

# Quantum transport and electroweak baryogenesis

Thomas Konstandin



Mainz, August 7, 2014

review: [arXiv:1302.6713](https://arxiv.org/abs/1302.6713)

# Outline

Introduction

MSSM

Composite Higgs

# Baryogenesis

*[Sakharov '69]*

Baryogenesis aims at explaining the observed asymmetry between matter and antimatter abundances.

$$\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 10^{-10}$$

The main ingredients for viable baryogenesis are stated by the celebrated Sakharov conditions:

- B-number violation (baryon-number)
- C and CP violation (charge/parity)
- out-of-equilibrium

# Baryogenesis

[Sakharov '69]

Baryogenesis aims at explaining the observed asymmetry between matter and antimatter abundances.

$$\frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 10^{-10} \simeq + \begin{array}{c} \rightarrow + \\ \rightarrow + \\ \leftarrow + \\ \leftarrow + \end{array} + \begin{array}{c} \rightarrow + \\ \rightarrow + \\ \leftarrow + \\ \leftarrow + \end{array} - \begin{array}{c} \leftarrow - \\ \leftarrow - \\ \rightarrow - \\ \rightarrow - \end{array} - \begin{array}{c} \leftarrow - \\ \leftarrow - \\ \rightarrow - \\ \rightarrow - \end{array}$$

The main ingredients for viable baryogenesis are stated by the celebrated Sakharov conditions:

- B-number violation (baryon-number)
- C and CP violation (charge/parity)
- out-of-equilibrium

# Baryogenesis

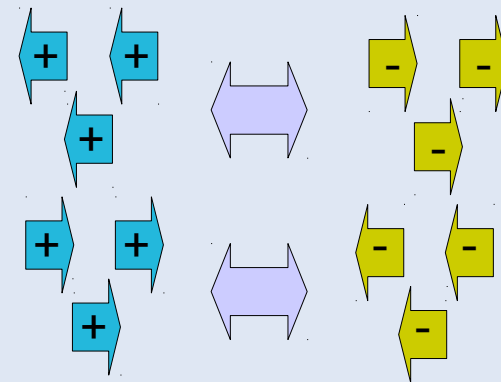
[Sakharov '69]

Baryogenesis aims at explaining the observed asymmetry between matter and antimatter abundances.

$$\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 10^{-10} \simeq \begin{array}{c} + \begin{array}{cc} \leftarrow + & \leftarrow + \\ \leftarrow + & \leftarrow + \end{array} \\ + \begin{array}{cc} \leftarrow + & \leftarrow + \\ \leftarrow + & \leftarrow + \end{array} \\ - \begin{array}{cc} \rightarrow - & \rightarrow - \\ \rightarrow - & \rightarrow - \end{array} \\ - \begin{array}{cc} \rightarrow - & \rightarrow - \\ \rightarrow - & \rightarrow - \end{array} \end{array}$$

The main ingredients for viable baryogenesis are stated by the celebrated Sakharov conditions:

- B-number violation (baryon-number)
- C and CP violation (charge/parity)
- out-of-equilibrium



$$n_B \leftrightarrow n_{\bar{B}}$$

# Baryogenesis

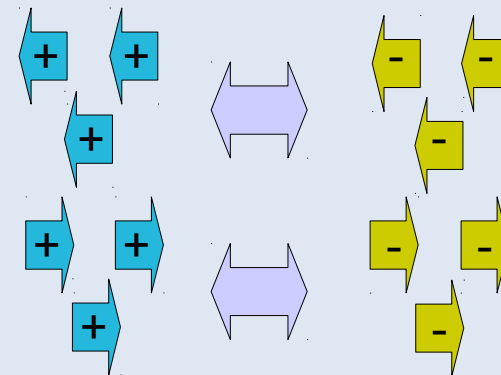
[Sakharov '69]

Baryogenesis aims at explaining the observed asymmetry between matter and antimatter abundances.

$$\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 10^{-10} \simeq \begin{matrix} + & \begin{matrix} \rightarrow + & \rightarrow + \\ \leftarrow + & \leftarrow + \end{matrix} & + & \begin{matrix} \rightarrow + & \rightarrow + \\ \leftarrow + & \leftarrow + \end{matrix} & - & \begin{matrix} \leftarrow - & \leftarrow - \\ \rightarrow - & \rightarrow - \end{matrix} & - & \begin{matrix} \leftarrow - & \leftarrow - \\ \rightarrow - & \rightarrow - \end{matrix} \end{matrix}$$

The main ingredients for viable baryogenesis are stated by the celebrated Sakharov conditions:

- B-number violation (baryon-number)
- C and CP violation (charge/parity)
- out-of-equilibrium



$$n_B \leftrightarrow n_{\bar{B}}$$

# Baryogenesis

[Sakharov '69]

Baryogenesis aims at explaining the observed asymmetry between matter and antimatter abundances.

$$\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 10^{-10} \simeq \begin{array}{ccccccc} + & \begin{array}{cc} \rightarrow + & \rightarrow + \\ \leftarrow + & \end{array} & + & \begin{array}{cc} \rightarrow + & \rightarrow + \\ \leftarrow + & \end{array} & - & \begin{array}{cc} \leftarrow - & \leftarrow - \\ \rightarrow - & \end{array} & - & \begin{array}{cc} \leftarrow - & \leftarrow - \\ \rightarrow - & \end{array} \end{array}$$

The main ingredients for viable baryogenesis are stated by the celebrated Sakharov conditions:

- B-number violation (baryon-number)
- C and CP violation (charge/parity)
- out-of-equilibrium

$$n = n(m/T)$$

$$m = \bar{m}$$

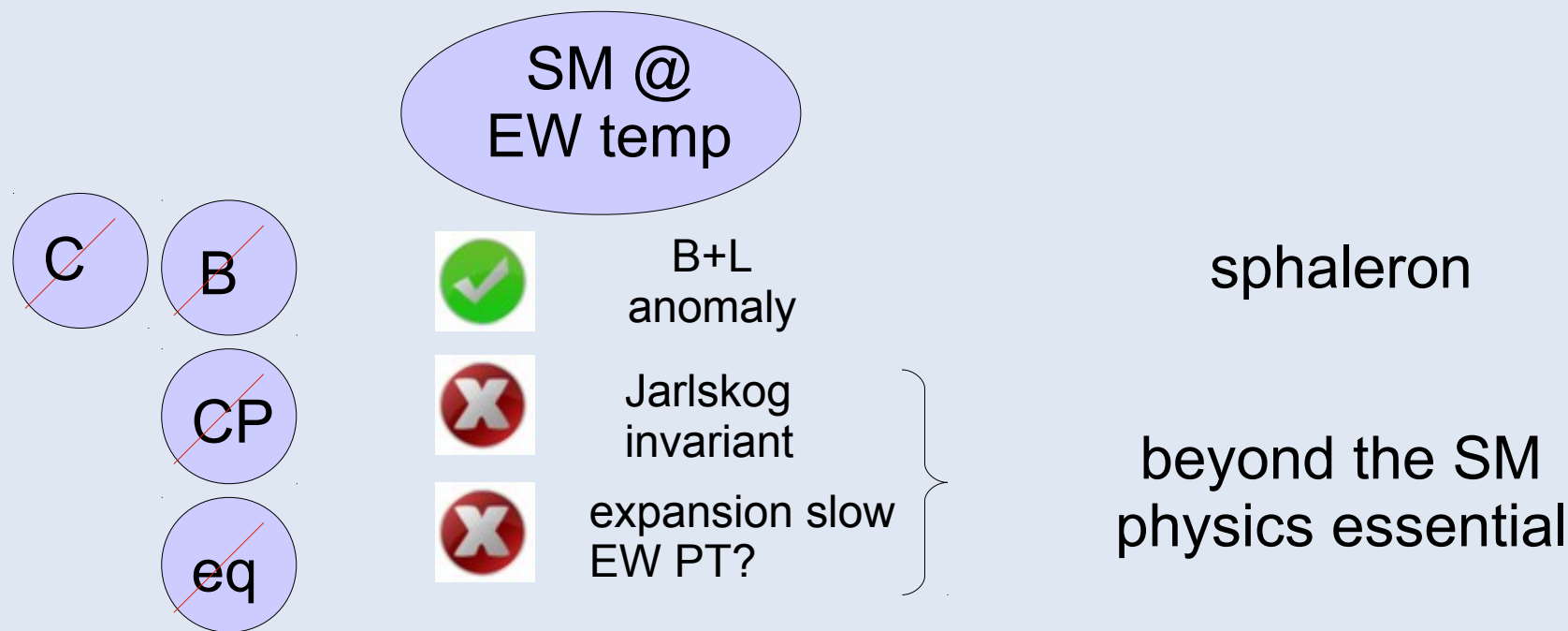
$$n_B = n_{\bar{B}}$$

# Baryogenesis

[Sakharov '69]

Baryogenesis aims at explaining the observed asymmetry between matter and antimatter abundances.

$$\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 10^{-10}$$

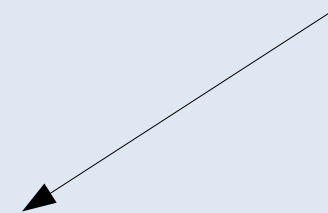
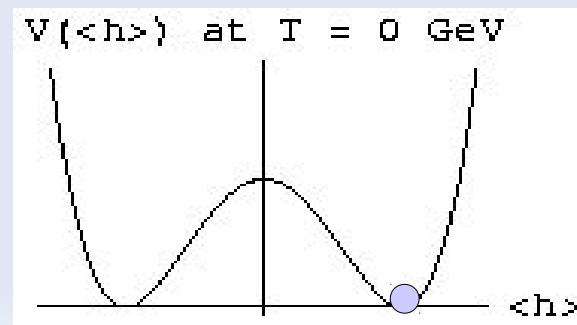
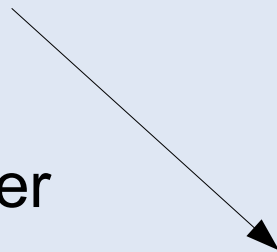
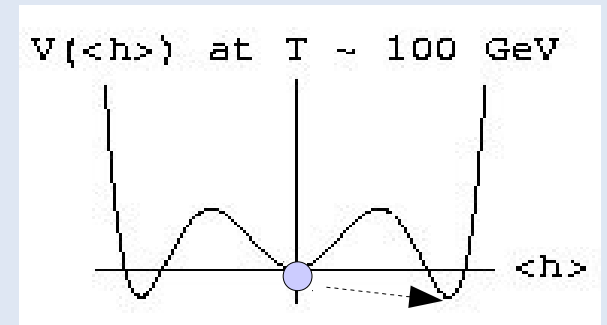
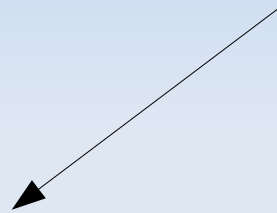
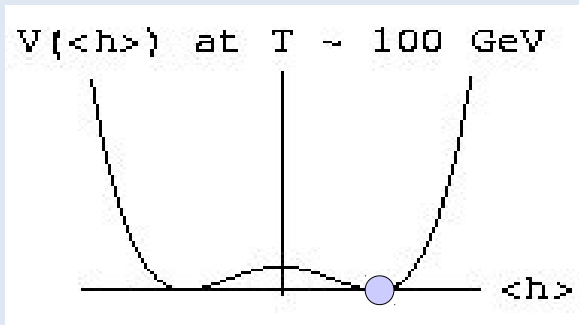
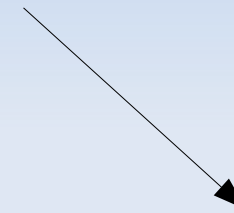
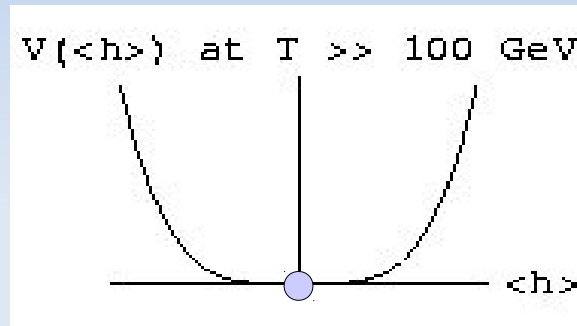






# First-order phase transition

The free energy (as a function of the Higgs vev) decides the nature of the phase transition:



second-order  
crossover

first-order

eq

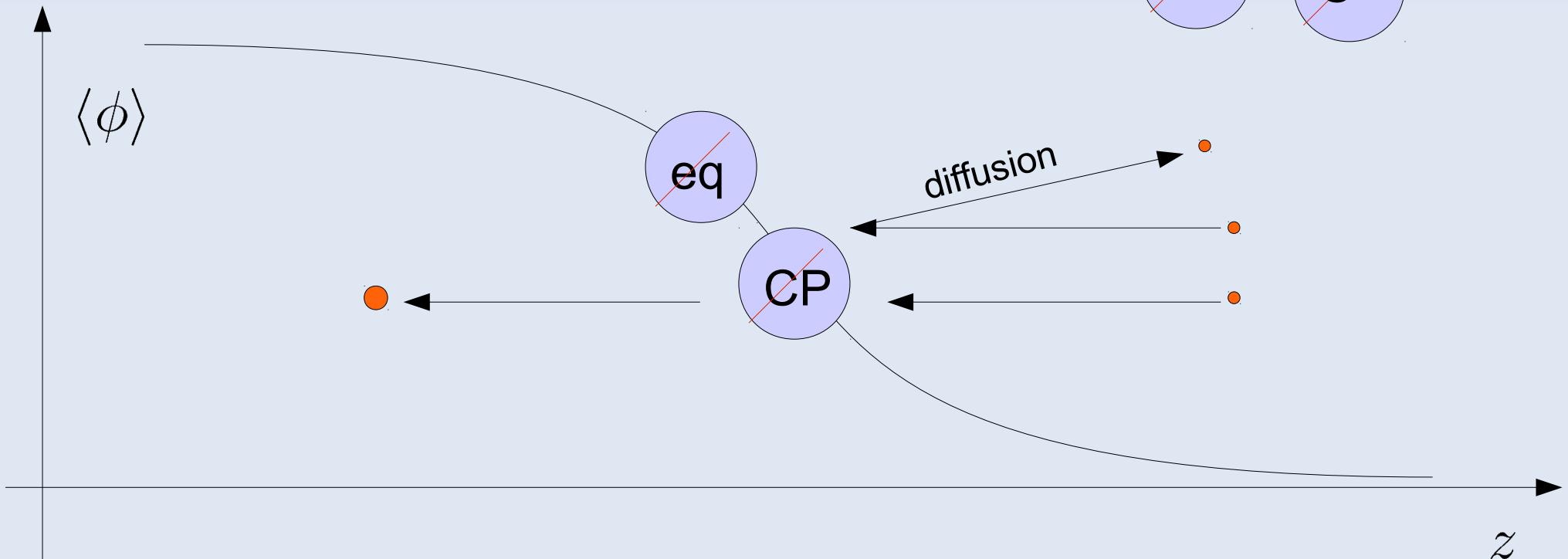
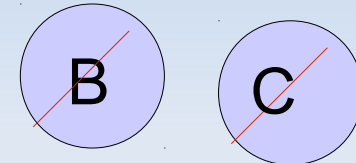
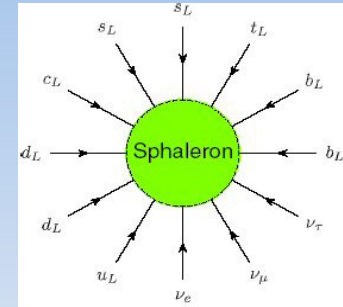
# First-order phase transitions



- first-order phase transitions proceed by bubble nucleations
- in case of the electroweak phase transition, the "Higgs bubble wall" separates the symmetric from the broken phase
- this is a violent process ( $v_b = O(1)$ ) that drives the plasma out-of-equilibrium
- bosons that are strongly coupled to the Higgs tend to make the phase transition stronger

# Electroweak baryogenesis

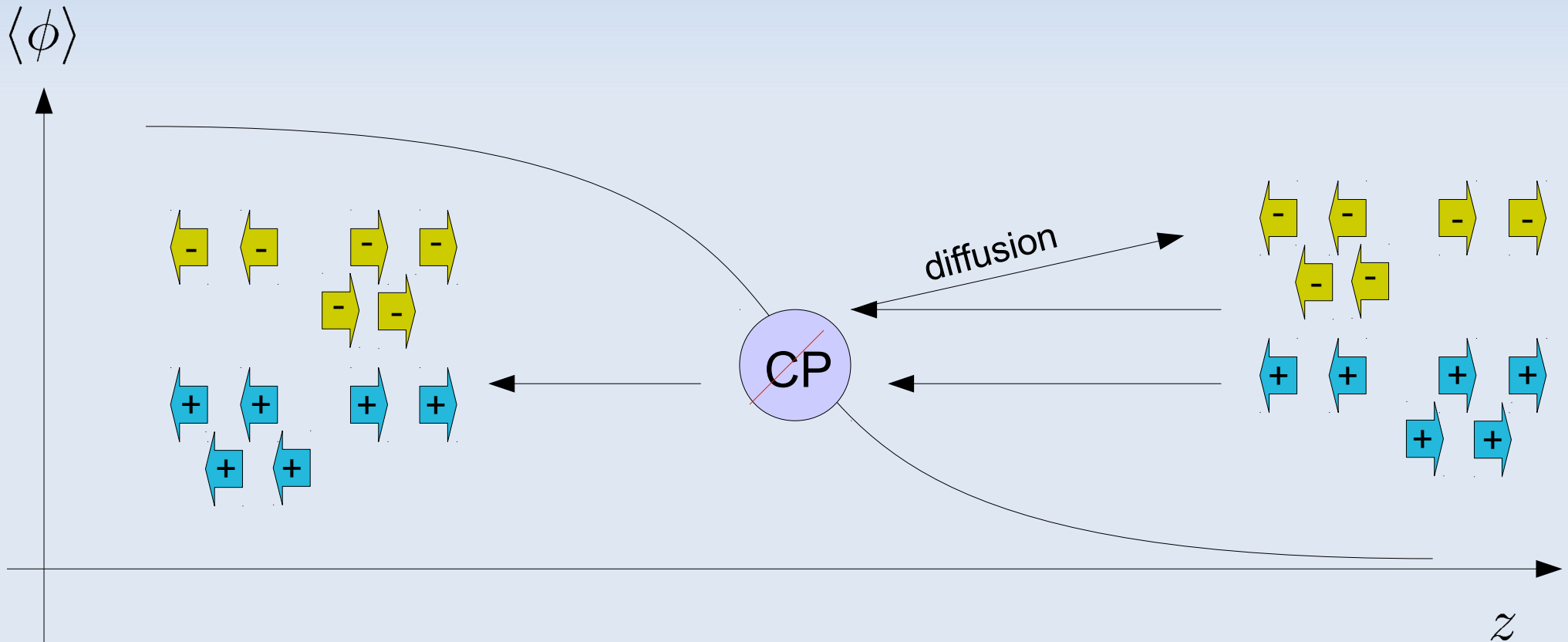
[Kuzmin, Rubakov, Shaposhnikov '85]  
[Cohen, Kaplan, Nelson '93]



# Electroweak baryogenesis

[Kuzmin, Rubakov, Shaposhnikov '85]

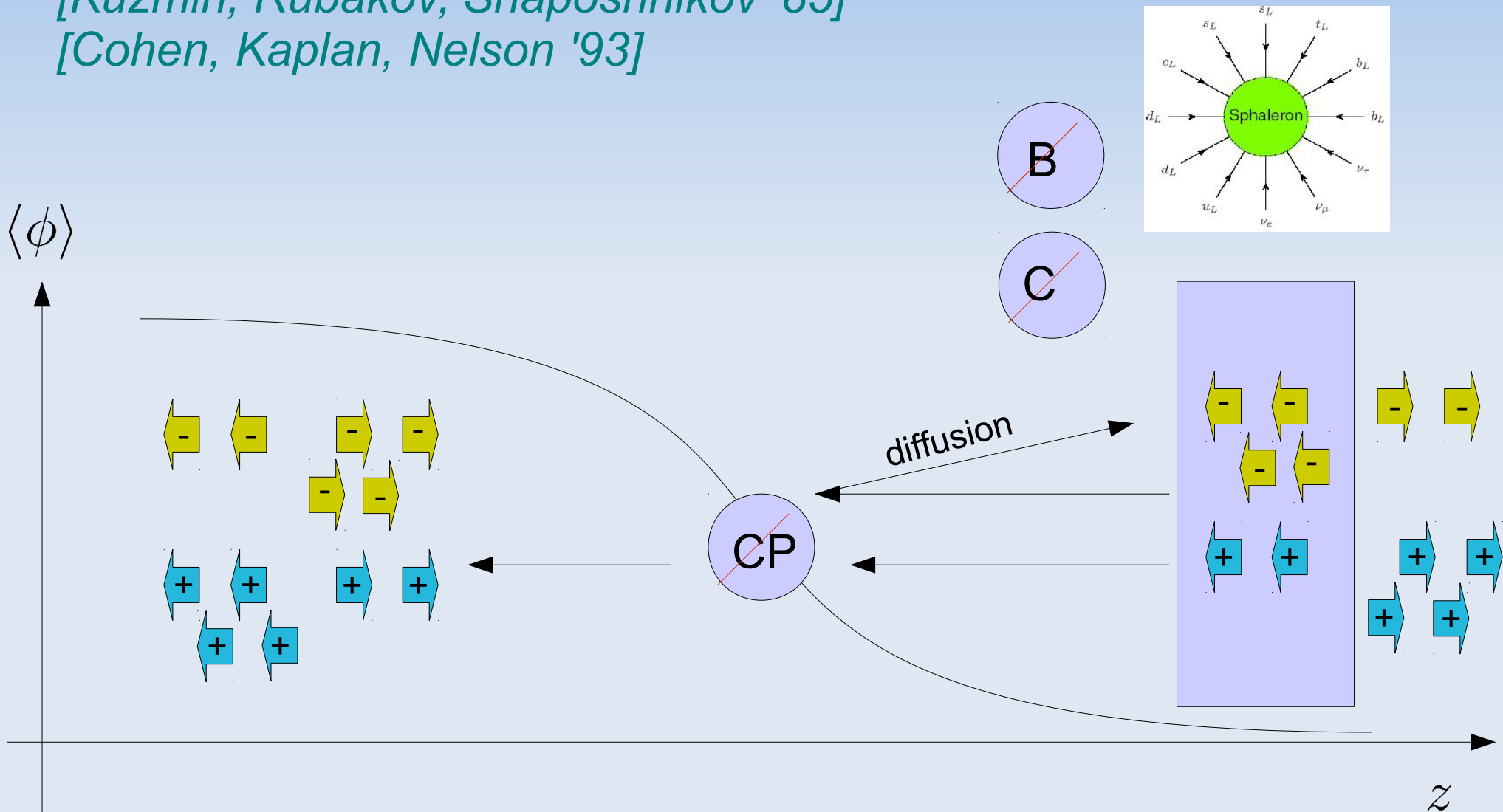
[Cohen, Kaplan, Nelson '93]



# Electroweak baryogenesis

[Kuzmin, Rubakov, Shaposhnikov '85]

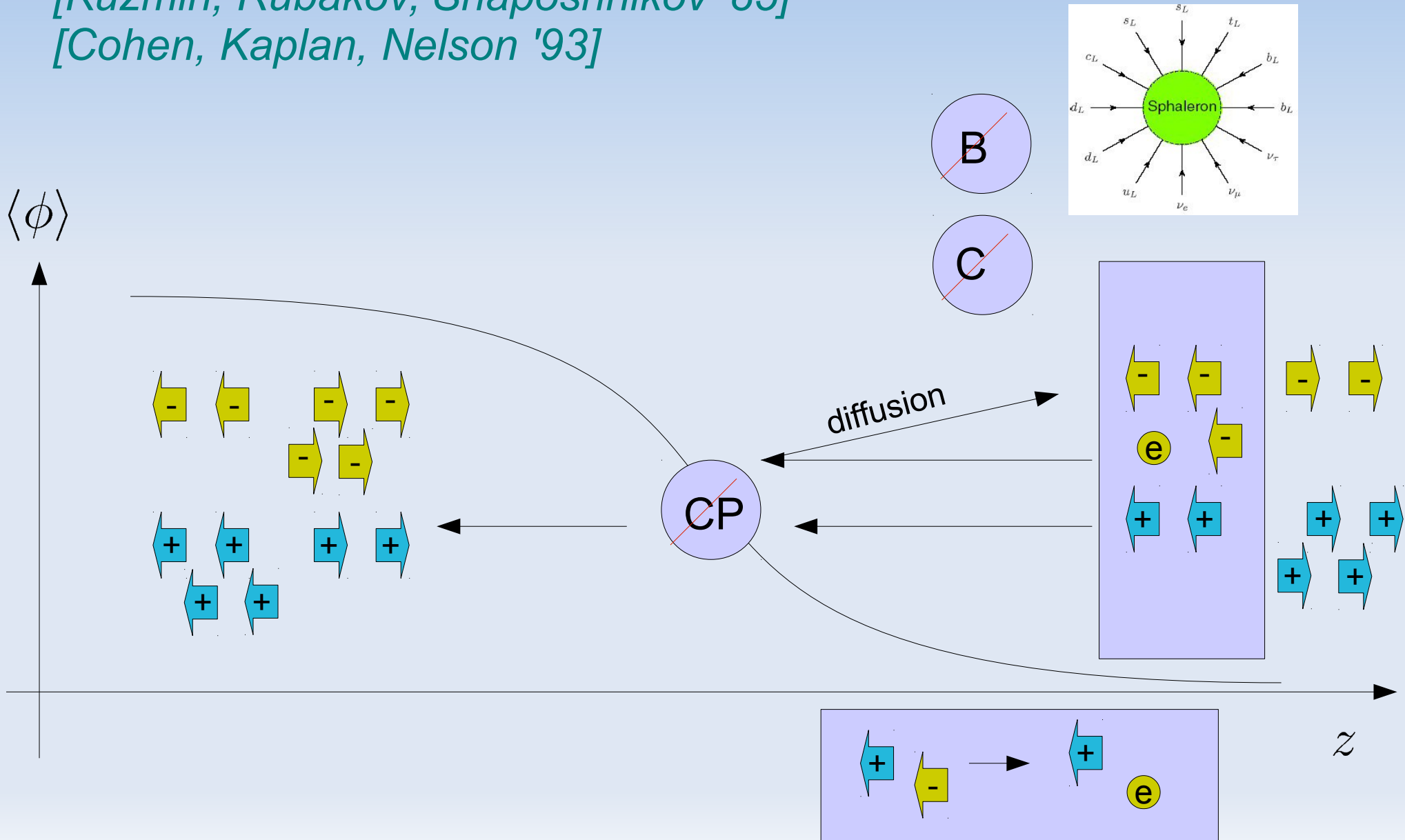
[Cohen, Kaplan, Nelson '93]



# Electroweak baryogenesis

[Kuzmin, Rubakov, Shaposhnikov '85]

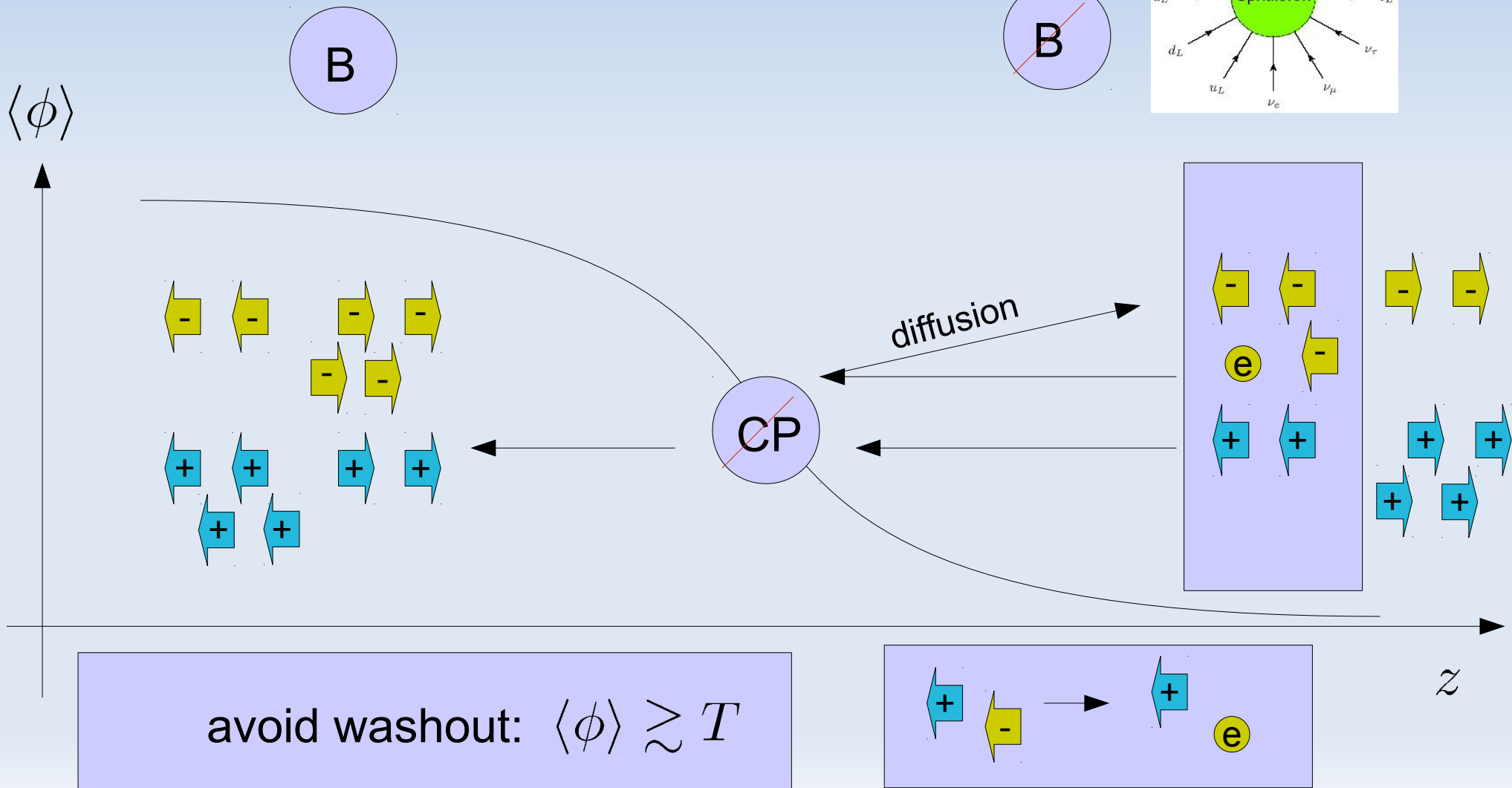
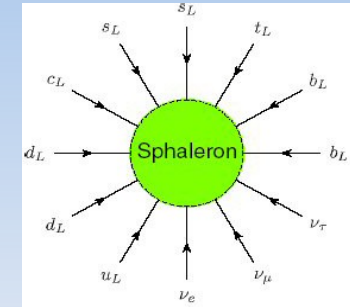
[Cohen, Kaplan, Nelson '93]



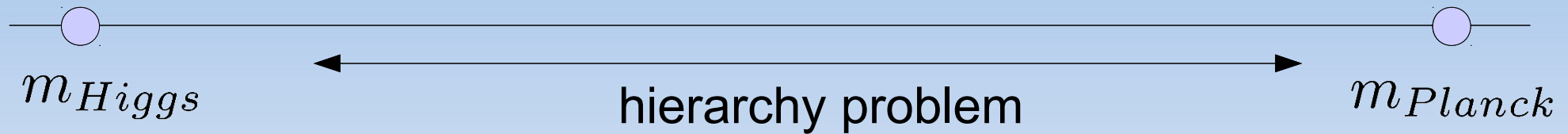
# Electroweak baryogenesis

[Kuzmin, Rubakov, Shaposhnikov '85]

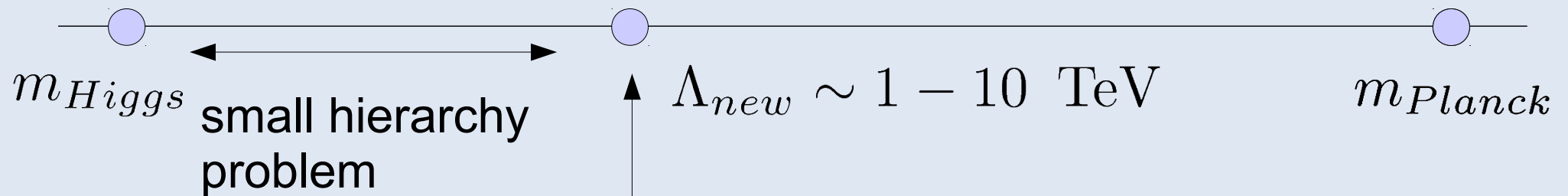
[Cohen, Kaplan, Nelson '93]



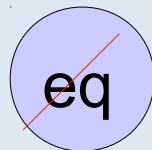
# Hierarchy problem



This indicates that there is some kind of new physics around the corner that did not appear in collider experiments yet



SUSY?  
(warped) extra-dimensions  
strong coupling / technicolor?





# Why is this interesting?

- The hierarchy problem indicates that there is some BSM physics at EW scales
- Electroweak baryogenesis involves only physics at the electroweak scale that is accessible to collider experiments
- Electroweak baryogenesis leads naturally to the observed baryon asymmetry

$$\eta_B \sim \frac{\Gamma_{ws}}{l_w T^2} \delta_{CP} e^{-m_\chi/T} \sim 10^{-11} - 10^{-9}$$

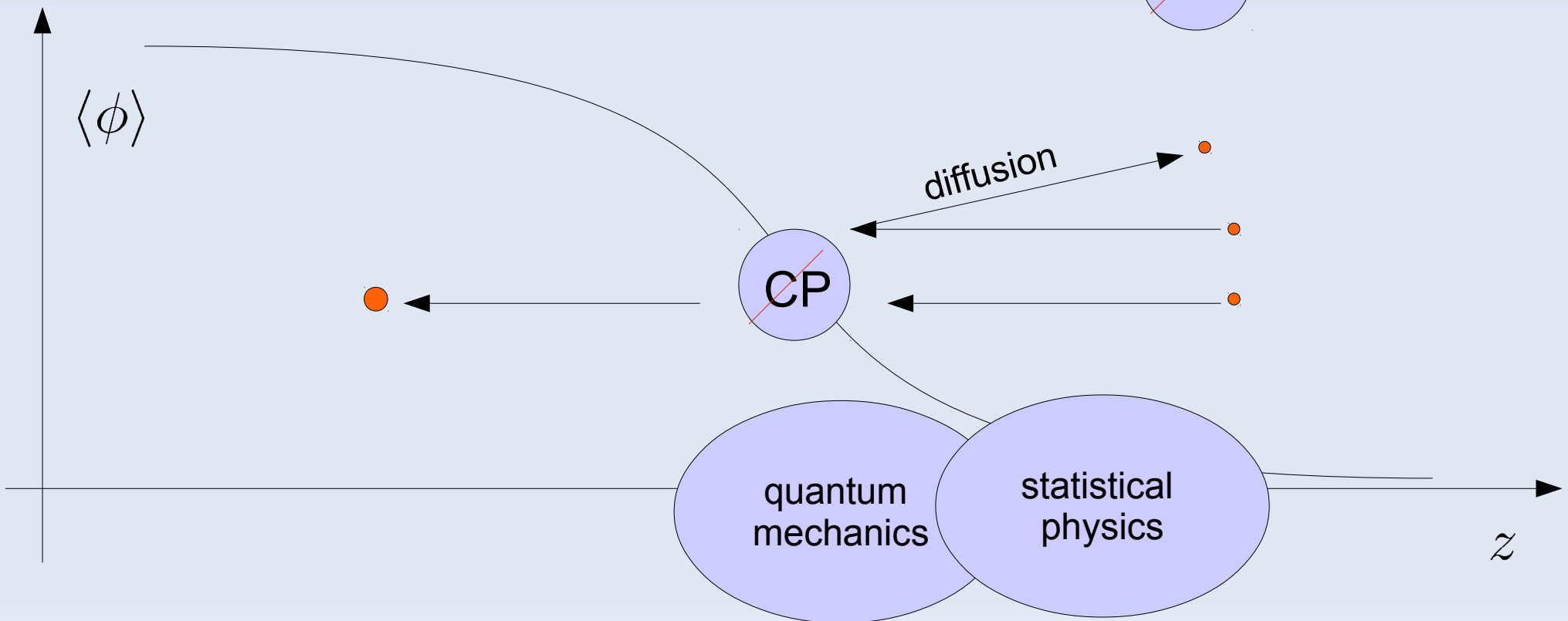
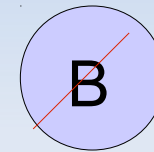
beyond SM?

# What are the challenges?

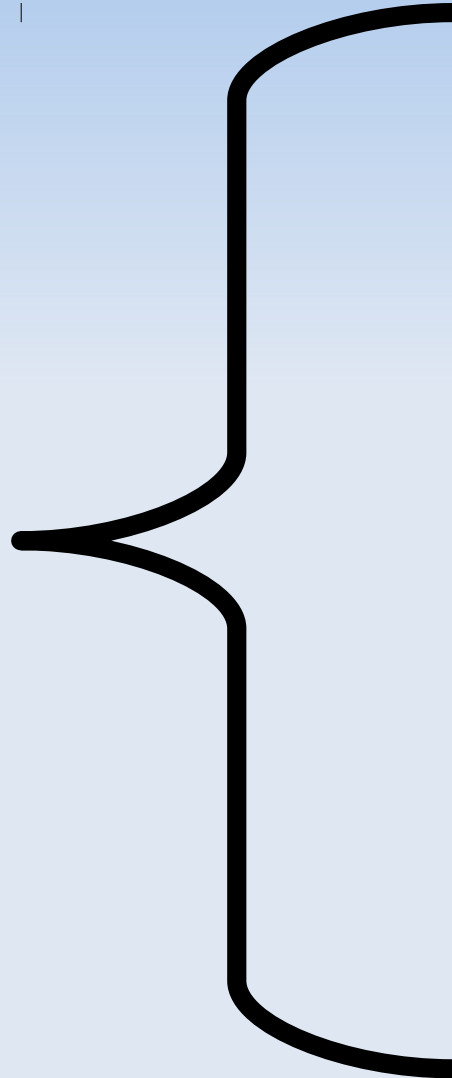
[Kuzmin, Rubakov, Shaposhnikov '85]

[Cohen, Kaplan, Nelson '93]

sphaleron  
(EW anomaly)



# Technical details



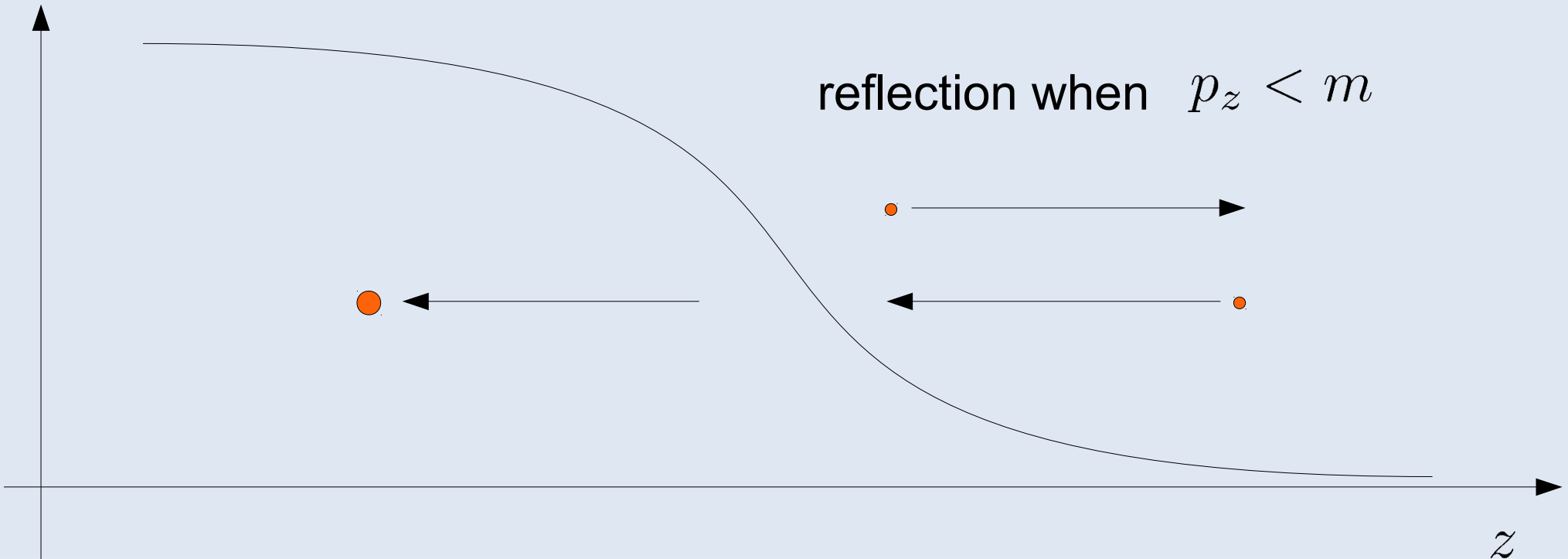
# Semi-classical reflection

Many particles change their mass when passing into the Higgs bubble

$$\begin{array}{ll} m > 0 & m = 0 \\ \tilde{p}^2 = m^2 & p^2 = 0 \end{array}$$

wall frame  $\longrightarrow \tilde{E} = E$  and  $\tilde{p}_z^2 = p_z^2 - m^2$

reflection when  $p_z < m$



# Transport equations

In case of a statistical system with particle distribution function

$$f(p_z, x, t)$$

the change in momentum

$$\tilde{E} = E \quad \text{and} \quad \tilde{p}_z^2 = p_z^2 - m^2$$

translates into

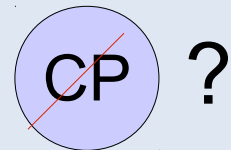
$$f(p_z, \textit{left}) = f(\sqrt{p_z^2 - m^2}, \textit{right})$$

or

$$\underbrace{p_z \partial_z f}_{\text{flow term}} + \underbrace{\frac{1}{2} \partial_z m(z)^2 \partial_{p_z} f}_{\text{force}} = 0$$

flow term

force



transport equation  
of Boltzmann type

# Kadanoff-Baym equations

*[Kadanoff, Baym '61]*

In order to quantify electroweak baryogenesis one needs a formalism that includes **quantum** effects (CP violation) as well as **statistical** effects (diffusion/transport)

This is achieved by the Kadanoff-Baym equations that are a statistical generalisation of the Schwinger-Dyson equations of QFT (**Schwinger-Keldysh** formalism)

$$(\square + m^2 + \Sigma)G(x, y) = \delta(x - y)$$

Formally the equation looks like SD, but

- The 2-point function depends on  $x$  and  $y$  separately  
→  $X$  and  $p$  in Fourier (Wigner) space
- There is an additional 2x2 structure from the in-in-formalism

# Kadanoff-Baym equations

In Wigner space this leads to the Moyal star product

$$(p^2 - m(X)^2 - \Sigma)e^{i\diamond}G(X, p) = 1$$

With  $\diamond = \overleftarrow{\partial}_X \overrightarrow{\partial}_p - \overleftarrow{\partial}_p \overrightarrow{\partial}_X$   $X = \frac{x + y}{2}$

One of the Green functions (Wightman function) encodes the particle distribution function and is in equilibrium given by

$$G_{eq}^<(X, p) = 2\pi i f^{eq}(p, X) \delta(p^2 - m^2)$$

and

$$f^{eq} = \frac{1}{e^{p_0/T} \pm 1}$$

# One fermion flavor

For one fermion flavor with a space-time dependent mass, the Kadanoff-Baym equation reads

$$(\not{p} - mP_L - m^*P_R - \Sigma^R) e^{i\diamond} G^< = \text{collisions}$$

In order to do progress analytically, one typically uses several approximations/techniques:

- 1) Neglect most interactions:  $\Sigma^R \rightarrow 0$
- 2) Planar approximation ( $\mathbf{z}$ ):  $\hat{S} \propto (p_0\gamma_0 - p_\perp \cdot \boldsymbol{\gamma})\gamma_3\gamma_5$
- 3) Gradient expansion:  $\diamond \sim \partial_x \partial_p \sim (LT)^{-1} \ll 1$

*[Kainulainen, Prokopec, Schmidt, Weinstock '02]*



# One fermion flavor

For one fermion flavor with a **space-time dependent mass**, an expansion in gradients leads to the equations

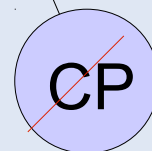
$$(p^2 - m^2 + \dots)G^< = 0$$

and with  $m(z) = |m(z)|e^{i\theta(z)}$  and spins  $s$

$$\left( p \cdot \partial_X + \frac{1}{2}(m^2)'\partial_p + \frac{s}{2p_z}(m^2\theta)'\partial_p \right) f_s = \text{collisions}$$

$$m(\partial_t + \vec{v} \cdot \nabla)$$

forces

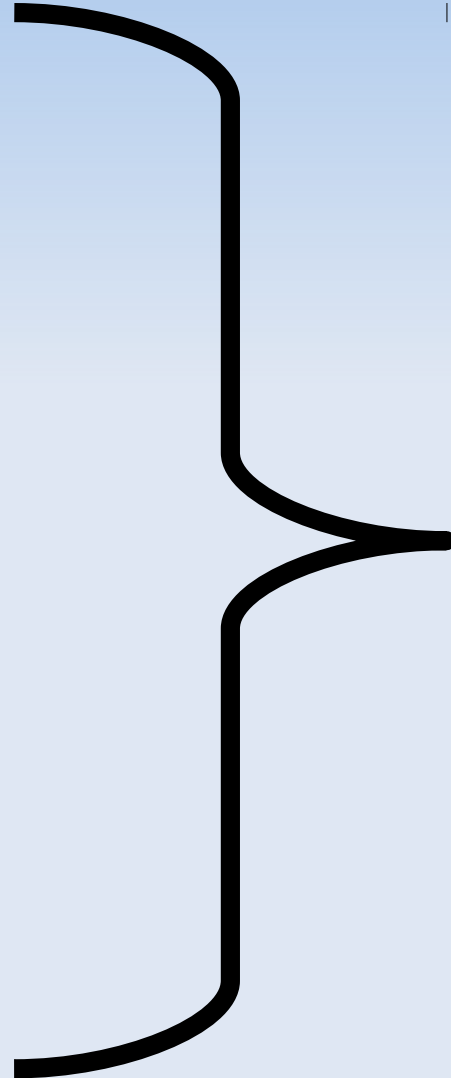


decays  
scatterings

[Cline, Joyce, Kainulainen '00]

[Kainulainen, Prokopec, Schmidt, Weinstock '02]

# Technical details



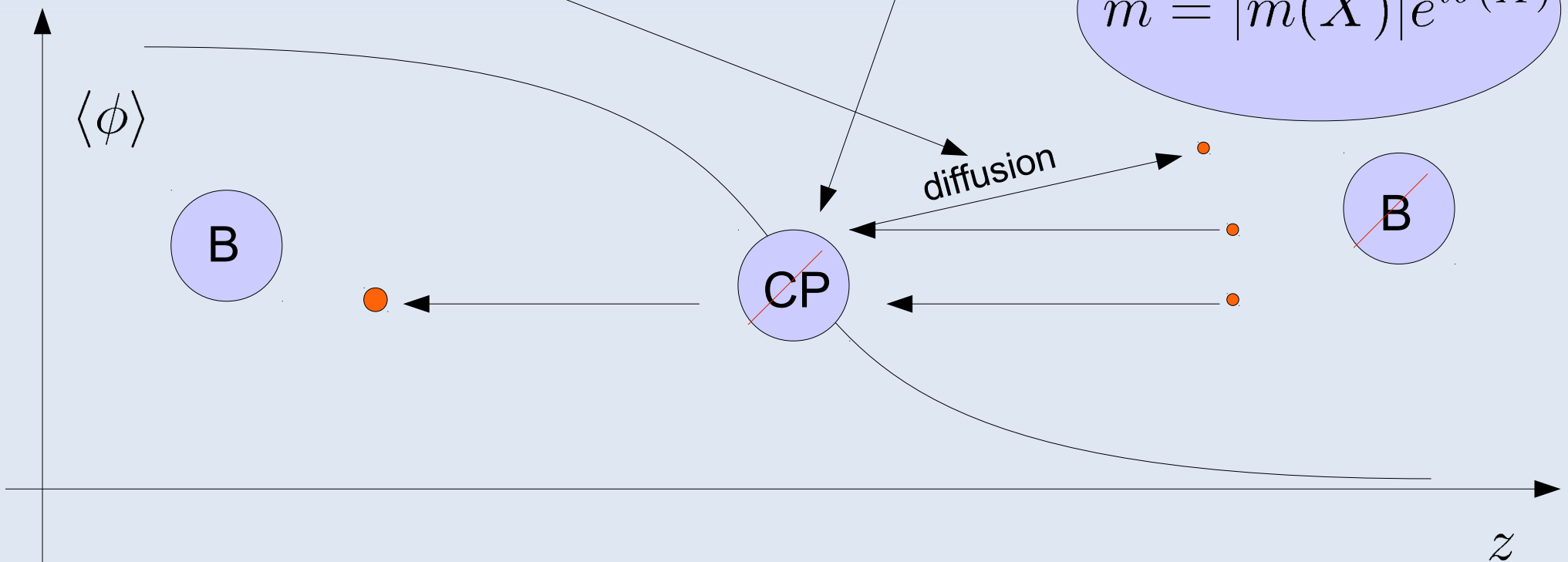
# Ingredients

- 1 ~~eq~~ Strong first-order electroweak phase transition  $\phi > T$
- 2 ~~CP~~ Some fermion species that changes its mass in a CP violating way during the electroweak phase transition (and preferably charged under  $SU(2)_L$ )

# Summary

$$\left( \underline{p \cdot \partial_X} + \frac{1}{2} (m^2)' \partial_p + \frac{s}{2p_z} \underline{(m^2 \theta')' \partial_p} \right) f_s = \text{collisions}$$

$$m = |m(X)| e^{i\theta(X)}$$



# Outline

Introduction

MSSM

Composite Higgs

# MSSM

~~CP~~

In the minimal supersymmetric standard model, the leading CP violation comes from the mass matrix of the **charginos**

$$m = \begin{pmatrix} M_2 & g v_1(X) \\ g v_2(X) & \mu_c e^{i\varphi} \end{pmatrix} \quad \varphi \neq 0$$

The strength of the electroweak phase transition

~~eq~~

- is reduced by larger Higgs masses
- is enhanced by bosonic degrees of freedom that couple strongly to the Higgs - **stops** important

$$m_{\text{stop}} \lesssim m_{\text{top}}$$

# Several flavours

In the most prominent case (MSSM), CP violation results from mixing effects between different flavors.

*[Careno, Moreno, Quiros, Seco, Wagner '00]*

In this case, new CP-violating sources occur

$$\text{forces} \ni -\frac{1}{4}\{m^{2'}, \partial_p \cdot\} + \frac{i}{16}[m^{2''}, \partial_p^2 \cdot]$$

but also additional complications arise due to flavor oscillations

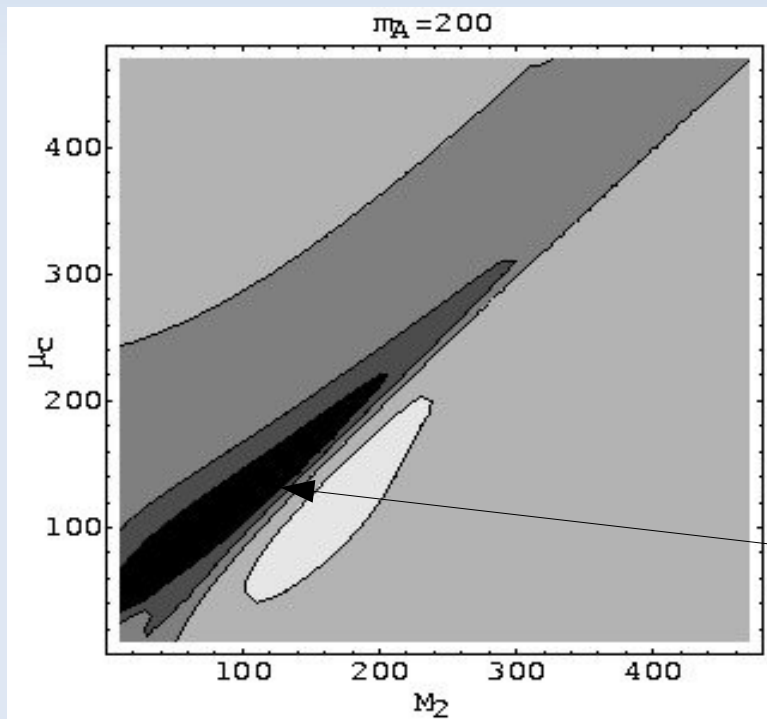
$$\left( p \cdot \partial_X + \frac{i}{2}[m^2, \cdot] + \text{forces} \right) f_s = \text{collisions}$$

$$e^{i \frac{\Delta m^2}{p_z} z} \longleftrightarrow \text{gradient expansion?}$$

*[TK, Prokopec, Schmidt '05]*

# MSSM

Assuming a strong first-order phase transition, the baryon asymmetry depends mostly on the chargino mass and the CP violation in the chargino sector



$$m = \begin{pmatrix} M_2 & g v_1(X) \\ g v_2(X) & \mu_c e^{i\varphi} \end{pmatrix}$$

$$\varphi = \frac{\pi}{2}$$

$$\frac{\eta_{\max}}{\eta_{\text{observed}}} \simeq 5$$

[TK, Prokopec, Schmidt, Seco '05]





# MSSM

In the minimal supersymmetric standard model, the leading CP violation comes from the mass matrix of the charginos


$$m = \begin{pmatrix} M_2 & g v_1(X) \\ g v_2(X) & \mu_c e^{i\varphi} \end{pmatrix}$$

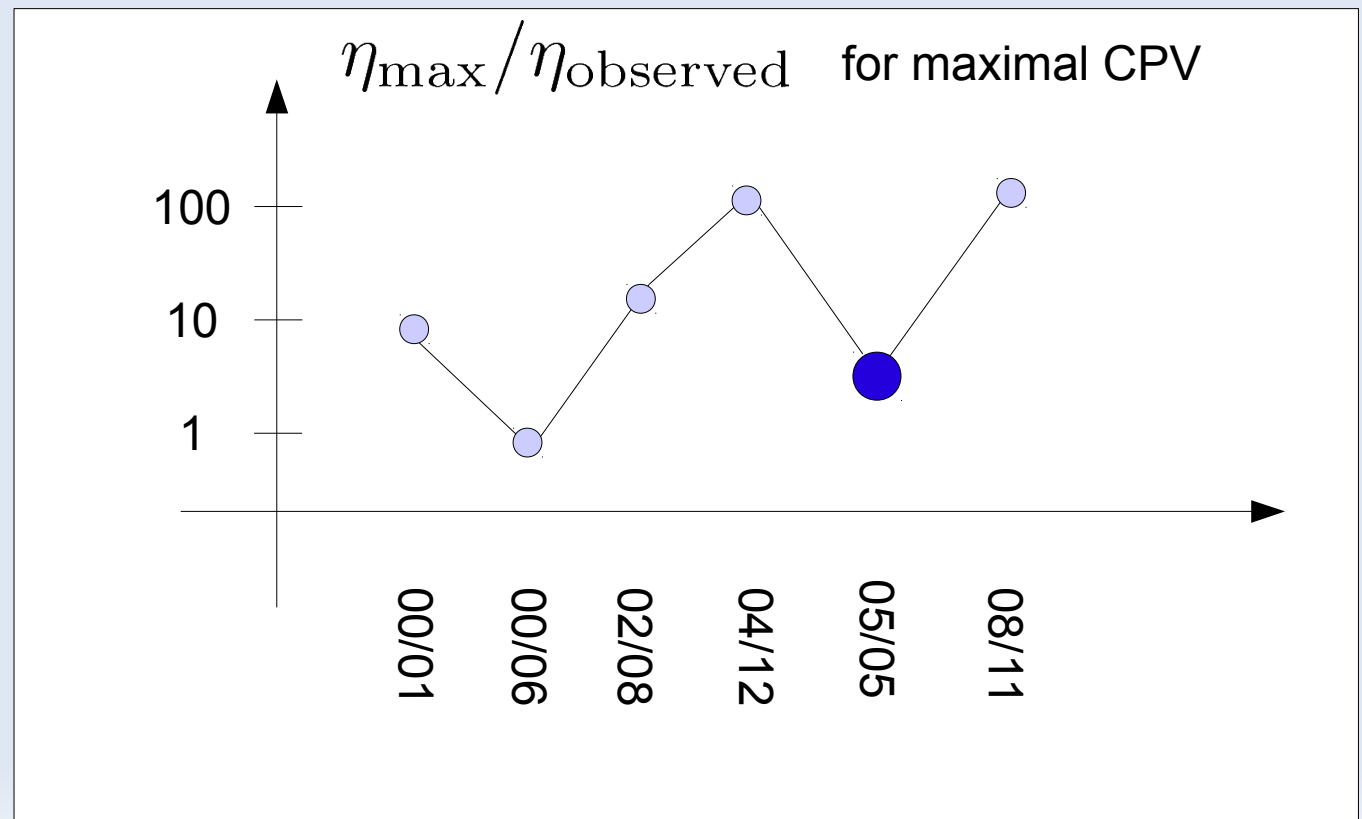
Flavor mixing? 

Resonances? 

Higgs resummation? 

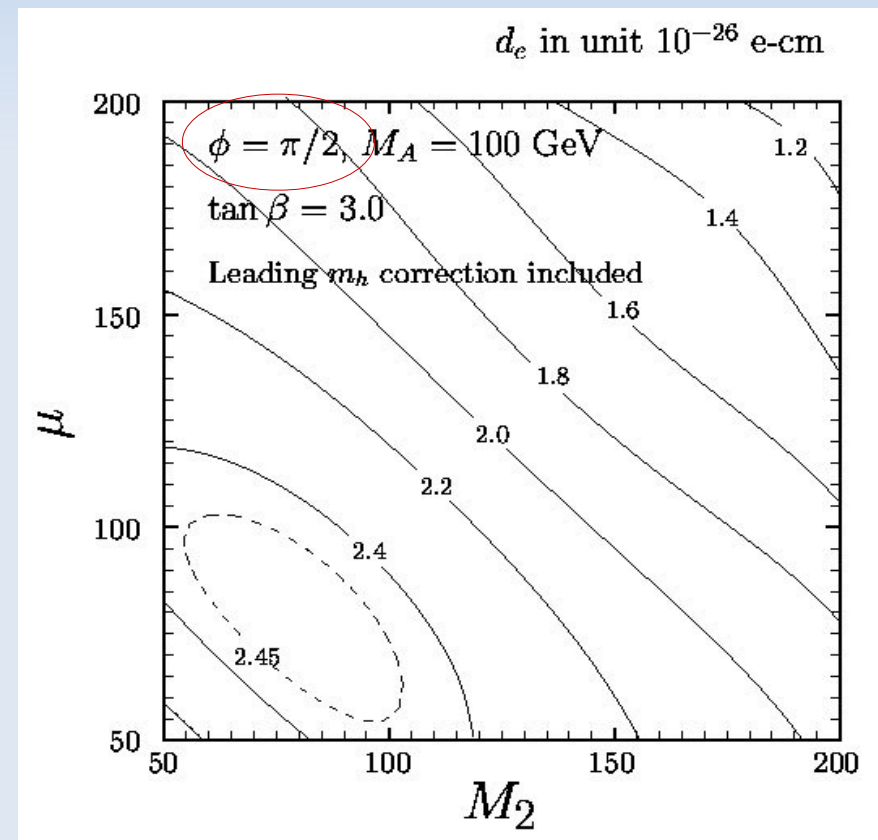
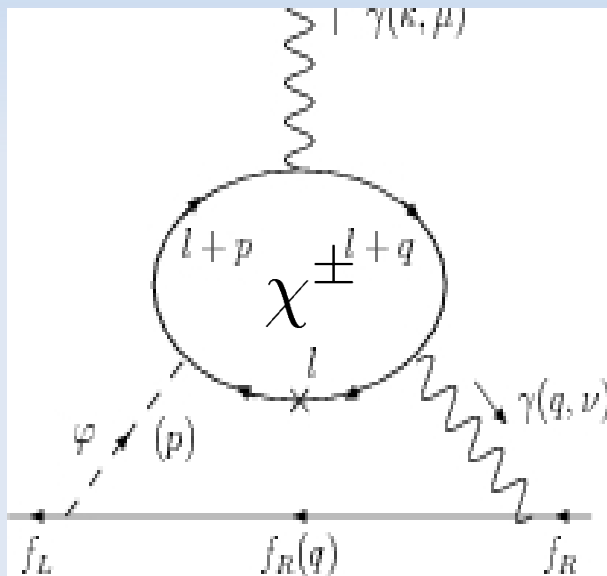
Flavor oscillations? 

Higgs transport? 



# Electric dipole moments

Even if all sfermions are heavy, there are two-loop (Barr-Zee) contributions from the **chargino** to the EDM of the electron and the neutron

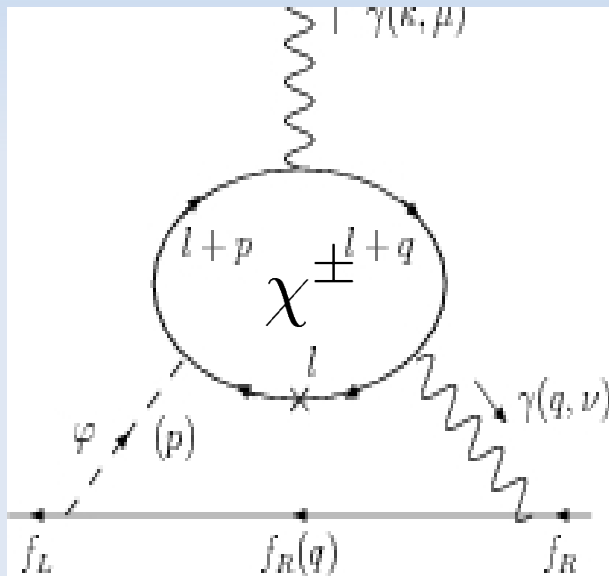


[Chang, Chang, Keung '02]

# EDMs

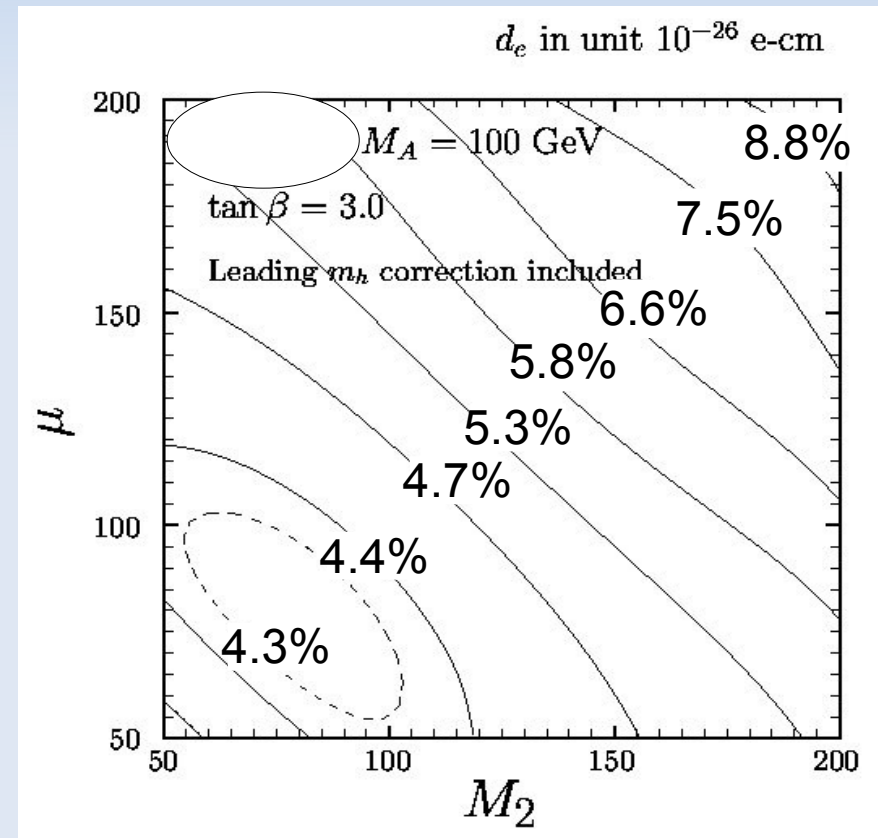
Even if all sfermions are heavy, there are two-loop (Barr-Zee) contributions from the **chargino** to the EDM of the electron and the neutron

$$\sin \varphi < ?$$



[Hudson et al. '11]

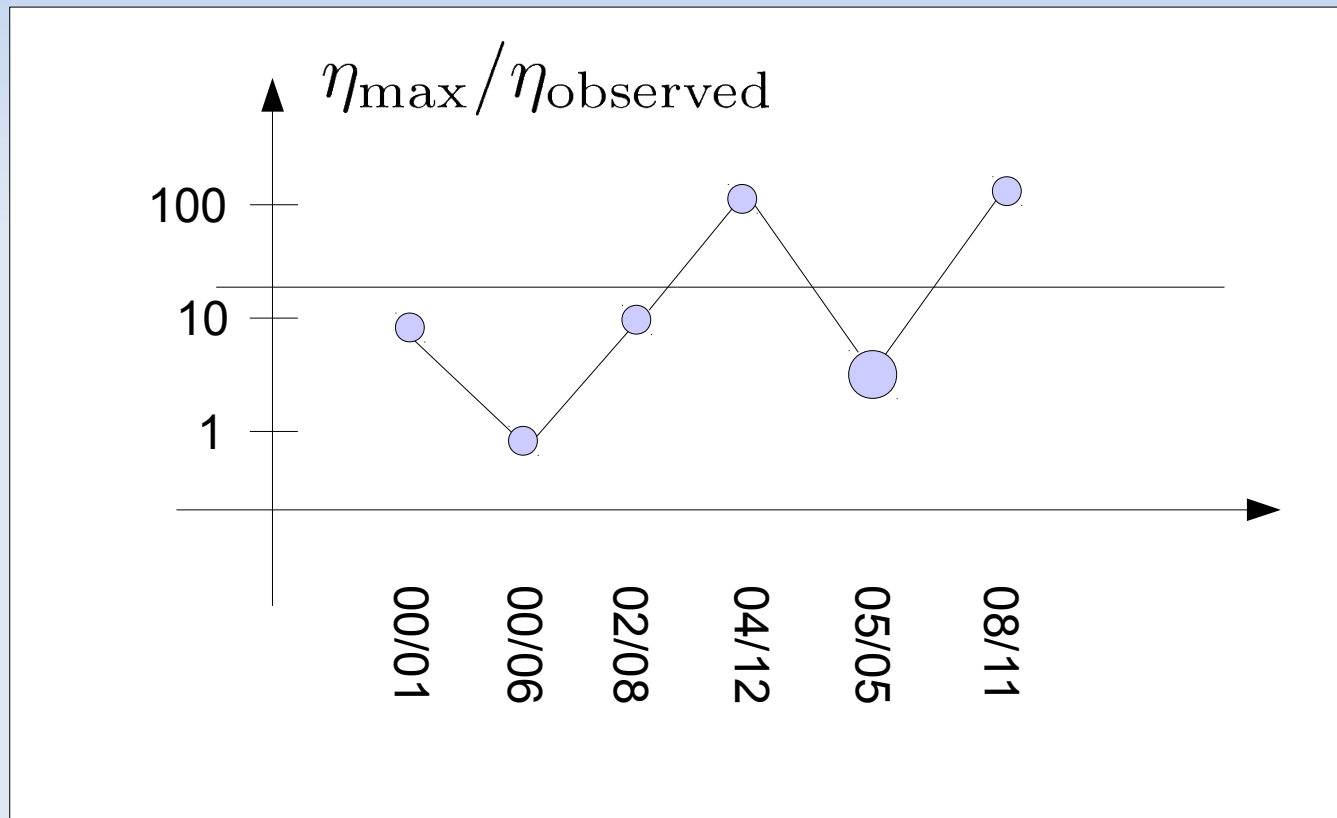
$$d_e < 1.05 \times 10^{-27} \text{ e cm}$$



[Chang, Chang, Keung '02]

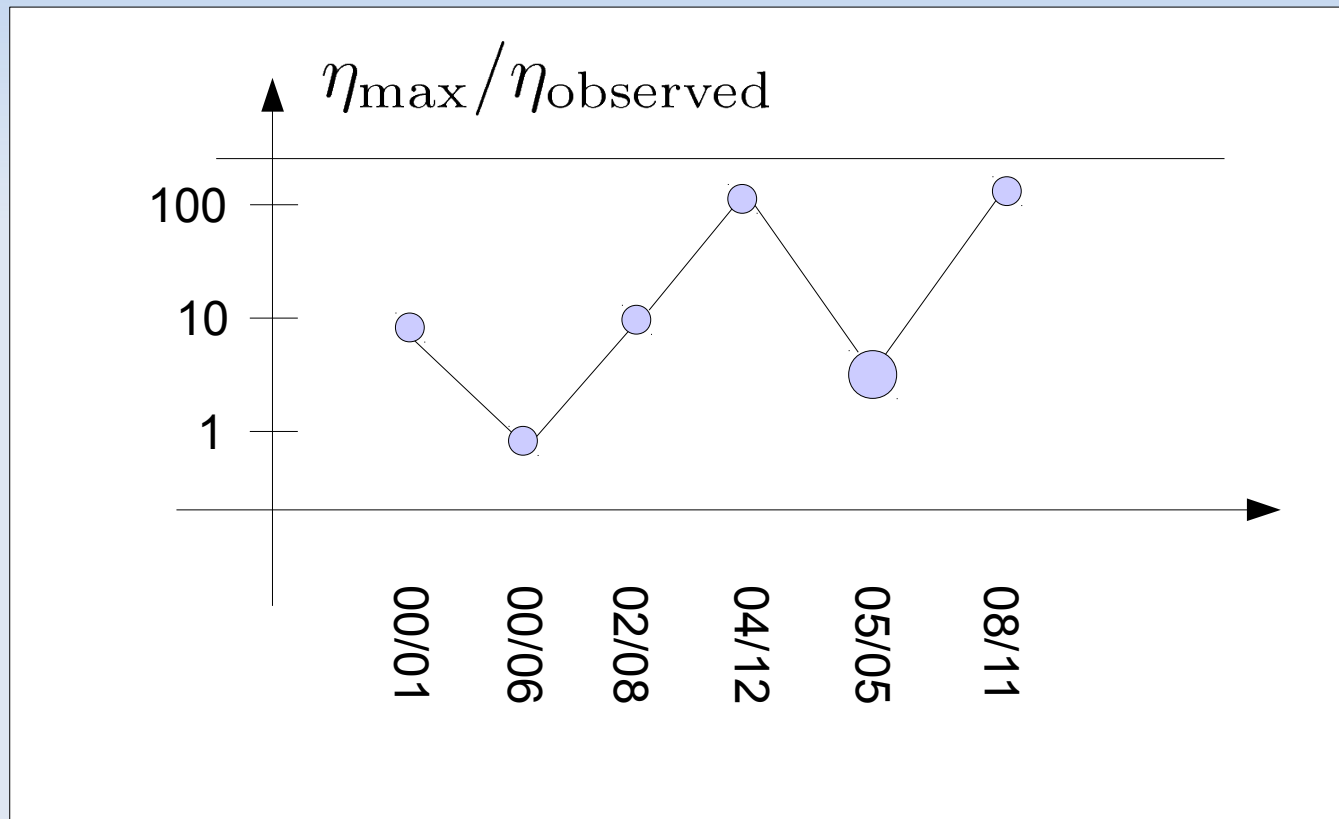
# MSSM baryogenesis

The EDM constraints translate into *[Hudson et al. '11]*  $\eta_{\max}/\eta_{\text{observed}} \gtrsim 23$

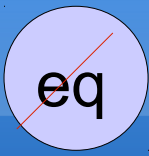


# Chargino driven MSSM baryogenesis **ruled out**

The EDM constraints translate into  $\eta_{\max}/\eta_{\text{observed}} \gtrsim 250$  [Baron et al. '13]



Caveat: EDM bounds avoided by **using binos**  
[Li, Profumo, Ramsey-Musolf '08]



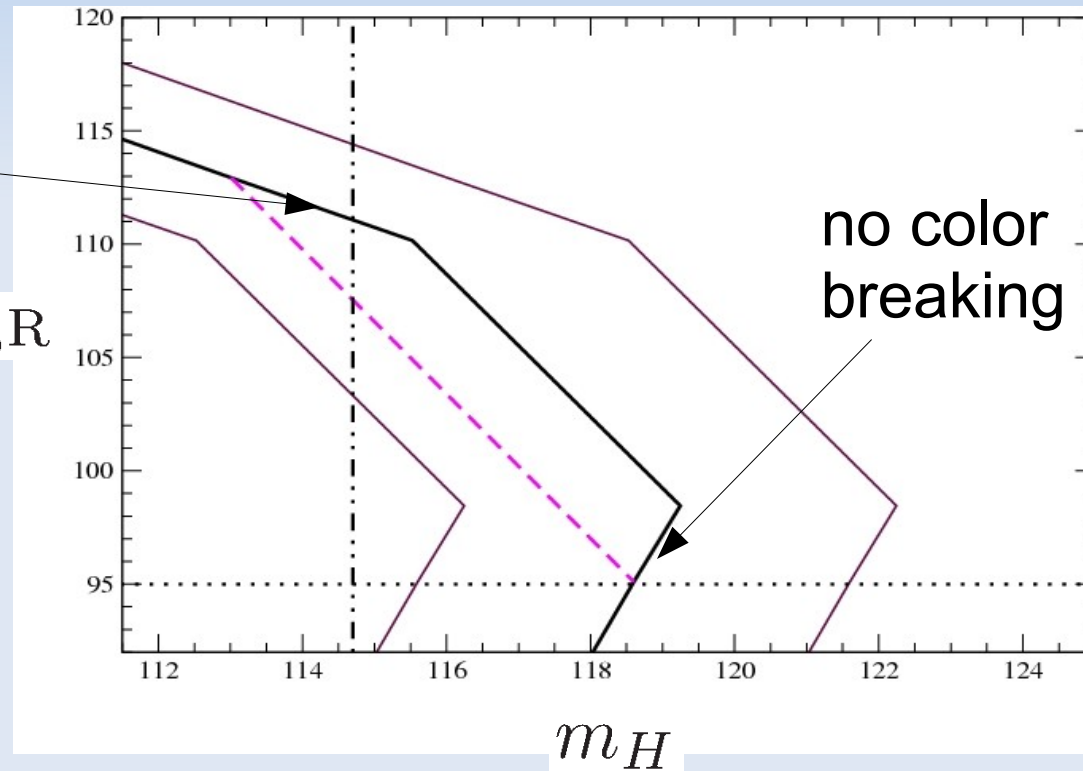
# Phase transition

MSSM phase transition after LEP:  
very heavy left-handed stop required

$$m_{\text{stop,L}} \sim 500 \text{ TeV}$$

$$\frac{\phi_c}{T_c} > 1.0$$

$m_{\text{stop,R}}$



$$m_{\text{stop,R}} \lesssim 110 \text{ GeV}$$

$$m_{\text{stop,L}} \gtrsim 30 \text{ TeV}$$

[Carena, Nardini, Quiros, Wagner '08]

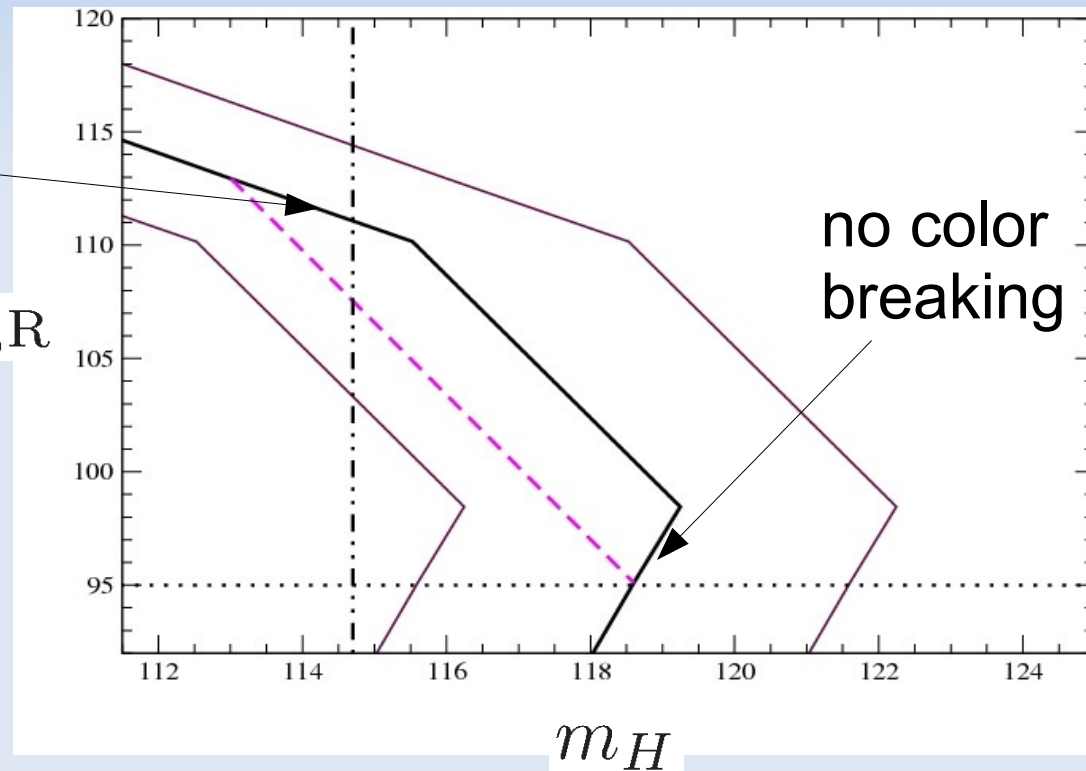


# Phase transition

MSSM phase transition after LEP:  
very heavy left-handed stop required

$$\frac{\phi_c}{T_c} > 1.0$$

$m_{\text{stop,R}}$



no color  
breaking

you are here!

$$m_{\text{stop,L}} \gtrsim 10^6 \text{ TeV}$$

[Carena, Nardini, Quiros, Wagner '08]

and **hide** right-handed stop from  
LHC

# Conclusions MSSM

Electroweak baryogenesis in SUSY models is **technically not ruled out** yet, but with current experimental constraints it is a rather **contrived scheme**

- Light ( $< 200$  GeV) and almost mass degenerate charginos or binos
- CP violation for charginos that in the most optimistic cases is at the verge of being seen in EDM experiments (caveat: binos)
- very specific spectrum required for a strong first-order phase transition (or extended Higgs sector?)



# Outline

Introduction

MSSM

Composite Higgs

# Composite Higgs models

The Higgs could be a Pseudo-Goldstone boson of a broken global symmetry

$$\text{QCD: } \frac{SU(2)_L \times SU(2)_R}{SU(2)_V} \rightarrow 3\pi$$

The broken symmetry will determine the light degrees of freedom and their quantum numbers

$$\frac{SO(5)}{SO(4)} \rightarrow H$$

but also

$$\frac{SO(6)}{SO(5)} \rightarrow H + S \quad \frac{SO(6)}{SO(4) \times SO(2)} \rightarrow 2H$$

[Kaplan, Georgi '84]

# Holographic techniques in composite Higgs models

Lately, this old idea underwent a renaissance due to holographic models to determine some quantity of the strongly coupled theory (like the Higgs potential) in a 5D setup.

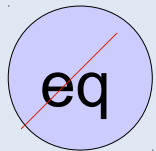
*[Contino, Nomura, Pomarol '03]*

*[Agashe, Contino, Pomarol '04]*

- 5D GIM mechanism for flavor problems
- oblique parameters better than in technicolor
- many parameters and a certain arbitrariness in the scalar sector

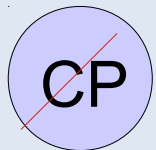
# Ingredients

Two ingredients of baryogenesis are missing in the Standard Model. These are provided in models that have an **additional singlet** in the low energy **effective** description



Strong first-order electroweak  
phase transition

$$V(s, h)$$



CP violation  
from **dimension-five**  
operators

$$\mathcal{L} \ni y_t \bar{\psi}_Q H \psi_t + \frac{\tilde{y}_t}{f} S \bar{\psi}_Q H \psi_t + h.c.$$
$$\Im(y_t \tilde{y}_t^*) \neq 0$$

eq

# Phase transition

The construction of a potential barrier and hence first-order phase transitions are easily achieved in extended scalar sectors:

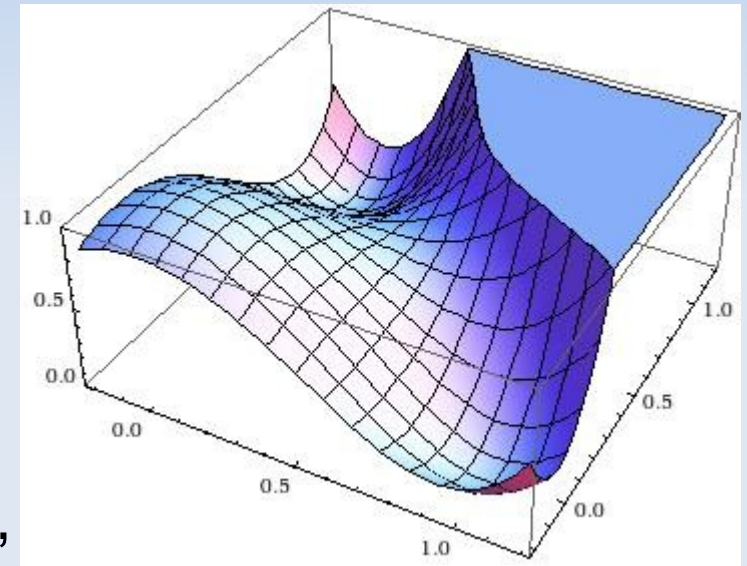
$$\begin{aligned}
 V(h, s) = & \frac{\lambda}{4}(h^2 - v^2)^2 \\
 & + m_s^2 s^2 + a_s s^3 + \lambda_s s^4 \\
 & + a_m s h^2 + \lambda_m s^2 h^2
 \end{aligned}$$

For example consider deformations of the  $\mathbb{Z}_2$ -symmetric "super-Mexican-hat"

$$V(s, h) = \frac{\lambda}{4}(h^2 + s^2/\alpha^2 - v^2)^2 + \lambda_m h^2 s^2$$

that has a phase transition

$$(h, s) = (0, \alpha v) \rightarrow (h, s) = (v, 0)$$





# CP violation

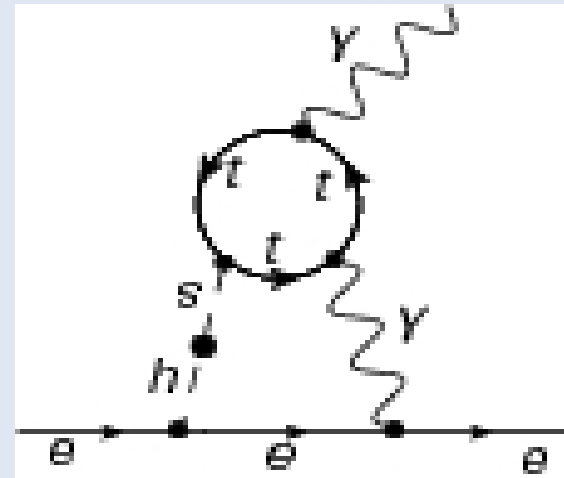
$$\mathcal{L} \ni y_t \bar{\psi}_t H \psi_t + \frac{\tilde{y}_t}{f} S \bar{\psi}_t H \psi_t$$

During the phase transition this leads to a top mass of the form

$$m_t = |m_t| e^{i\theta_t} = \frac{y_t h}{\sqrt{2}} \left( 1 + \frac{\tilde{y}_t s}{y_t f} \right)$$

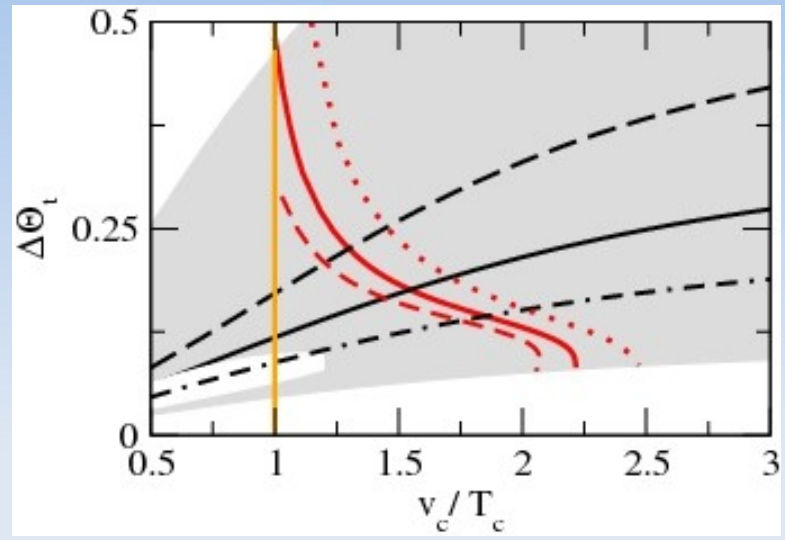
So, the complex phase during the phase transition behaves as

$$\theta_t \simeq \frac{\Im(y_t \tilde{y}_t^*)}{y_t y_t^*} \frac{s}{f}$$



This is a one flavor system and the BAU can be reliably determined with the **semi-classical force** approach.

# Baryogenesis



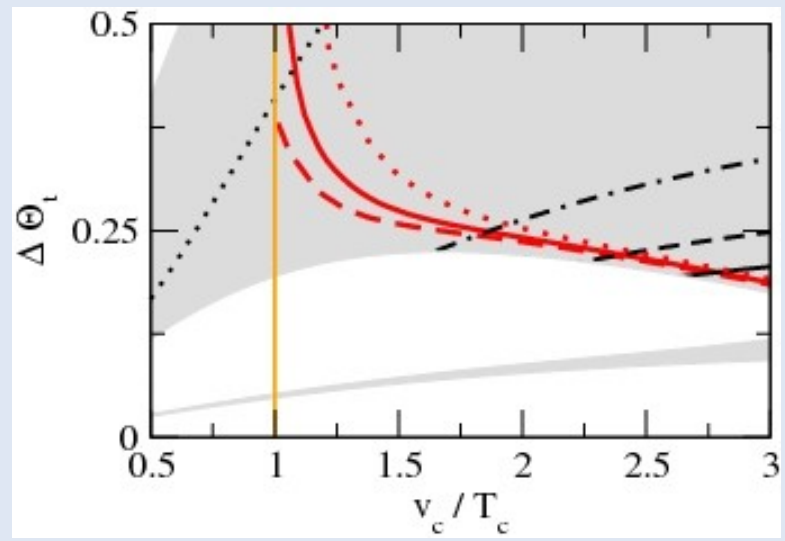
strength of CP violation

strength of the phase transition

$$m_s = 130 \text{ GeV}$$

$$\Delta\theta_t \gtrsim 0.15$$

$$\Delta\theta_t \simeq \frac{\Im(y_t \tilde{y}_t^*)}{y_t y_t^*} \frac{\Delta s}{f}$$

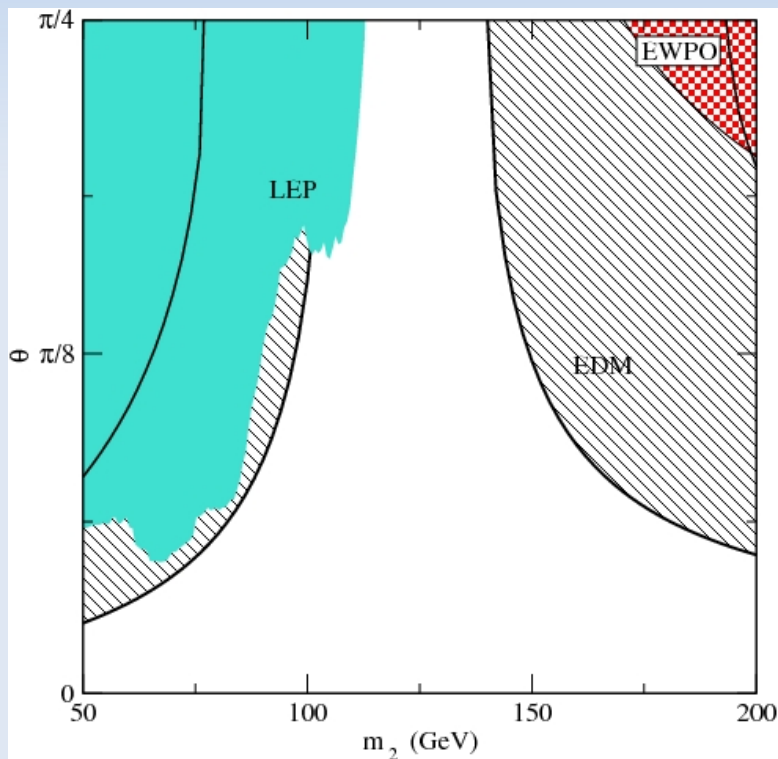


$$m_s = 80 \text{ GeV}$$

$$\Delta\theta_t \gtrsim 0.25$$

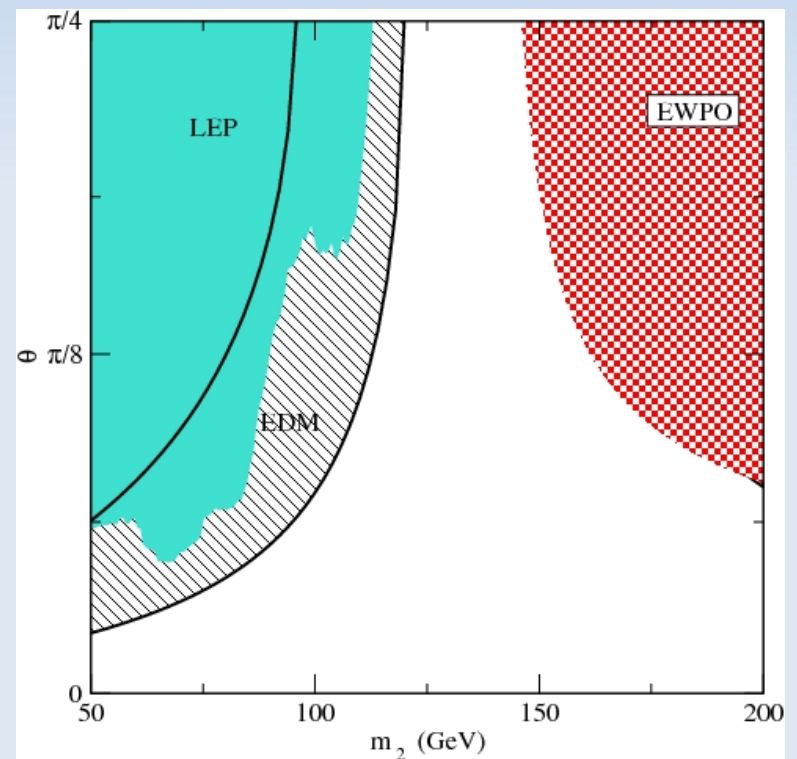
# Signals

$m_h = 120 \text{ GeV}$



singlet mass

$m_h = 140 \text{ GeV}$



Higgs-singlet mixing ~  
CP violation

$$\frac{\Im(y_t \tilde{y}_t^*)}{y_t y_t^*} \frac{1}{f} = (500 \text{ GeV})^{-1}$$

[Espinosa, Gripaios, TK, Riva '11]



# Conclusions composite Higgs

Baryogenesis in composite Higgs models is generically possible if the sector of pseudo-Goldstone bosons is non-minimal.

In the case of a scalar extension of the low energy theory, this leads to

- rich phenomenology
- traces of CP violation in terms of EDMs
- no  $\mathbb{Z}_2$  (Higgs-singlet mixing; singlet is not DM)

# Conclusions

Electroweak baryogenesis is still a **compelling** framework to explain the observed baryon asymmetry.

Higgs found



No EDMs



No minimal SUSY



# Conclusions

Electroweak baryogenesis is still a **compelling** framework to explain the observed baryon asymmetry.

Higgs found



No EDMs



No minimal SUSY

