

SOLAR NEUTRINOS

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INSS18, Mainz

PROGRAM: 2 LECTURES

First Lecture

I A) How does the Sun shine? A few stories and heroes (before 1989)

I B) Standard Solar Models (quick tour by A. Serenelli)

I C) Solar Neutrino fluxes at the Earth

Second Lecture

2D) How does the Sun shine? A few stories and heroes I (after 1989)

2E) Solar Neutrino Experiments and Lessons in Neutrino Physics

2F) Roadmap of future solar neutrino research

SOLAR NEUTRINO PROBLEM

First solar Model Calculation (1962)

- Ray Davis (1964) Solar Neutrinos: Experimental
- John Bahcall (1964) Solar Neutrinos: Experimental

“proposal to verify that nuclear reactions power the Sun”

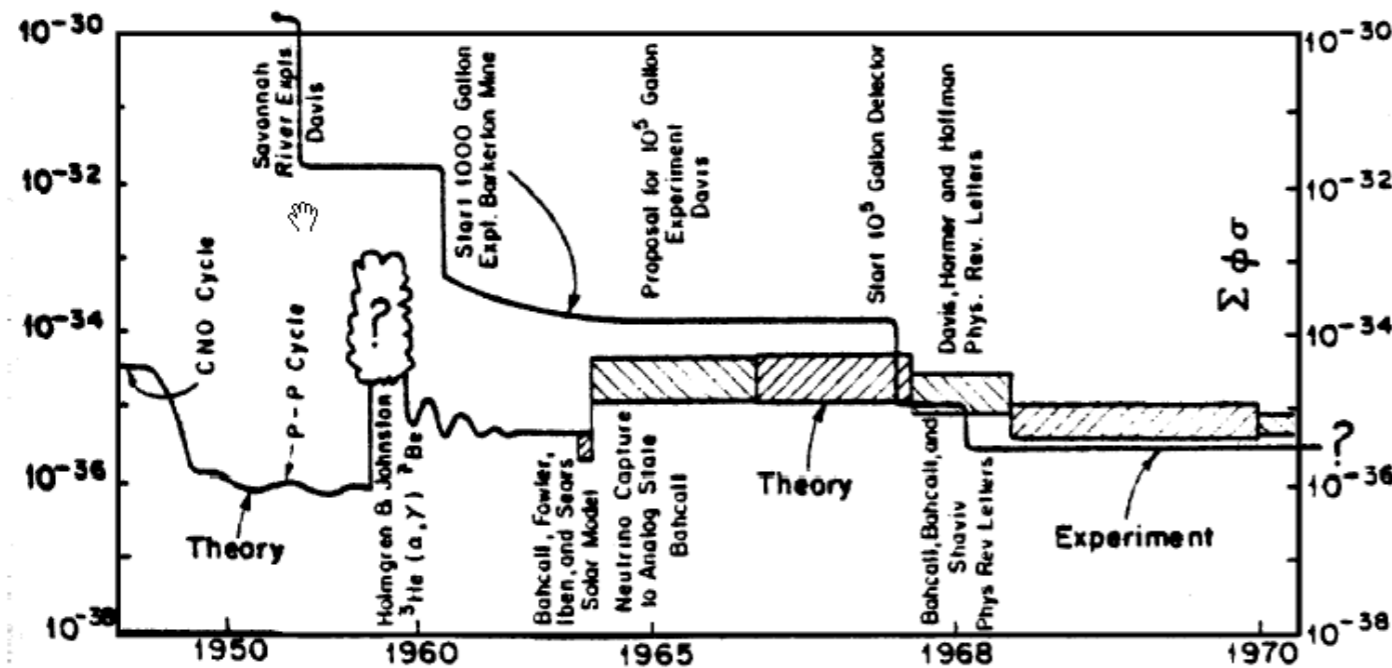
- Homestake experiment (since 1968): Fewer neutrinos than predicted
- Solar Neutrino Problem identified

Neutrino Astrophysics was born...

Chlorine rate: Theory vs experiment

“...to see into the interior of a star and thus verify directly the hypothesis of nuclear energy generation in stars.”

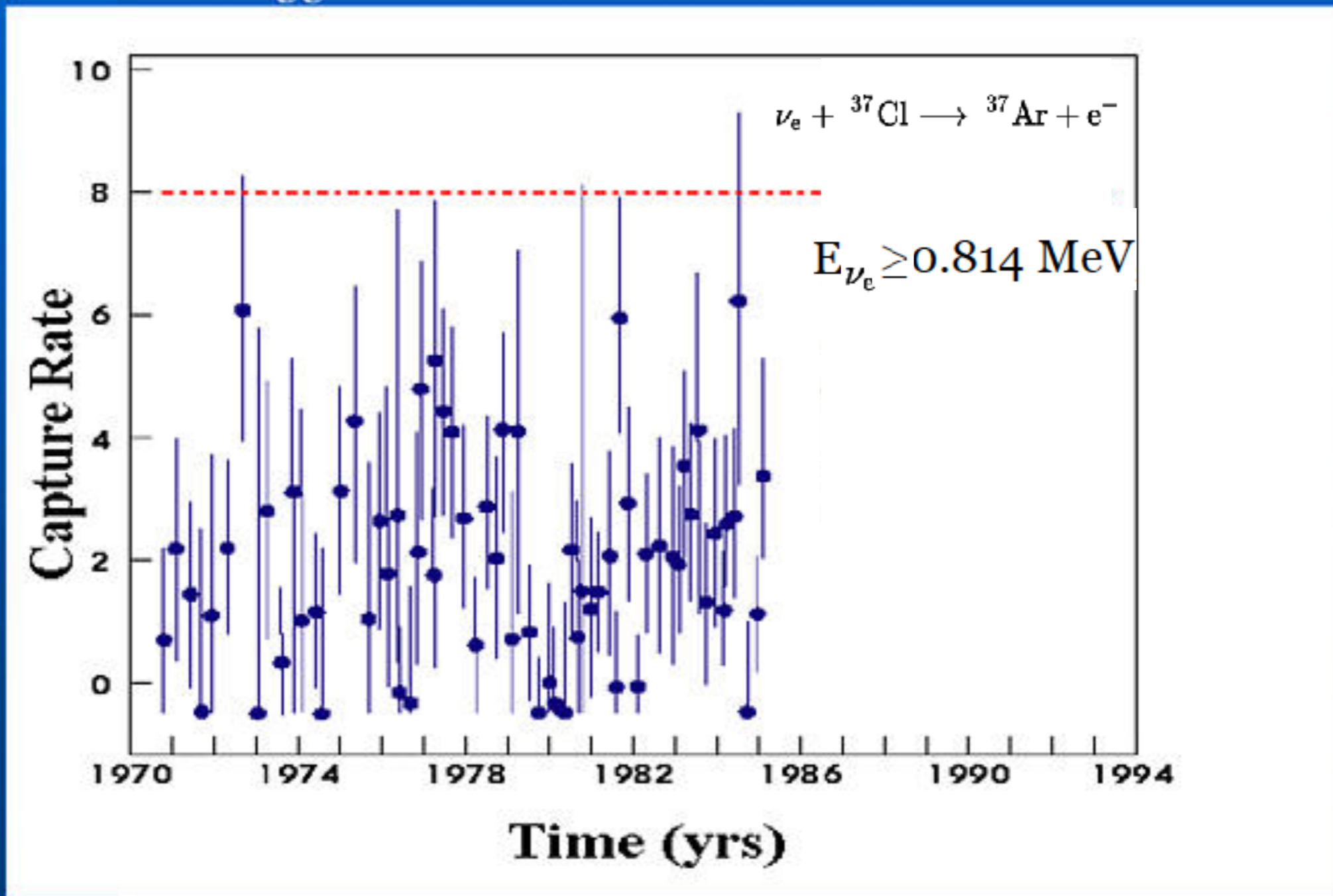
Bahcall, Davis, PRL 12 (1964)



Factor 3 missing!

Homestake

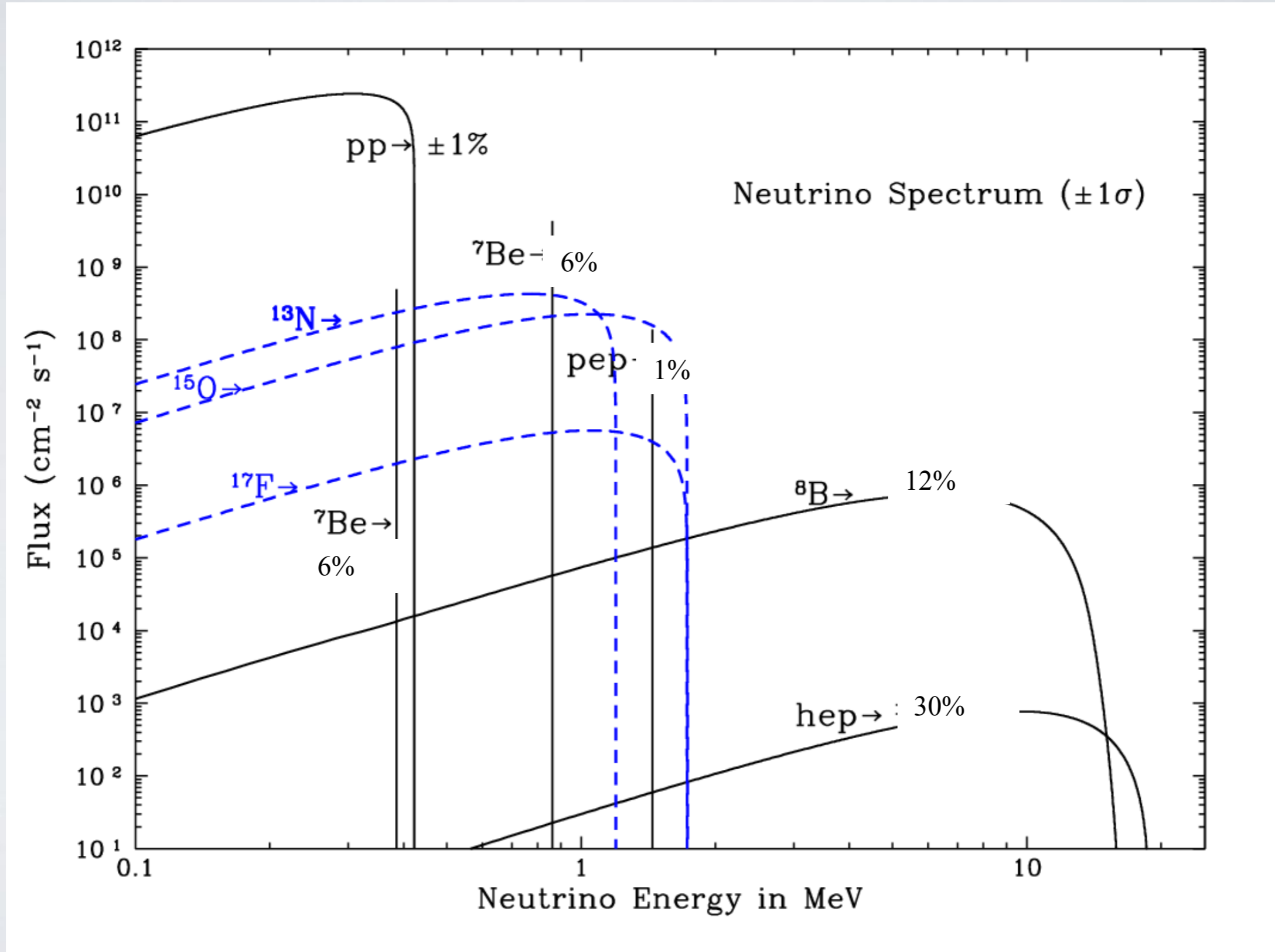
$$N_{cc} = 2.56 \pm 0.23 \text{ SNU}$$



1 SNU = 10^{-36} captures / target atom / s

Standard Solar Model

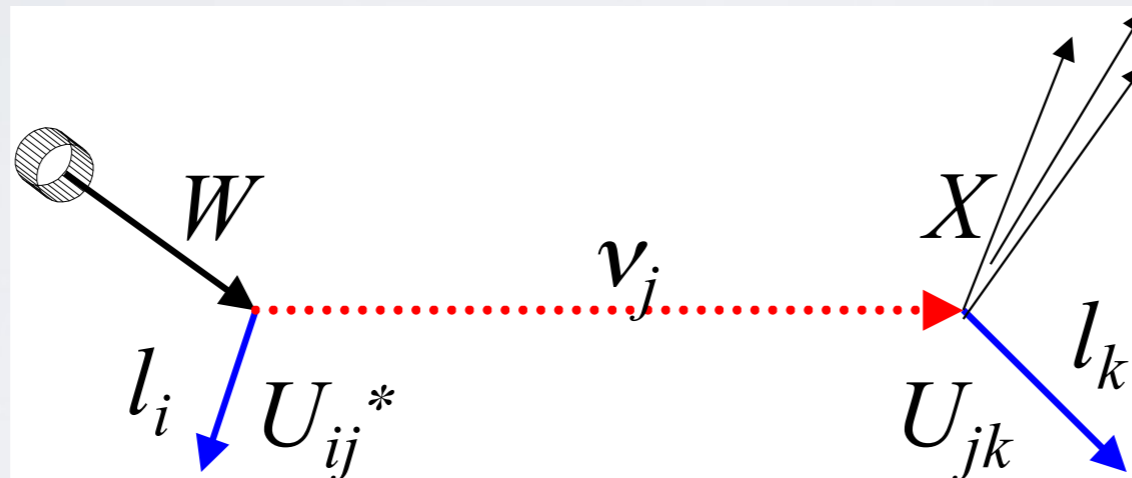
Solar neutrino spectra



SOLAR NEUTRINO PROBLEM

Solar Neutrino Problem solutions proposed
 - Pontecorvo (1960s) - Neutrino oscillations

(B. Kaiser's first lecture)



$$A(l_i \rightarrow \nu_j \rightarrow l_k) \propto U_{ij}^* U_{jk} e^{-ip_j x - iE_j t}$$

Oscillations driven by
 the relative phase:
 (two neutrinos)

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{2E} \cos 2\theta & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & 0 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

SOLAR NEUTRINO PROBLEM

Solar Neutrino Problem solutions proposed

- Pontecorvo (1960s) - Neutrino oscillations
- Lincoln Wolfenstein (1978) Matter effects in neutrino propagation

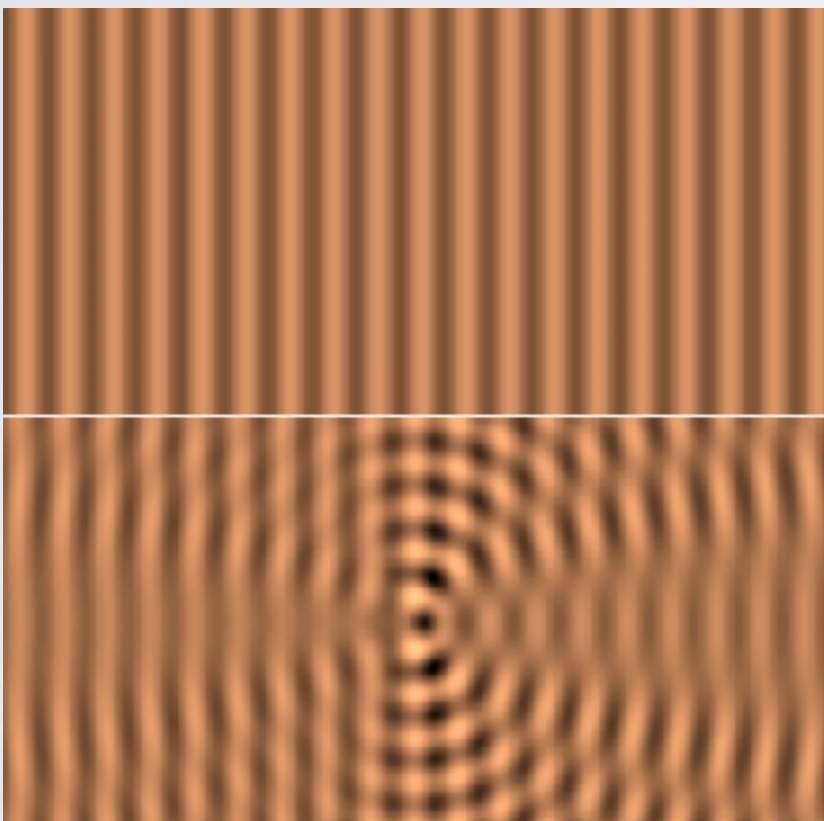
Scattering theory

After a plane wave pass through a slab, the phase is shifted : $p(x+(n-1)R)$

$$e^{ip(x+(n-1)R)} \approx e^{ipx} + 2\pi f(0) NR \int_{x-R}^{\infty} dr e^{ipx}$$

$$= e^{ipx} \left[1 + i \frac{2\pi f(0)NR}{p} \right]$$

Net effect :
$$n - 1 \approx \frac{2\pi N f(0)}{p^2}$$



Reminder:

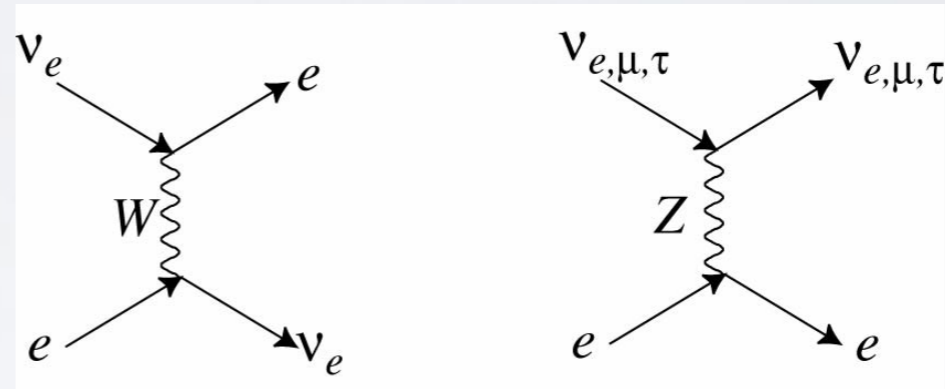
$$f_{\text{Born}} = -\frac{1}{4\pi} \langle \phi_{\mathbf{k}'} | U | \phi_{\mathbf{k}} \rangle = - \int_0^{\infty} r dr \frac{\sin(\Delta r)}{\Delta} U(r) \quad \Delta = 2k \sin(\theta/2)$$

SOLAR NEUTRINO PROBLEM

Solar Neutrino Problem solutions proposed

- Pontecorvo (1960s) - Neutrino oscillations
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Only the difference of potentials is relevant



*Net effect on
non relativistic electrons:*

$$H_{\text{int}} = \frac{G_F}{\sqrt{2}} \bar{\nu}_e \gamma^\mu (1 - \gamma_5) \nu_e \int d^3 p_e f(E_e, T) \bar{e} \gamma^\mu (1 - \gamma_5) e \Rightarrow \sqrt{2} G_F N_e$$

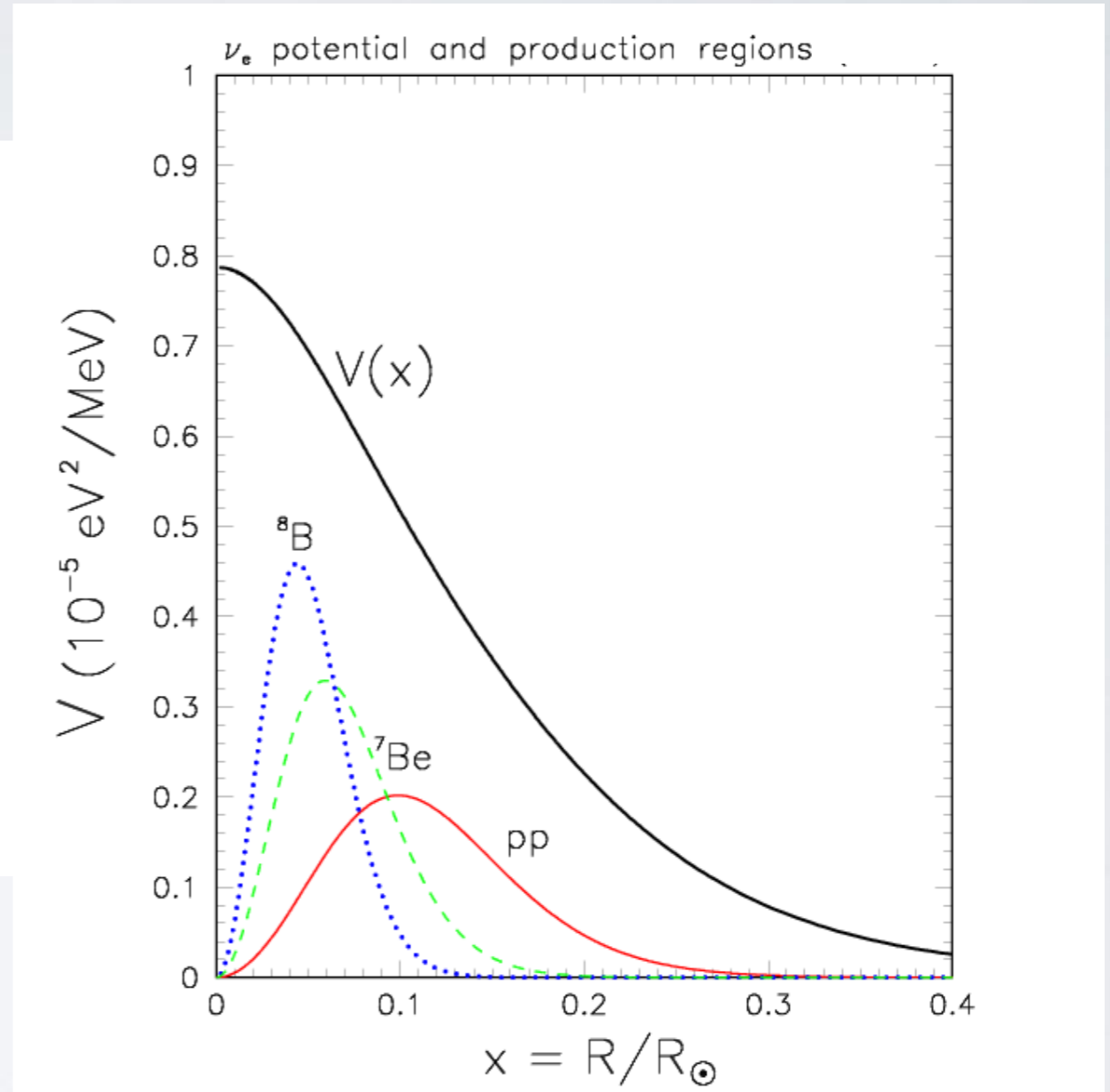
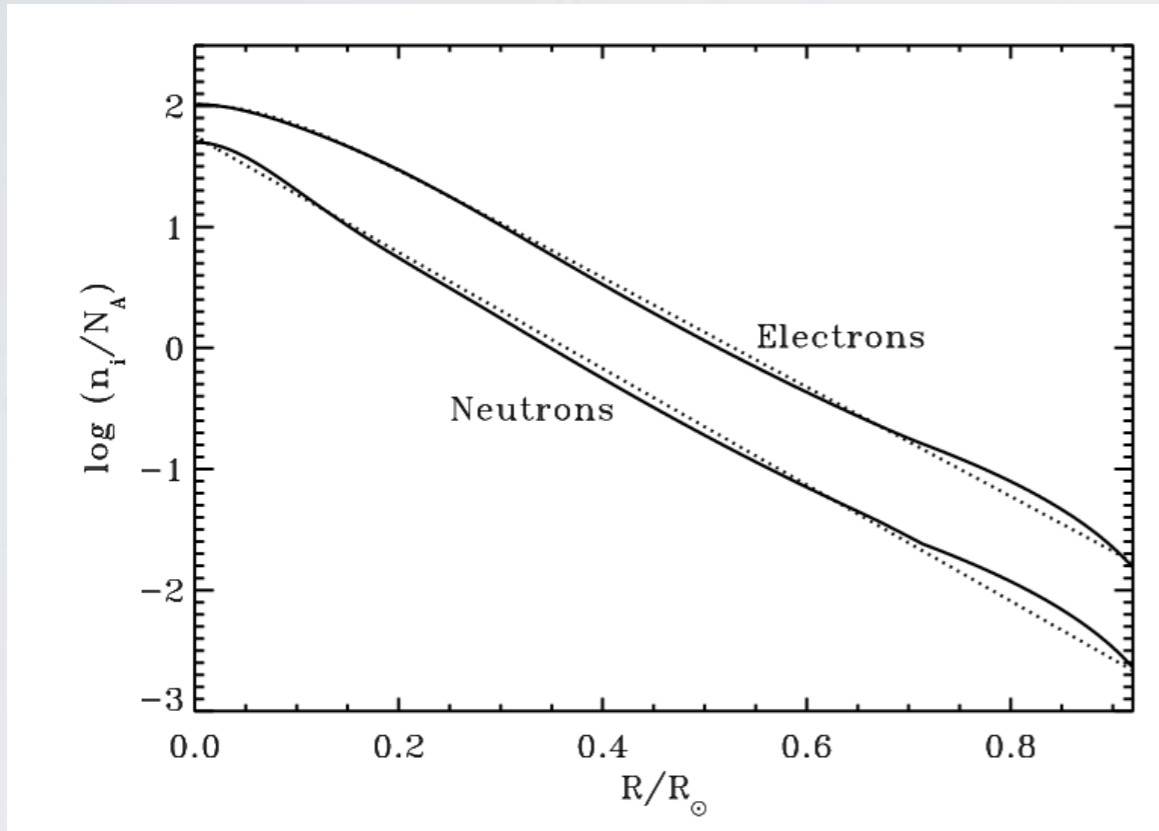
$$I_{\text{matt}} = \frac{2\pi}{\sqrt{2} G_F N_e}$$

$$\langle e \gamma^0 e \rangle = N_e$$

$$\langle e \gamma^i e \rangle = N_e v_i$$

Standard Solar Model

Solar neutrinos and matter effects



$$V(x) = \sqrt{2}G_F n_e(x)$$

Neutrino fluxes are created
in different matter potentials

SOLAR NEUTRINO PROBLEM

Solar Neutrino Problem solutions proposed

- Pontecorvo (1960s) - Neutrino oscillations
- Lincoln Wolfenstein (1978) Matter effects in neutrino propagation
- Stanislav Mikheiev, Alexei Smirnov (1985) Resonant conversion-MSW effect

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{2E} \cos 2\theta + V_e & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & 0 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

Rewrite with:

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{(\cos 2\theta - 2\sqrt{2}G_F n_e E / \Delta m^2)^2 + \sin^2 2\theta}$$

Difference of the eigenvalues

$$H_2 - H_1 = \frac{\Delta m^2}{2E} \sqrt{(\cos 2\theta - 2\sqrt{2}G_F n_e E / \Delta m^2)^2 + \sin^2 2\theta}$$

for arbitrary mixing angle,
mixing in matter can be large
MSW resonance!

SOLAR NEUTRINO PROBLEM

Solar Neutrino Problem solutions proposed

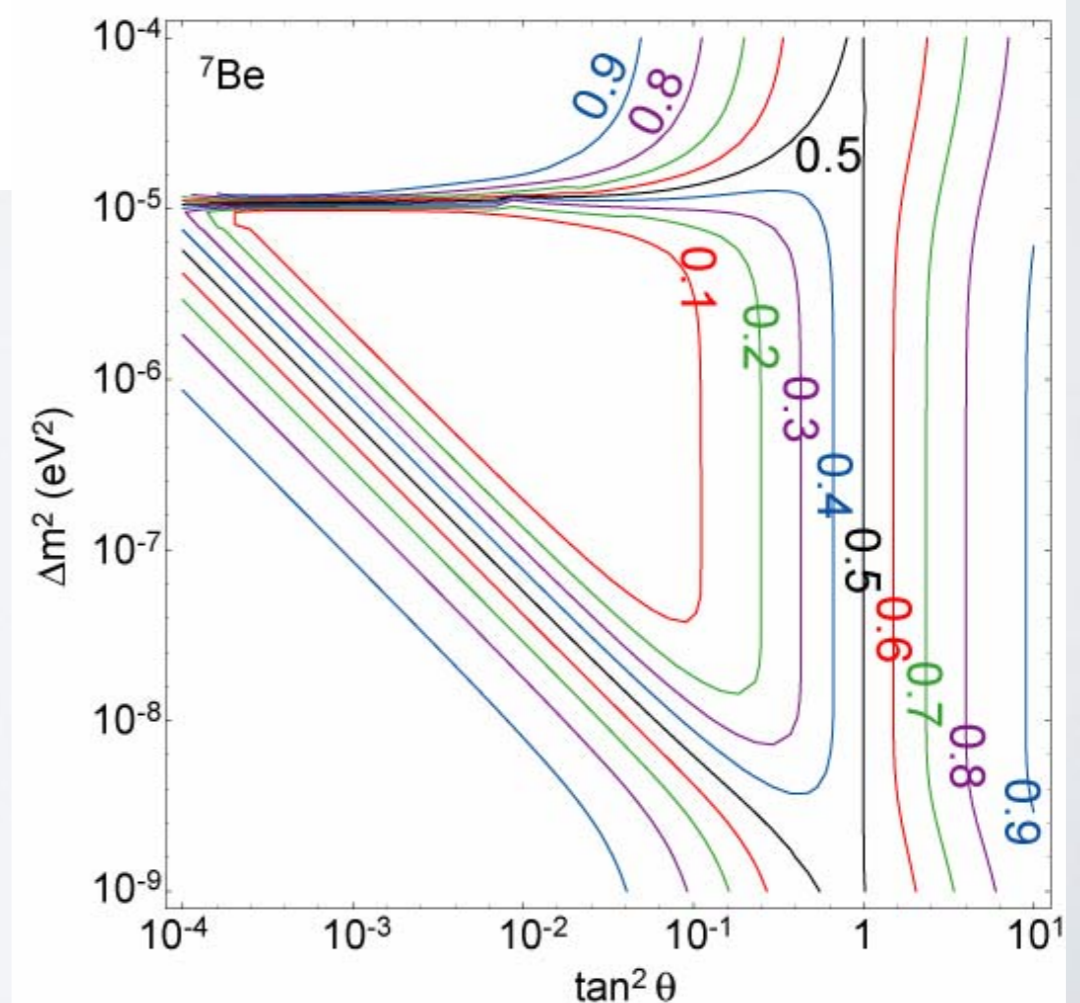
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$$\begin{aligned}
 & \langle \nu_{e, \text{Earth}} | \nu_{e, \text{core}} \rangle^2 \\
 &= \left[(1 - P_c) \cos^2 \theta + P_c \sin^2 \theta \right] \cos^2 \theta_M + \left[P_c \cos^2 \theta + (1 - P_c) \sin^2 \theta \right] \sin^2 \theta_M \\
 & - \sqrt{P_c (1 - P_c)} \sin 2\theta \cos \left(\frac{\Delta m^2}{2p} L + \delta \right)
 \end{aligned}$$

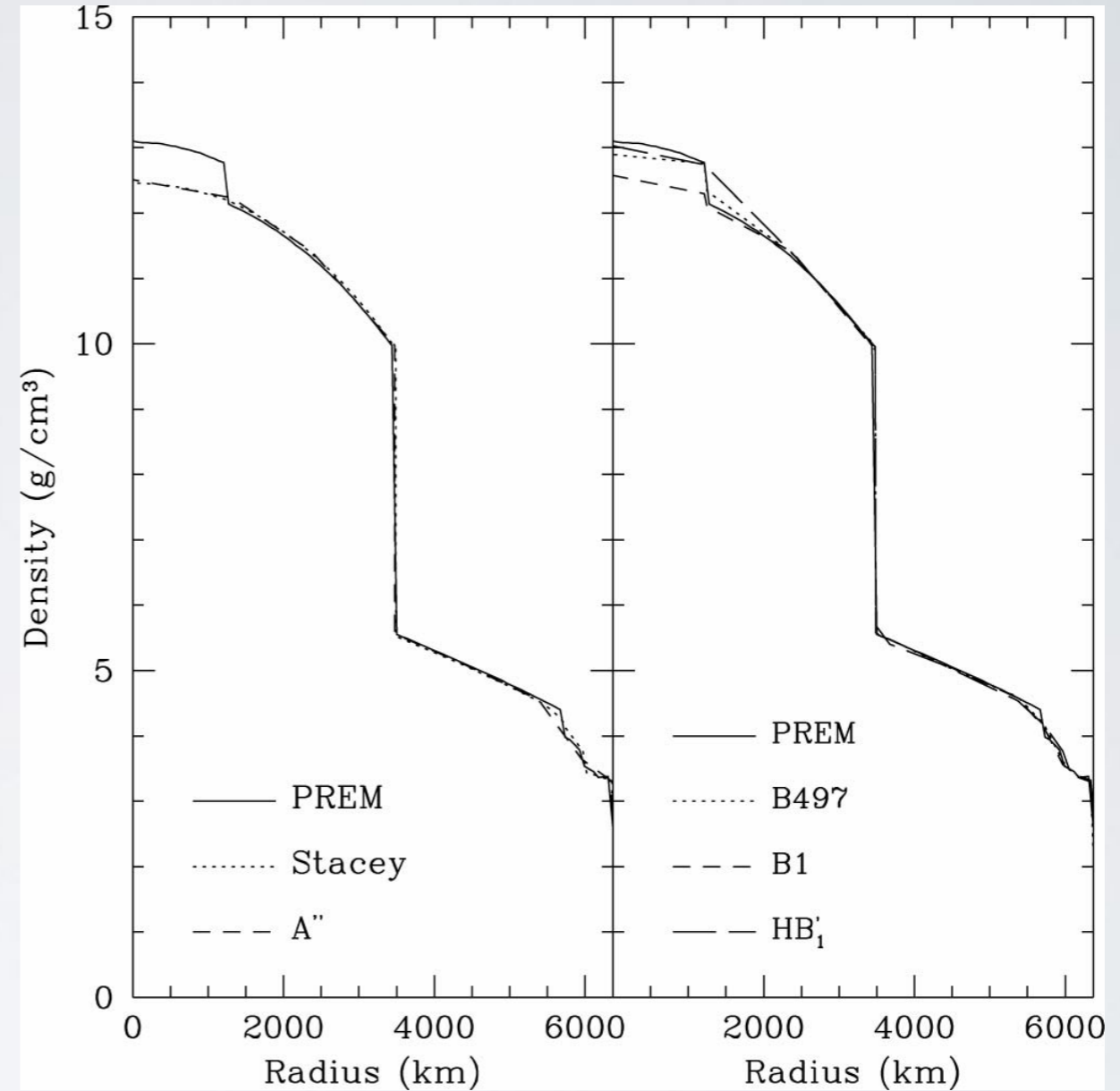
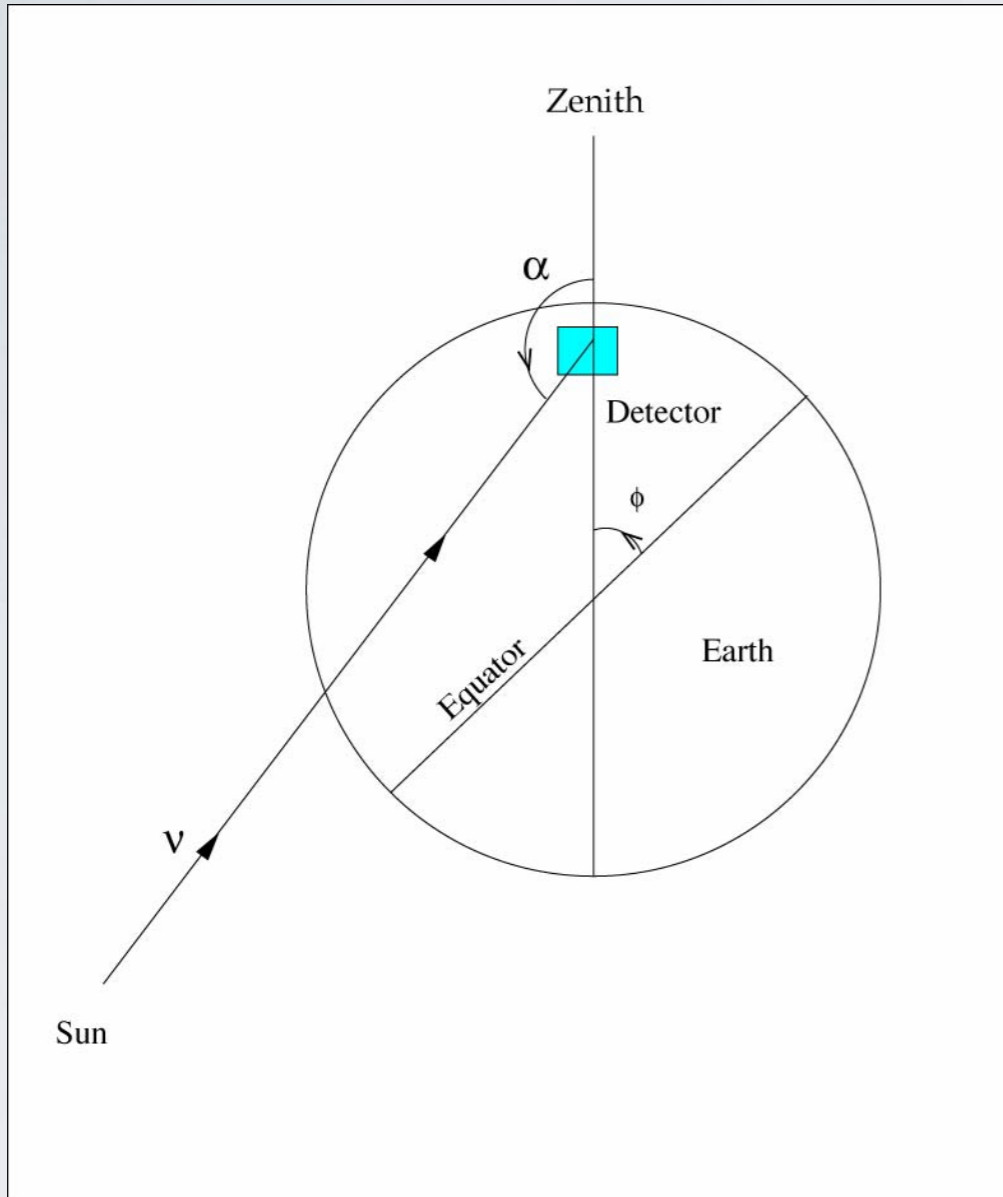
$$P_c = \frac{e^{-\gamma \sin^2 \theta} - e^{-\gamma}}{1 - e^{-\gamma}}$$

$$\gamma = 2\pi r_0 \frac{\Delta m^2}{2p} = 1.05 \frac{\Delta m^2 \text{ MeV}}{10^{-9} \text{ eV}^2 p}$$

Family of solutions with large or small angles, with larger or smaller mass squared splittings



INCLUDE EARTH MATTER EFFECTS AT NIGHT!



$$f_{\text{reg}} \equiv P_{2e} - \sin^2 \theta$$

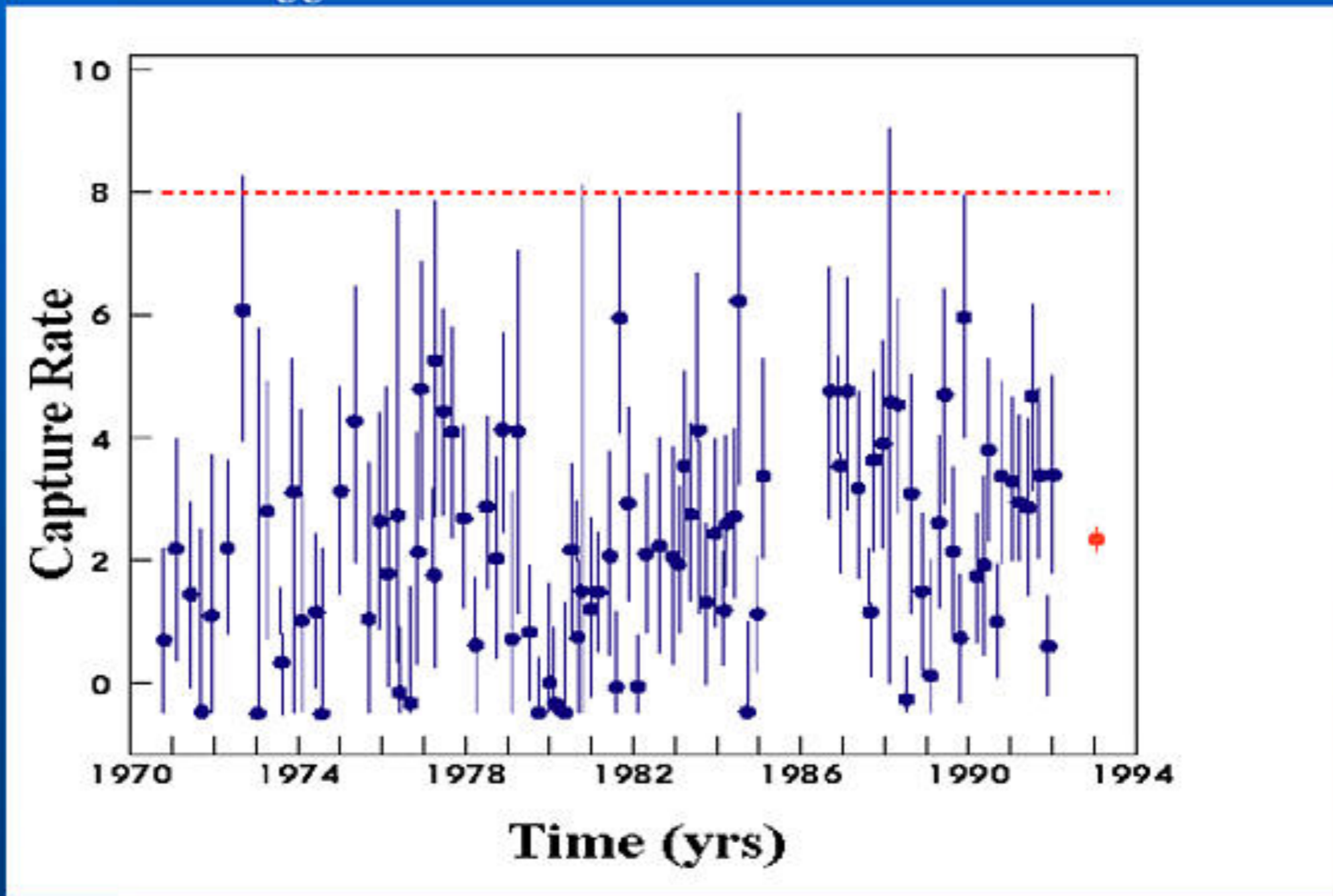
$$f_{\text{reg}} = \frac{1}{\eta} \cdot \sin^2 2\theta_m \cdot \sin^2 \left(\frac{\pi d}{l_m} \right)$$

$$\eta \equiv \frac{l_0}{l_\nu} = \frac{\sqrt{2} m_N \Delta m^2}{G_F \rho Y_e E}$$

The Sun is brighter at night in neutrinos !

Homestake

$$N_{cc} = 2.56 \pm 0.23 \text{ SNU}$$



1 SNU = 10^{-36} captures / target atom / s

SOLAR NEUTRINO PROBLEM

Solar Neutrino Problem solutions proposed

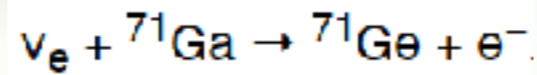
- Pontecorvo (1960s) - Neutrino oscillations
- Lincoln Wolfenstein(1978) Matter effects in neutrino propagation
- Stanislav Mikheiev, Alexei Smirnov (1985) Resonant conversion-MSW effect
- Masatoshi Koshiba, Kamiokande experiment (since 1986): Fewer neutrinos
- 1989 “Neutrino Astrophysics” book by John Bahcall
- Since 1990s: Helioseismology and new neutrino experiments

GALLEX/GNO - SAGE

$$N_{cc} = 70.8 \pm 4.4 \text{ SNU}$$

November 2002

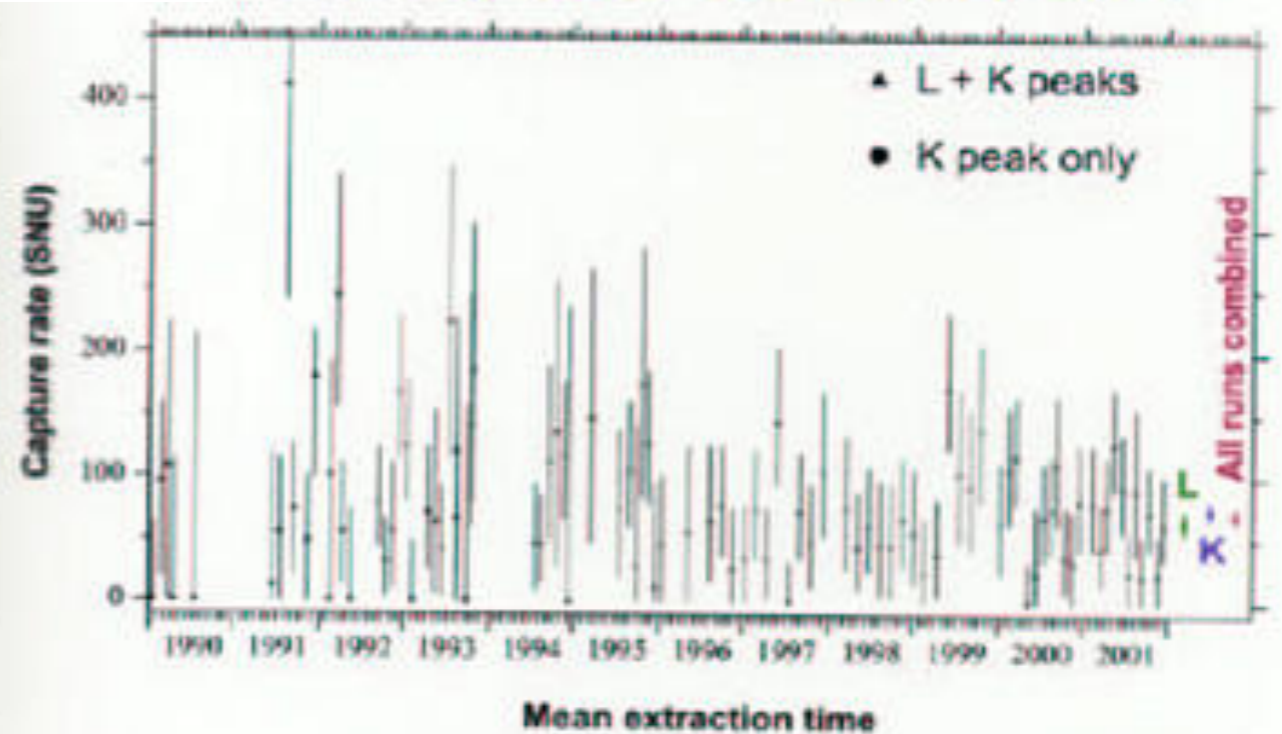
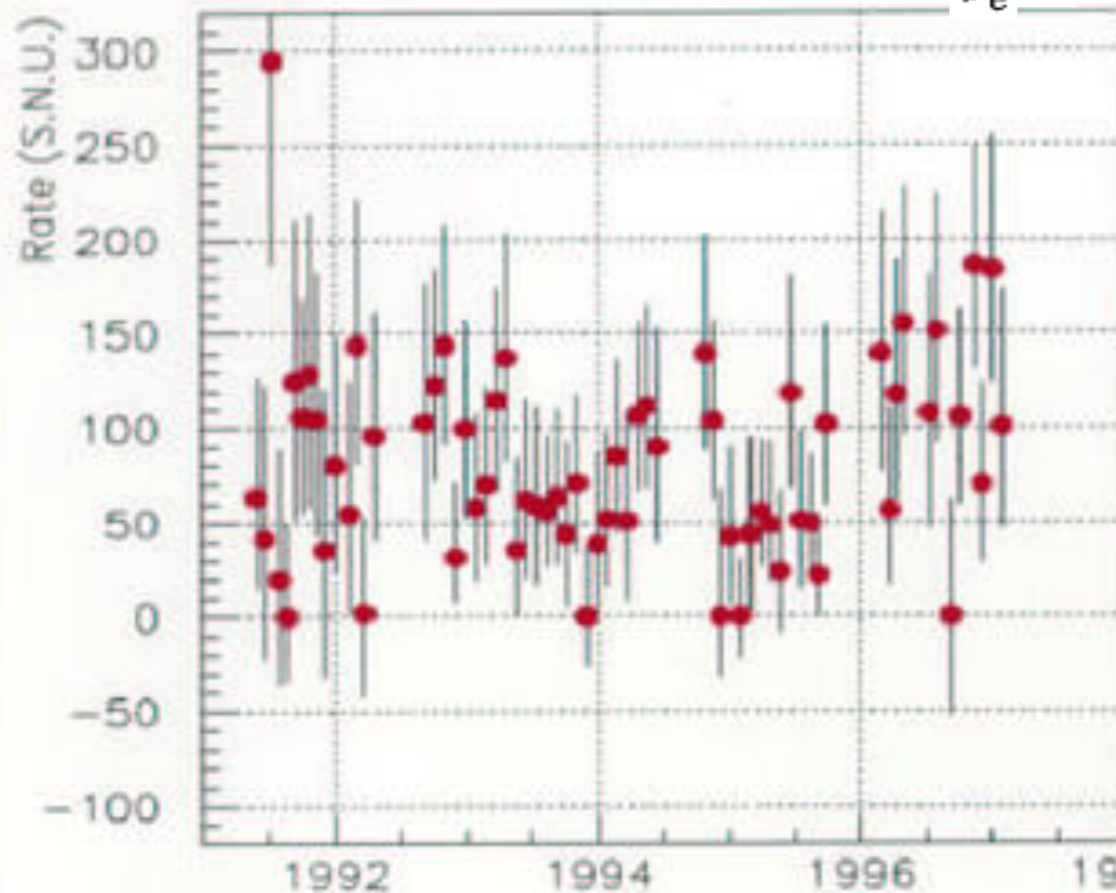
Energy-KT analysis
GALLEX



$$E_{\nu_e} \geq 233.2 \text{ keV}$$

SAGE

January 1990 - December 2001



GALLEX	65 SR	77.5
GNO	43 SR	65.2
GNO+GALLEX	108 SR	70.8

Combined result:

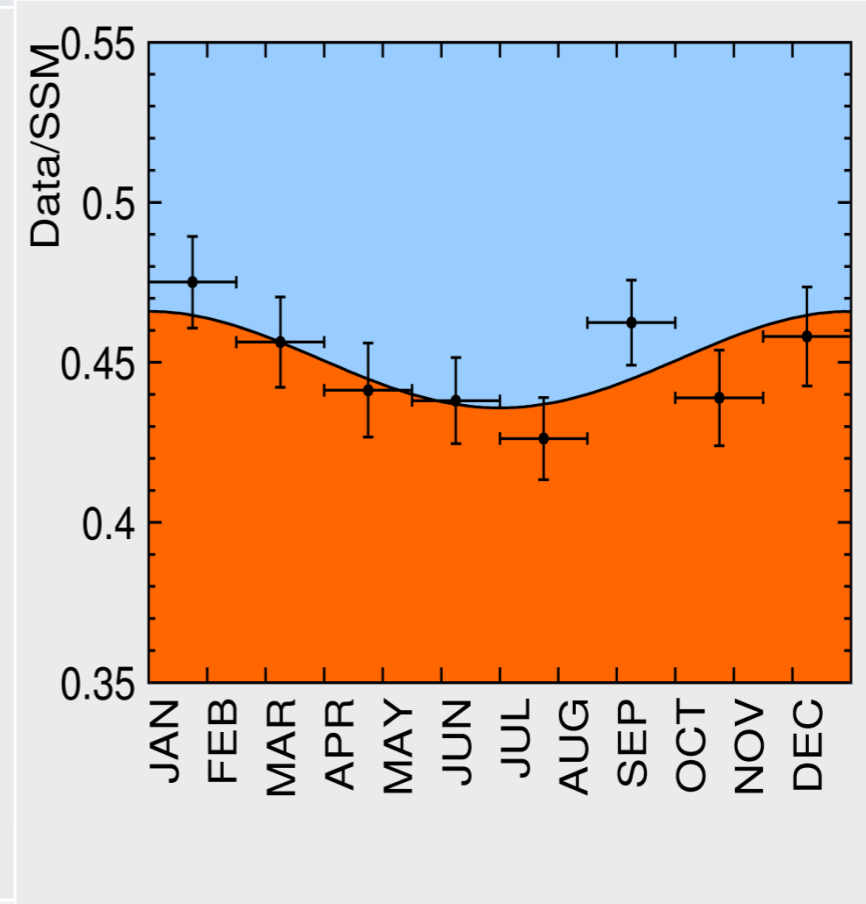
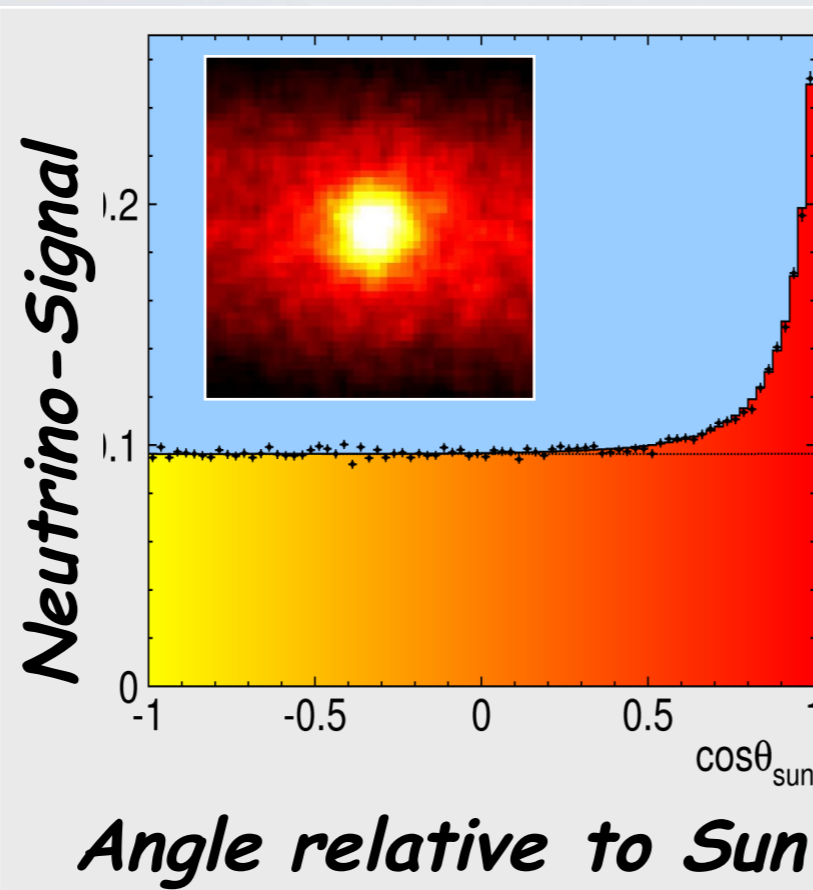
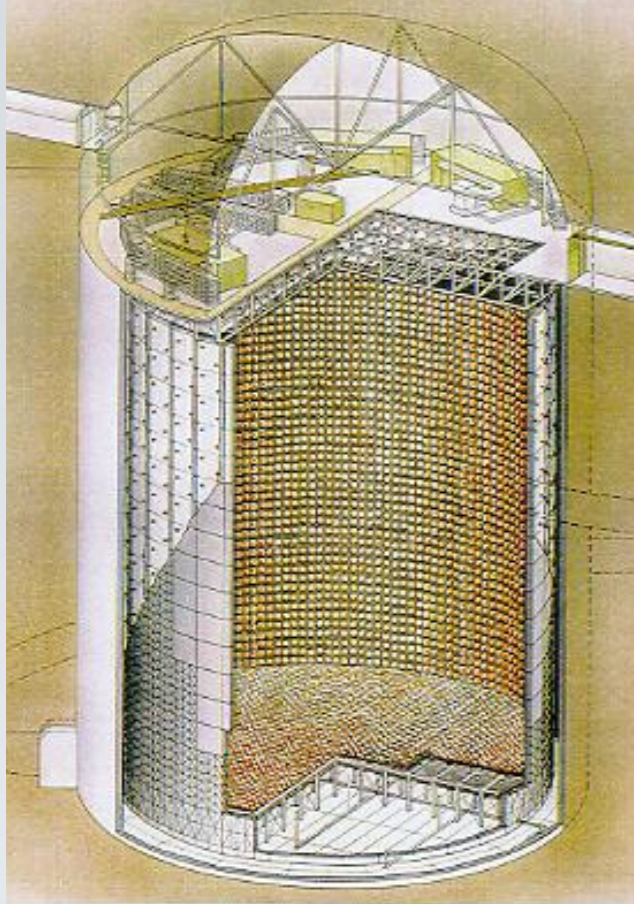
L-peak - 64.8 +8.5/-8.2 SNU

K-peak - 74.4 +6.8/-6.6 SNU

Overall - 70.8 +5.3/-5.2 SNU

1 SNU = 1 interaction of ν_e /sec in 10^{36} atoms/day

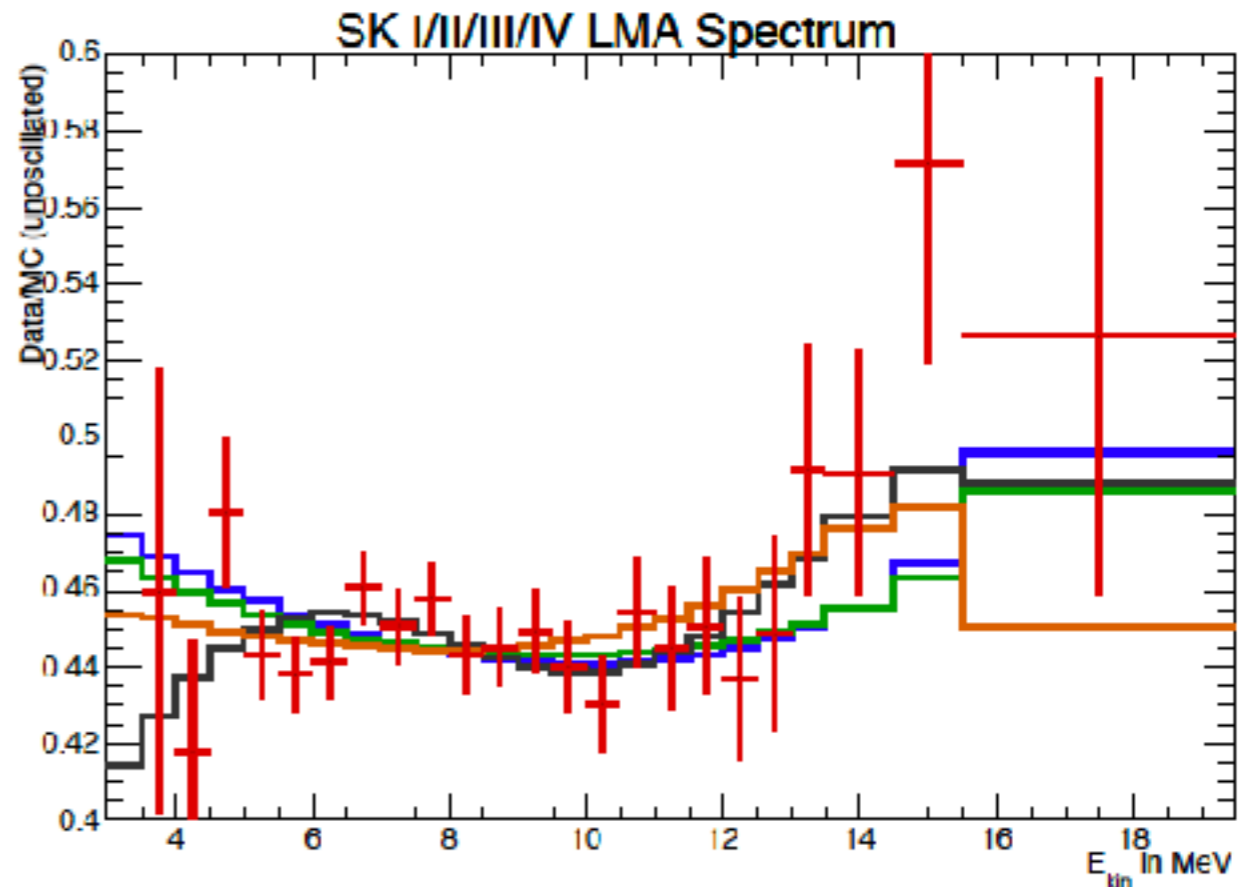
KAMIOKANDE- SUPERKAMIOKANDE



Elastic scattering on electrons (in water)
 Cherenkov electrons keep information
 on the energy and direction
 Neutrinos come from the Sun!
 Deficit persists!
 Hint to Earth regeneration.

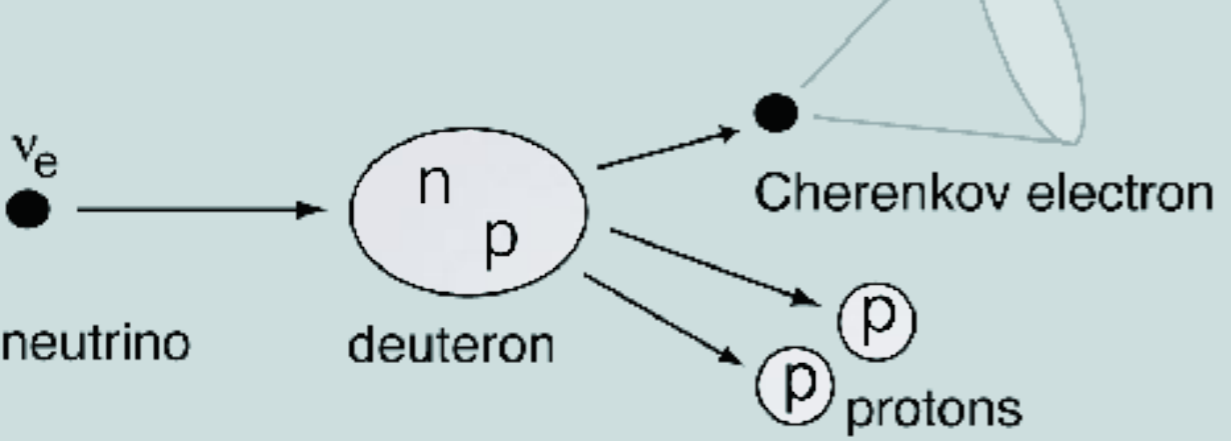
$$\Phi_{sB}(SK) - (2.345 \pm 0.014(\text{stat.}) \pm 0.036(\text{syst.})) \times 10^6 / (\text{cm}^2 \text{sec})$$

$$A_{DN}^{\text{fit, SK}} = (-3.3 \pm 1.0(\text{stat.}) \pm 0.5(\text{syst.}))\%$$

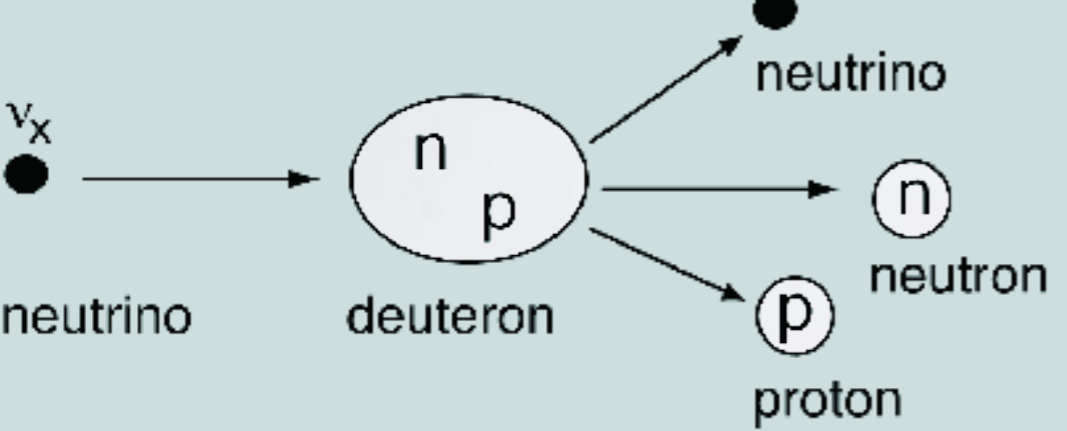


SNO: FLAVOR CONVERSION!

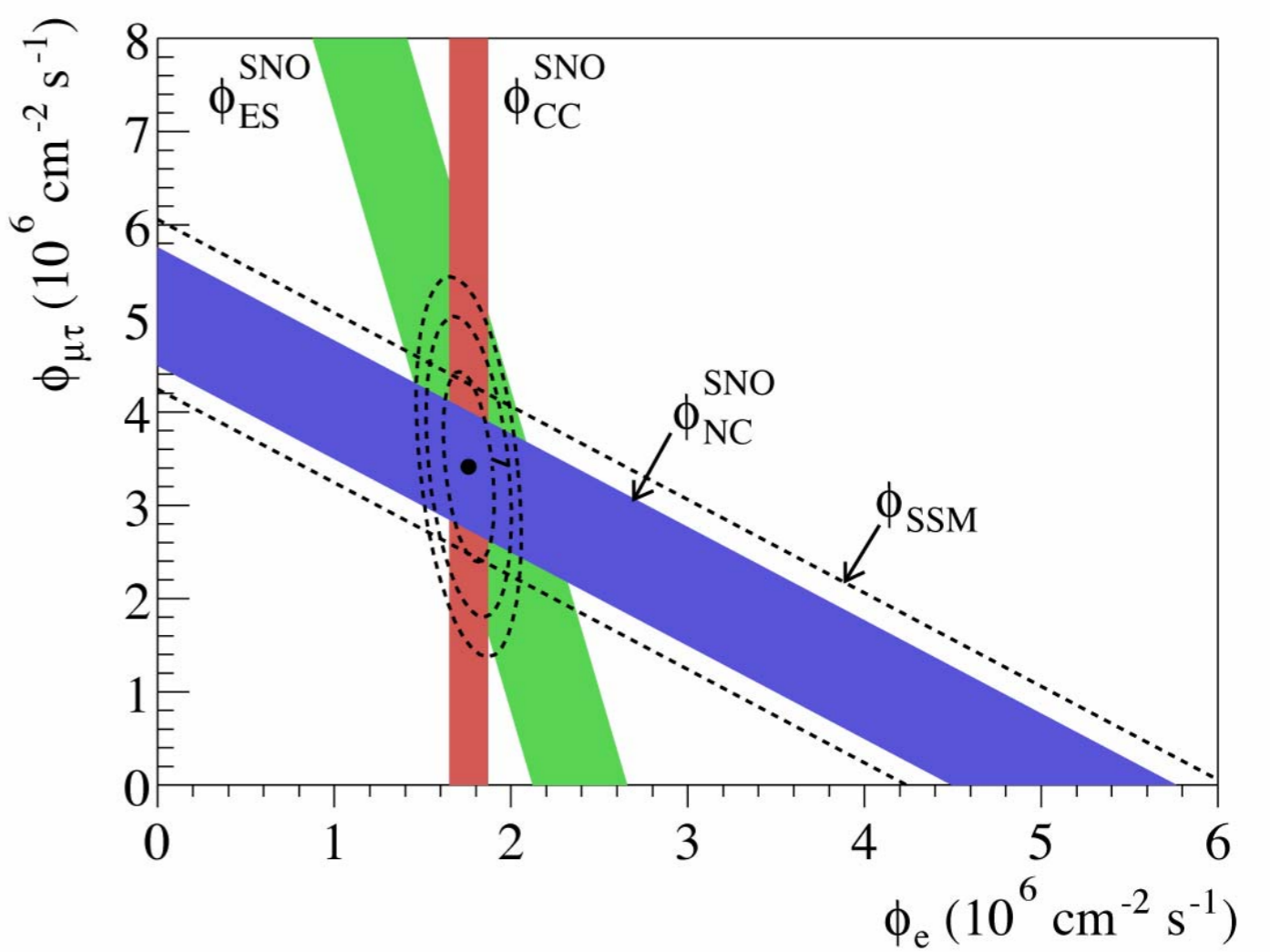
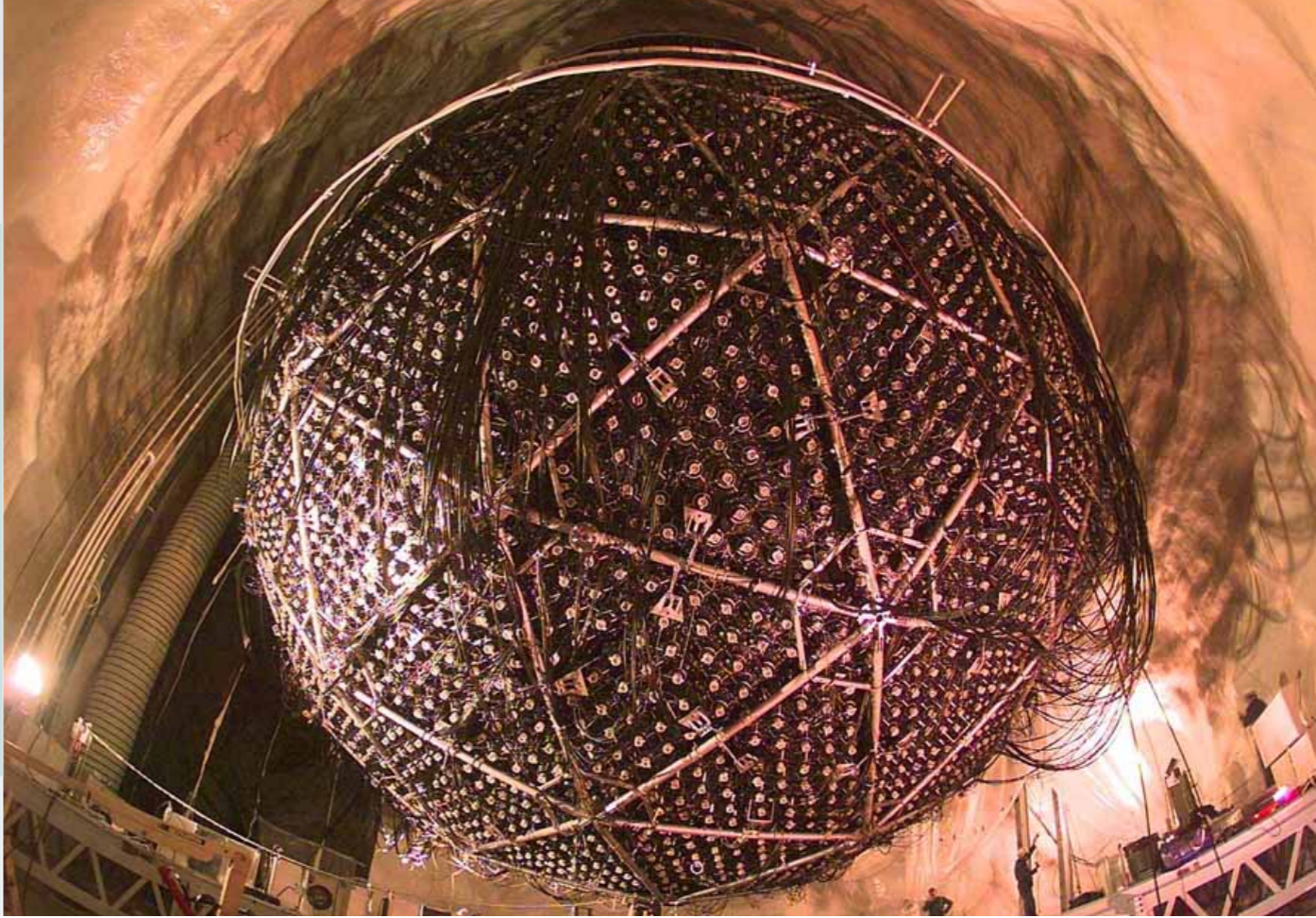
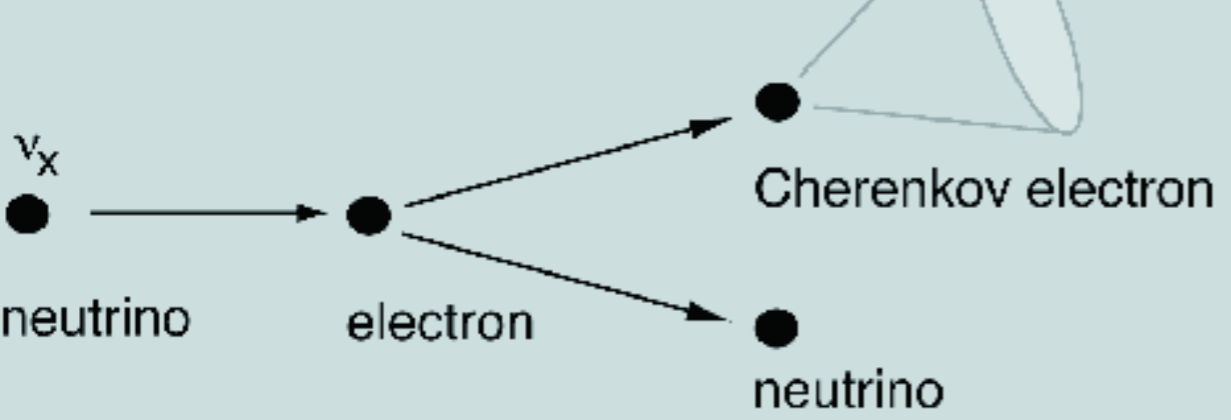
Charged-Current



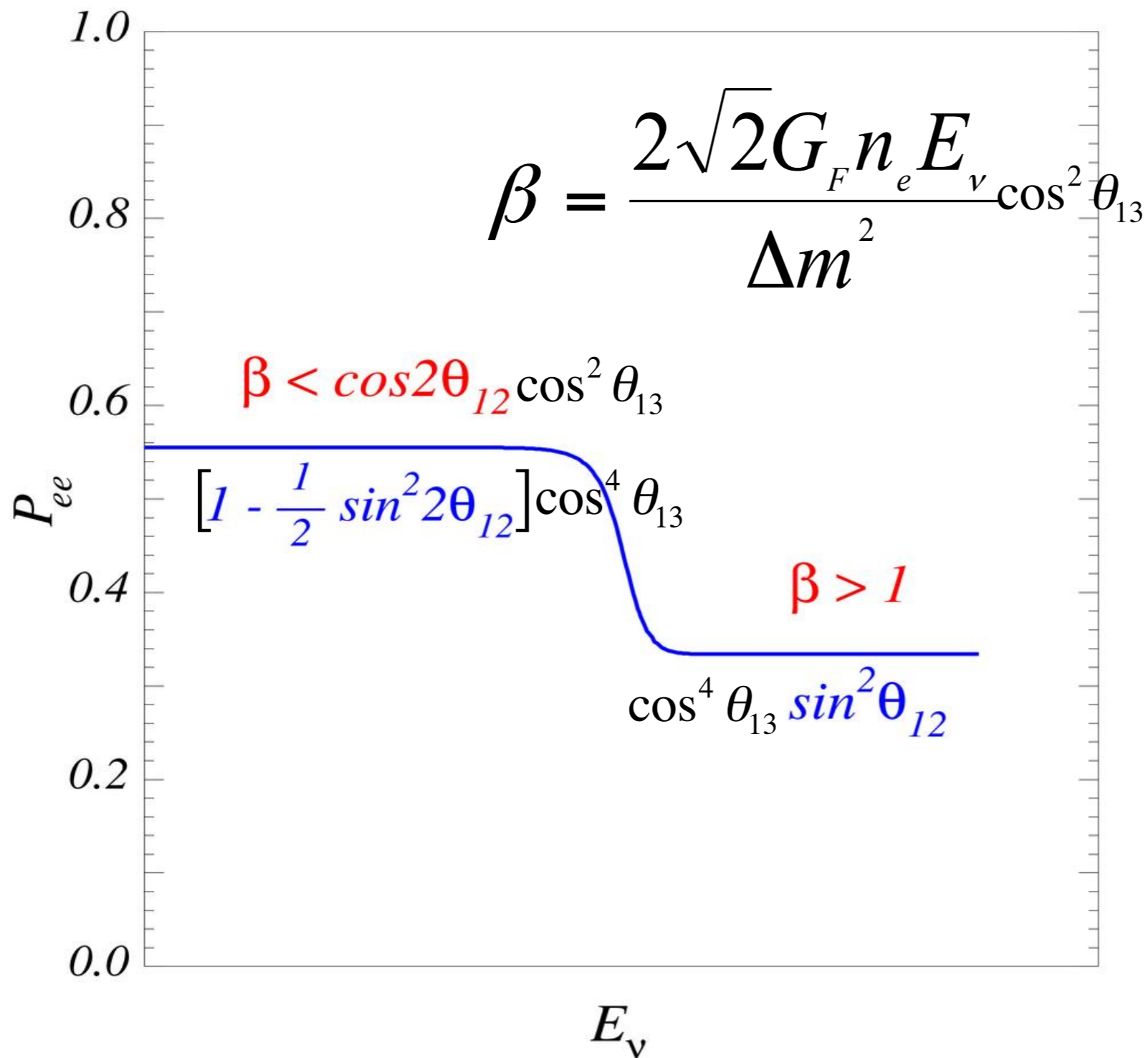
Neutral-Current



Elastic Scattering



LMA : Vacuum to adiabatic transition



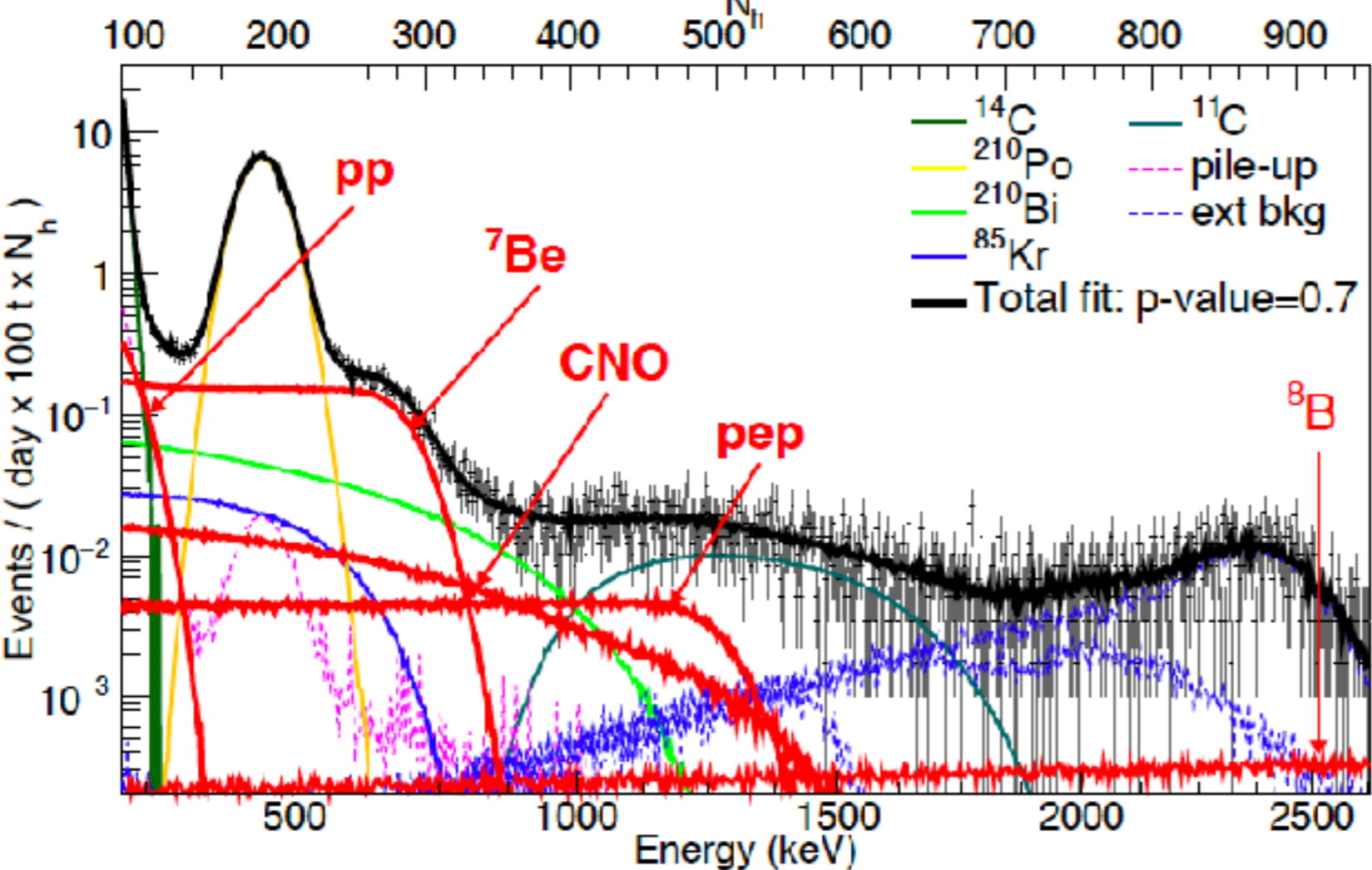
$$E_{crit}({}^8\text{B}) = 1.8 \text{ MeV}$$

$$E_{crit}({}^7\text{Be}) = 2.2 \text{ MeV}$$

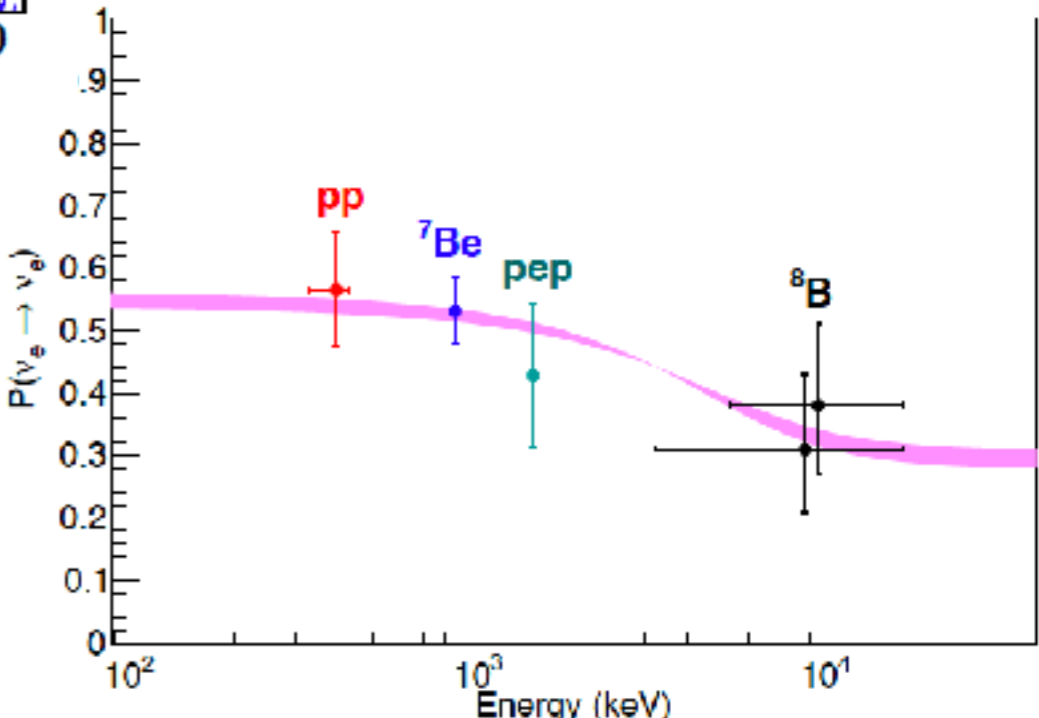
$$E_{crit}(\text{pp}) = 3.3 \text{ MeV}$$

BOREXINO: NEUTRINO SPECTROSCOPY

Elastic scattering on electrons (in LS)



Solar ν	Rate [cpd/100 t]	Flux [cm ² s ⁻¹]
<i>pp</i>	$134 \pm 10^{+6}_{-10}$	$(6.1 \pm 0.5^{+0.3}_{-0.5}) \times 10^{10}$
${}^7\text{Be}$	$48.3 \pm 1.1^{+0.4}_{-0.7}$	$(4.99 \pm 0.11^{+0.06}_{-0.08}) \times 10^9$
<i>pep</i> (HZ)	$2.43 \pm 0.36^{+0.15}_{-0.22}$	$(1.27 \pm 0.19^{+0.08}_{-0.12}) \times 10^8$
<i>pep</i> (LZ)	$2.65 \pm 0.36^{+0.15}_{-0.24}$	$(1.39 \pm 0.19^{+0.08}_{-0.13}) \times 10^8$
CNO	< 8.1 (95% C.L.)	< 7.9×10^8 (95% C.L.)



SUMMARY: NEUTRINO PARAMETERS INVOLVED

Mostly from Solar Data

$\sin^2 \theta_{12}$	$0.306^{+0.012}_{-0.012}$	$0.271 \rightarrow 0.345$
$\theta_{12}/^\circ$	$33.56^{+0.77}_{-0.75}$	$31.38 \rightarrow 35.99$

Mostly from KamLAND

$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.50^{+0.19}_{-0.17}$	$7.03 \rightarrow 8.09$
--	------------------------	-------------------------

Mostly from Data Bay
(with other reactor and
accelerator data)

$\sin^2 \theta_{13}$	$0.02166^{+0.00075}_{-0.00075}$	$0.01934 \rightarrow 0.02392$
$\theta_{13}/^\circ$	$8.46^{+0.15}_{-0.15}$	$7.99 \rightarrow 8.90$

COHERENCE OF WAVE PACKETS: COMPARE SCALES

$$\int^{L_{coh}} dx \Delta v_m = \delta_\nu$$

$$L_{coh} = \delta_\nu \frac{2E^2}{\Delta m^2}$$

^8B neutrinos:

$$L_{coh} \sim (4 - 8) R_\odot \left(\frac{E}{10 \text{MeV}} \right)^2$$

^7Be neutrinos:

$$L_{coh}(\text{Be}) \sim 0.01 R_\odot$$

pp neutrinos:

$$L_{coh}(\text{pp}) \sim 0.002 R_\odot \left(\frac{E}{0.4 \text{MeV}} \right)^2$$

Compare with oscillation length (10-1000 Km) and refraction length (100 Km):

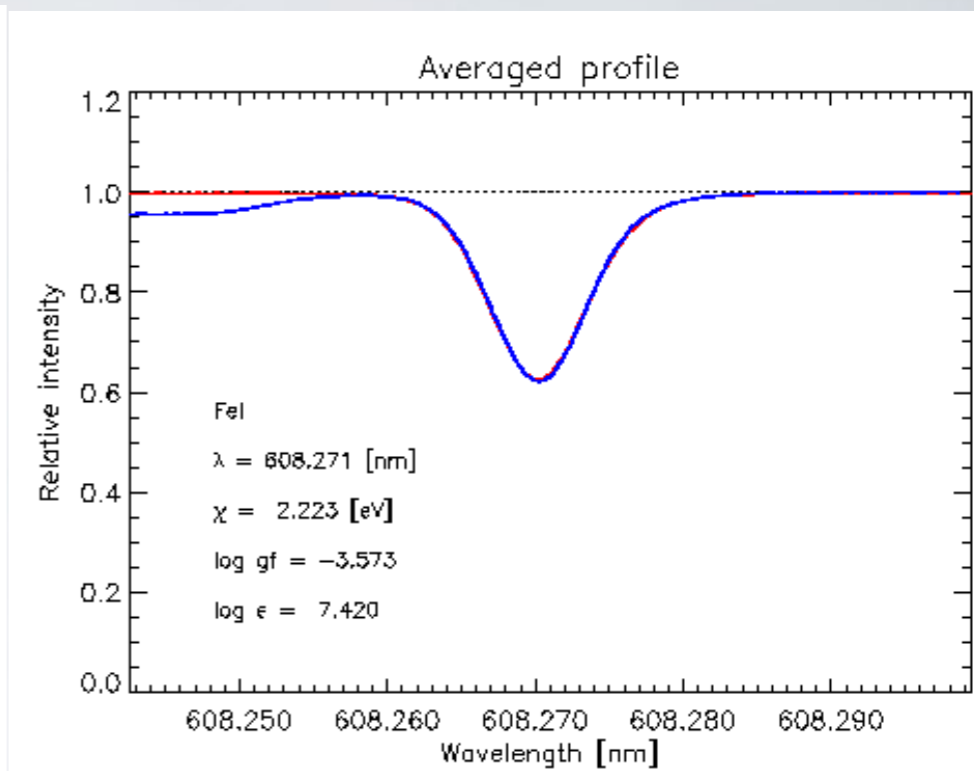
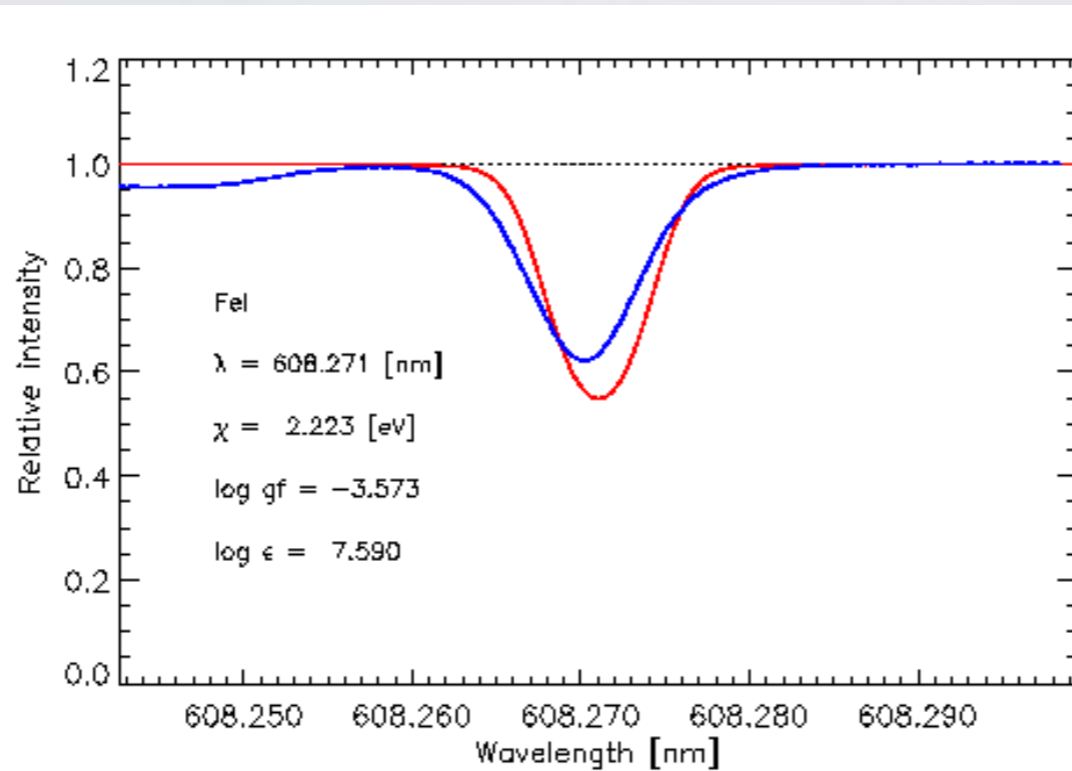
$$L_{osc} = \frac{4\pi\bar{p}}{\Delta m^2}$$

$$l_0 \equiv \frac{2\pi}{V_e - V_a} = \frac{\sqrt{2}\pi}{G_F n_e}$$

ROADMAP OF SOLAR NEUTRINOS: SOLAR ABUNDANCES

Metal volatile abundances
1D vs 3D

Which are the
right inputs?



Lines	MARCS	Holweger-	3D
[C I]	8.40	8.45	8.39
C I	8.35 \pm 0.03	8.39 \pm 0.03	8.36 \pm 0.03
CH,	8.42 \pm 0.04	8.53 \pm 0.04	8.38 \pm 0.04
CH, A-X	8.44 \pm 0.04	8.59 \pm 0.04	8.45 \pm 0.03
C ₂ ,	8.46 \pm 0.03	8.53 \pm 0.03	8.44 \pm 0.03
CO,	8.55 \pm 0.02	8.60 \pm 0.01	8.40 \pm 0.01
CO,	8.58 \pm 0.02	8.69 \pm 0.02	8.37 \pm 0.01

Different total metallicity

$Z/X = 0.0229$ (GS98)

$Z/X = 0.0165$ (AGS05)

GS98		AGS05	
8.52	0.06	8.39	0.05
7.92	0.06	7.78	0.06
8.83	0.06	8.66	0.05
8.08	0.06	7.84	0.06
7.58	0.03	7.53	0.03
7.56	0.02	7.51	0.02
7.20	0.04	7.16	0.04
6.40	0.06	6.18	0.08
7.50	0.03	7.45	0.03

C
N
O
Ne
Mg
Si
S
Ar
Fe

$Abd = \log n_x/n_H + 12$

Helioseismology

lower opacity below CZ $\rightarrow R_{CZ}$ and c_s profile

lower core opacity \rightarrow higher hydrogen to keep $L_\odot \rightarrow$ lower helium

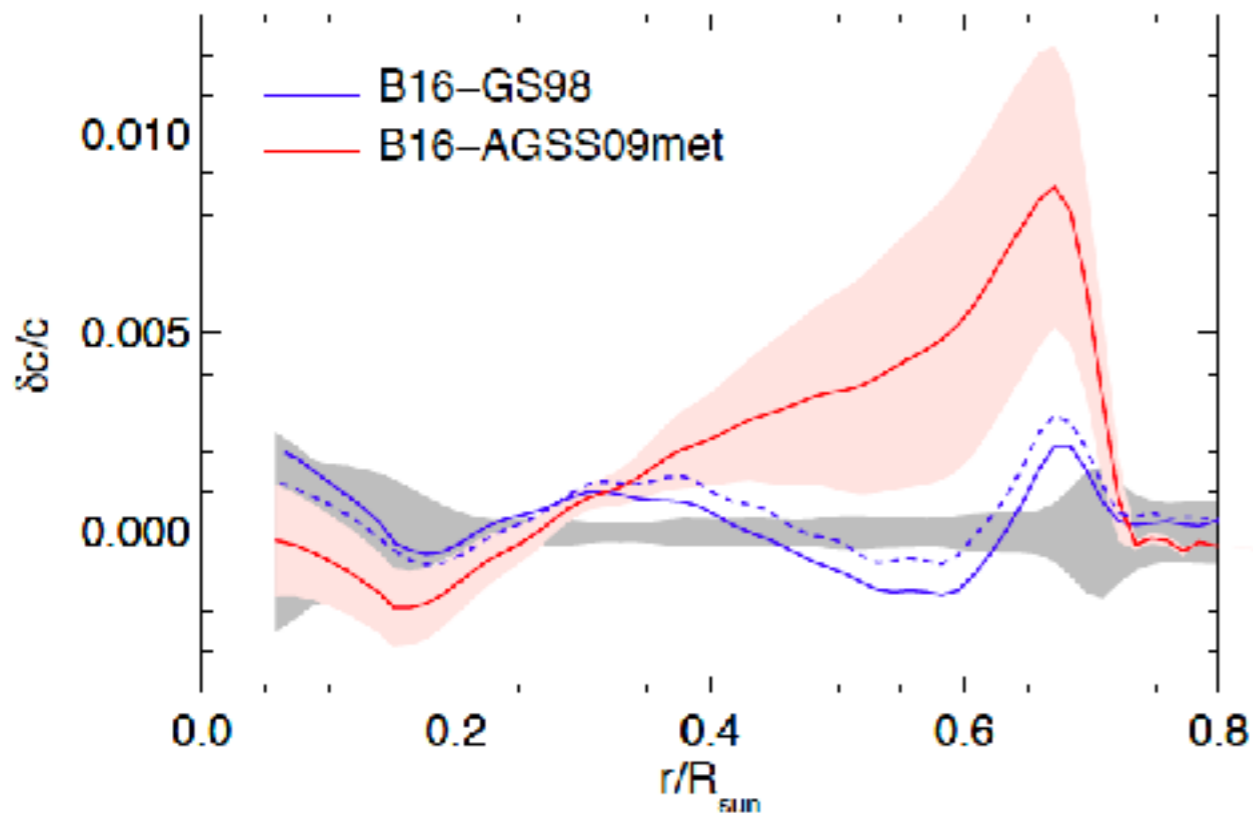
Neutrino fluxes

CNO fluxes depend \sim linearly;
affects pp and pep indirectly

lower opacity \rightarrow lower T in core

lower opacity \rightarrow largest individual contribution
to lower ${}^7\text{Be}$ and ${}^8\text{B}$

Standard Solar Model Helioseismology



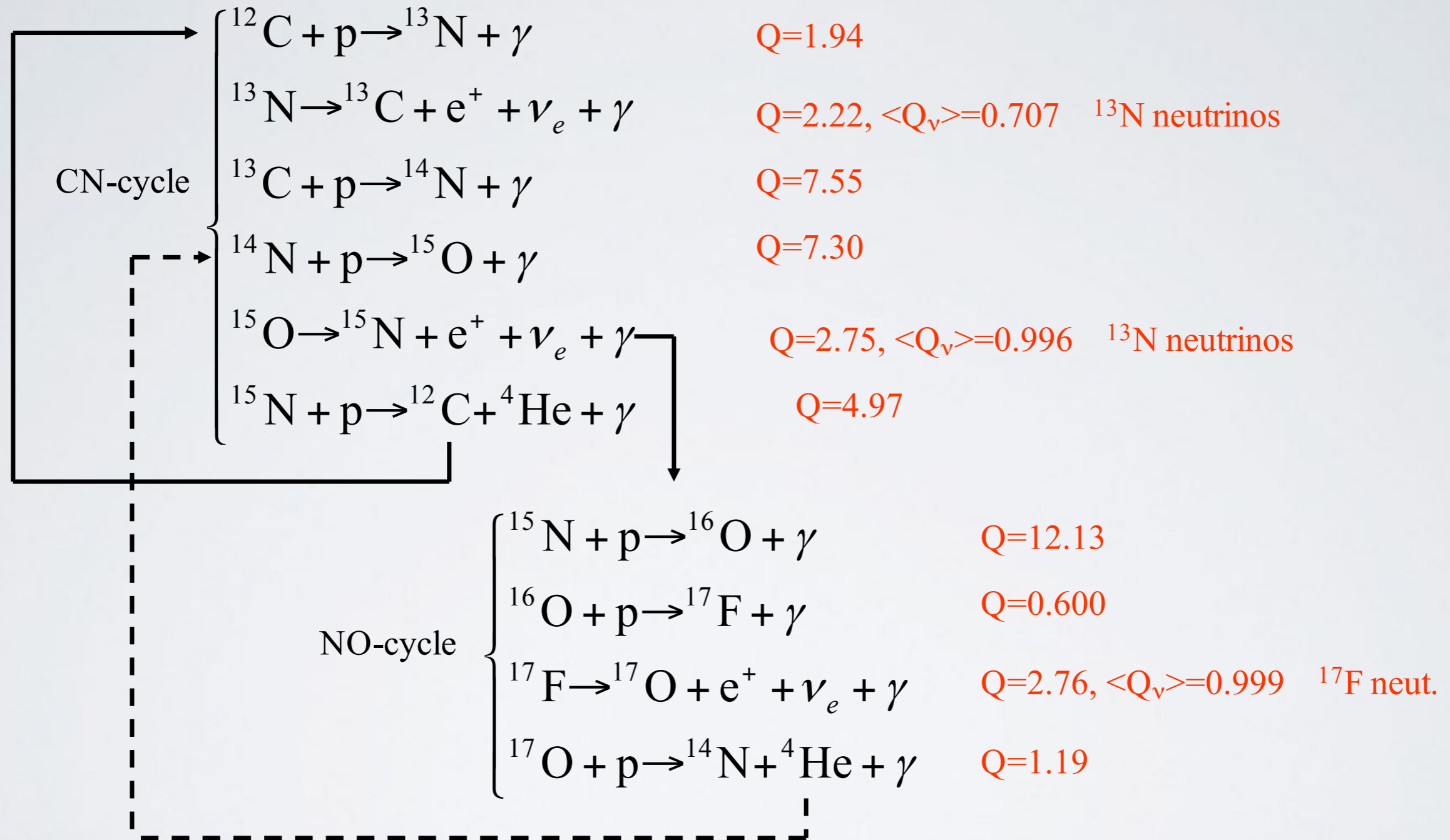
Solar Abundances Problem

Best determinations of solar abundances lead so a wrong beating solar model

	B16-GS98	B16-AGSS09met	Solar
Y_S	0.2426 ± 0.0059	0.2317 ± 0.0059	0.2485 ± 0.0035
R_{CZ}/R_\odot	0.7116 ± 0.0048	0.7223 ± 0.0053	0.713 ± 0.001
$\langle \delta c/c \rangle$	$0.0005^{+0.0006}_{-0.0002}$	0.0021 ± 0.001	0^a
α_{MLT}	2.18 ± 0.05	2.11 ± 0.05	-
Y_{ini}	0.2718 ± 0.0056	0.2613 ± 0.0055	-
Z_{ini}	0.0187 ± 0.0013	0.0149 ± 0.0009	-
Z_S	0.0170 ± 0.0012	0.0134 ± 0.0008	-
Y_C	0.6328 ± 0.0053	0.6217 ± 0.0062	-
Z_C	0.0200 ± 0.0014	0.0159 ± 0.0010	-

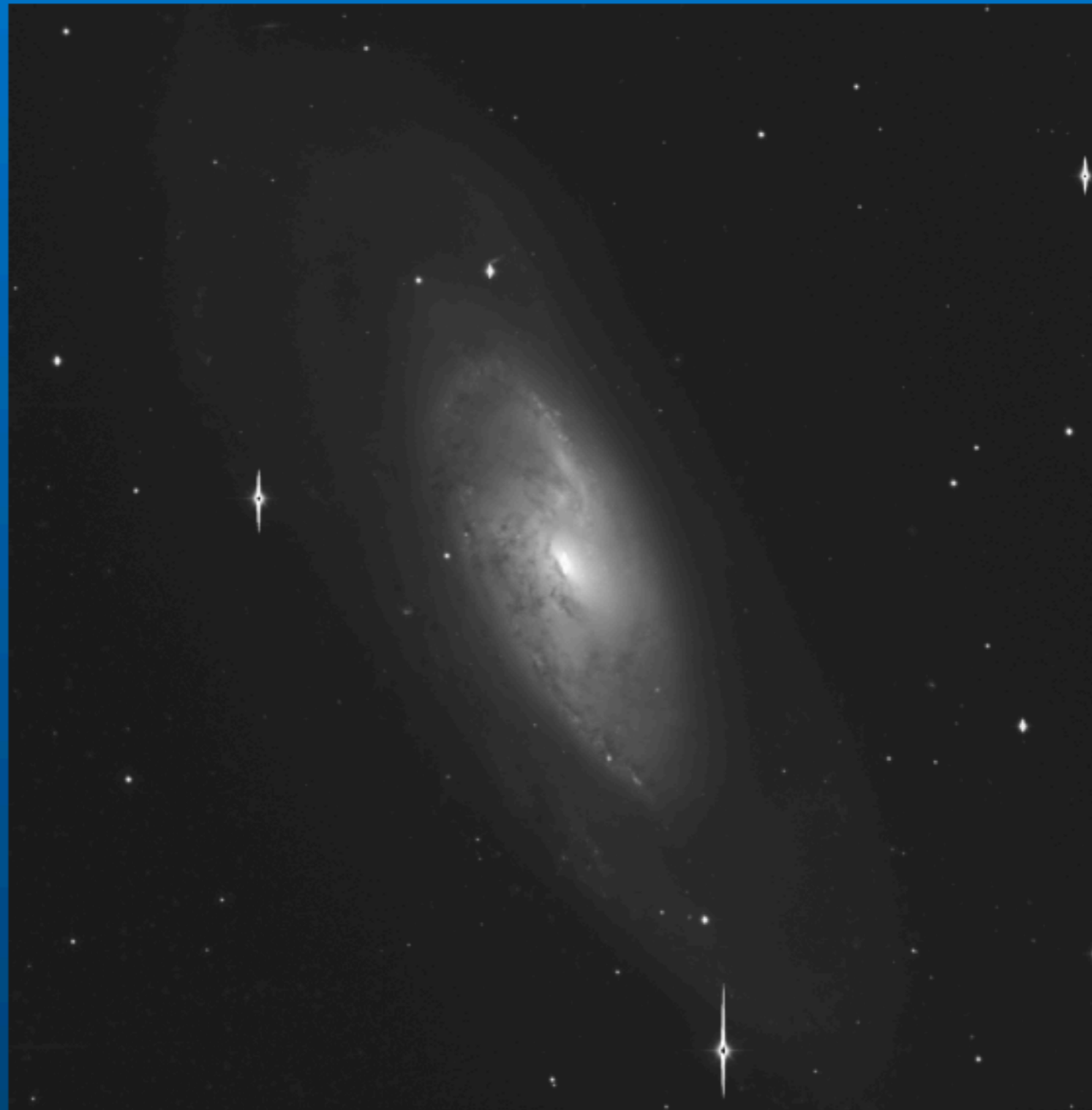
ROADMAP OF SOLAR NEUTRINOS: CNO NEUTRINOS

hydrogen burning – CNO cycle

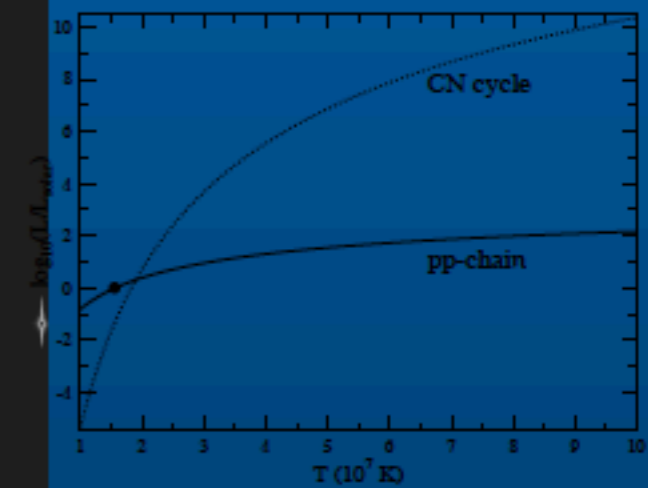
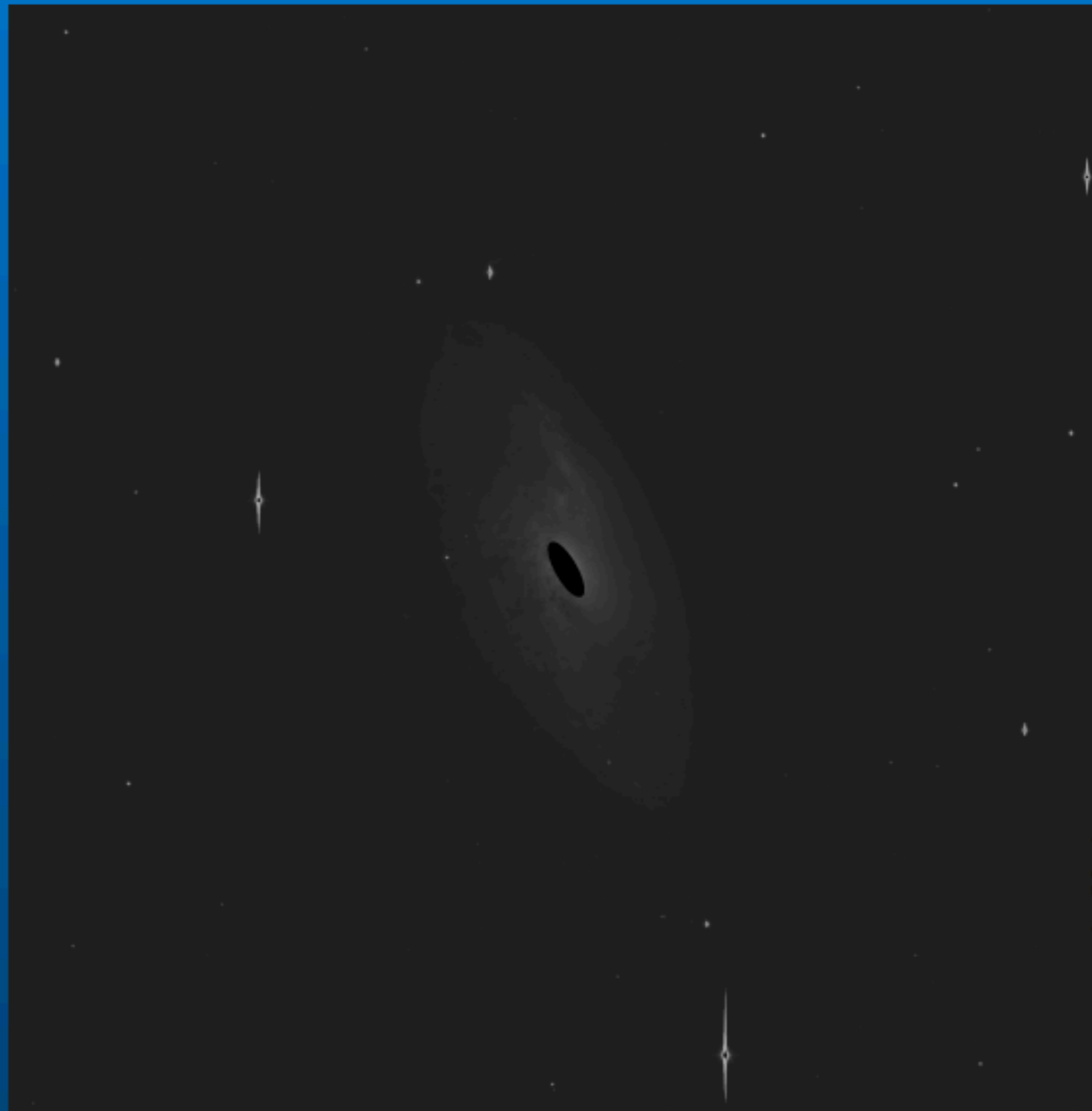


CNO cycle is regulated by $^{14}\text{N} + \text{p}$ reaction (slowest)

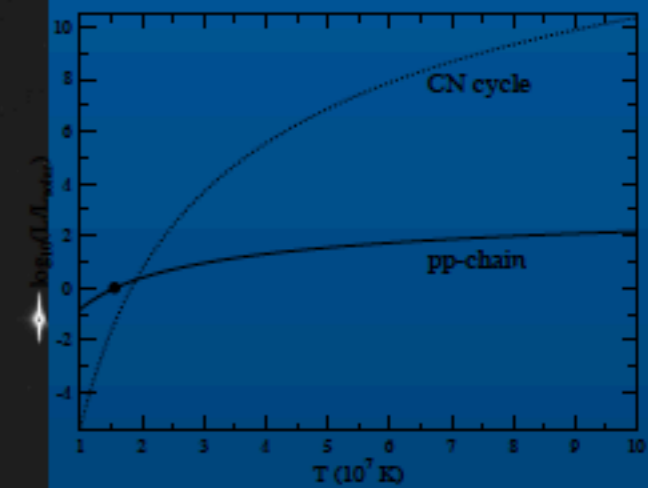
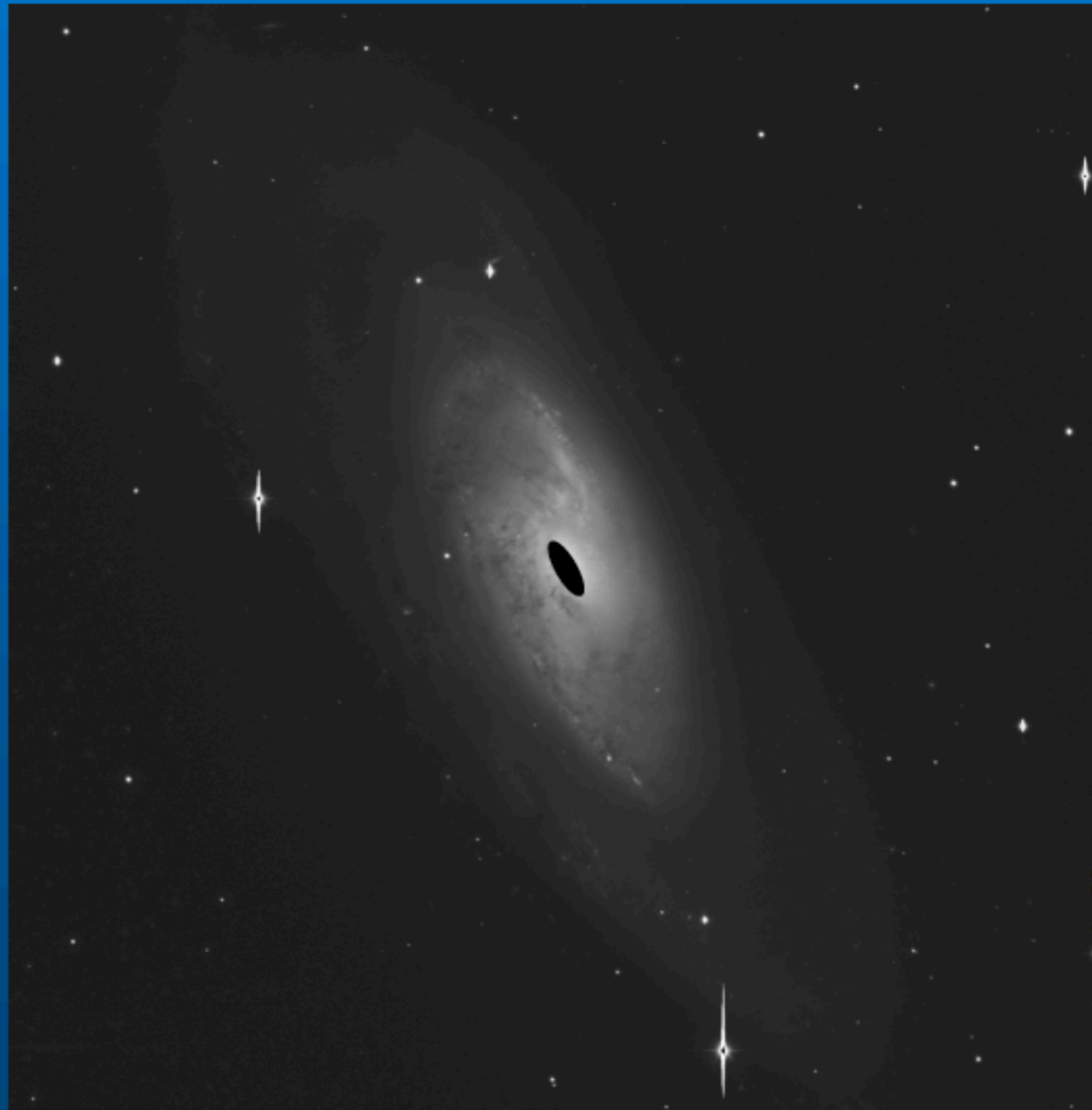
M106 (R filter-H α)



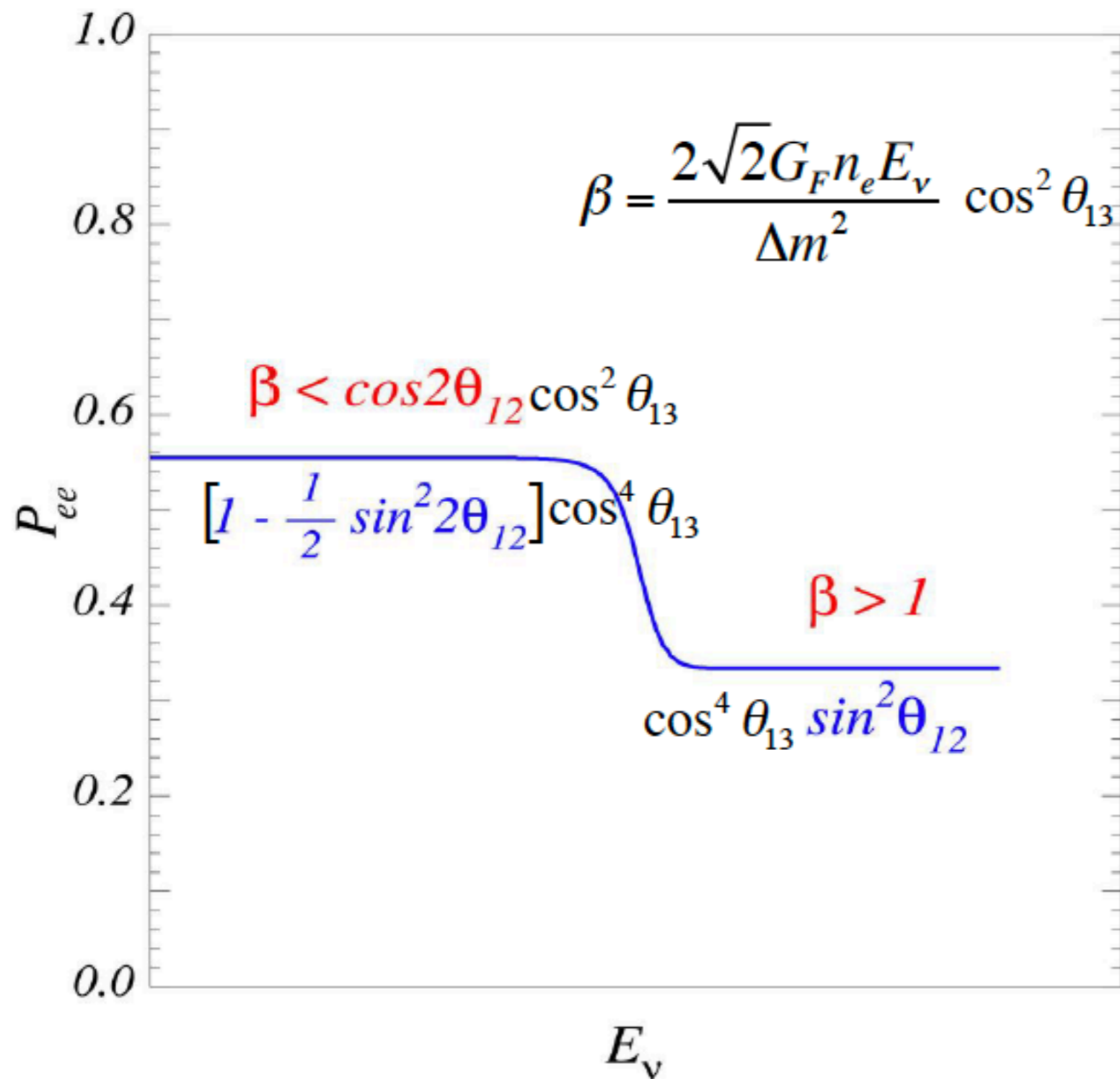
M106 (R filter-H α): Luminosity by pp chain



M106 (R filter-H α): : Luminosity by CNO cycle



LMA : Vacuum to adiabatic transition



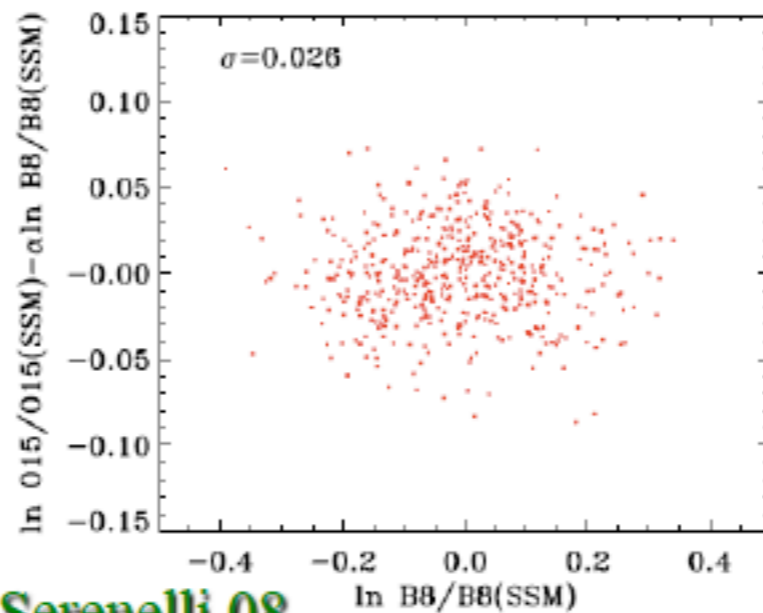
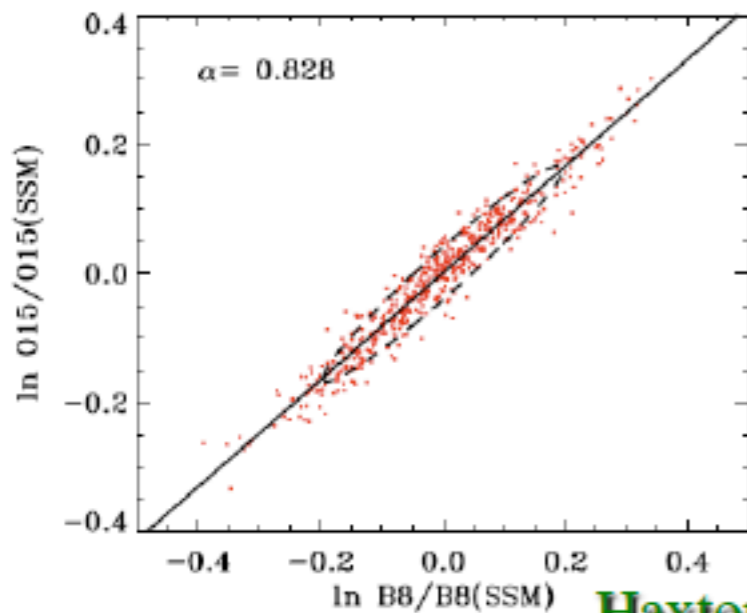
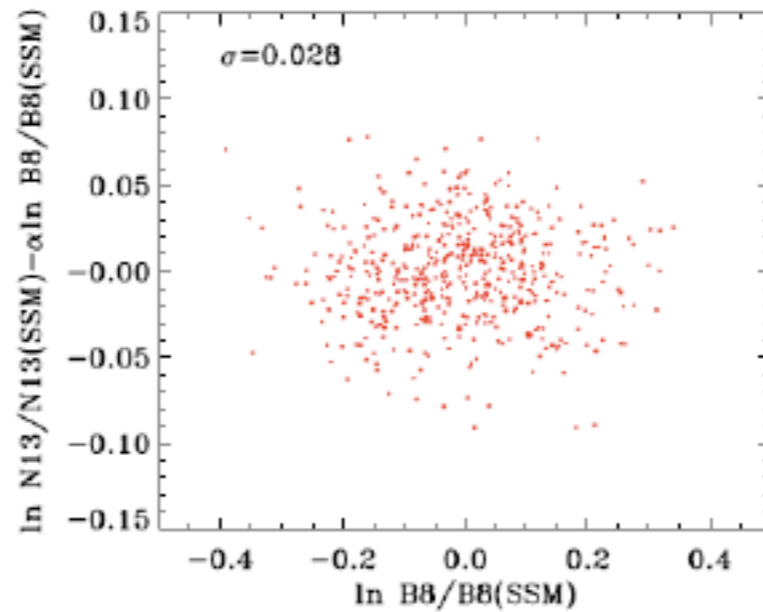
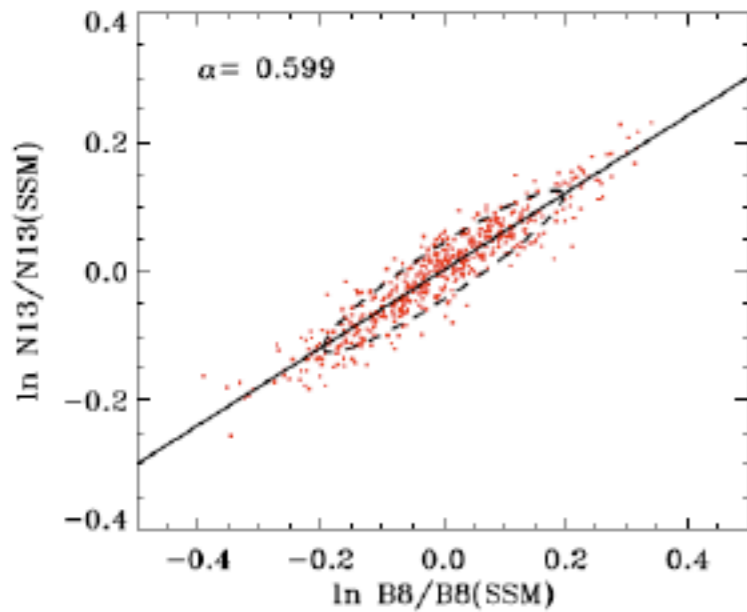
$$E_{crit} (^8\text{B}) = 1.8 \text{ MeV}$$

$$E_{crit} (^7\text{Be}) = 2.2 \text{ MeV}$$

$$E_{crit} (\text{pep}) = 3.2 \text{ MeV}$$

$$E_{crit} (\text{CNO}) = 1.9 \text{ MeV}$$

How to extract core metallicities



Haxton & Serenelli 08

$$\frac{\phi(^{13}\text{N})}{\phi^{SSM}(^{13}\text{N})} = \left[\frac{\phi^{SNO}(^8\text{B})}{\phi^{SSM}(^8\text{B})} \right]^{0.599} [1 \pm 2.8\%(\text{resid. environ.}) \pm 5.0\%(\text{nuclear})] \left(\frac{X(^{12}\text{C})}{X(^{12}\text{C})_{SSM}} \right)^{0.858} \left(\frac{X(^{14}\text{N})}{X(^{14}\text{N})_{SSM}} \right)^{0.141}$$

$$\frac{\phi(^{15}\text{O})}{\phi^{SSM}(^{15}\text{O})} = \left[\frac{\phi^{SNO}(^8\text{B})}{\phi^{SSM}(^8\text{B})} \right]^{0.828} [1 \pm 2.6\%(\text{resid. environ.}) \pm 7.1\%(\text{nuclear})] \left(\frac{X(^{12}\text{C})}{X(^{12}\text{C})_{SSM}} \right)^{0.805} \left(\frac{X(^{14}\text{N})}{X(^{14}\text{N})_{SSM}} \right)^{0.199}$$

Linear dependence with C+N

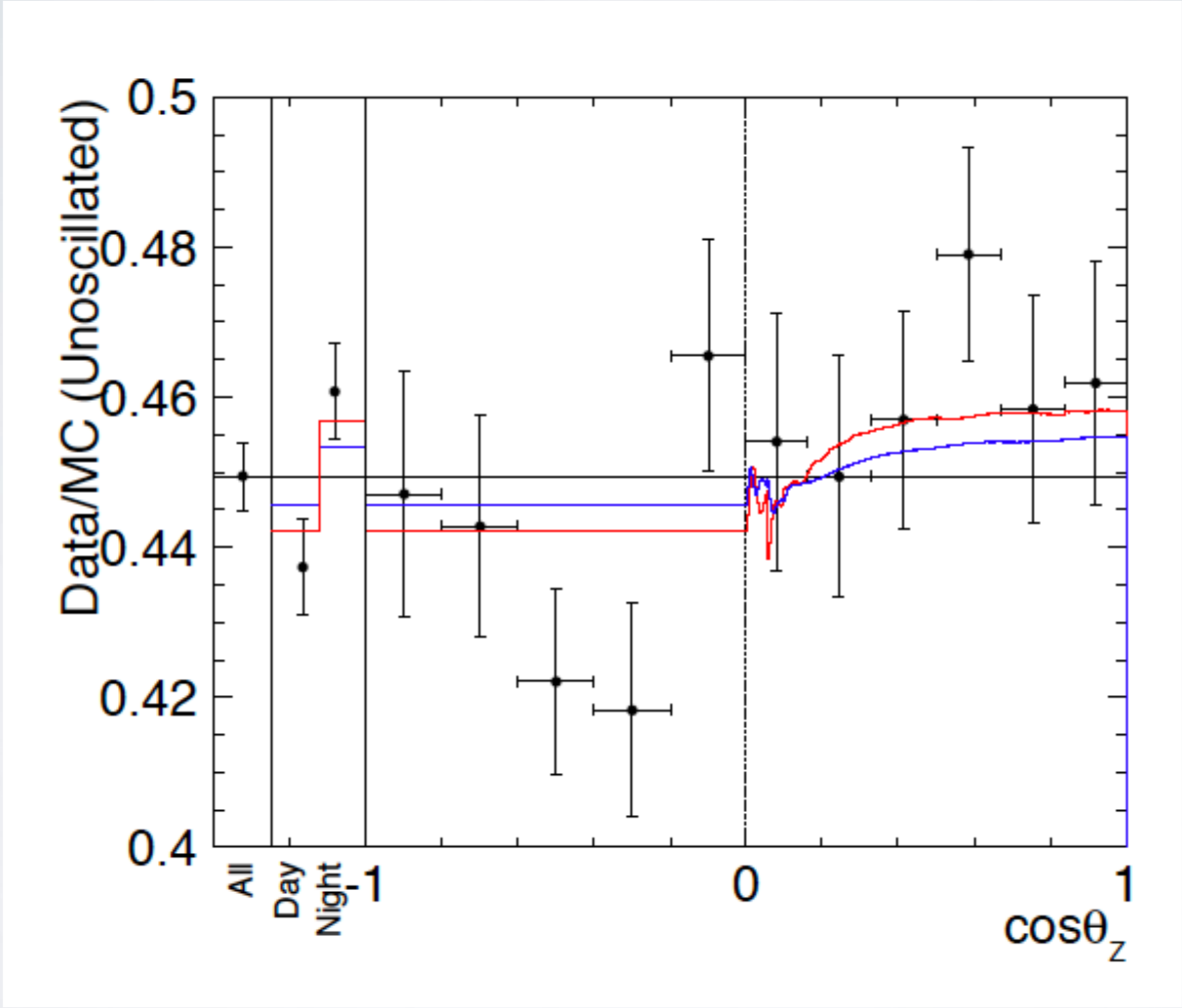
$$x_C^{0.791} x_N^{0.202} \Rightarrow \left[\frac{N_{12\text{C}} + N_{14\text{N}}}{N_{12\text{C}}^{SSM} + N_{14\text{N}}^{SSM}} \right]$$

ROADMAP OF SOLAR NEUTRINOS: LUMINOSITY TEST

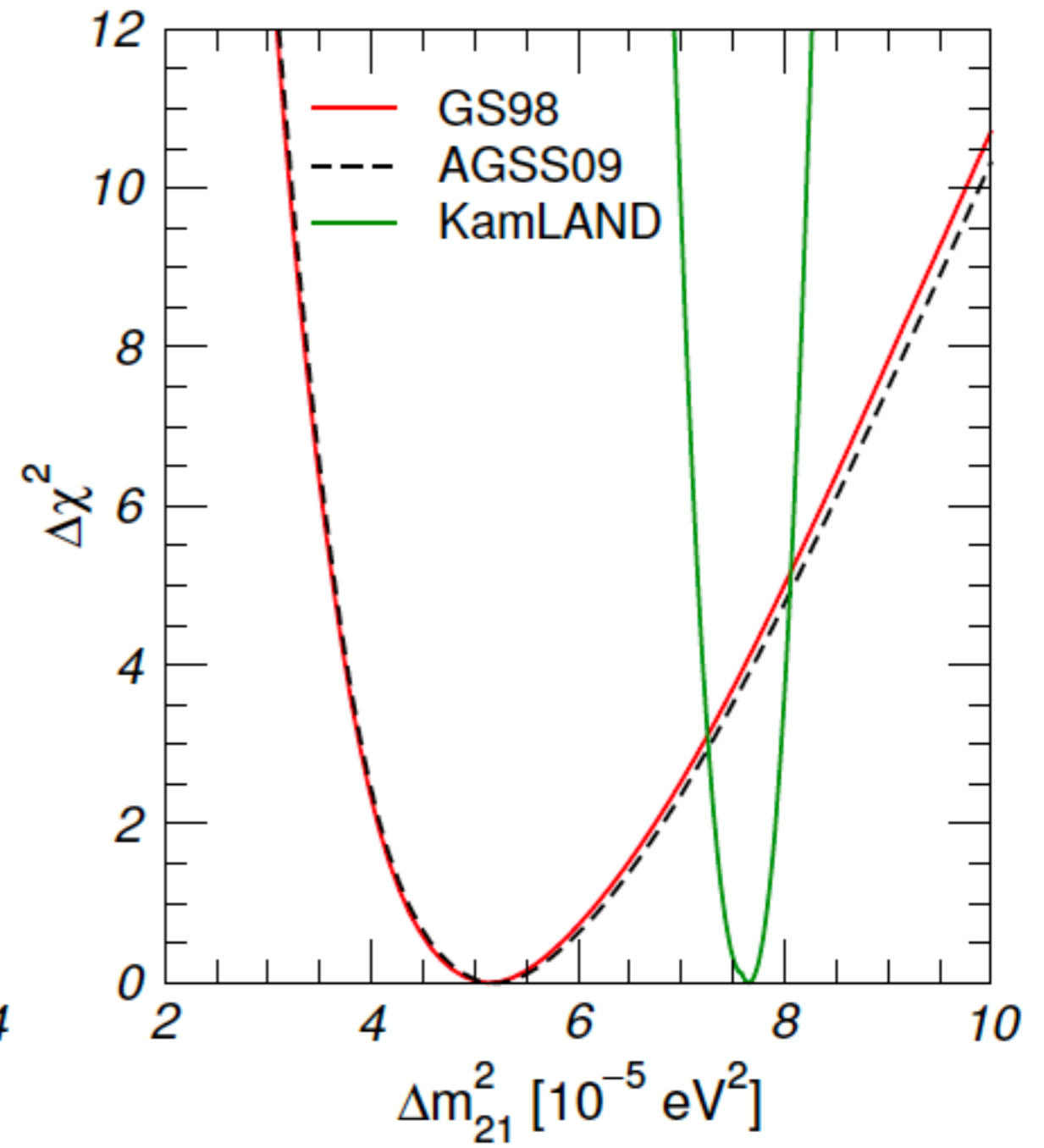
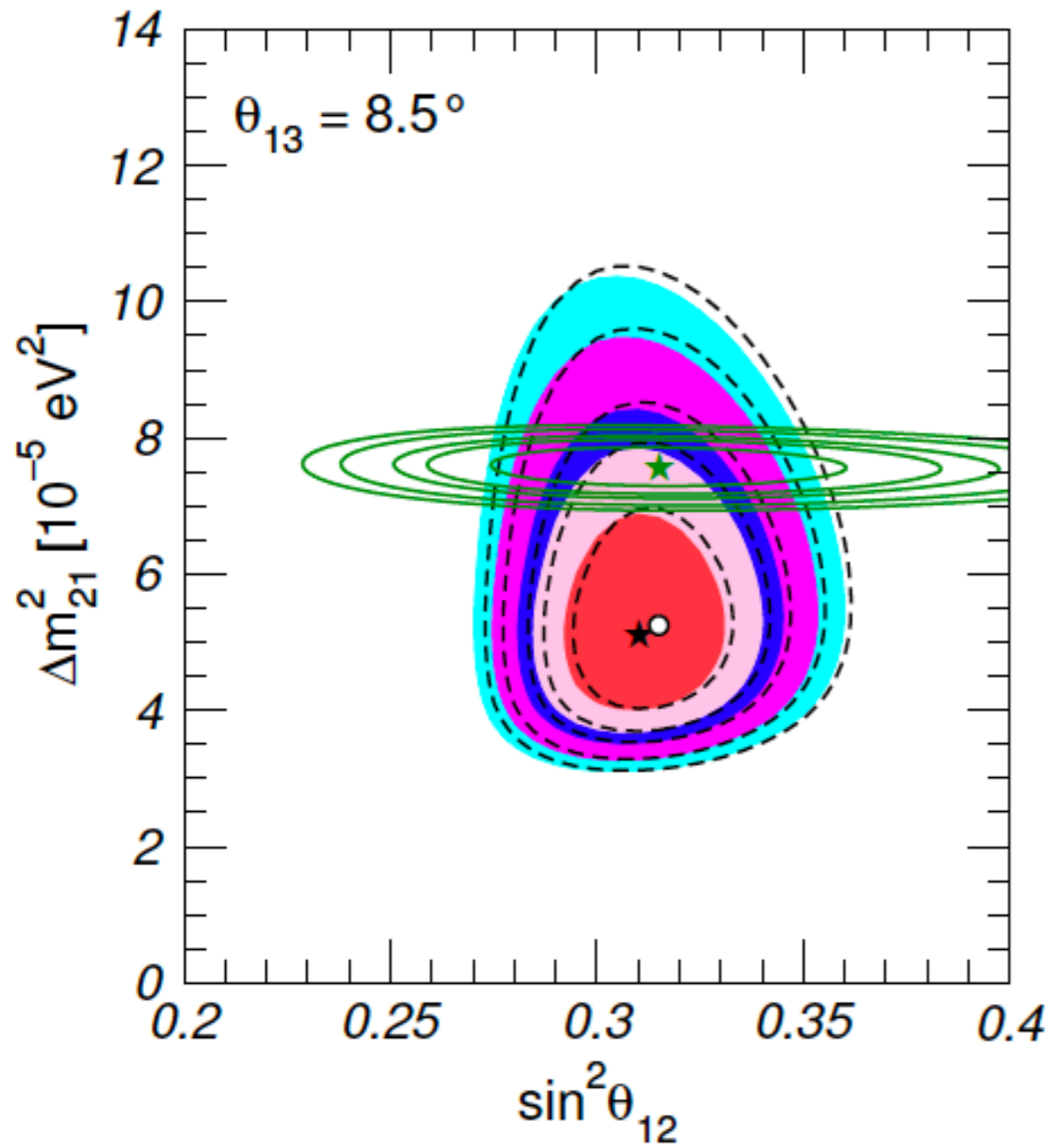
Flux	B16-GS98	B16-AGSS09met	Solar ^a
$\Phi(\text{pp})$	$5.98(1 \pm 0.006)$	$6.03(1 \pm 0.005)$	$5.971_{(1-0.005)}^{(1+0.006)}$
$\Phi(\text{pep})$	$1.44(1 \pm 0.01)$	$1.46(1 \pm 0.009)$	$1.448(1 \pm 0.009)$
$\Phi(\text{hep})$	$7.98(1 \pm 0.30)$	$8.25(1 \pm 0.30)$	$19_{(1-0.47)}^{(1+0.63)}$
$\Phi(^7\text{Be})$	$4.93(1 \pm 0.06)$	$4.50(1 \pm 0.06)$	$4.80_{(1-0.046)}^{(1+0.050)}$
$\Phi(^8\text{B})$	$5.46(1 \pm 0.12)$	$4.50(1 \pm 0.12)$	$5.16_{(1-0.017)}^{(1+0.025)}$
$\Phi(^{13}\text{N})$	$2.78(1 \pm 0.15)$	$2.04(1 \pm 0.14)$	≤ 13.7
$\Phi(^{15}\text{O})$	$2.05(1 \pm 0.17)$	$1.44(1 \pm 0.16)$	≤ 2.8
$\Phi(^{17}\text{F})$	$5.29(1 \pm 0.20)$	$3.26(1 \pm 0.18)$	≤ 85

Table 6. Model and solar neutrino fluxes. Units are: 10^{10} (pp), 10^9 (^7Be), 10^8 (pep, ^{13}N , ^{15}O), 10^6 (^8B , ^{17}F) and 10^3 (hep) $\text{cm}^{-2}\text{s}^{-1}$. ^aSolar values from [Bergström et al. \(2016\)](#).

ROADMAP OF SOLAR NEUTRINOS: D/N



ROADMAP OF SOLAR NEUTRINOS: VERIFY CONSISTENCY



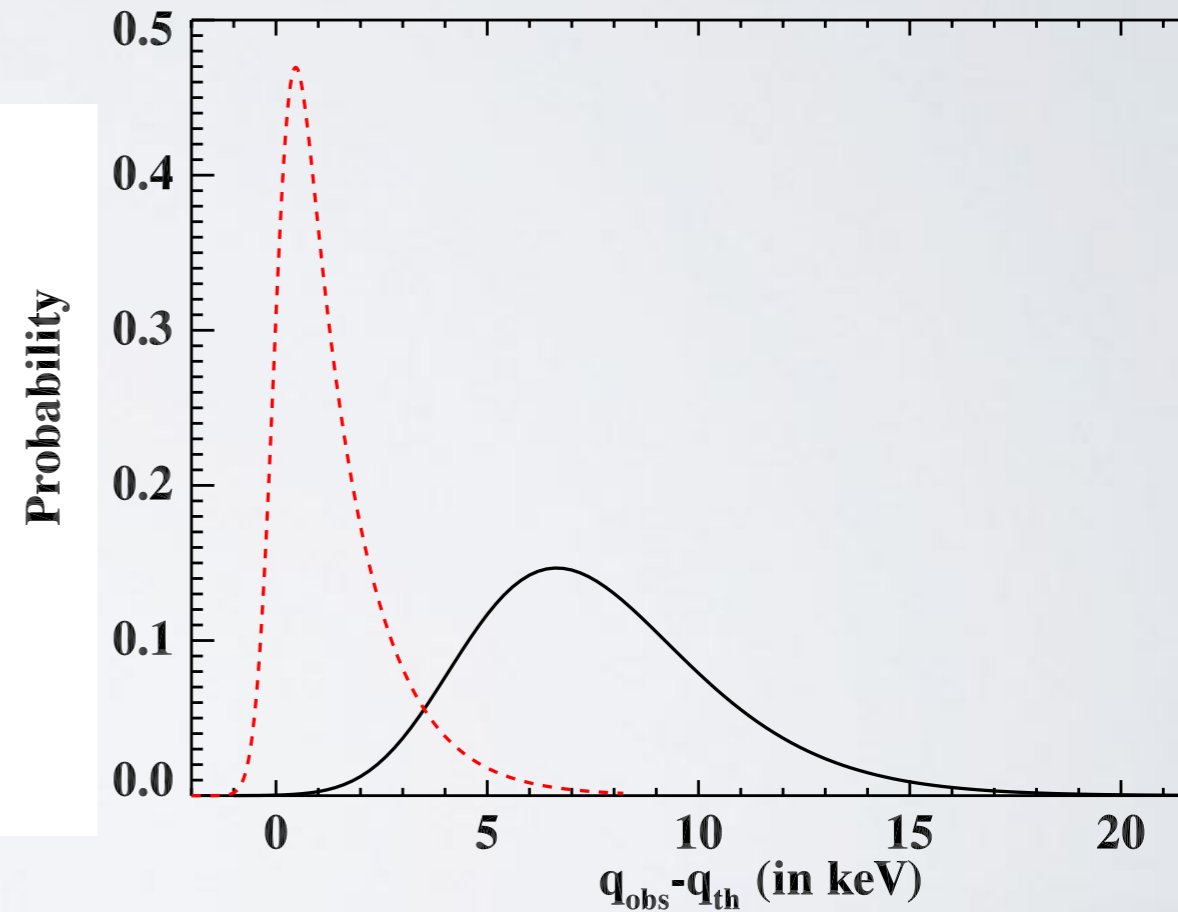
ROADMAP OF SOLAR NEUTRINOS: VERIFY CONSISTENCY

Measure the central temperature of the Sun via neutrino lines.

Influence of Doppler effect on shift and width of the lines

Comparison ${}^7\text{Be}$ vs pep lines

	${}^7\text{Be}$ (Bahcall, 1994)	${}^7\text{Be}$	pep
(N_R, N_x, N_K)	-	(256, 512, 512)	(128, 128, 128, 128)
dx	0.1	0.02	0.2
q_{lab}	861.84	861.84	1442
$q_{peak} - q_{lab}$	0.43	0.46	6.6
Δ	1.29	1.30	7.59
FWHM	1.63	1.72	6.29
W^-	0.56	0.59	2.71
W^+	1.07	1.13	3.58



PROGRAM: 2 LECTURES

First Lecture

I A) How does the Sun shine? A few stories and heroes (before 1989)

I B) Standard Solar Models (quick tour by A. Serenelli)

I C) Solar Neutrino fluxes at the Earth

Second Lecture

2D) How does the Sun shine? A few stories and heroes I (after 1989)

2E) Solar Neutrino Experiments and Lessons in Neutrino Physics

2F) Roadmap of future solar neutrino research

