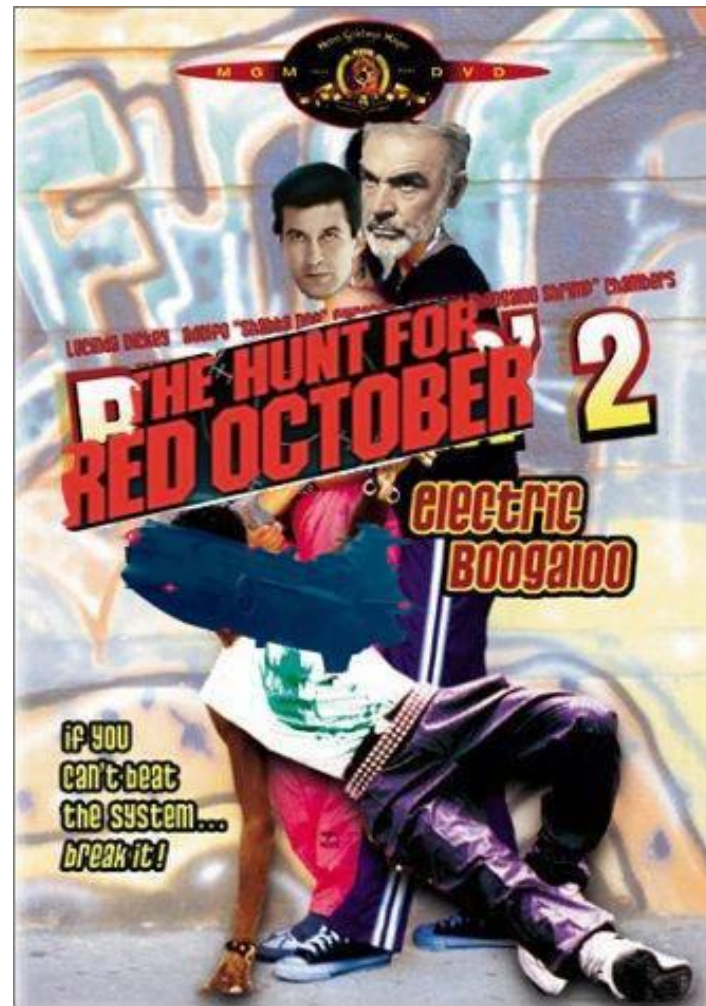


# Hunt for Red October 2: Electric Boogaloo

Brendon Bullard, Alex Diaz,  
and Joe Johnston

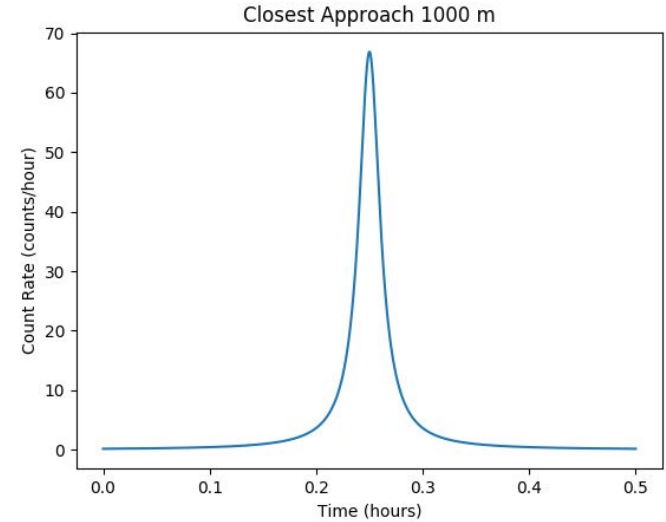


# Signal Rate

- Use Double Chooz far detector to estimate signal rate:
  - 0.35 tons Gd-LS, 1050 m, 6.8 GW average power
  - 66.0 (+-1.3) expected events per day
  - Reactor flux goes like power/distance<sup>2</sup>
  - A nuclear sub has a max power of 150 MW:

$$R(t) = \frac{(1050 \text{ m})^2}{r(t)^2} \times \frac{150}{6800} \times 66 \frac{\text{counts}}{\text{day} \cdot \text{detector}}$$

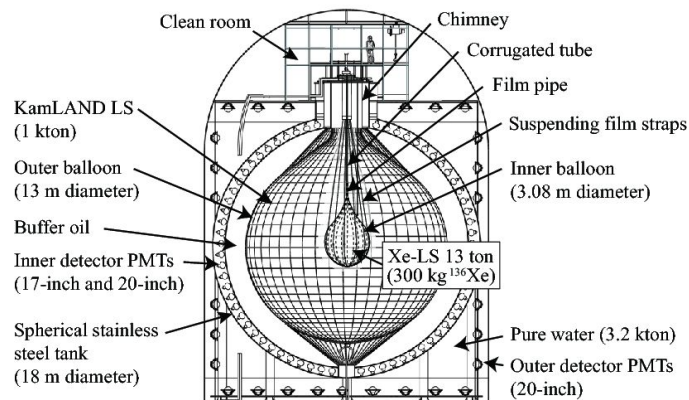
- Nuclear Sub Max Speed = 45 knots = 83.34 km/h
  - The total number of expected signal counts is shown to the right for 1000 copies of the Double Chooz detector
  - (Note that total counts goes like 1/d0)



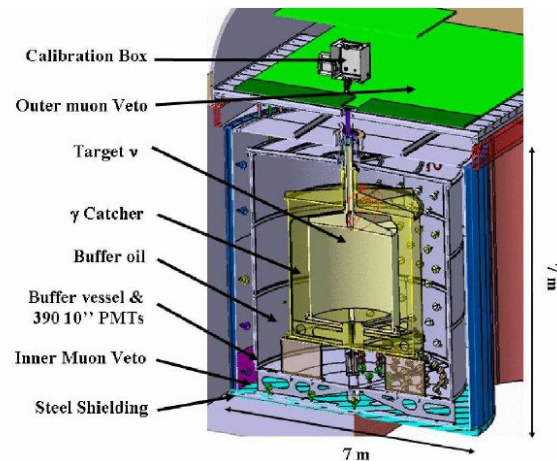
Dist d0 (m)	Counts
500	5.0
1000	2.5
2000	1.3

# Background Rate

- We consider three background rates:
  - 0: Gives an idea of the best limits we could place
  - 0.07 counts/day/detector
    - Kamland reported 75.2 background events in 1154 days
    - Kamland had 2700 mwe overburden, better veto capabilities, and cleaner LS than Double Chooz
  - 7 counts/day/detector
    - Expected Double Chooz Background Rate
    - Double Chooz only had 300 mwe overburden



Search for double-beta decay of  $^{136}\text{Xe}$  to excited states of  $^{136}\text{Ba}$  with the KamLAND-Zen experiment - KamLAND-Zen Collaboration (Asakura, K. et al.) Nucl.Phys. A946 (2016) 171-181 arXiv:1509.03724 [hep-ex]



# The Strait of Gibraltar

- Depth 300 to 900 m
- 14.3 km wide at narrowest point
- Place a string of detectors 300 m deep, across the narrowest part of the Strait
  - Nuclear subs have a max diving depth of 300 m -> Vertical separation ~ 150 m
  - At the point of closest approach, if the sub is on the edge of the straight, then the average value of  $1/r^2$  is:

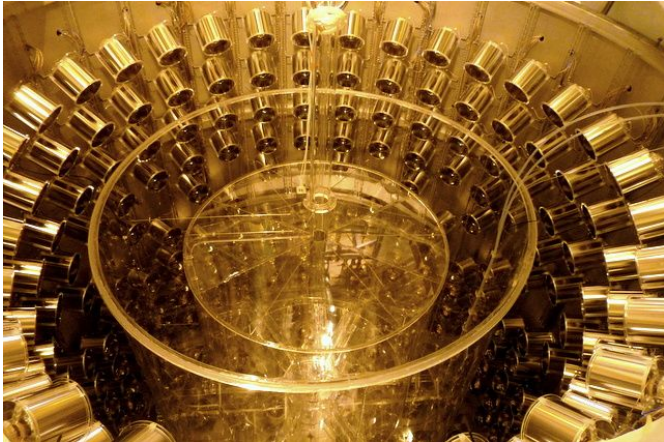
$$\frac{1}{r_{0,avg}^2} = \frac{1}{14km} * \int_0^{14km} \frac{1}{x^2 + (150m)^2} dx \rightarrow r_{0,avg} = 1160m$$

We can model our system as a single (very) large detector, where we need to be able to detect ships that are within 1160 m of the detector



# Costs

- The Double Chooz budget was  $O(10^7)$
- A nuclear sub costs  $O(10^{10})$
- -> We can make 1000 Double Chooz detectors for the cost of a nuclear sub



X 1000 =





# Can we set up an alarm that would sound if a sub passes by?

We'll do a few tests, with different copies of a Double Chooz-like detector, with different background rates.

We assume the sub passes by at 1000 m.

Dist d0 (m)	Counts
500	5.0
1000	2.5
2000	1.3

# Single Detector

Let's first consider the case where we only have one detector.

In one case, we'll have Double Chooz's background rate of 7.1 counts/day

In the other, more optimistic, case, we'll have KamLAND's background rate of 0.065 counts/day.

Is it at all feasible to detect a nuclear sub passing by?

# Single Detector

It's pretty easy to see that the answer is a very loud



# Single Detector

It's pretty easy to see that the answer is a very loud

***NO!***

# Single Detector

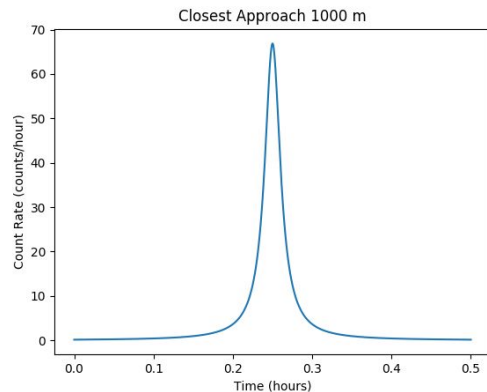
It's pretty easy to see that the answer is a very loud

***NO! >:-(***

# Single Detector

Take the expected number of events in *1000* detectors from a passing submarine at a distance of 1000 meters. The number of counts seen would be poisson distributed with mean  $\nu = 2.5/1000$ .

This mean that the detector has a  $e^{2.5/1000} = 99.75\%$  chance of seeing *no* neutrinos from a passing sub. Even if we had 0 background, this would be an awful detector.



Dist d0 (m)	Counts
500	5.0
1000	2.5
2000	1.3

# Single Detector

So a single detector definitely wouldn't work. How about, 10, 100?

# One Thousand Detectors

Let's skip to 1000 detectors. Let's consider two cases again

In one case, we'll have Double Chooz's background rate of 7.1 counts/day

In the other, more optimistic, case, we'll have KamLAND's background rate of 0.065 counts/day.

In this case our expected mean signal is 2.5 counts

Dist d0 (m)	Counts
500	5.0
1000	2.5
2000	1.3

# Double Chooz Background 7.1 counts/day/detector

Suppose our 1000 detector have the same rate of backgrounds as Double Chooz. A sum of  $n = 1000$  poisson distributions with mean  $\nu = 7.1$  is equivalent to a single poisson distribution with mean  $n\nu$ .

So we expect a mean of 7100 background events per day.

Again, for a sub passing by, we would expect 2.5 events.

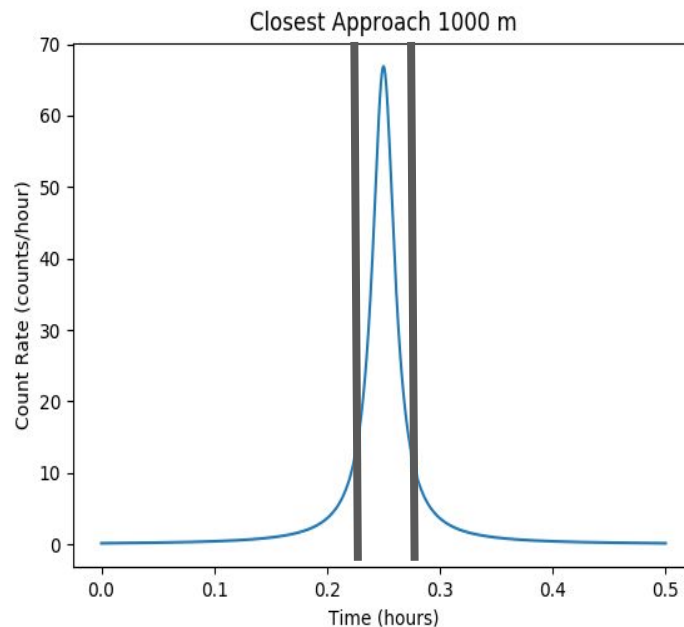
Dist d0 (m)	Counts
500	5.0
1000	2.5
2000	1.3

# Double Chooz Background 7.1 counts/day/detector

Let's cheat:

We'll assume that all events are deposited in a 3 minute window

Suppose that a sub passing by emits 14 neutrinos that are detected in the 1000 detectors. This has a  $5\sigma$  probability, so very unlikely to happen.





# Double Chooz Background 7.1 counts/day/detector

If we have an average of 7100 background events per day, then we will expect 14.8 background events per 3 minutes. Finding  $14 + 14.8 \approx 29$  events with a background of 14 has a  $3\sigma$  probability.

So unlikely to happen accidentally....right?

# Double Chooz Background 7.1 counts/day/detector

If we have an average of 7100 background events per day, then we will expect 14.8 background events per 3 minutes. Finding  $14 + 14.8 \approx 29$  events with a background of 14 has a  $3\sigma$  probability.

So unlikely to happen accidentally....right?

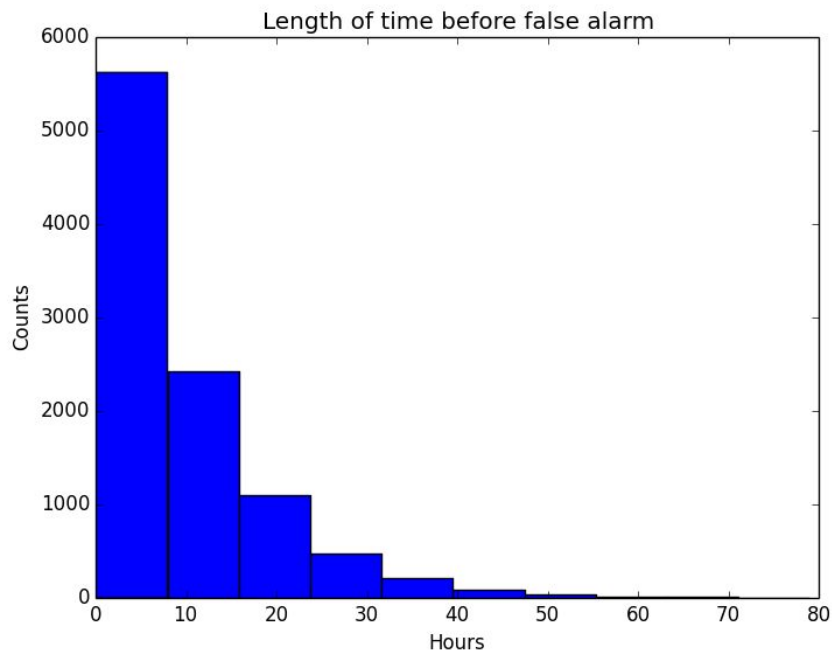
***NO! >:O***

# Double Chooz Background 7.1 counts/day/detector

I simulated 10000 experiments where I plot how quickly it takes to raise a false alarm.

On average, more than once a day.

This assumed a very optimistic scenario of neutrino detection, so the reality is much worse.



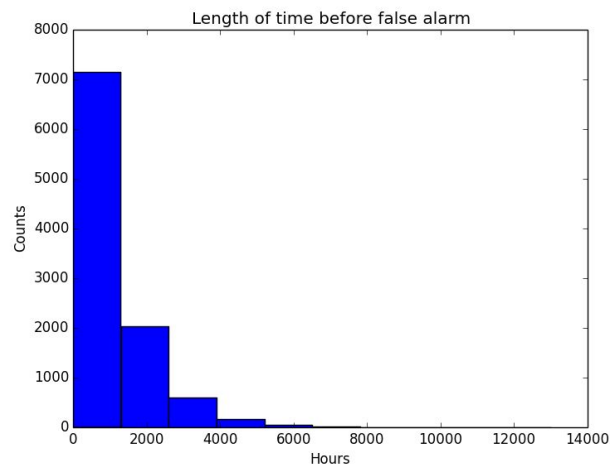
# Double Chooz Background 7.1 counts/day/detector

I'd rather not accidentally start a nuclear war, so we conclude that 1000 Double Chooz-like detectors does not work.

# KamLAND Background 0.065 counts/day/detector

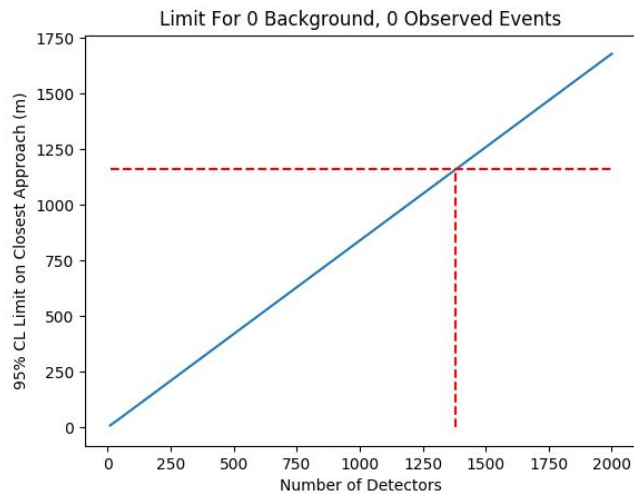
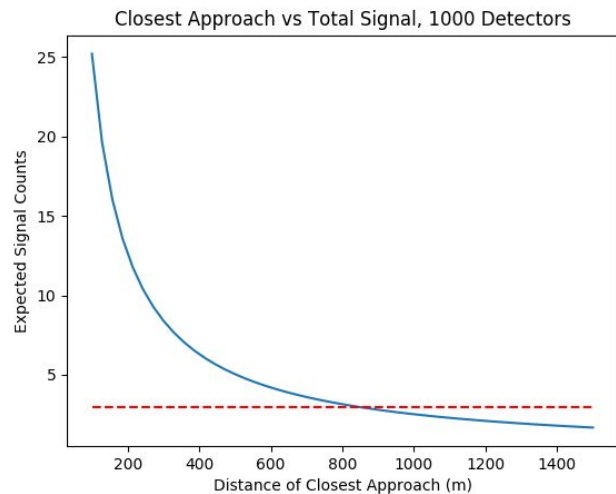
Let's again assume 1000 detectors, but this time with KamLAND backgrounds of 0.065/counts/day/detector = 65 counts/day = 0.135 counts/3minutes.

We assume that the sub emits 3 neutrinos that are detected. We follow the same procedure as before, and we find that the we would get false alarms every ~0.5 years



# 0 Background Limit

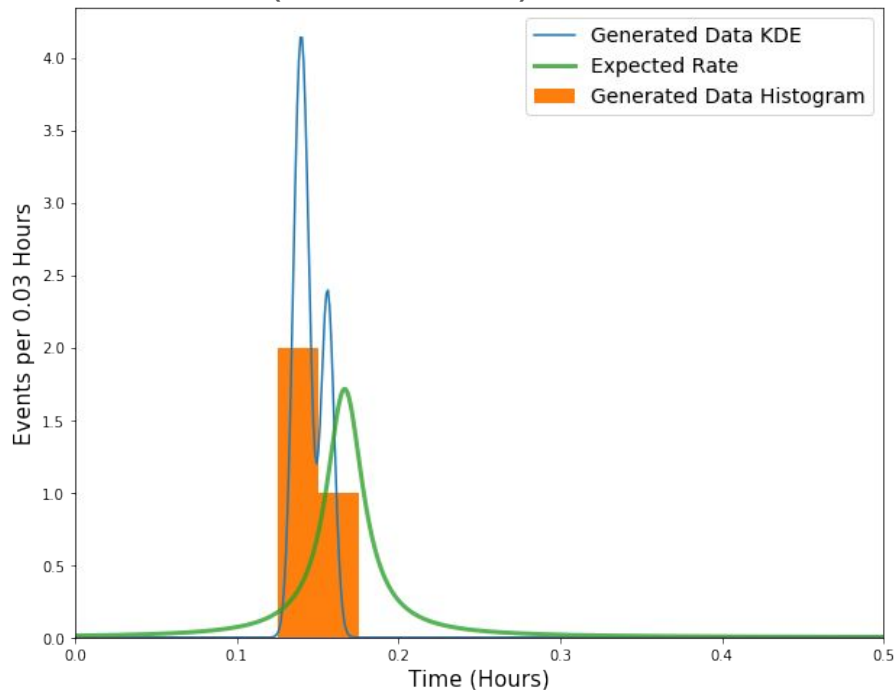
- If 0 counts are observed, the 95% CL limit on the signal is 3 counts



- Counts goes like  $N/d_0$  -> the  $d_0$  limit we can place increases linearly with  $N$
- 1380 detectors are required for a 1160 m limit

# Simulate the Passage of a Submarine

- Given a constant background rate and time-varying signal rate, randomly generate events within a given window of time (30 minutes)
- Example of simulation output with zero background,  $t_0=10$  min,  $d_0=1160$  m





# Parameter Estimation

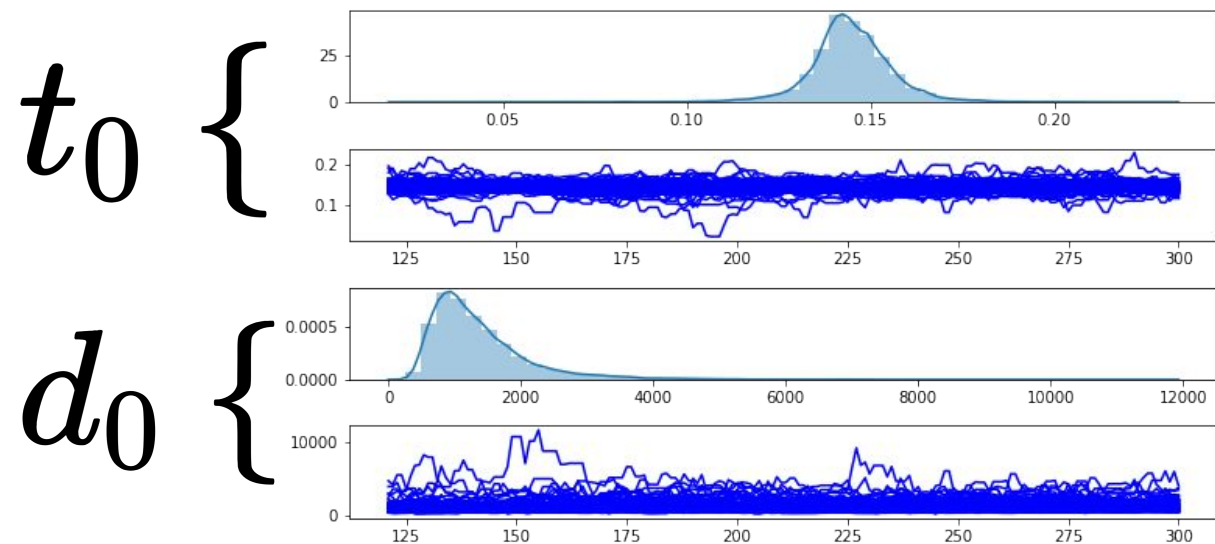
- Use Bayesian inference to do parameter estimation for  $t_0$  and  $d_0$
- Use 2-parameter likelihood function defined by

$$\mathcal{L}(t_0, d_0) = \text{Poiss} (N_{\text{Obs}} \mid N_{\text{Exp}}) \times \prod_{i=1}^{N_{\text{Obs}}} \left[ \frac{R(t_i \mid t_0, d_0)}{N_{\text{Obs}}} \right]$$

- Use flat priors in  $t_0$  (defined by the time window you're looking at/simulated) and  $d_0$  (defined by the geography of the river)
- Use MCMC to compute marginal posterior distributions for both model parameters
- Report point estimates for marginal posteriors using 16-50-84th percentile

# Example Result of MCMC

Marginal posteriors and walker traces for zero background, 1380 detectors,  $t_0=10$  min,  $d_0=1160$  m:



$$t_0 : 8.6^{+0.6}_{-0.5} \text{ min}$$

$$d_0 : 1173.2^{+763.1}_{-428.9} \text{ m}$$

$t_0$  is underestimated because we saw an upward fluctuation in the number of events before 10 min

# Results of MCMC for multiple background levels

Keep  $t_0=10$  min,  $d_0= 1000$  m, vary number of detectors

	0 cts/day	0.065 cts/day	7.1 cts/day
1,000 detectors	$t_0 : 10.3^{+0.9}_{-0.9}$ min $d_0 : 1517.6^{+1894.2}_{-712.8}$ m	$t_0 : 17.5^{+8.7}_{-11.9}$ min $d_0 : 7898.7^{+4481.9}_{-4409.4}$ m	$t_0 : 9.7^{+13.2}_{-0.2}$ min $d_0 : 497.8^{+7241.8}_{-301.3}$ m
10,000 detectors	$t_0 : 9.8^{+0.2}_{-0.2}$ min $d_0 : 1051.7^{+212.6}_{-190.3}$ m	$t_0 : 9.9^{+0.5}_{-0.5}$ min $d_0 : 1246.6^{+327.8}_{-431.8}$ m	$t_0 : 10.4^{+10.5}_{-4.1}$ min $d_0 : 3330.4^{+6917.9}_{-2675.4}$ m
100,000 detectors	$t_0 : 10.1^{+0.1}_{-0.1}$ min $d_0 : 1095.6^{+61.9}_{-60.8}$ m	$t_0 : 10.1^{+0.1}_{-0.1}$ min $d_0 : 1047.0^{+61.7}_{-52.8}$ m	$t_0 : 10.6^{+11.1}_{-2.4}$ min $d_0 : 5325.1^{+6169.1}_{-3981.0}$ m

Very good at estimating  $t_0$  when backgrounds are low. Uneven errors in high background column due to prior in  $t_0$  from 0 to 30 min.

$d_0$  estimation improves with added detectors, except for with high background rates

**Caution!** These parameter estimations are derived from single simulations, each of which is a random variable. To account for statistical uncertainties, many simulations would need to be done. Large detector volumes -> better statistics -> single simulation is more reliable estimate for parameter estimation performance

Thanks for listening :D

# Backup Slides

TABLE I: Estimated backgrounds for  $\bar{\nu}_e$  in the energy range between 0.9 MeV and 8.5 MeV after event selection cuts.

Background		Period 1 (1486 days)	Period 2 (1154 days)	Period 3 (351 days)	All Periods (2991 days)
1	Accidental	$76.1 \pm 0.1$	$44.7 \pm 0.1$	$4.7 \pm 0.1$	$125.5 \pm 0.1$
2	${}^9\text{Li}/{}^8\text{He}$	$17.9 \pm 1.4$	$11.2 \pm 1.1$	$2.5 \pm 0.5$	$31.6 \pm 1.9$
3	$\left\{ \begin{array}{l} {}^{13}\text{C}(\alpha, n){}^{16}\text{O}_{\text{g.s.}}, \text{ elastic scattering} \\ {}^{13}\text{C}(\alpha, n){}^{16}\text{O}_{\text{g.s.}}, {}^{12}\text{C}(n, n'){}^{12}\text{C}^* (4.4 \text{ MeV } \gamma) \end{array} \right.$	$160.4 \pm 16.4$	$16.5 \pm 3.8$	$2.3 \pm 1.0$	$179.0 \pm 21.1$
4	$\left\{ \begin{array}{l} {}^{13}\text{C}(\alpha, n){}^{16}\text{O}^*, \text{ 1st e.s. (6.05 MeV } e^+e^-) \\ {}^{13}\text{C}(\alpha, n){}^{16}\text{O}^*, \text{ 2nd e.s. (6.13 MeV } \gamma) \end{array} \right.$	$14.6 \pm 2.9$	$1.7 \pm 0.5$	$0.21 \pm 0.09$	$16.5 \pm 3.5$
5	Fast neutron and atmospheric neutrino	$< 7.7$	$< 5.9$	$< 1.7$	$< 15.3$
Total		$279.2 \pm 22.1$	$75.2 \pm 7.6$	$9.9 \pm 2.1$	$364.1 \pm 30.5$

# Total Signal Counts as a Function of Time

$$R(t) = \frac{(1050 \text{ m})^2}{r(t)^2} \times \frac{150}{6800} \times 66 \frac{\text{counts}}{\text{day} \cdot \text{detector}} \quad r(t) = \sqrt{d_0^2 + (v(t - t_0))^2}$$

---

$$\begin{aligned} \text{Counts} &= \int_{-\infty}^{\infty} R(t) dt = \int_{-\infty}^{\infty} \frac{1.6 \times 10^6 \text{ m}^2 \text{ counts per day per detector}}{\sqrt{d_0^2 + (v(t - t_0))^2}} \\ &= \frac{1.6 \times 10^6 \text{ m}^2 \text{ counts per day per detector}}{vd_0} \tan^{-1} \frac{v(t - t_0)}{d_0} \Big|_{-\infty}^{\infty} \\ &= \pi \times 1.6 \times 10^6 \text{ m}^2 \text{ counts per day per detector} \frac{1}{vd_0} \end{aligned}$$

---

**v=83.5 km/hr, d0=1000 m, 10,000 Detectors -> 25 Counts**

# Example of Simulation Results for higher counts

Background: 7.1 events/day

d0 = 500 m, t0 = 10 min, 10,000  
detectors

$$N_{\text{Exp}} = 1530$$

$$N_{\text{Obs}} = 1527$$

