





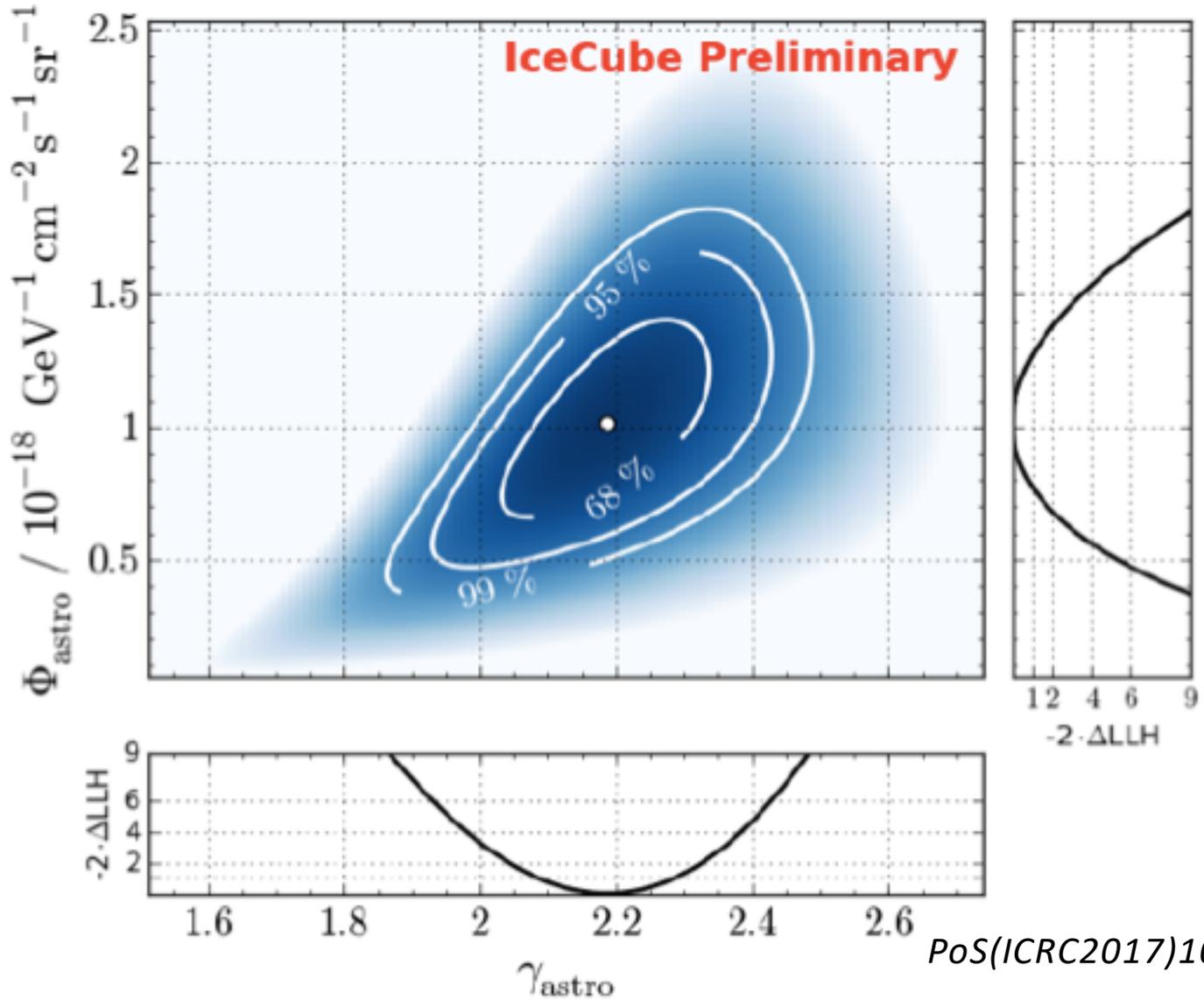
Single Power Law in  $3.3 \sigma$  tension  
between HESE events and throughgoing muons

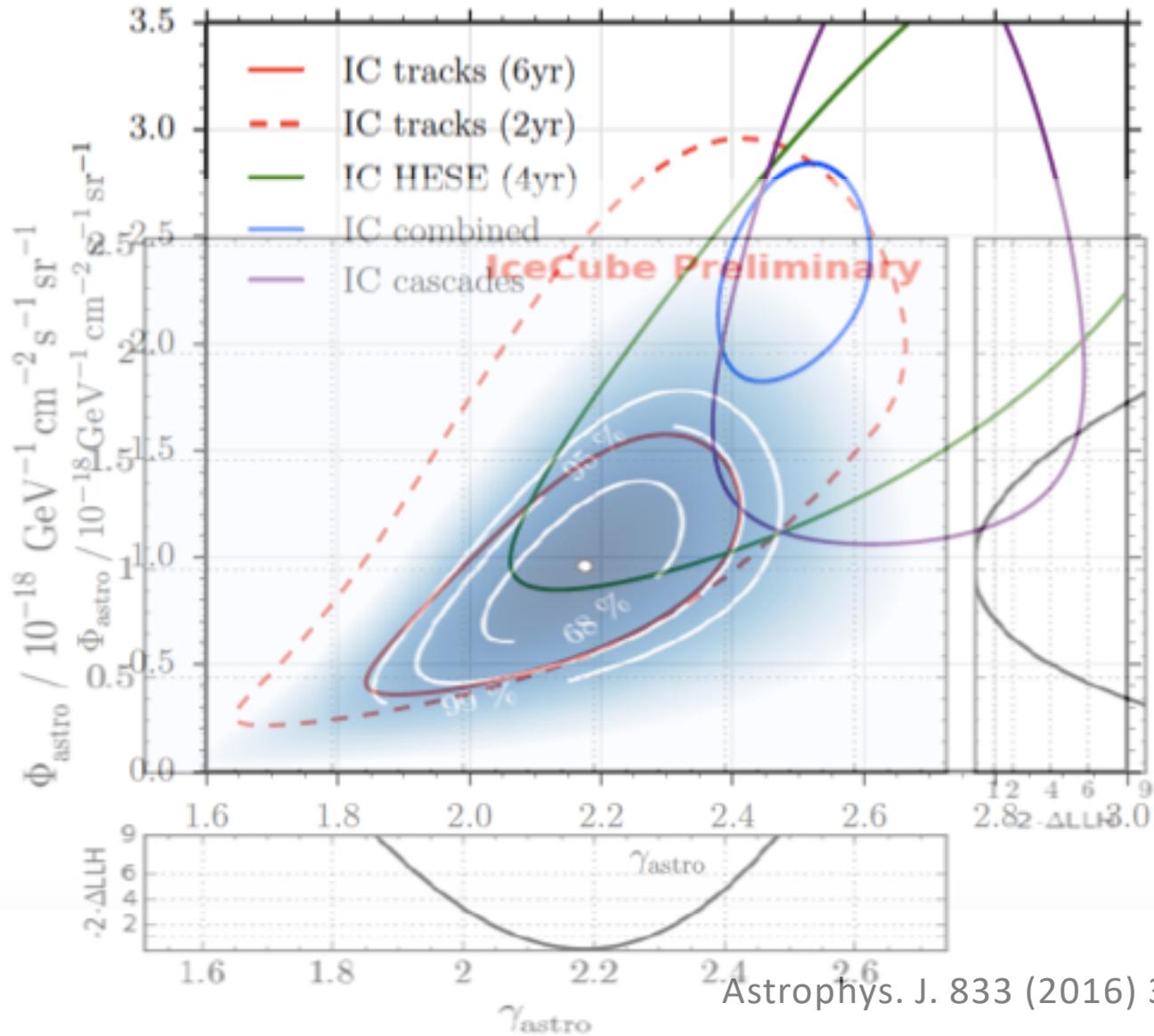
*Astrophys. J.* 833 (2016) 3

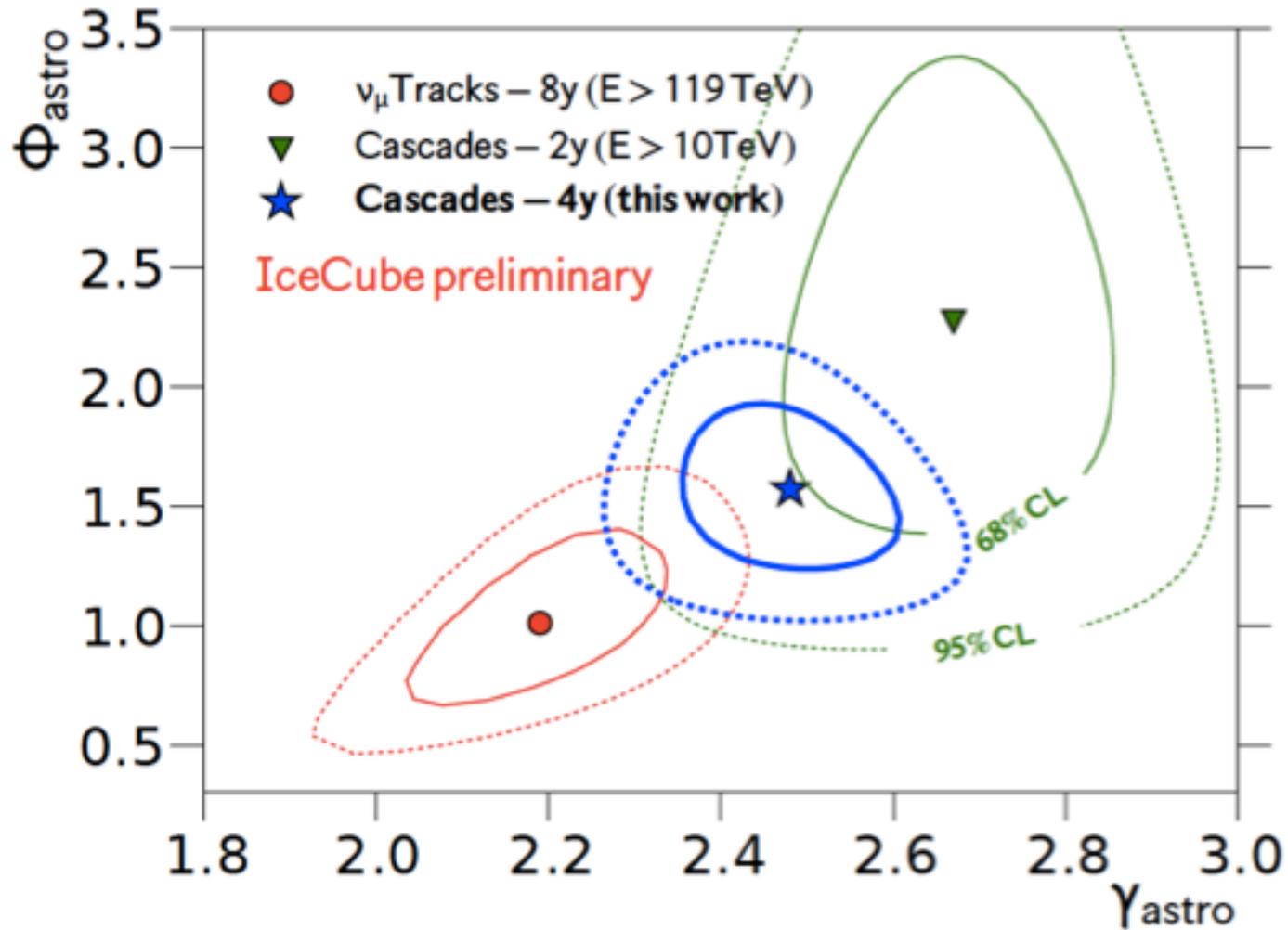
Could be e.g. explainable by

- the different energy ranges of the two event samples (assuming broken power law)
- the different sky coverage of the two event samples (different impact of galactic or other non-isotropic emission)

Recent 8 year track analysis



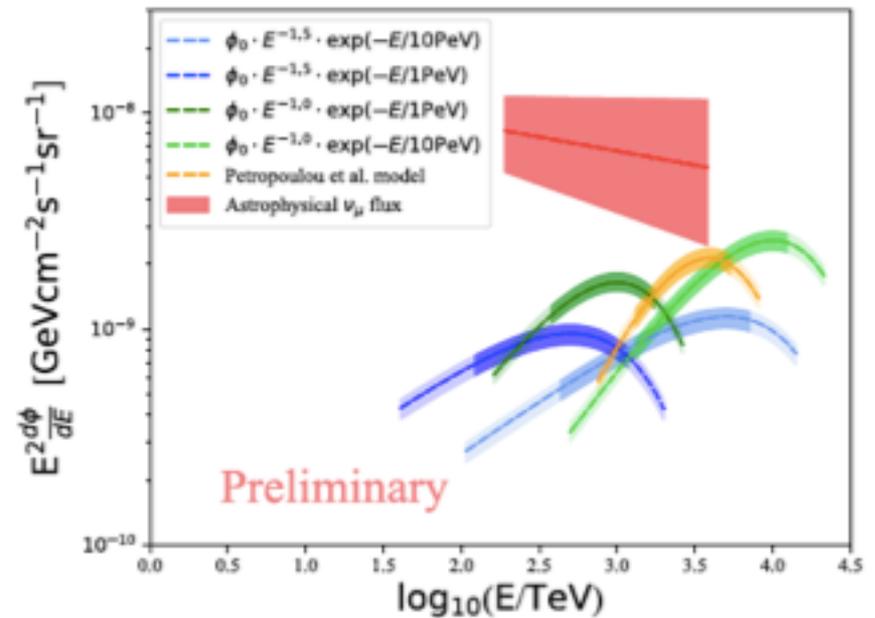
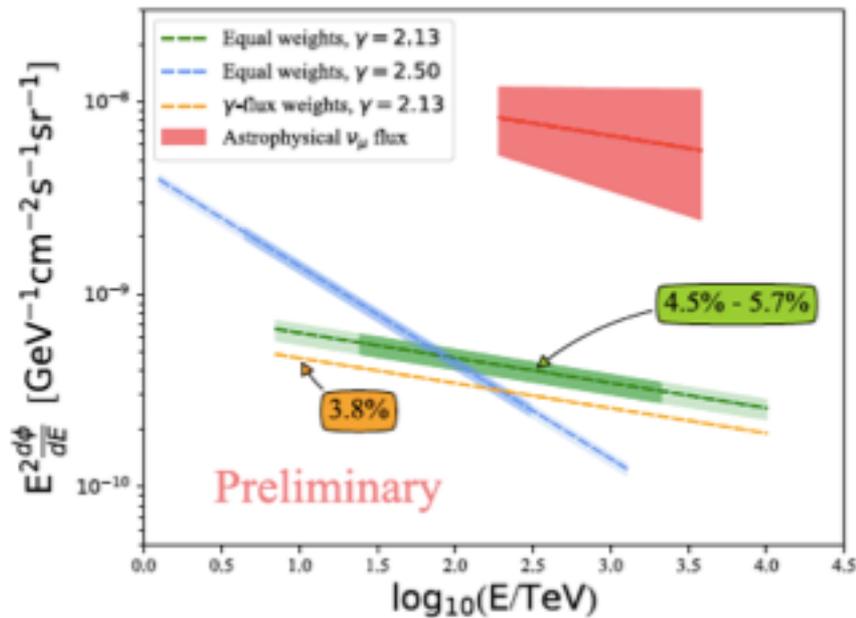




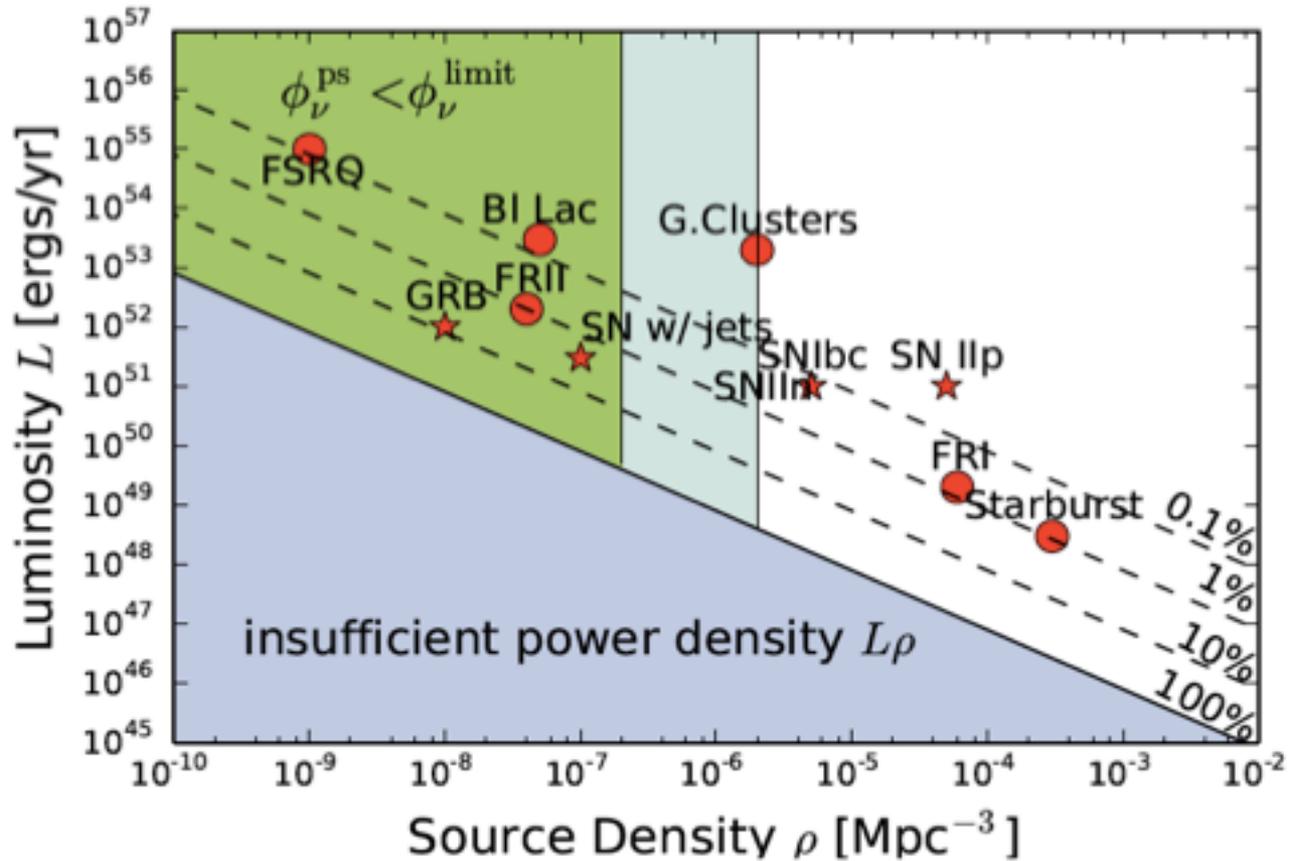
Tension already reduced ( $p=0.04$ )

# Checking on source classes

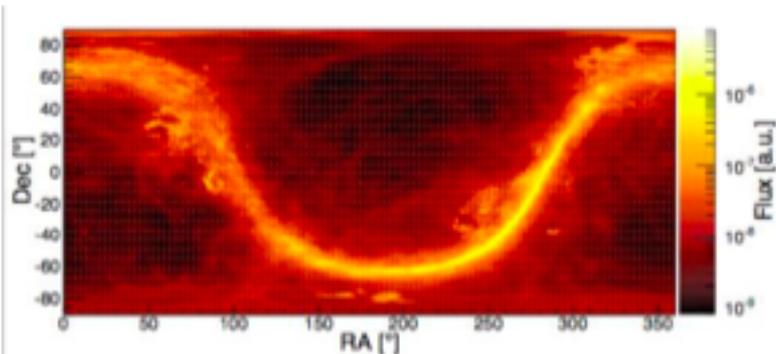
Example 7 year of IC data, stacking of Blazars from Fermi 2FHL HBL catalogue



# Constraints on contributions of different source populations



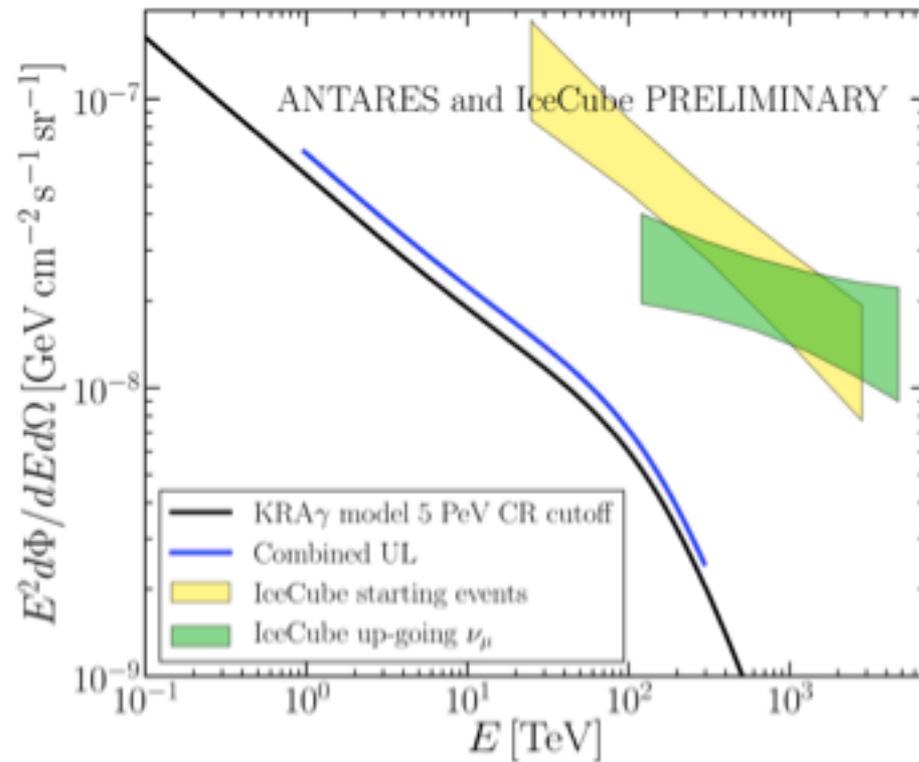
# Constraints on the flux from Galactic Plane (IceCube and ANTARES common analysis)



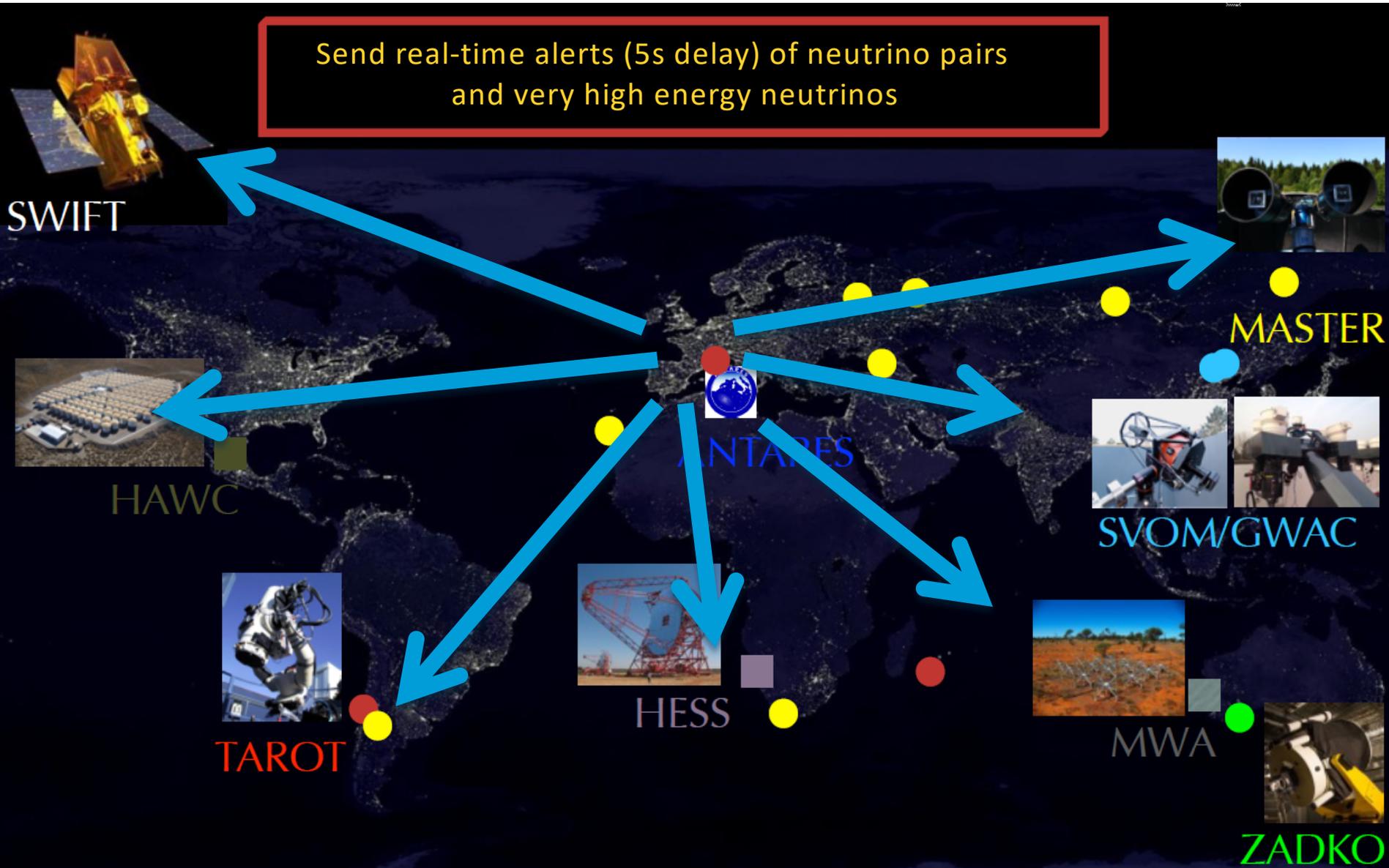
(a) KRA- $\gamma$  (50 PeV cutoff) template

KRA model describes cosmic ray interactions with interstellar medium  
=> corresponding neutrino flux

Limits close to the model expectation



# Multi-messenger connections (online and offline)



Shown for ANTARES, similar for IceCube

# Multi-messenger connections (online and offline)



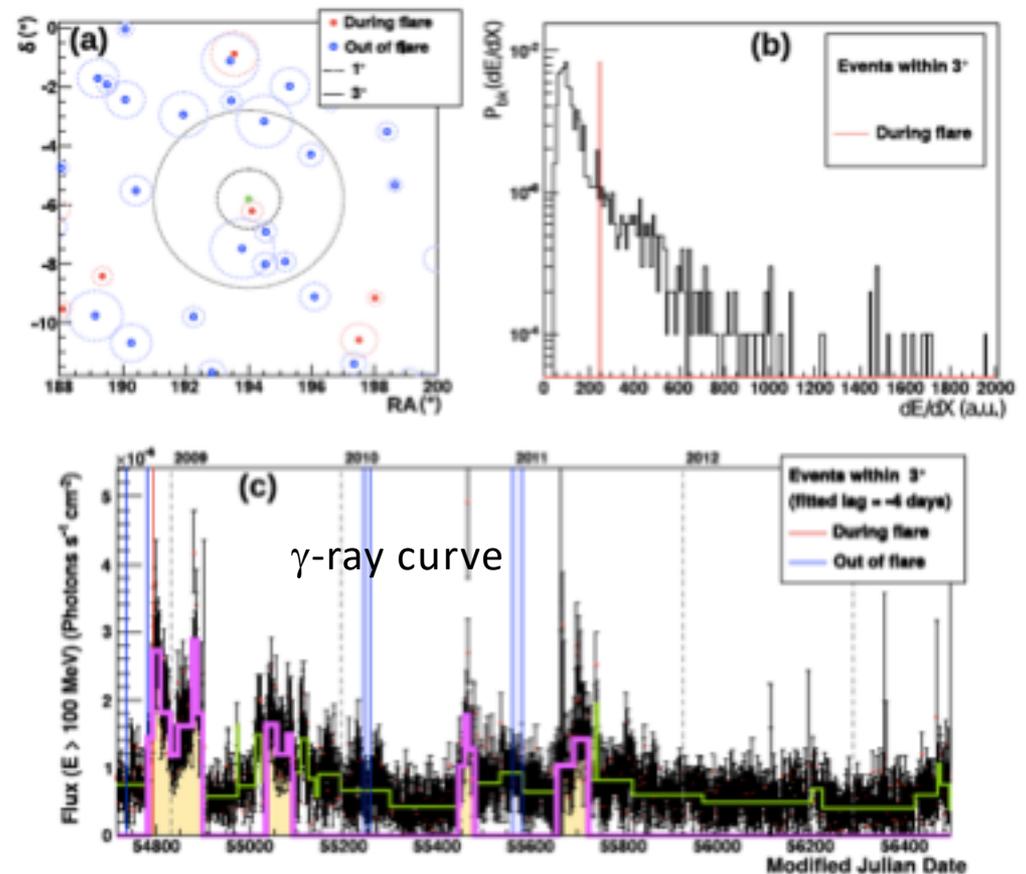
# Transient studies: Example of flare analysis (ANTARES)

Most significant correlation with blazar 3C 279  
1 event in spatial/temporal neighbourhood  
3.3% probability (post-trial probability 67%)

Both IceCube and ANTARES:

No significant correlation  
seen with X-ray / gamma-ray  
flares of selected sources

No significant flare in all sky



# IceCube high energy alerts

**April 2016-End of 2017:**

6 EHE alerts, 8 HESE alerts (1 overlapping event)

**Interesting Alert 22 September 20:55 EHE-170922A**

**Followup:**

Integral, ANTARES, HAWC, HESS: no detection

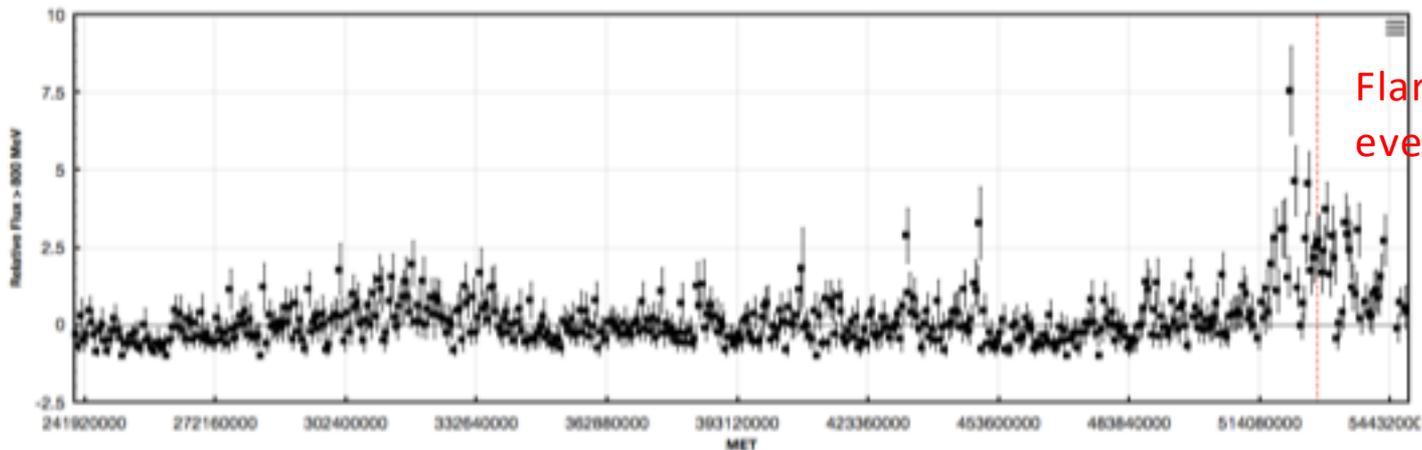
SWIFT XRT: 9 X-ray emitters

FERMI: increased gamma-ray activity of TXS 0506+56

MAGIC: detection of VHE gamma rays

.... Many more observatories followed

High Energy Light Curve (800 MeV - 300 GeV)

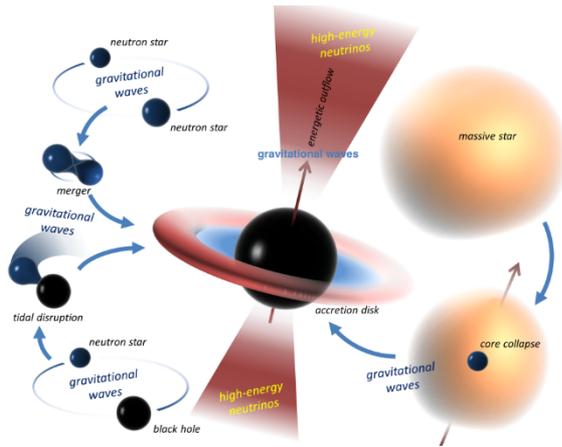


Flare with neutrino  
event closeby

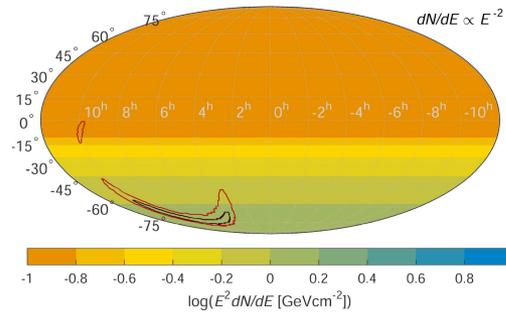
# Neutrino follow-up of GW150914, GW151226, LVT151012

joint ANTARES/IceCube/LigoSC/Virgo.

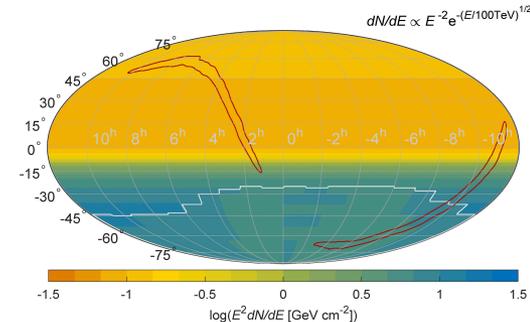
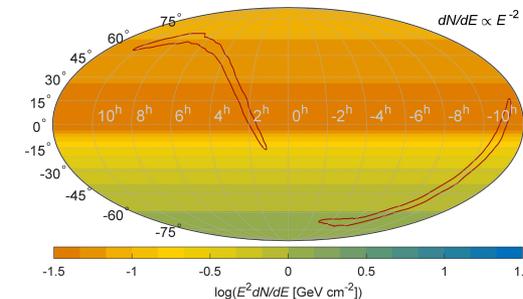
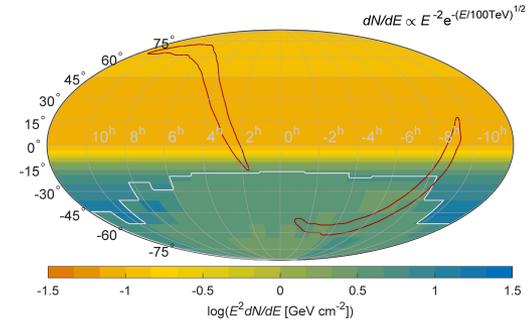
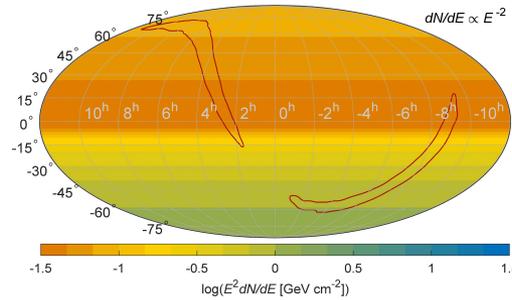
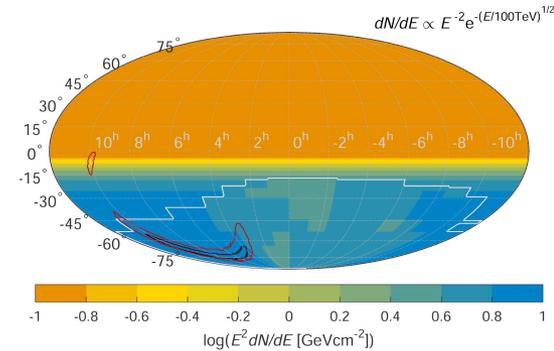
Phys.Rev. D93 (2016), 122010, Phys.Rev. D96 (2017), 022005



Limits for  $E^{-2}$

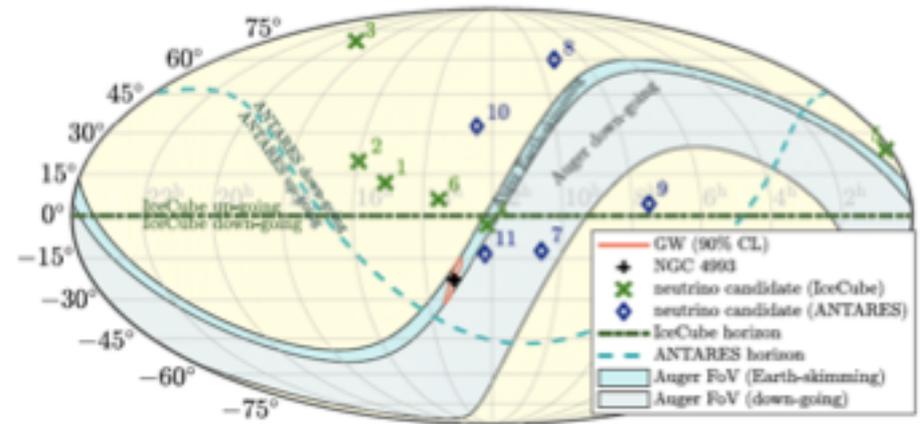
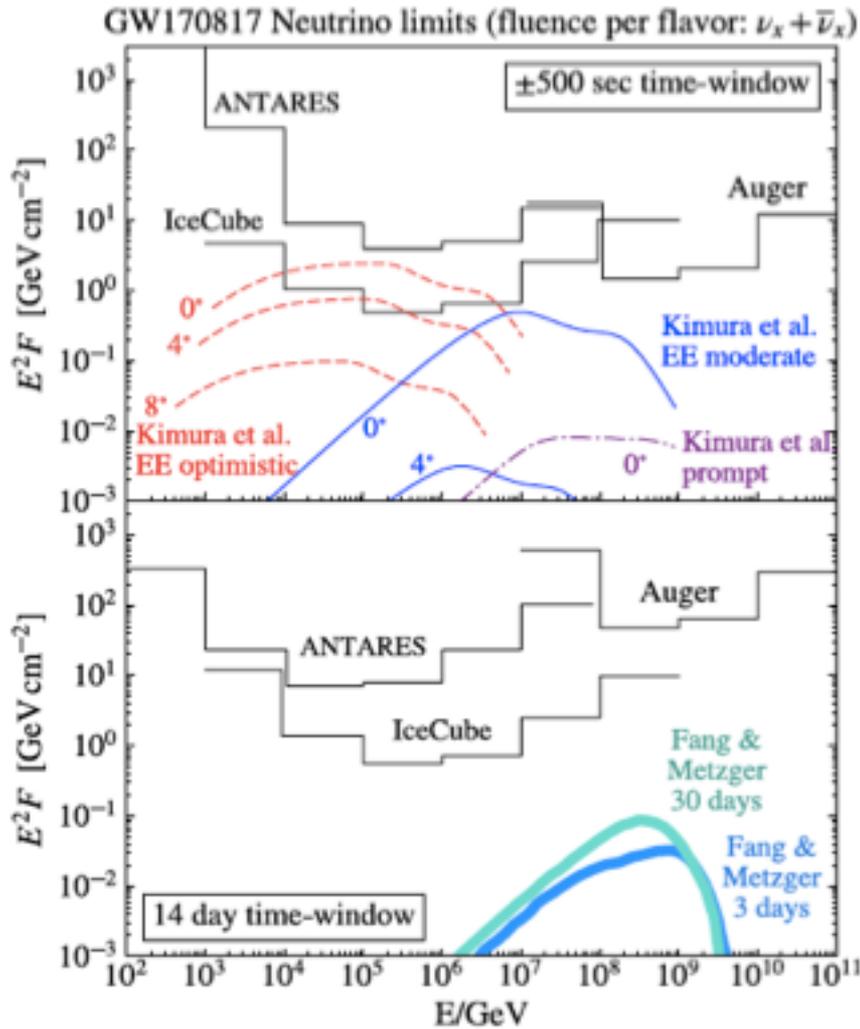


Limits for  $E^{-2} e^{-(E/100\text{TeV})^{1/2}}$



- From binary black hole mergers in a few environments neutrino emission expected - > could pinpoint source
- Within  $\pm 500$  s detected amount of events compatible with background:
- IC/ANTARES events: 0/3 (GW150914), 2/1 (GW151226), 4/0 (LVT151012)
- Limits from ANTARES dominate for  $E\nu < 100$  TeV

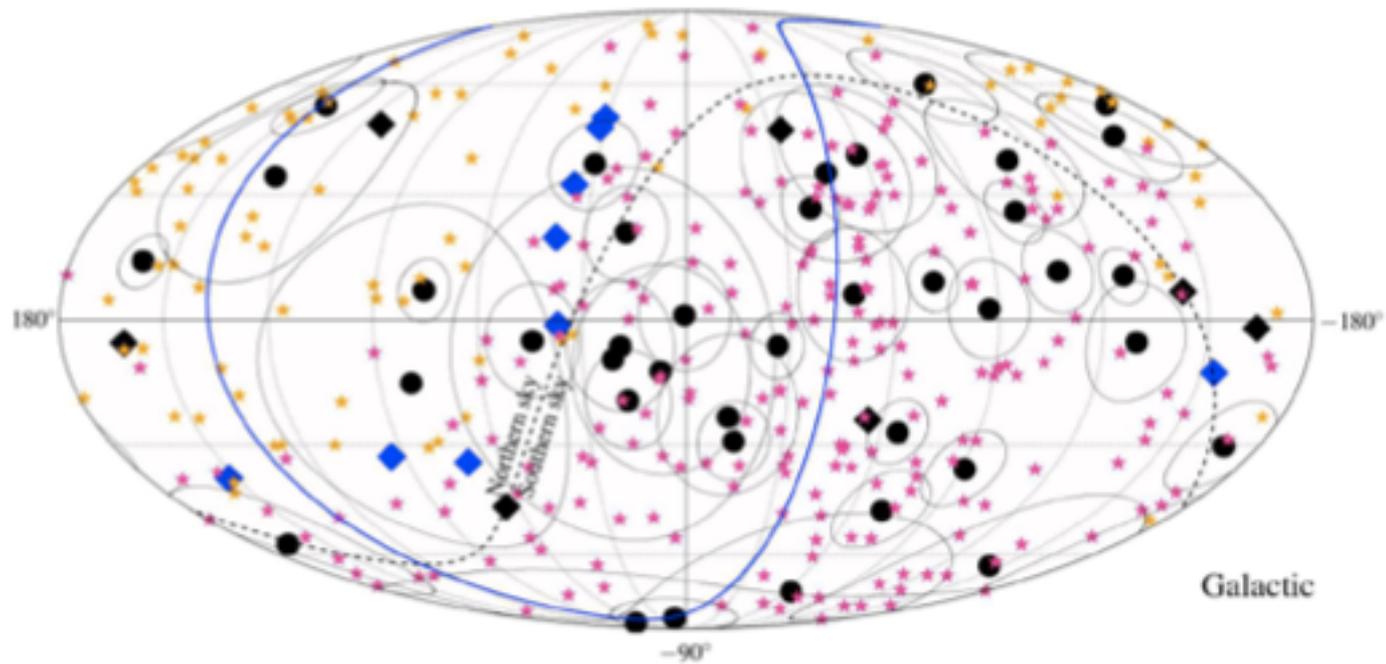
# Search for neutrinos from GW170817



No events detected

Model predictions vary largely  
-> consistent with expectation

# Search for event correlations IceCube-Pierre Auger-TA

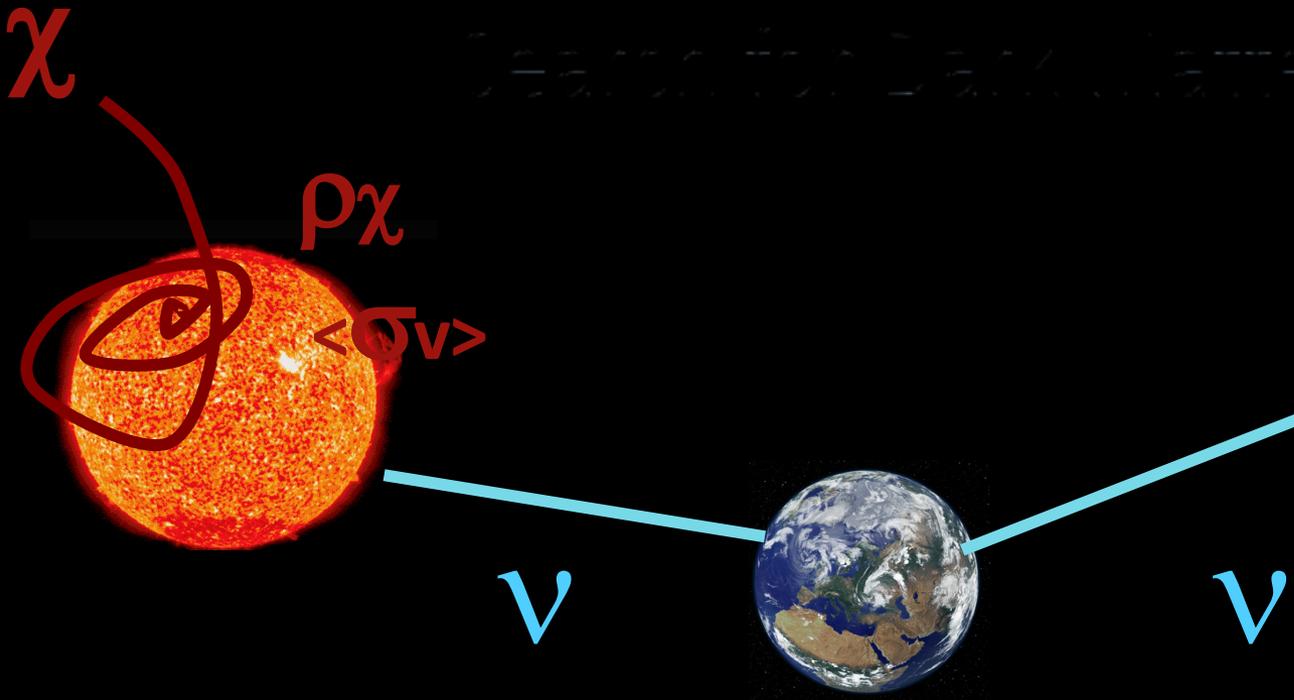


No significant correlations found

Most significant correlation for  
IC cascades with UHECR

- IceCube cascades (dots)
- IceCube HESE tracks (diamonds)
- IceCube throughgoing tracks (diamonds)
- Pierre Auger
- Telescope Array

# Dark Matter Dark Matter



- Dark Matter WIMPs accumulate in heavy objects (Sun, Galactic Center, Earth)
- Capture/Annihilation in equilibrium at the Sun core

# Dark Matter in the Sun

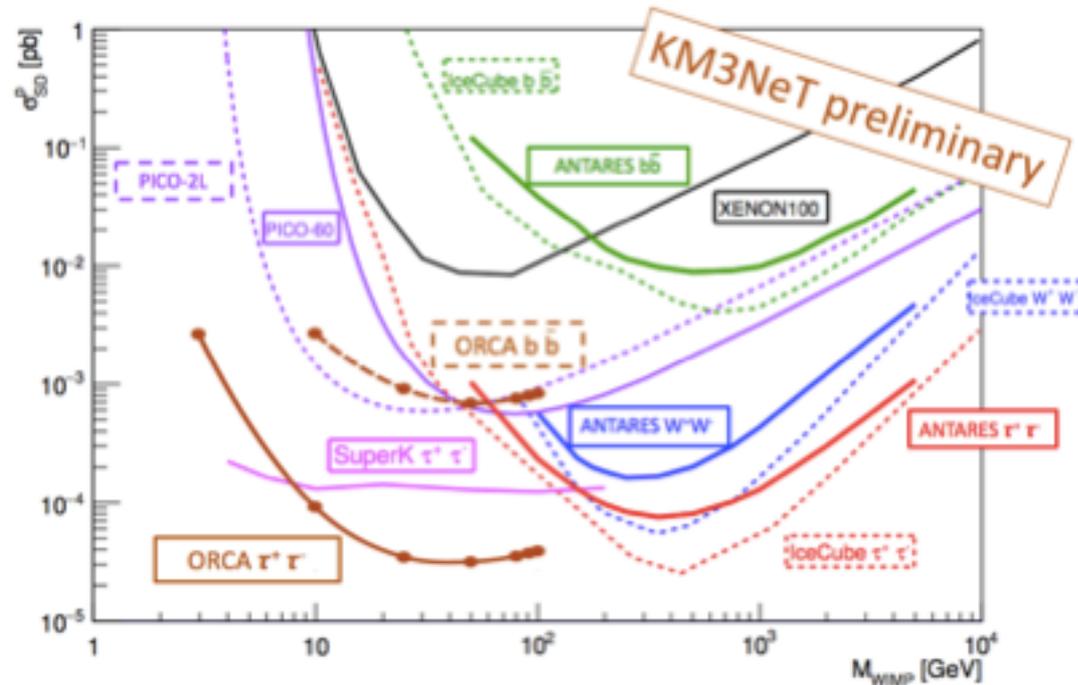
Accumulation and annihilation in massive objects

$$X_{\text{WIMP}} \bar{X}_{\text{WIMP}} \rightarrow b\bar{b}, W^-W^+, \tau^-\tau^+, \mu^-\mu^+, \nu\bar{\nu}$$

=> Look for excess in the direction of the Sun

=> Selection cuts tuned separately for different channels and WIMP masses

Spin dependent cross section

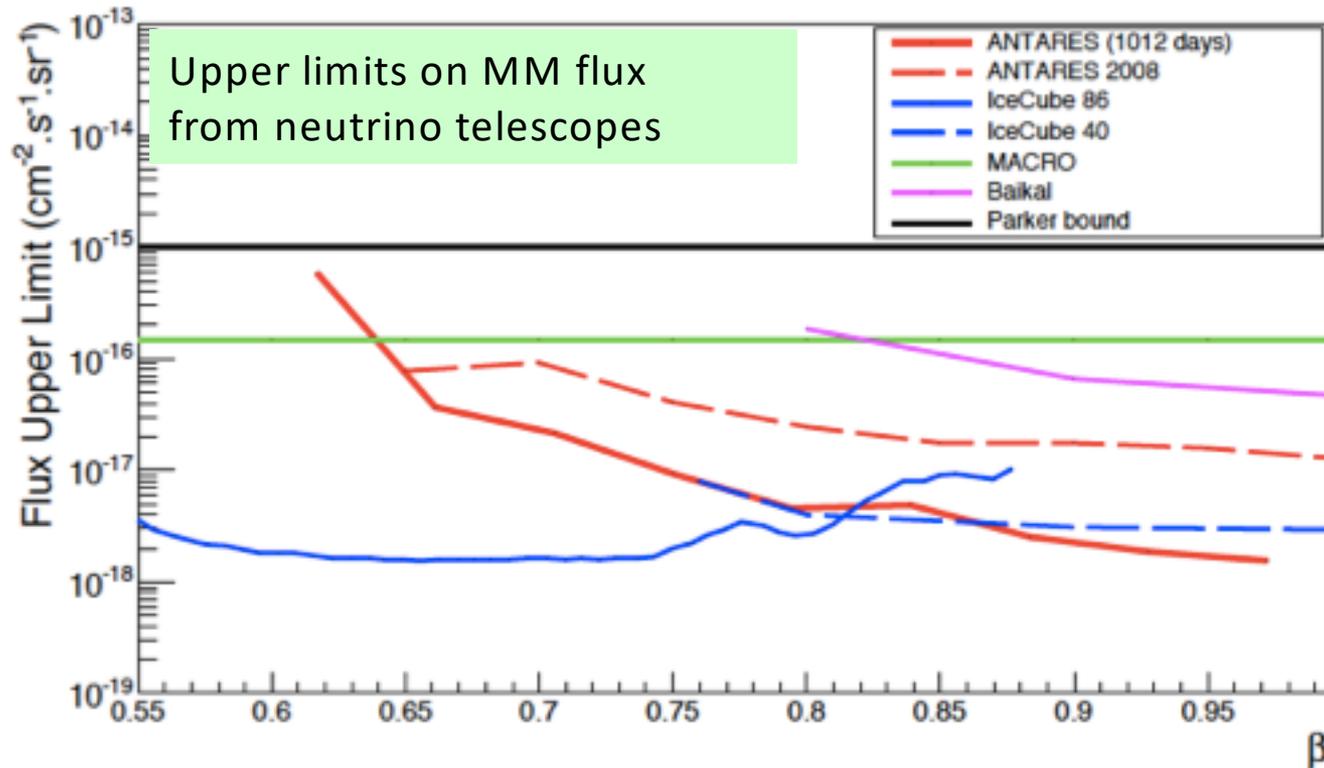


ANTARES results: PLB 759 (2016), 69

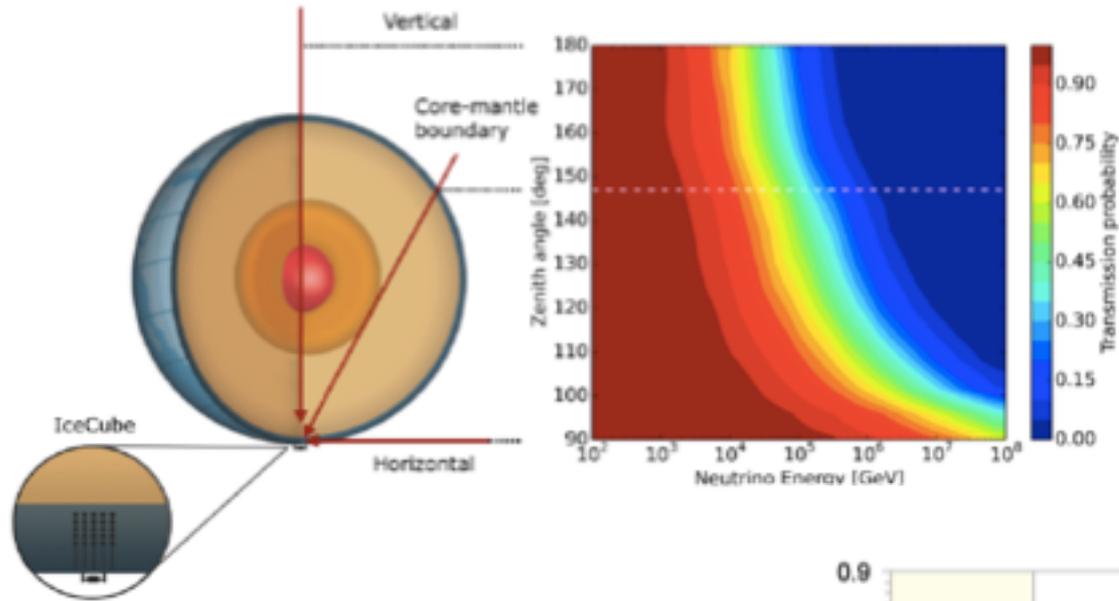
# Search for Magnetic Monopoles

MM produces significantly more light than a muon in the detector

=> Scan data for bright events

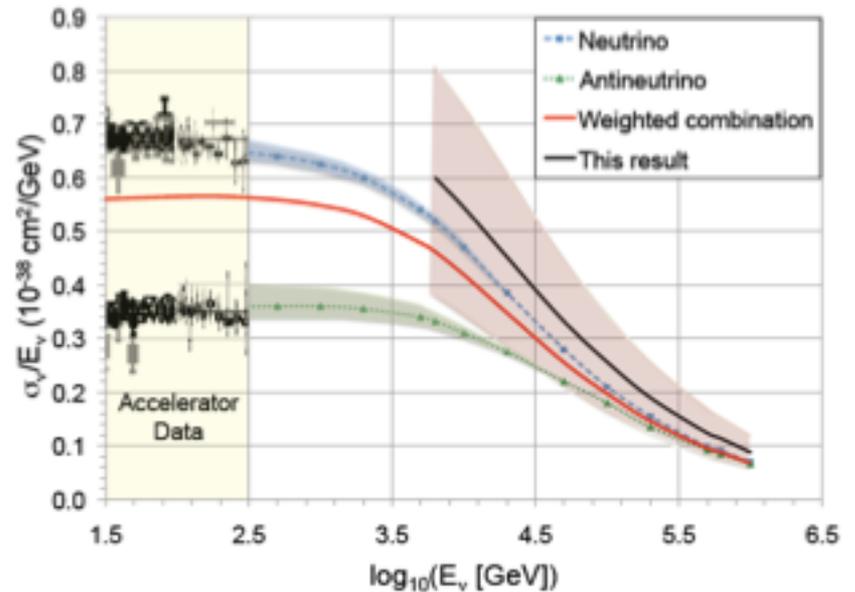


# Cross section at high energies



## IceCube

Fit to the expected absorption depending on the zenith angle and energy



# Neutrino Oscillations – IceCube DeepCore measurements

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - 4|U_{\mu 3}|^2 (1 - |U_{\mu 3}|^2) \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

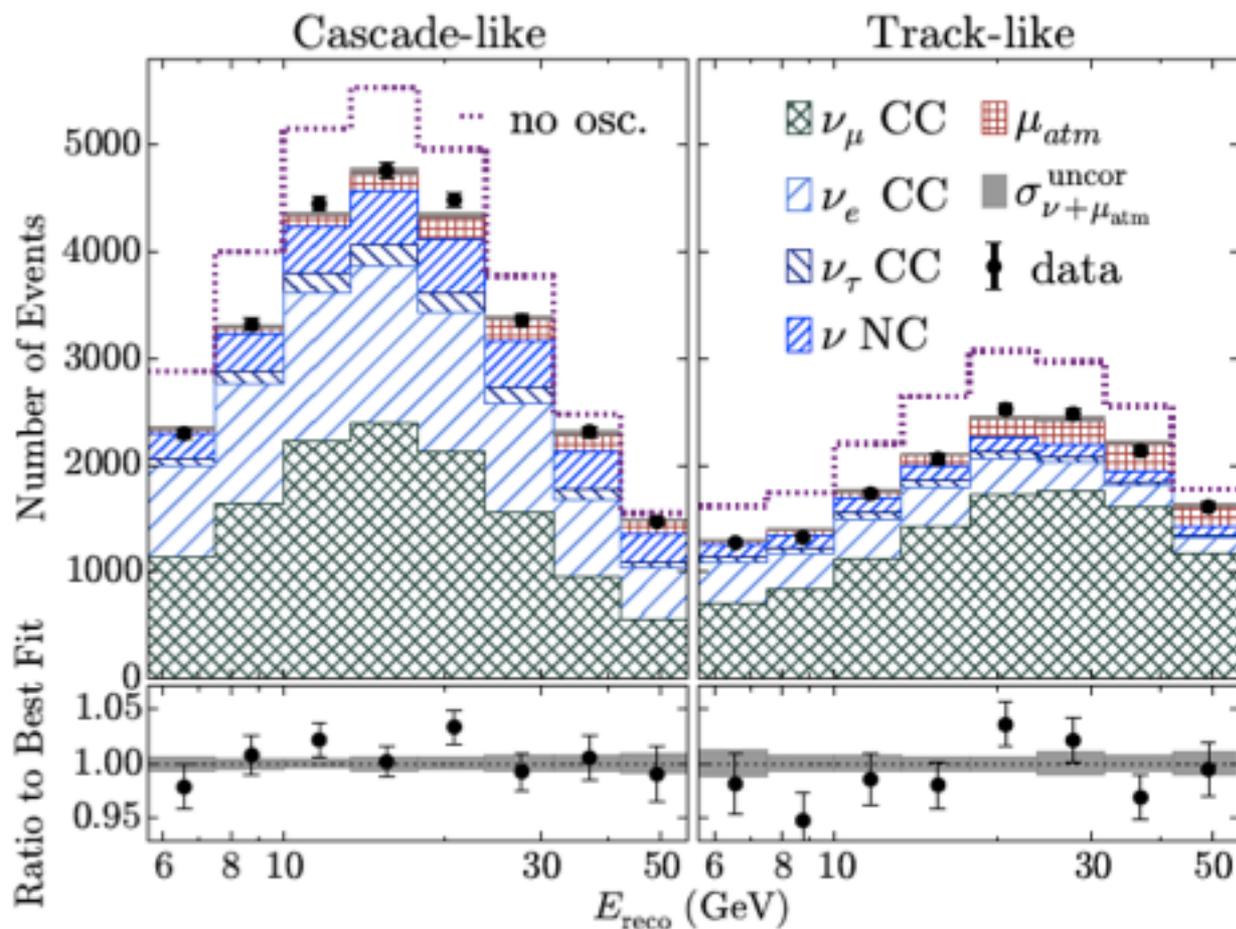
‘Sweet spot’ around 20GeV

Events contained within  
DeepCore selected

Zenith resolution:  
10 (16) degrees @10 GeV

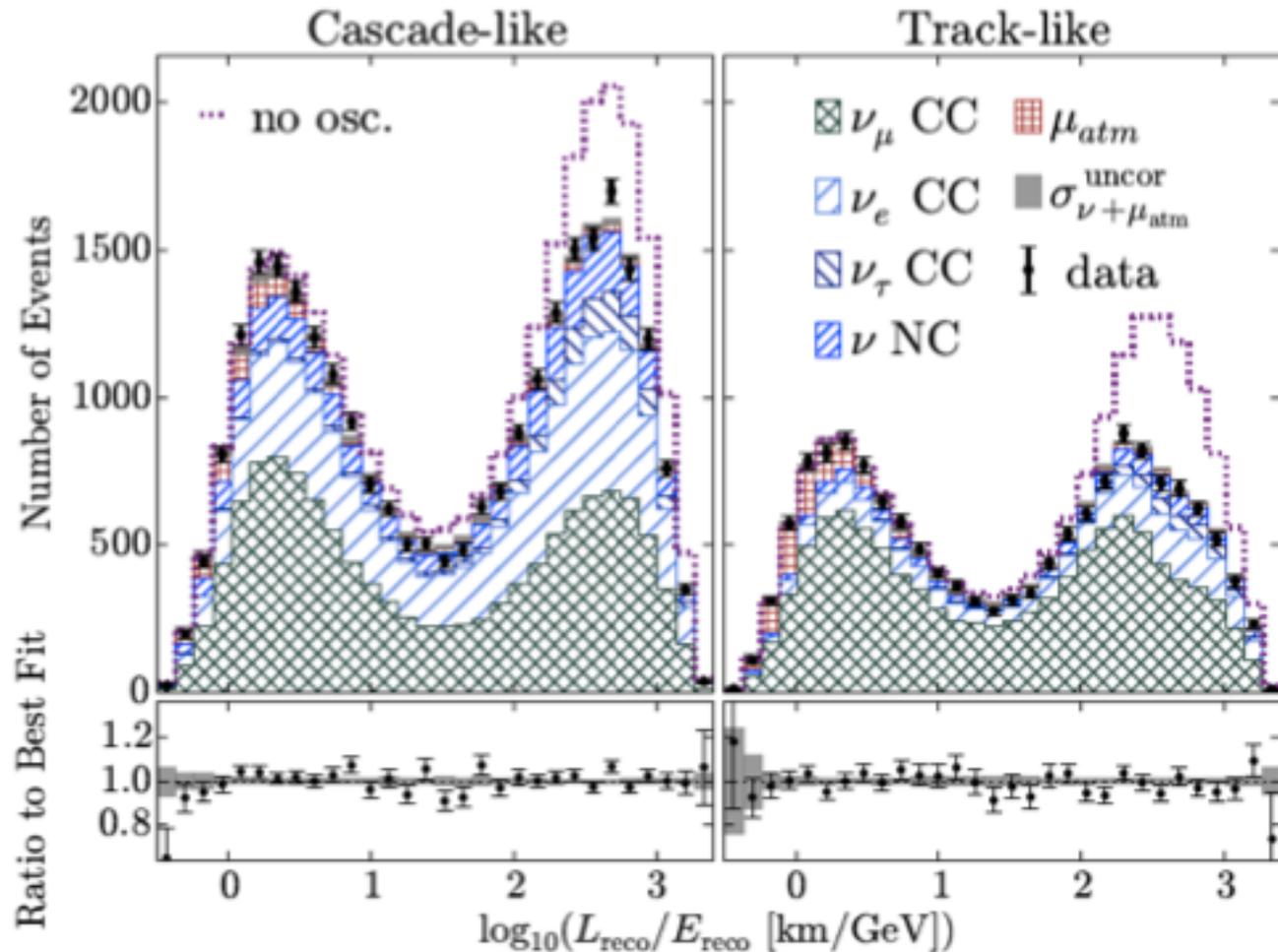
Energy resolution:  
24% (29%) @10 GeV

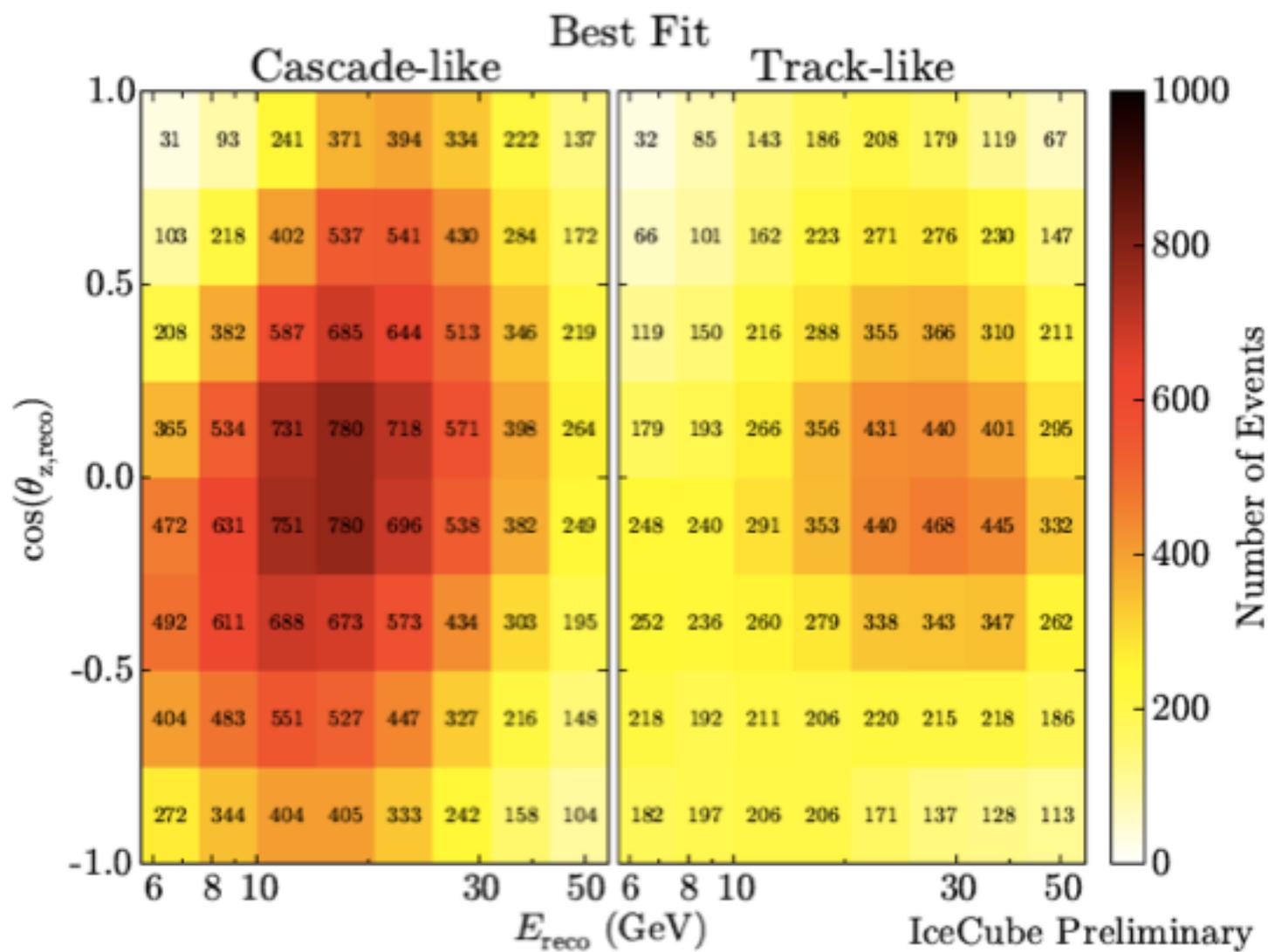
for Tracks (Cascades)



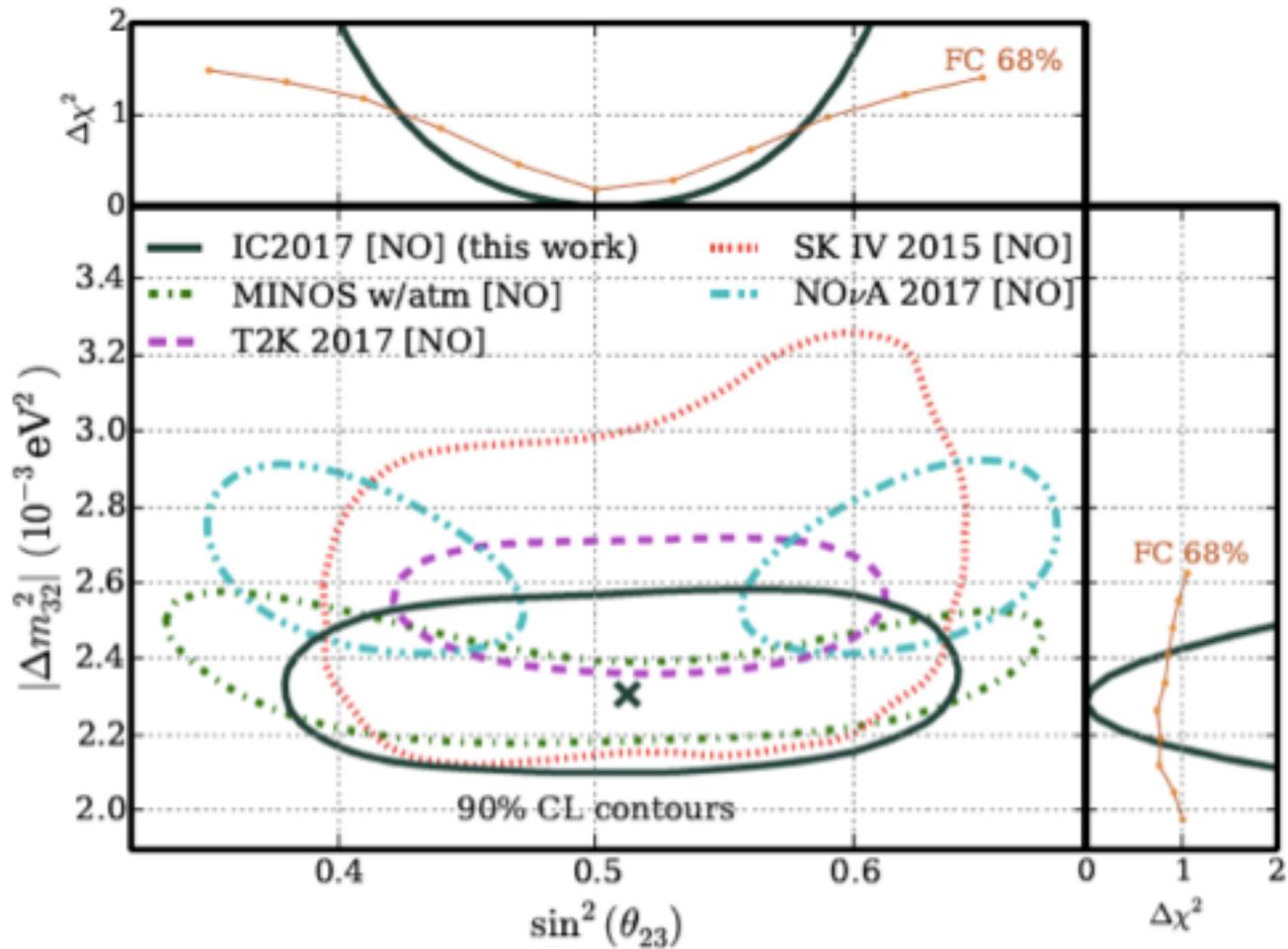
# Neutrino Oscillations – IceCube DeepCore measurements

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - 4|U_{\mu 3}|^2 (1 - |U_{\mu 3}|^2) \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

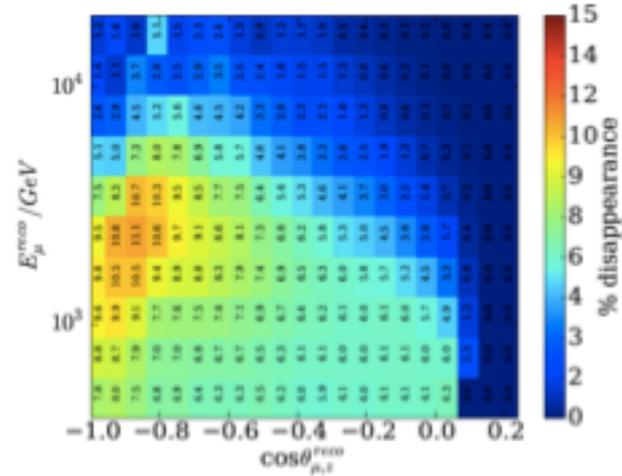
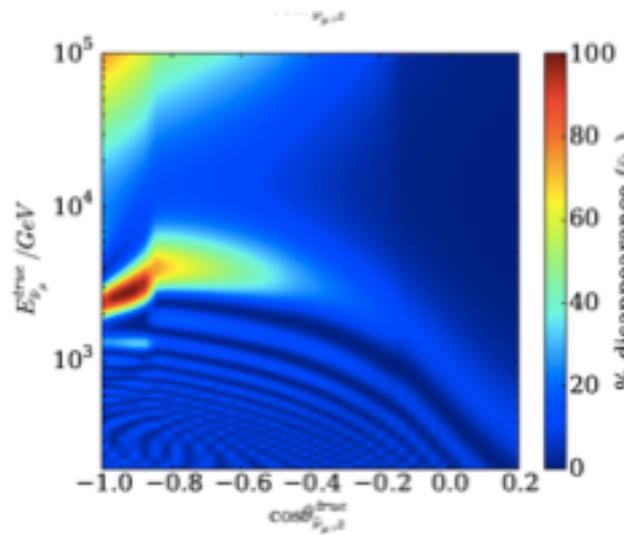
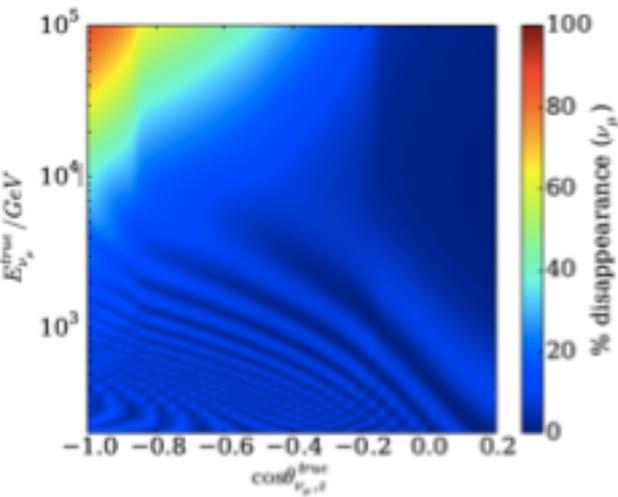
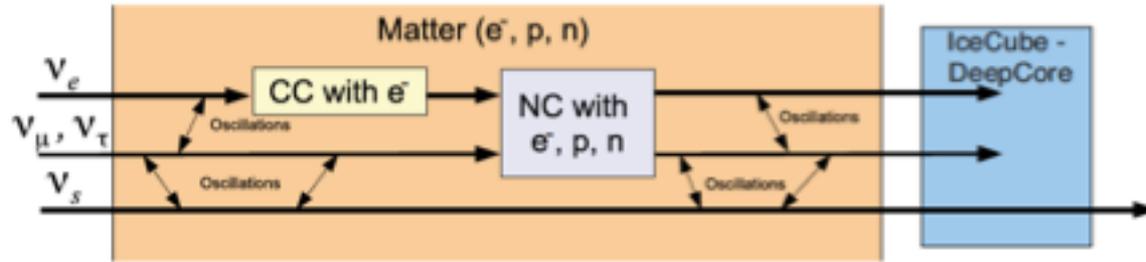




# Constraints on oscillation parameters

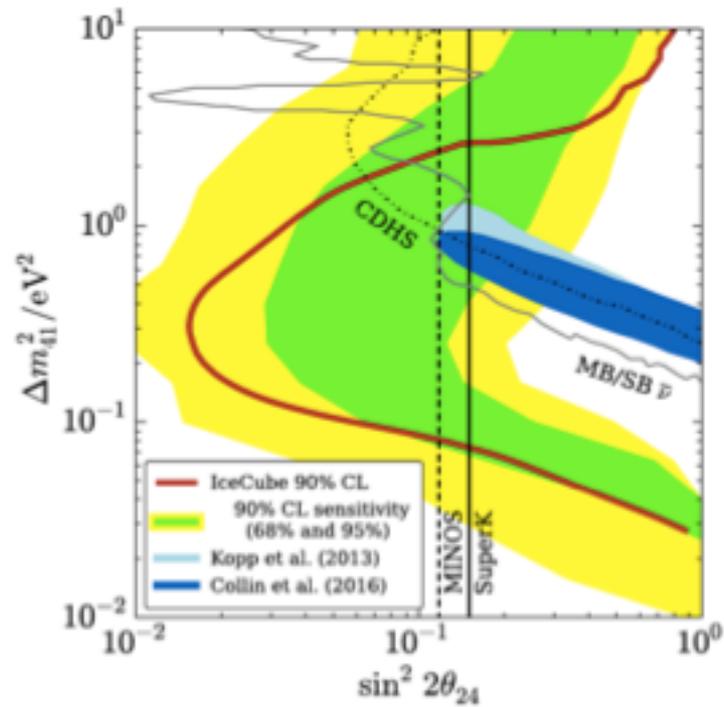
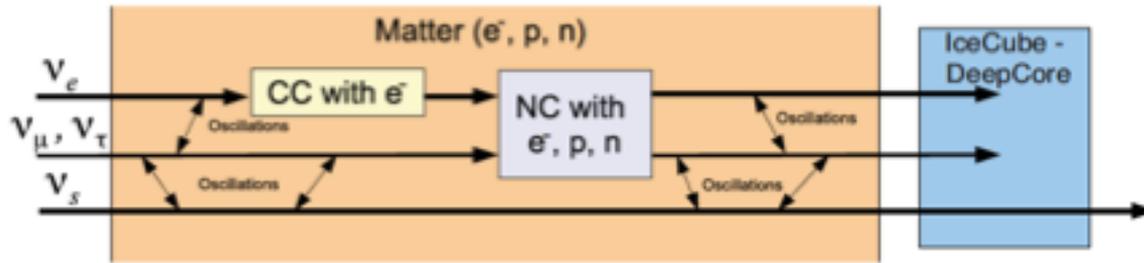


# Sterile neutrinos



*IceCube, Phys. Rev. Lett. 117, 071801 (2016)*

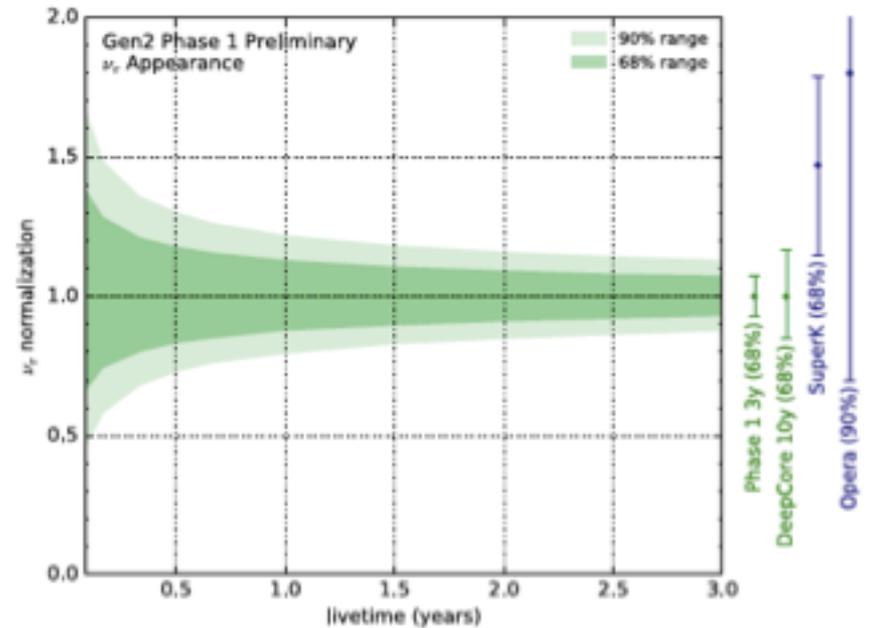
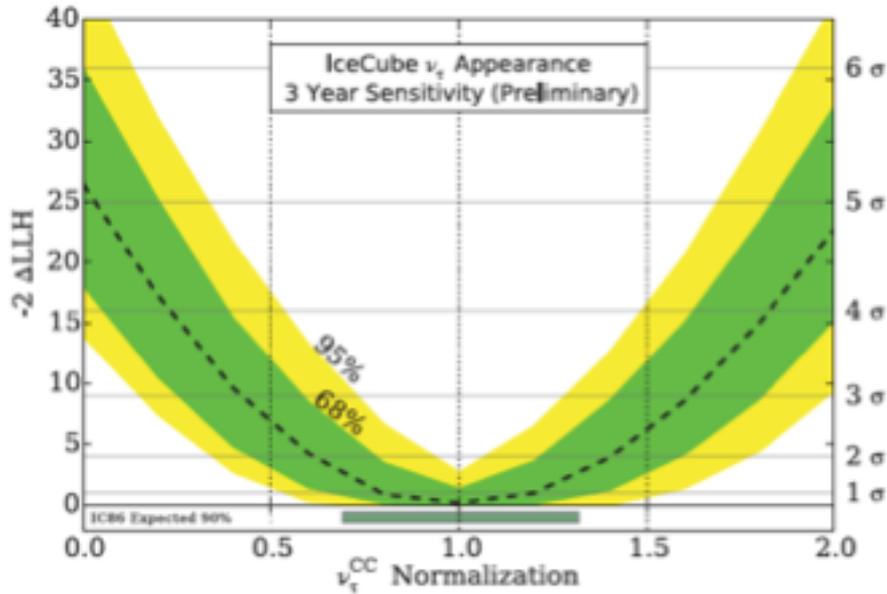
# Sterile neutrinos



# $\nu_\tau$ appearance

$\nu_\mu \rightarrow \nu_\tau$  measurement valuable to check unitarity of PMNS matrix

Prospects for IceCube/Deepcore and Phase-1

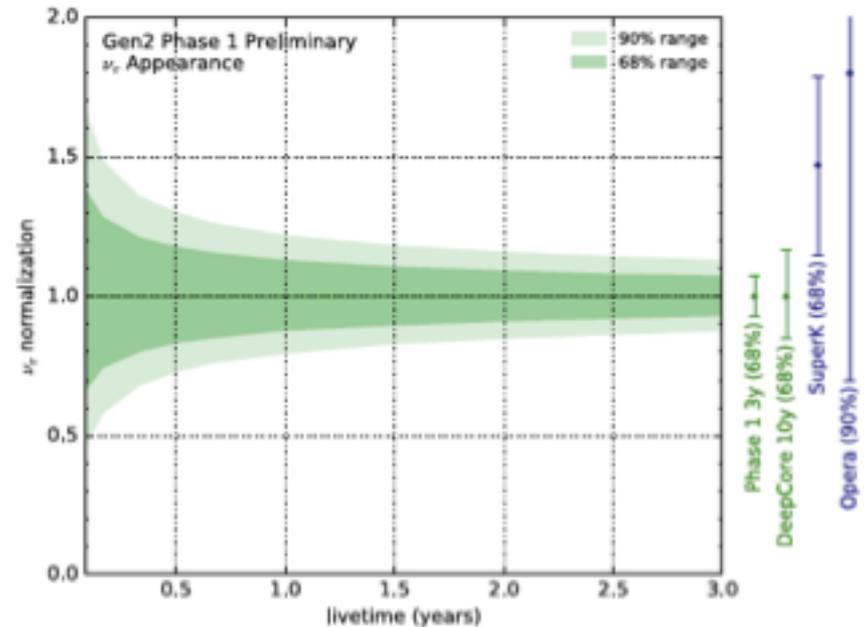
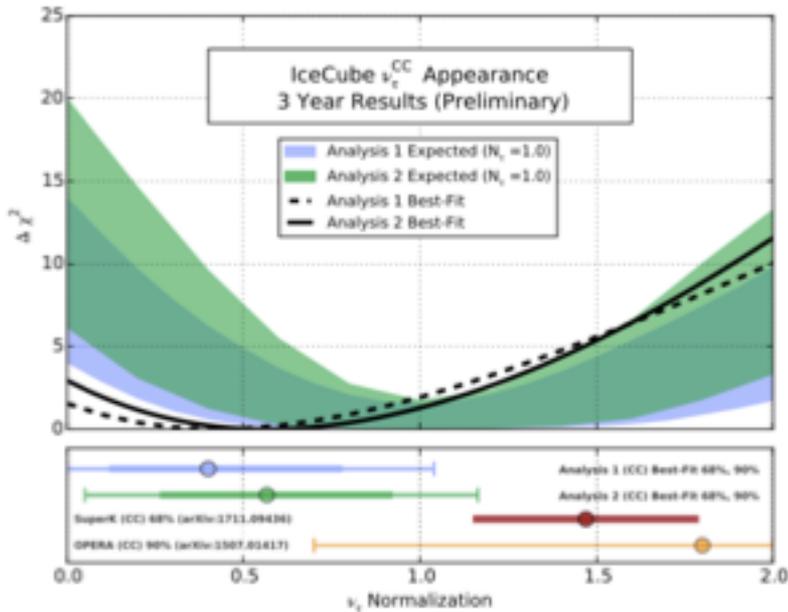


Koskinen (NEUTRINO 2016)

# $\nu_\tau$ appearance

$\nu_\mu \rightarrow \nu_\tau$  measurement valuable to check unitarity of PMNS matrix

Deepcore result and prospects for Phase-1



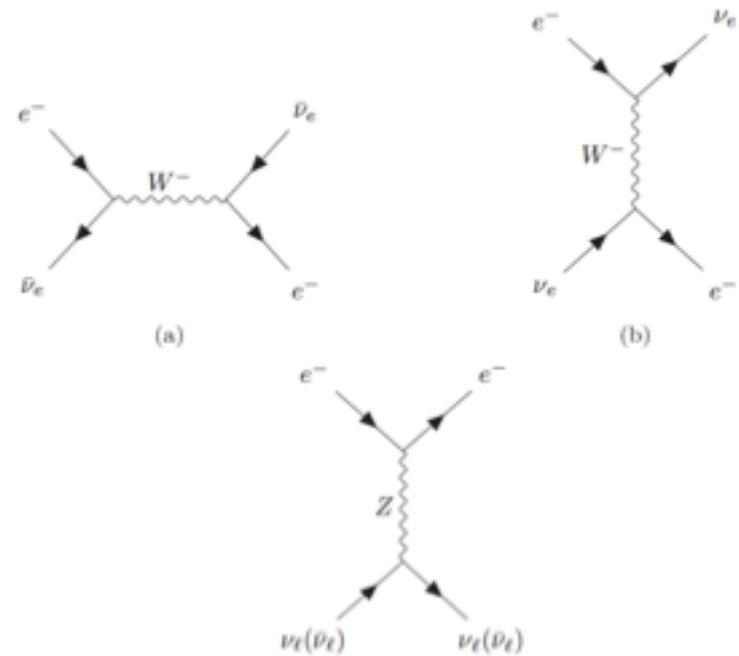
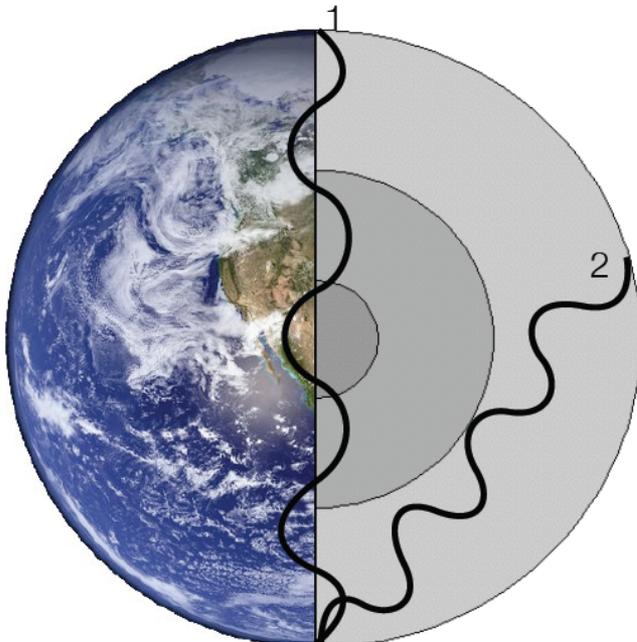
Deepcore result presented in Moriond 2018

Koskinen (NEUTRINO 2016)

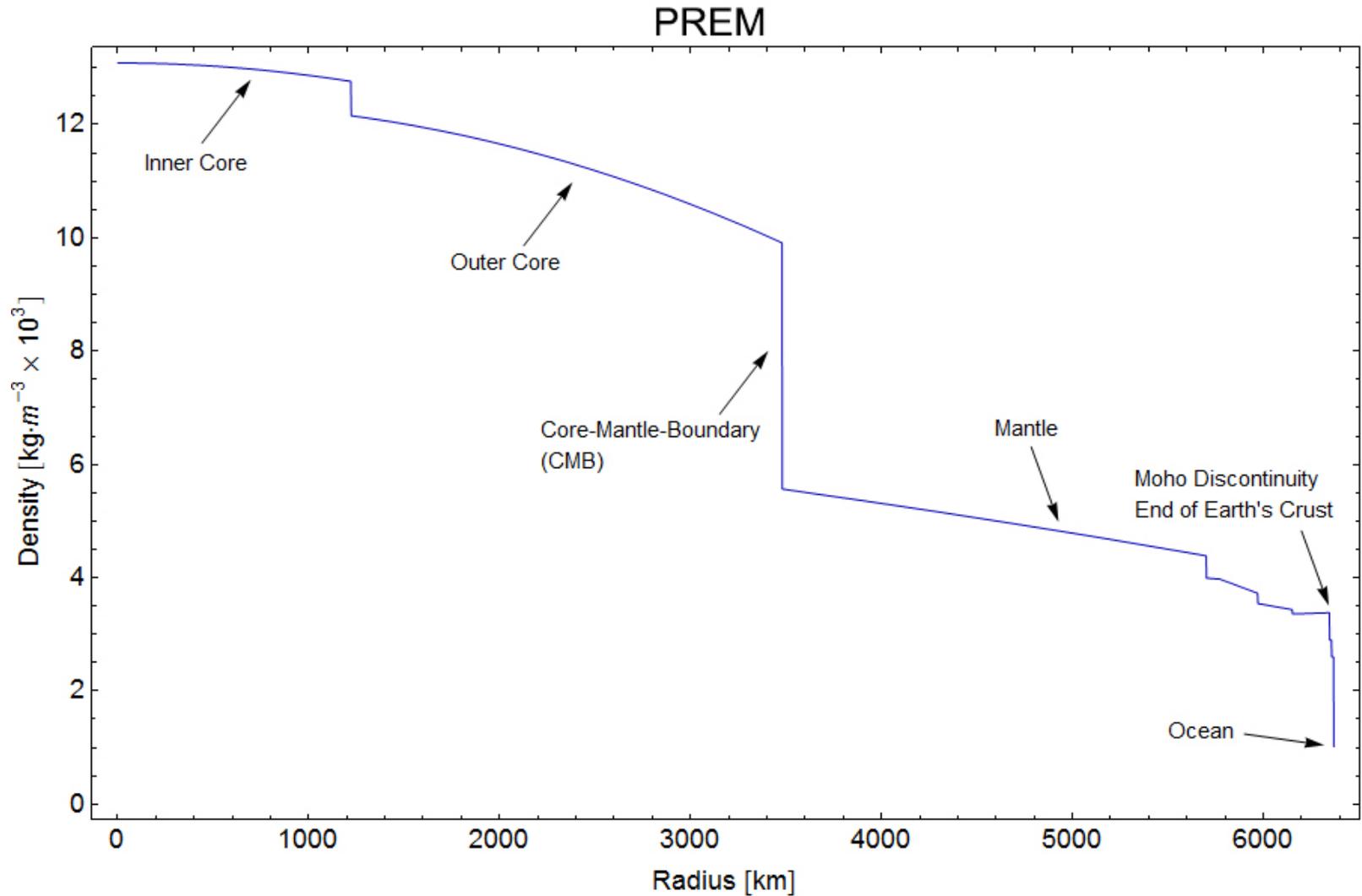
# Neutrino oscillations in matter

Propagation of electron (anti-)neutrinos in the Earth affected (Mikheyev-Smirnov-Wolfenstein effect)

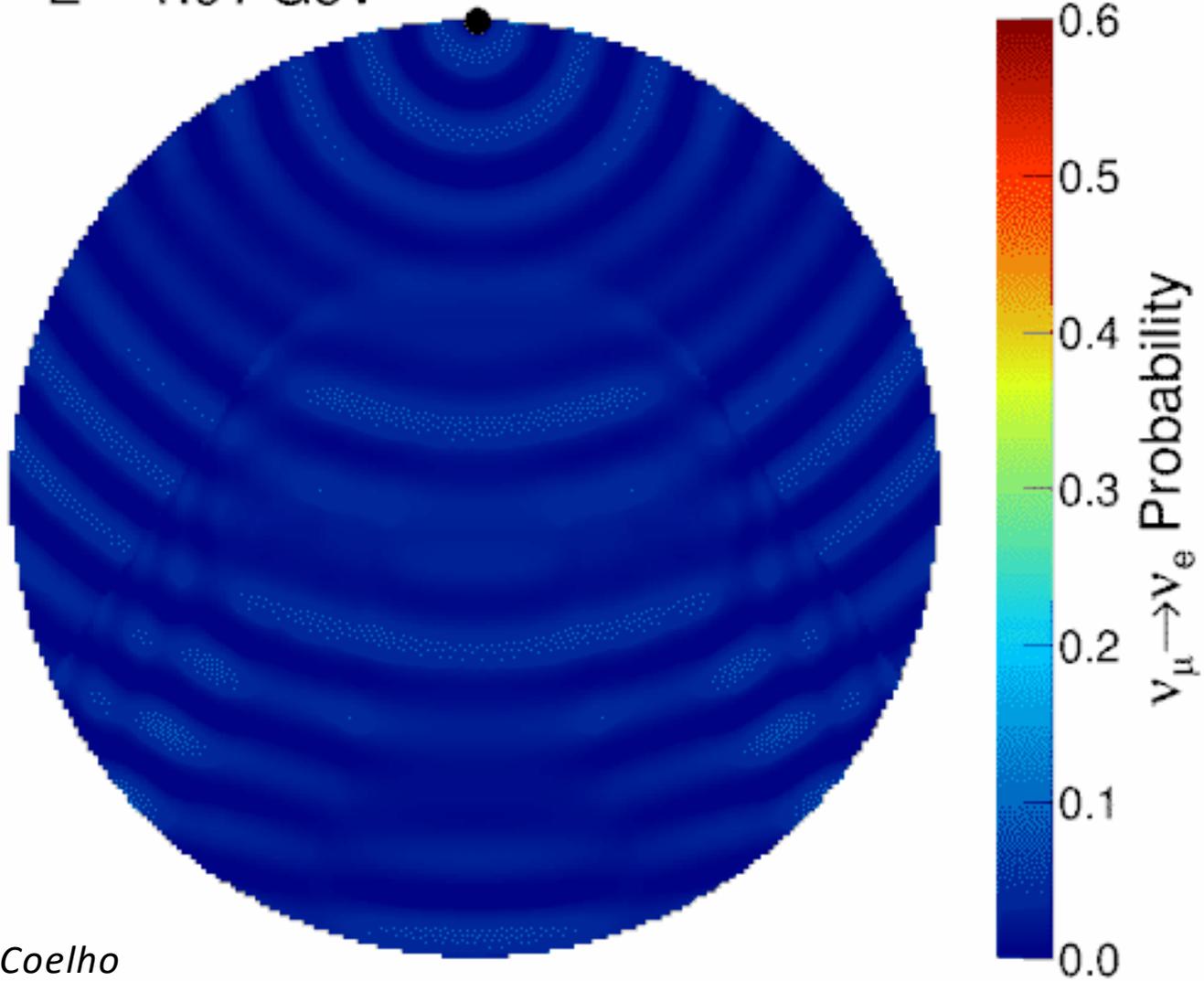
=> Sensitive to Neutrino Mass hierarchy



# Earth reference model



$E = 1.01 \text{ GeV}$



*Animation J. Coelho*

<http://www.apc.univ-paris7.fr/Downloads/antares/Joao/animations/>

## Neutrinos

## Antineutrinos

$\nu_\mu \rightarrow \nu_\mu$

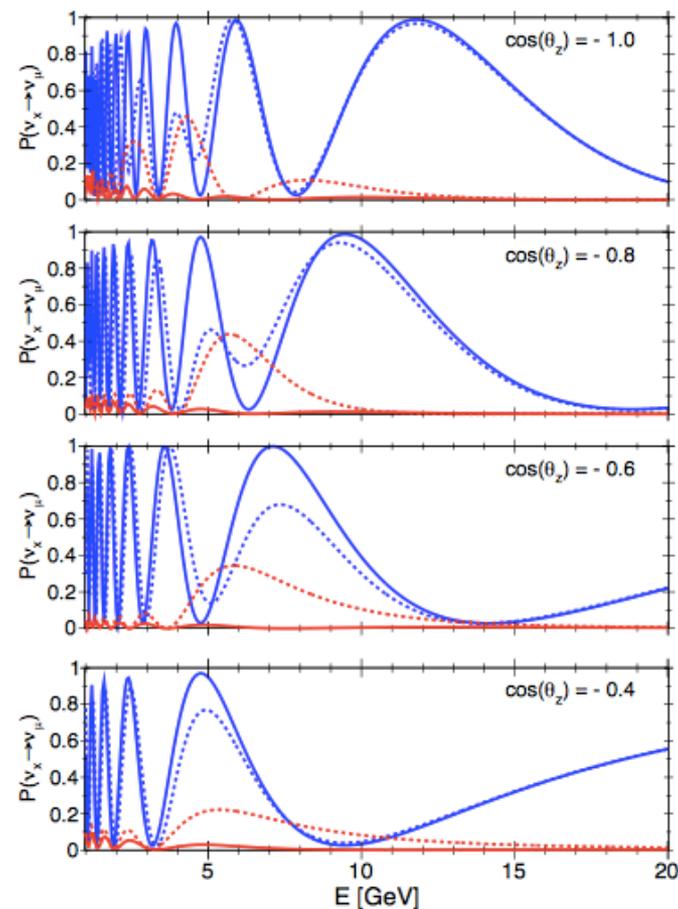
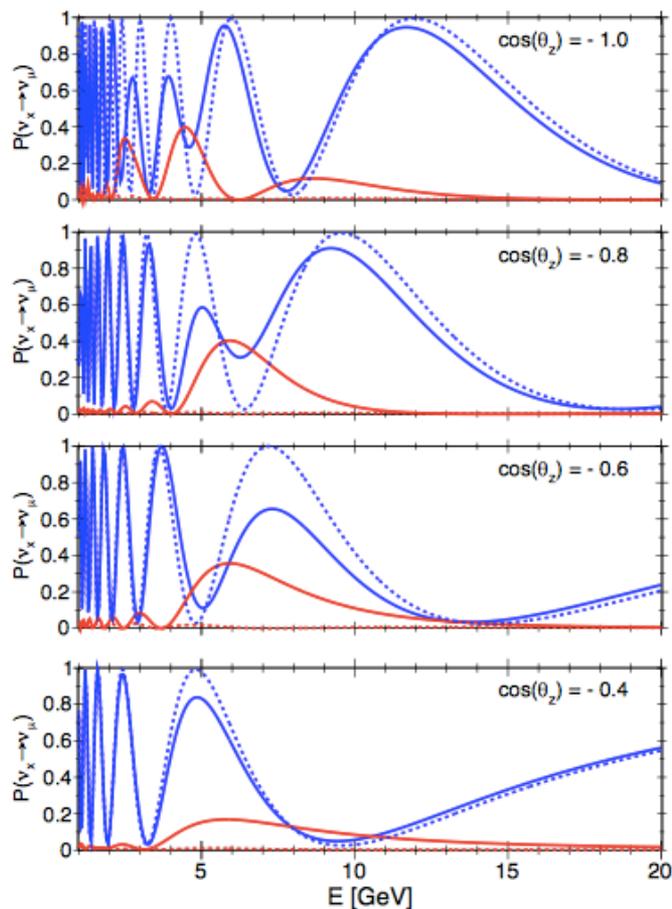
$\bar{\nu}_e \rightarrow \bar{\nu}_e$

Solid: NH

Dashed: IH

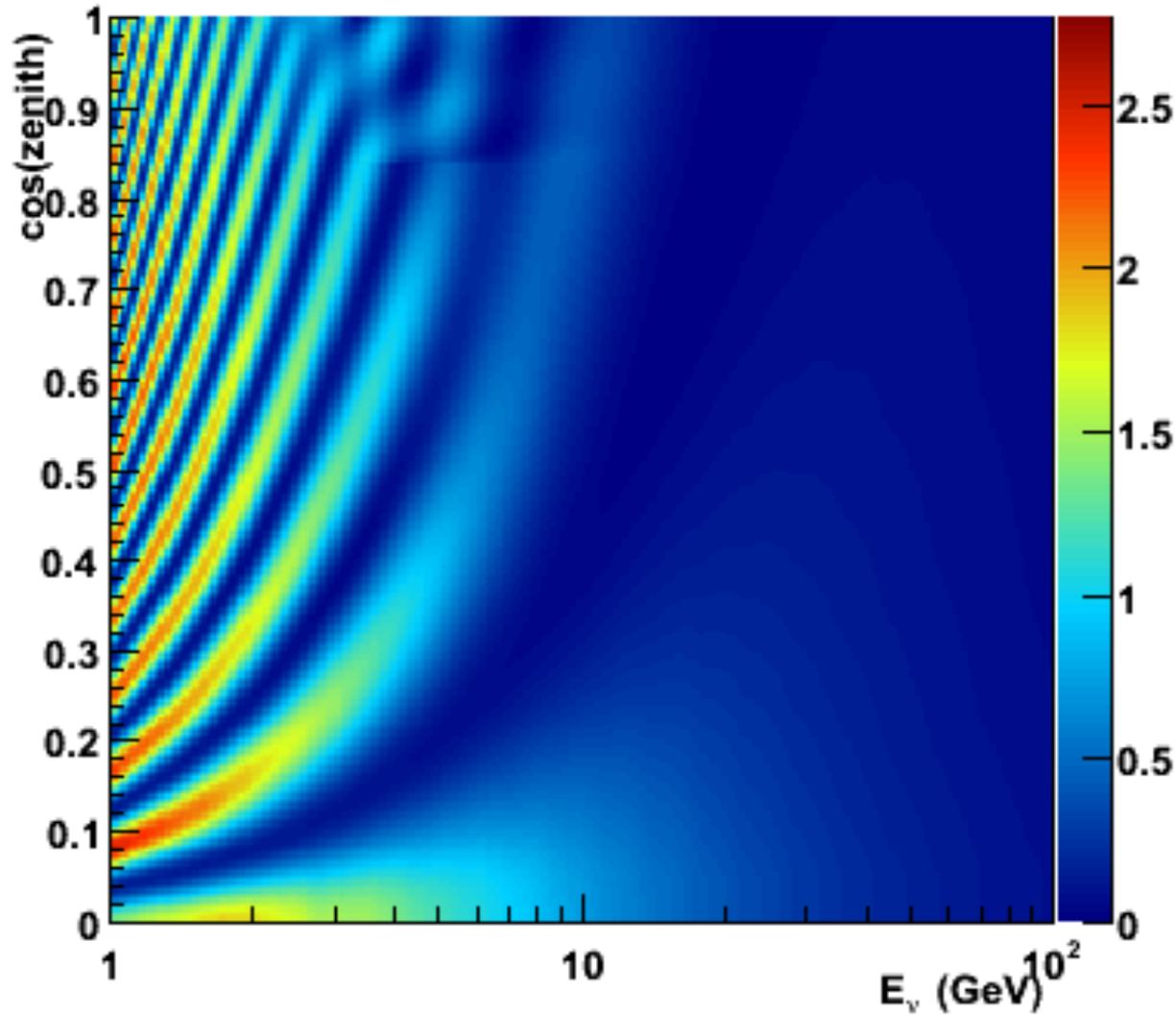
Neutrinos IH  
pattern  
corresponds to  
antineutrinos NH

⇒ Effect would  
cancel with  
equal  
neutrino/antineu-  
trino rates



# Normal hierarchy

## NH : event rate

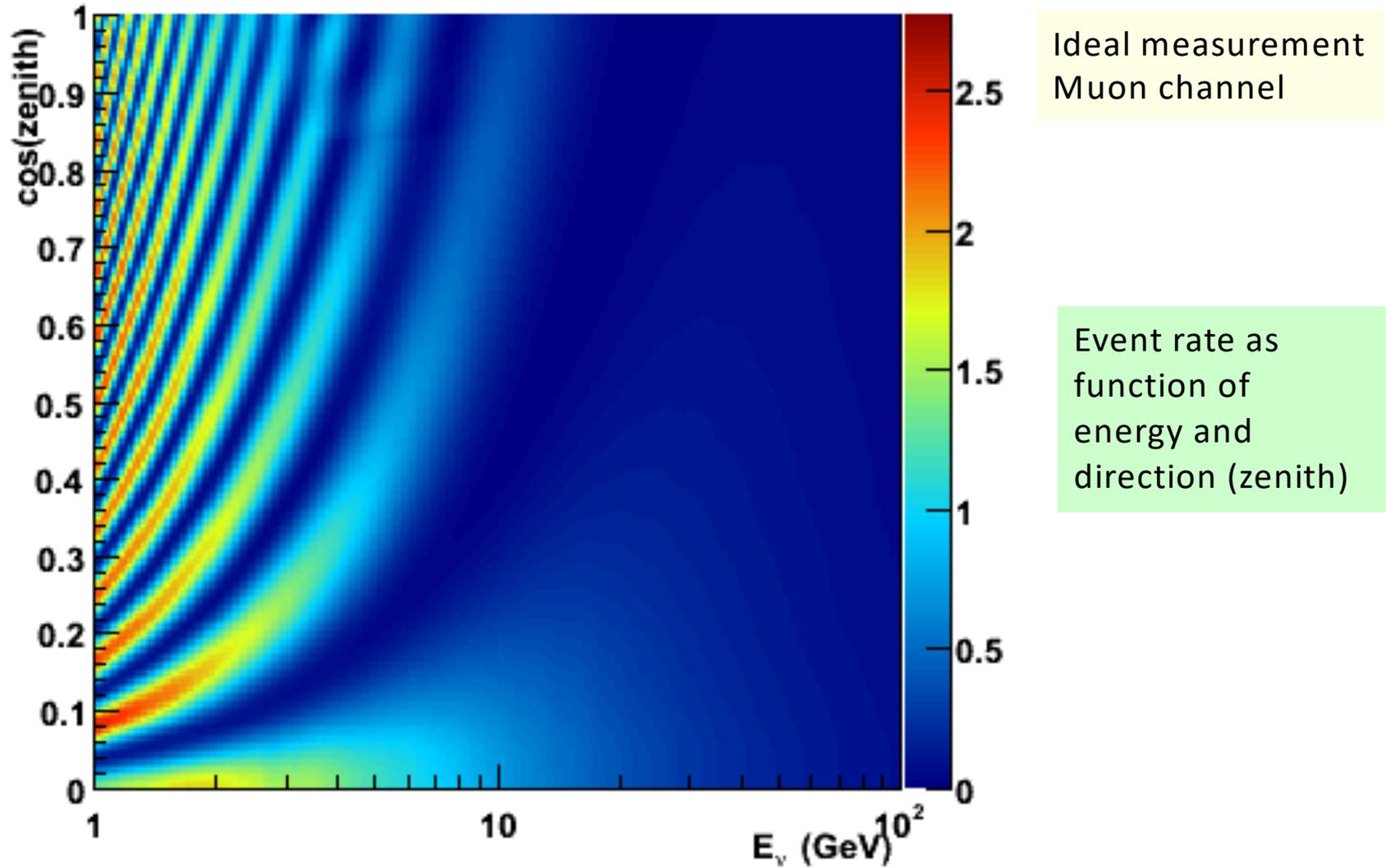


Ideal measurement  
Muon channel

Event rate as  
function of  
energy and  
direction (zenith)

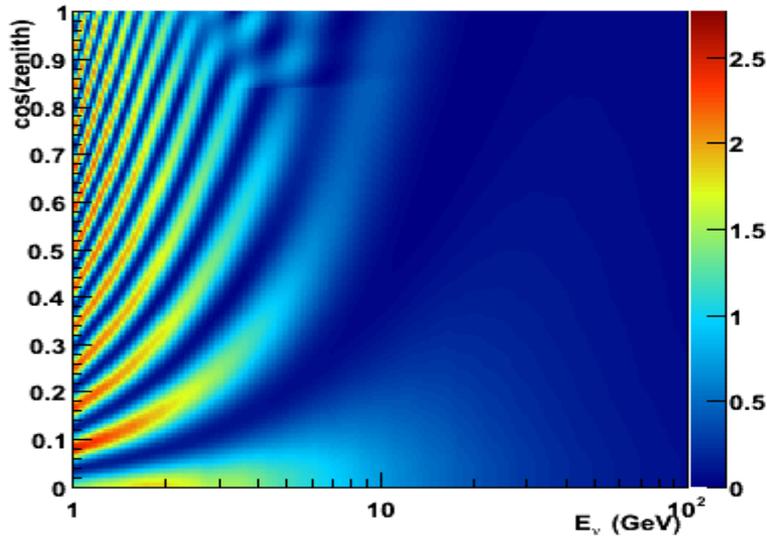
# Inverted hierarchy

## IH : event rate

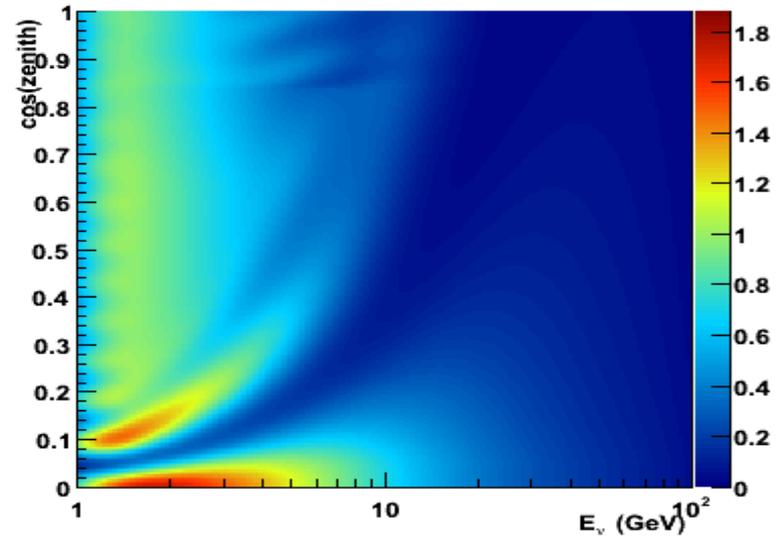


# Adding realistic smearing for energy and angular resolution

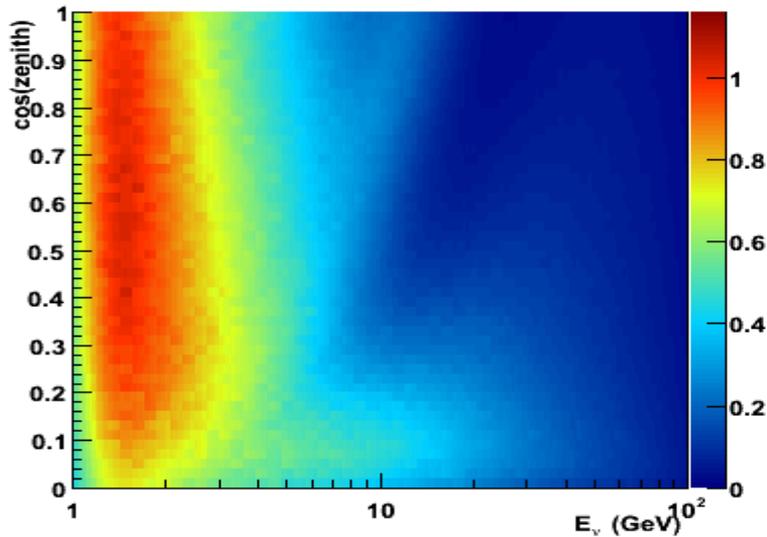
NH : event rate



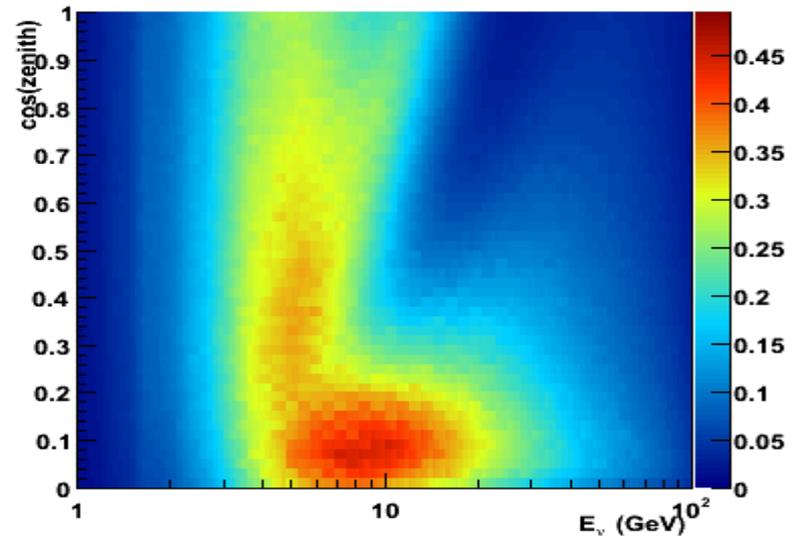
NH : event rate -  $\sigma(E) = 25.0\%$



NH : event rate -  $\sigma(E) = 25.0\%$  ,  $\theta(\mu)$

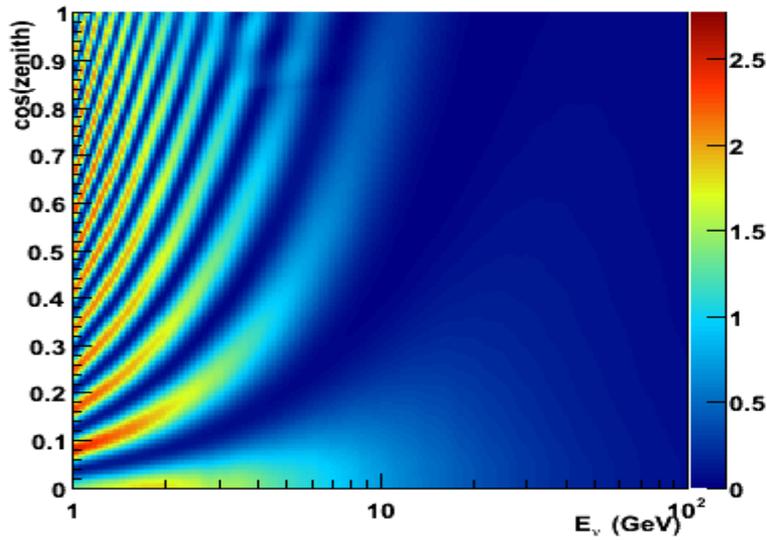


NH : event rate -  $\sigma(E) = 25.0\%$  ,  $\theta(\mu)$  ,  $\geq 15$  hits

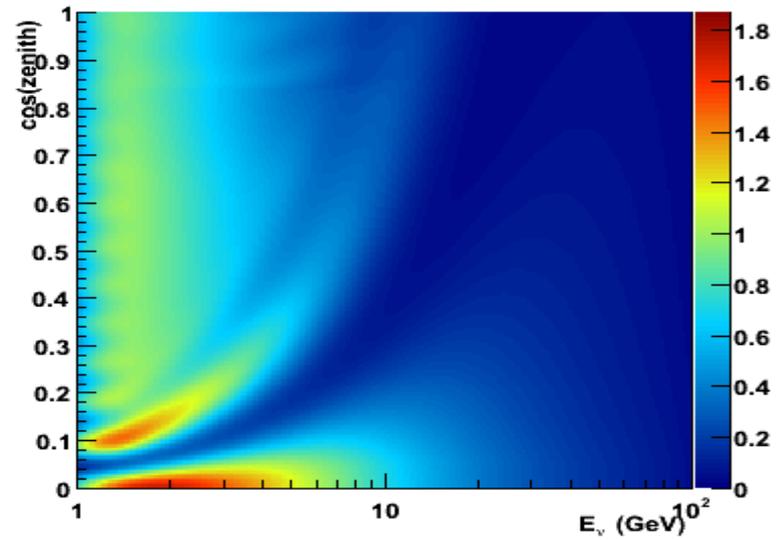


# Adding realistic smearing for energy and angular resolution

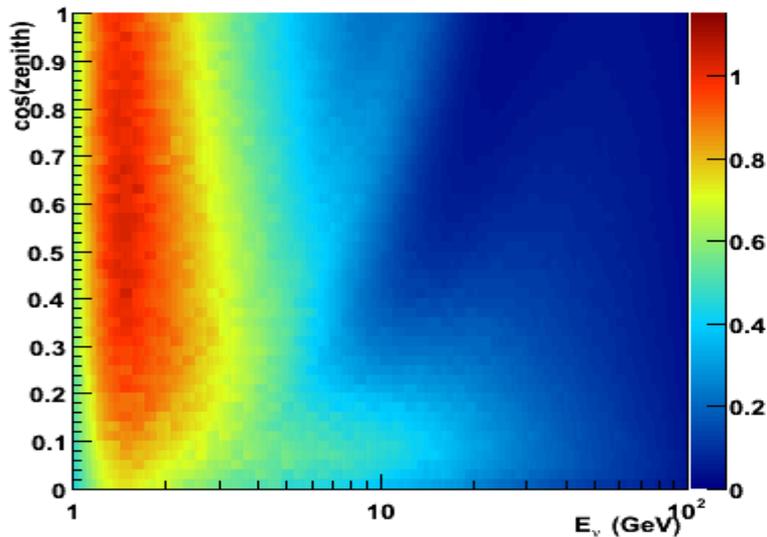
IH : event rate



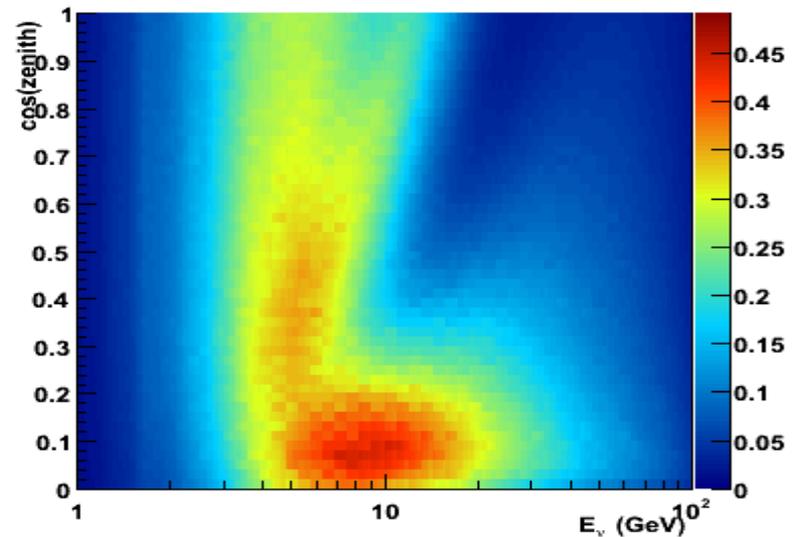
IH : event rate -  $\sigma(E) = 25.0\%$



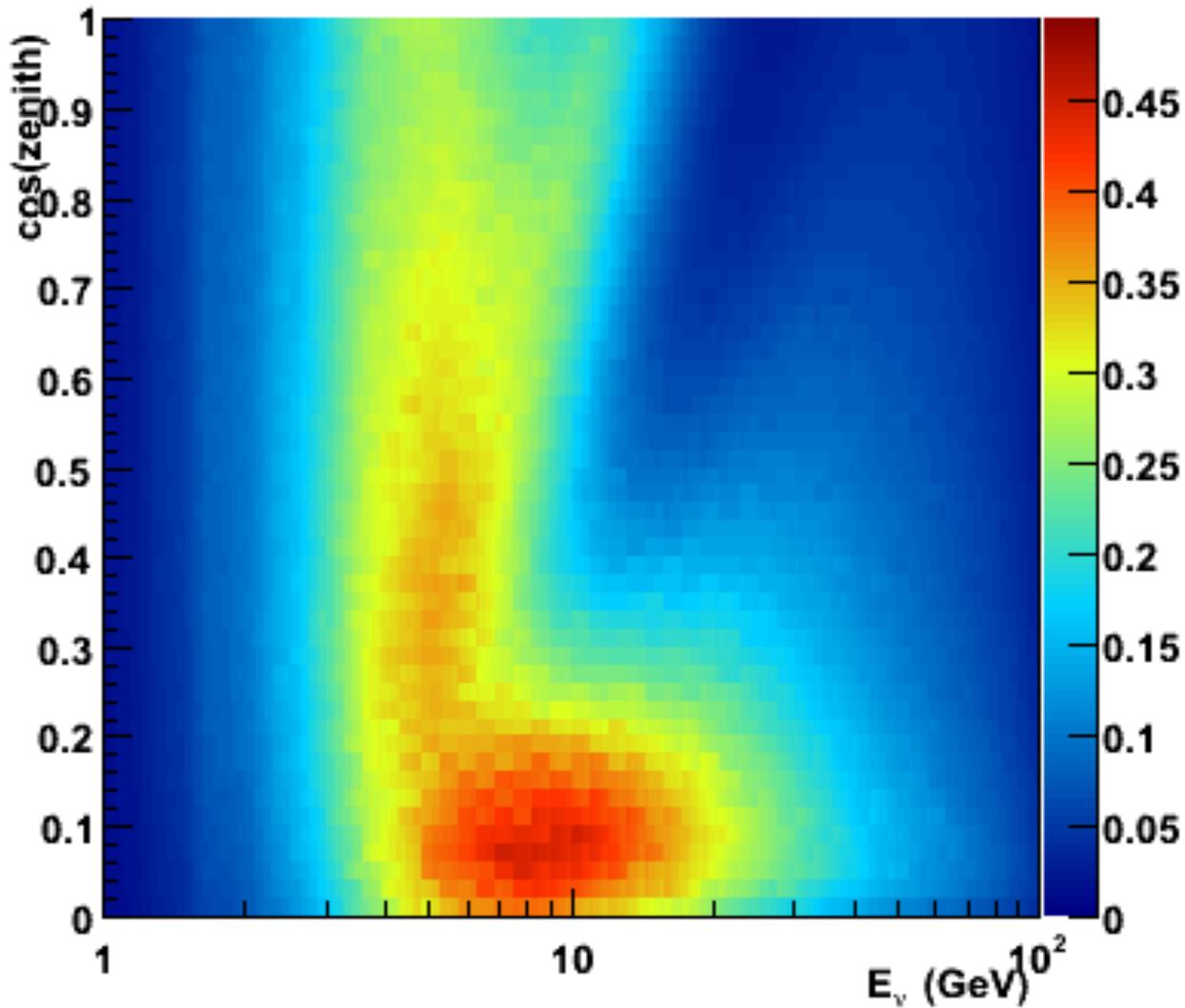
IH : event rate -  $\sigma(E) = 25.0\%$ ,  $\theta(\mu)$



IH : event rate -  $\sigma(E) = 25.0\%$ ,  $\theta(\mu), \geq 15$  hits



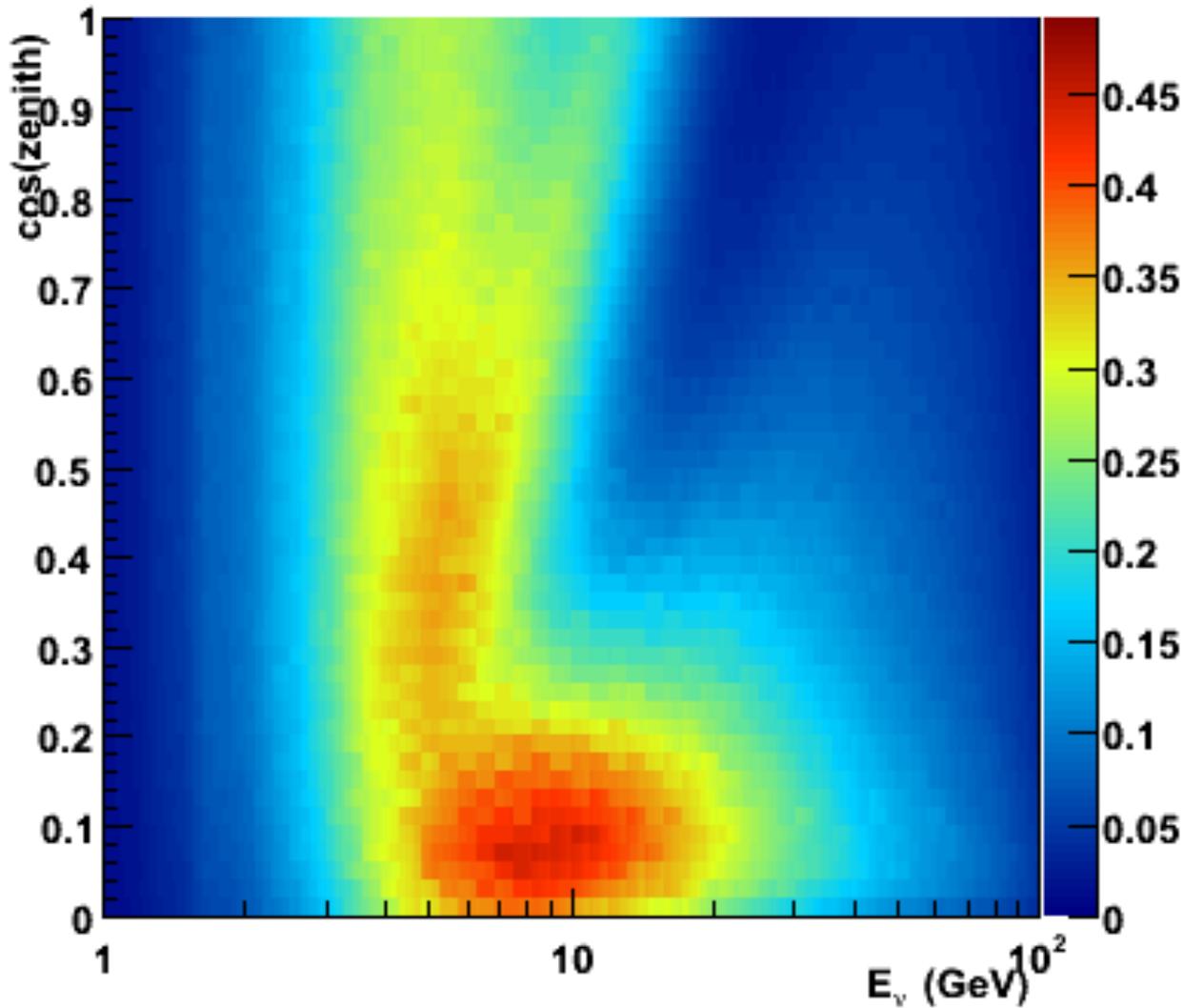
NH : event rate -  $\sigma(E) = 25.0\%$  ,  $\theta(\mu)$ ,  $\geq 15$  hits



Realistic resolutions

Event rate as function of energy and direction (zenith)

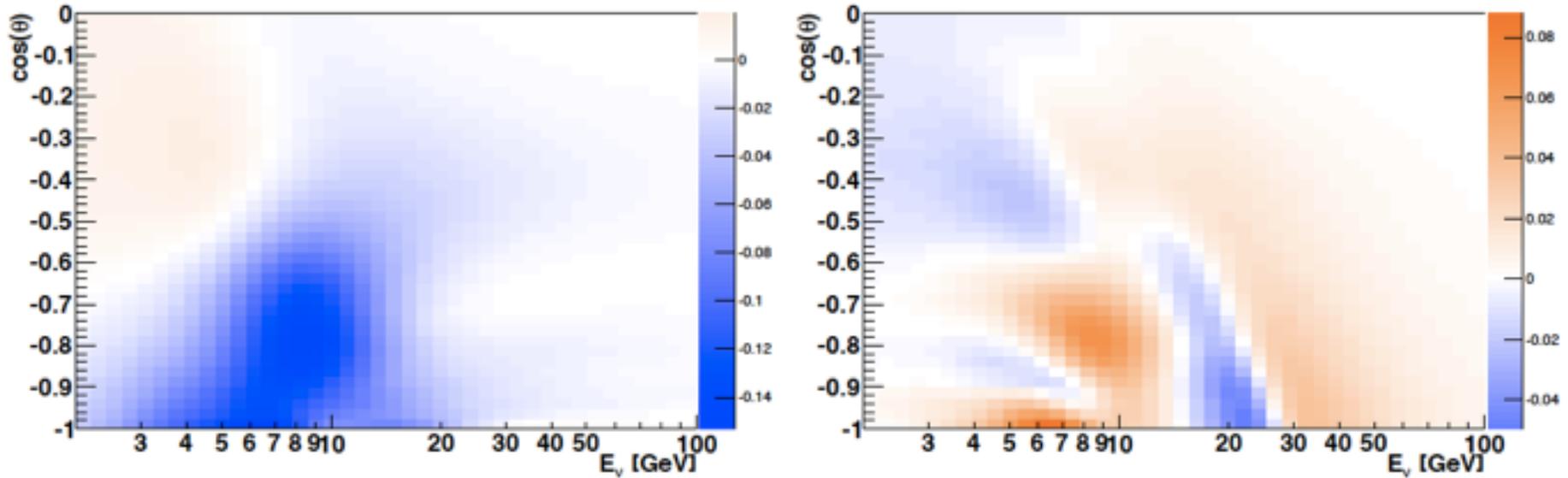
IH : event rate -  $\sigma(E) = 25.0 \%$  ,  $\theta(\mu)$ ,  $\geq 15$  hits



Realistic resolutions

Event rate as function of energy and direction (zenith)

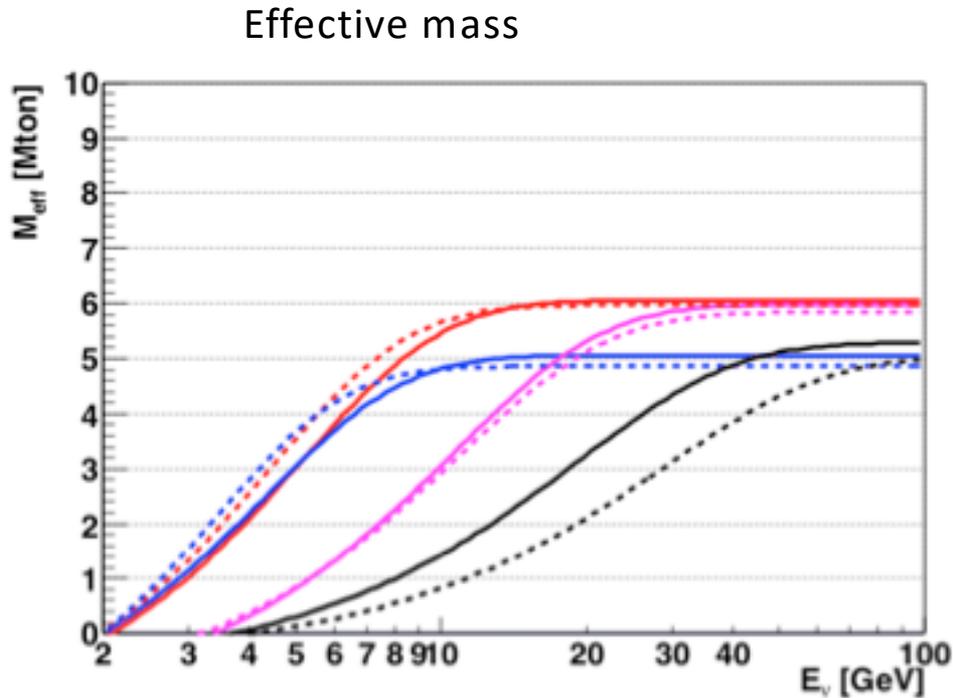
Asymmetry between pattern of normal and inverted hierarchy:  $(N_{IH} - N_{NH}) / N_{NH}$



Small differences in pattern

- > large statistics needed
- > good control of systematics
- > energy/angular resolution crucial
- > flavor identification crucial

# Evaluation of prospects for KM3NeT/ORCA



Events/yr (atm):

$\nu_e$ CC: 17300

$\nu_\mu$ CC: 24800

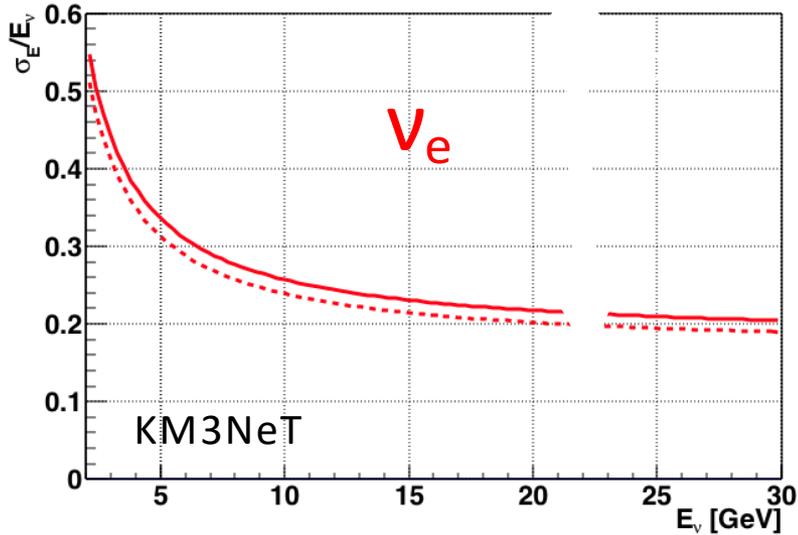
$\nu_\tau$ CC: 3100

NC: 5300

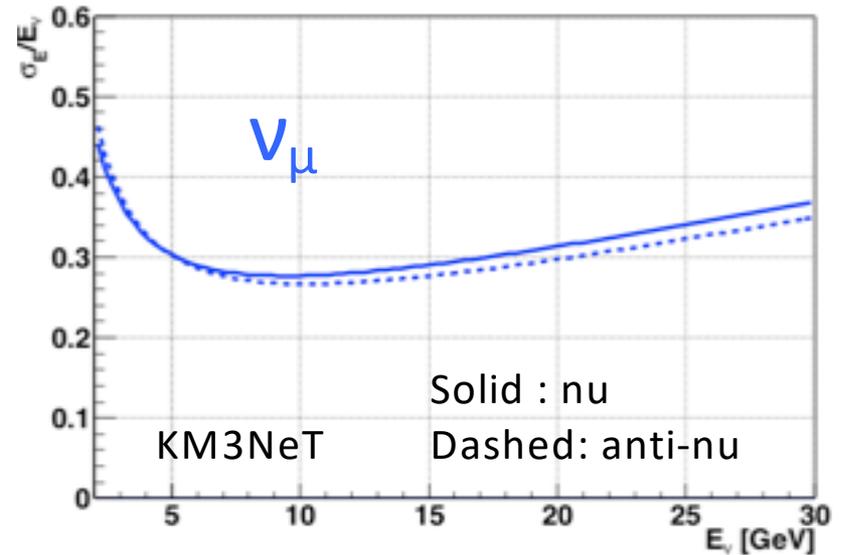
Low energy turn-on determined by DOM spacing  
-> optimization of distances (design now 9m)

# Energy Resolution

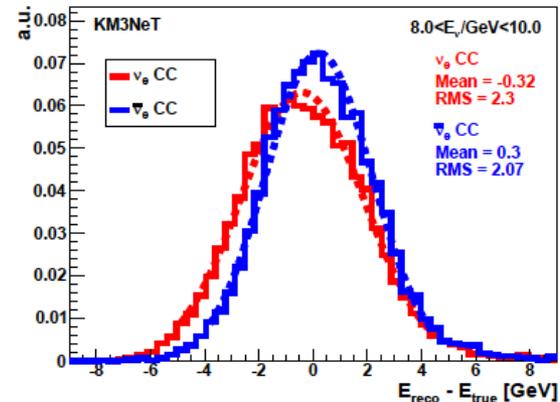
Shower



Track



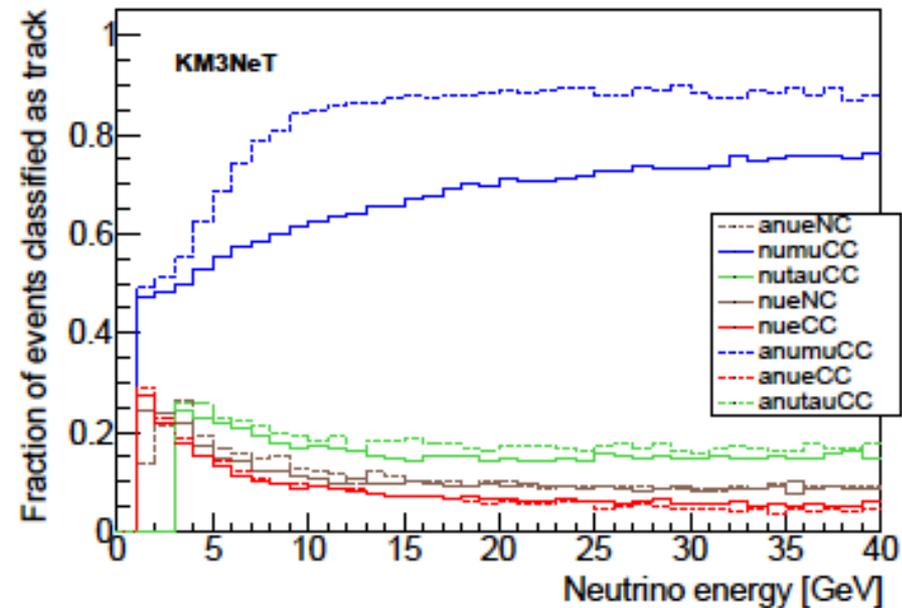
- Energy resolution better than 30% in relevant range
- Close to Gaussian



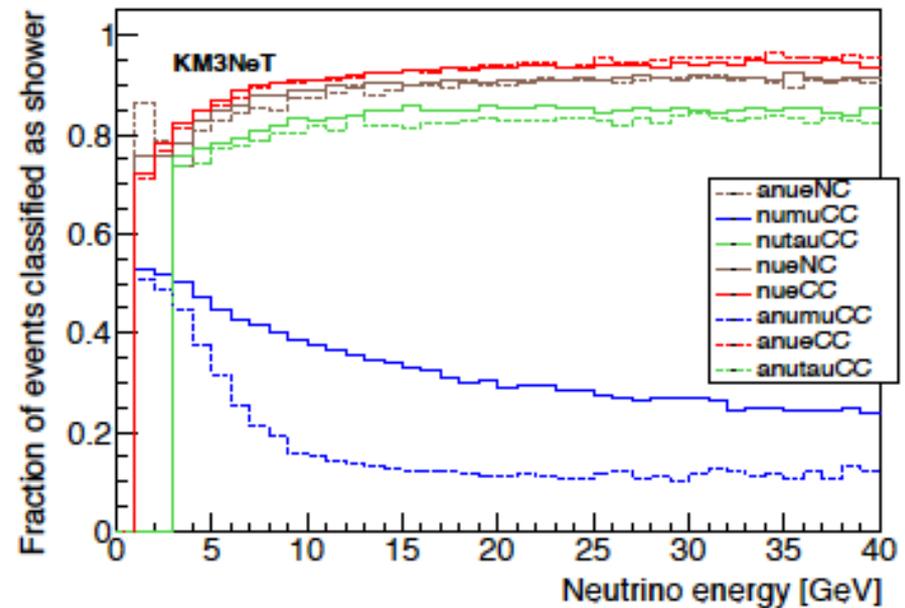
# Flavor identification

Discrimination of track-like and shower-like events via Random Decision Forest

Classified as track (9m Spacing)



Classified as shower (9m Spacing)



At 10 GeV:

- 90% correct identification of  $\nu_e^{CC}$
- 70% correct identification of  $\nu_{\mu}^{CC}$

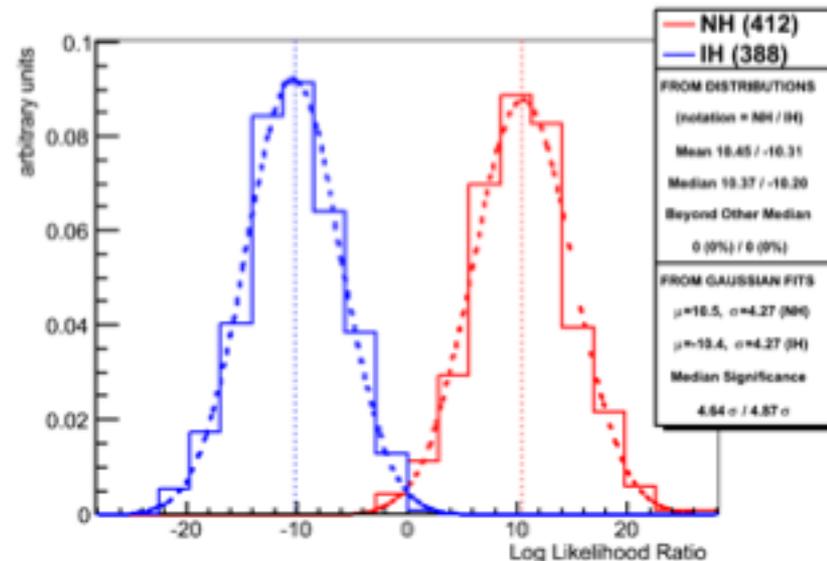
# Sensitivity determination

Pseudo-experiments created using as input

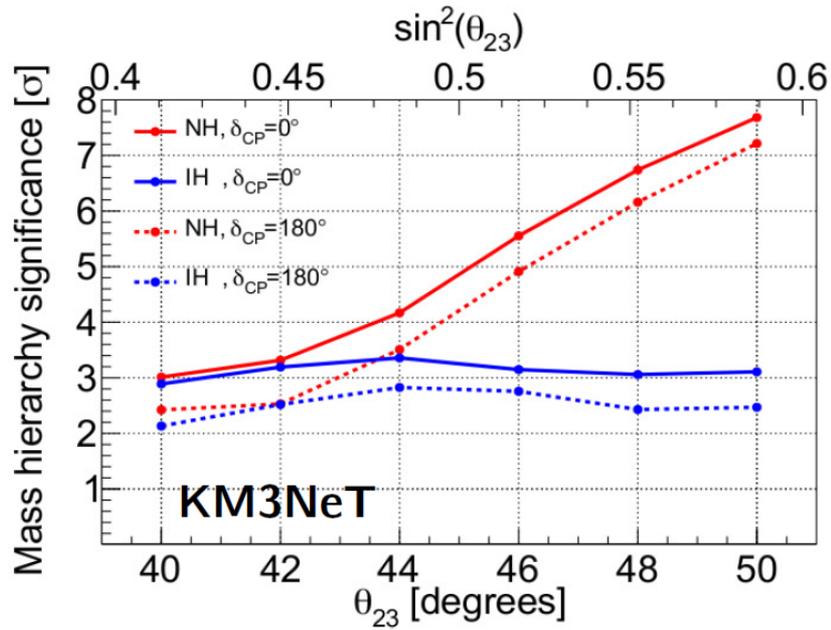
- atmospheric neutrino flux
- neutrino cross sections
- 3-flavour earth matter oscillations
- track vs shower event classification
- full MC detector efficiency / resolution response matrices including misidentified and NC events
- atmospheric muon contamination

To optimally distinguish between IH and NH:  
likelihood ratio test with nuisance parameters

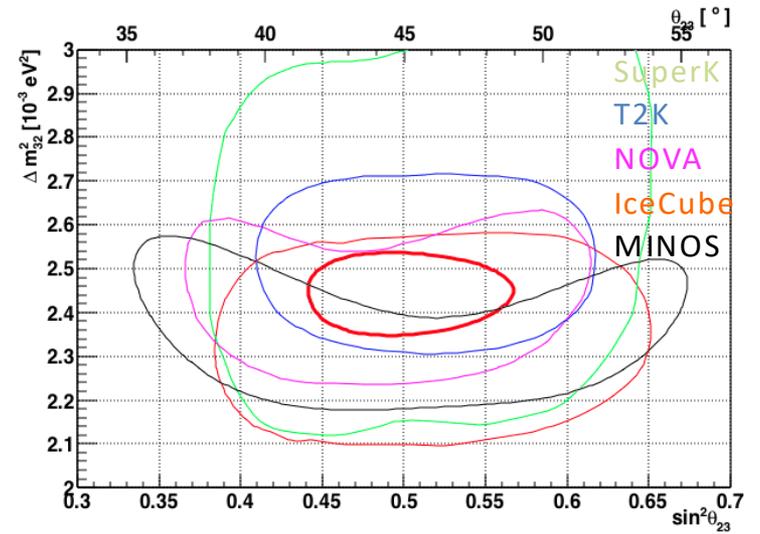
- 1) Fit parameters assuming **NH**
- 2) Fit parameters assuming **IH**
- 3) Compute  $\Delta\log L = \log( L(\text{NH})/L(\text{IH}) )$



Sensitivity (3 years) for different  $\theta_{23}$



Expected parameter constraints



90% CL contours (3 years)

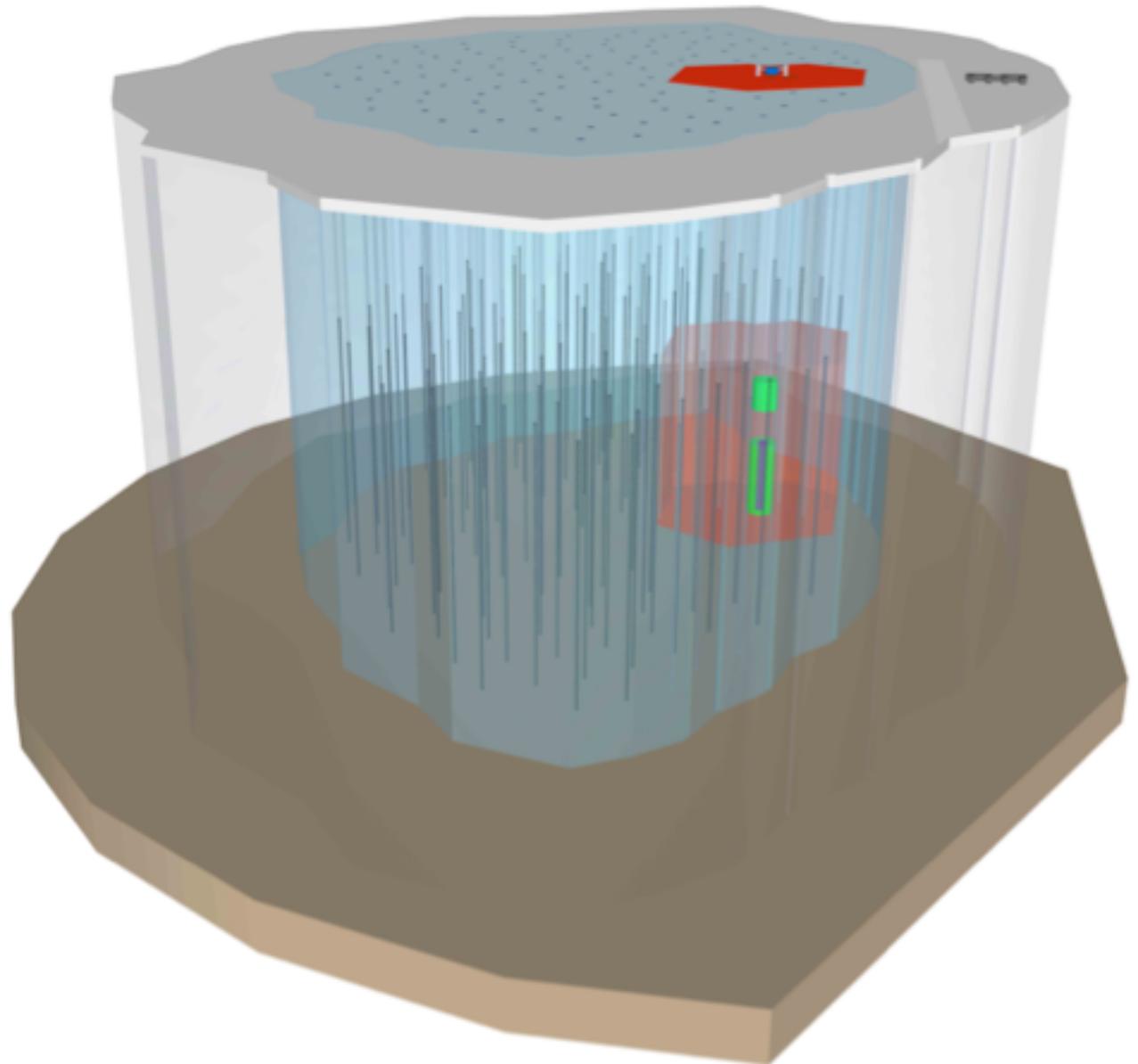
# IceCube Gen2

Plans for extending  
IceCube with sparse  
array (2025-31)

250m string distances

10km<sup>3</sup> volume

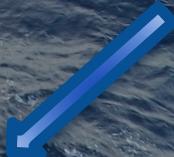
-> Increased high  
energy sensitivity





CASTOR 02

ORCA's friends:  
Pilot whale escort!



After the successful deployment of  
the first ORCA string