

# EFFECTIVE FIELD THEORIES FOR HEAVY MAJORANA NEUTRINOS

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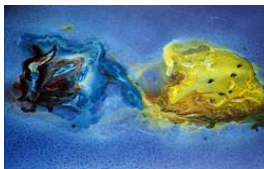
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based on arXiv 1307.7680 and TUM-EFT 43-13 (work in progress)



Max-Planck-Institut für Physik  
(Werner-Heisenberg-Institut)



- 1 MOTIVATION AND INTRODUCTION
- 2 EFT FOR MAJORANA NEUTRINOS
- 3 NEUTRINO THERMAL WIDTH
- 4 CP ASYMMETRY AND EFFECTIVE FIELD THEORY
- 5 CONCLUSIONS

# OPEN PROBLEM IN PARTICLE-COSMOLOGY

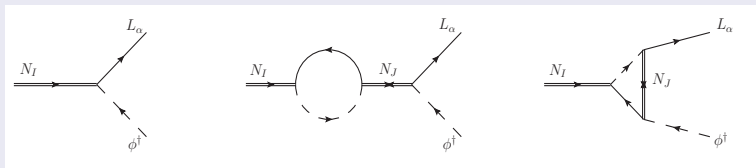
## BARYON ASYMMETRY IN THE UNIVERSE

- universe strongly matter-antimatter asymmetric

$$\eta_B = \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.2 \pm 0.15) \times 10^{-10}$$

*E. Komatsu et al. WMAP collaboration*

- within SM:  $\eta_B \sim 10^{-18}$
- Baryogenesis via Leptogenesis:  $\Delta L \rightarrow \Delta B$



- Three Sakarav conditions:  $\Delta L \neq 0$ , C and CP violation, out-of-equilibrium
- Massive Majorana neutrino play the relevant role

# STRONG WASH-OUT

- The out-of-equilibrium provided by H (universe expansion)

## DECAY PARAMETER FOR THE WASH-OUT

$$K_1 = \frac{\Gamma_1^{(T=0)}}{H(T = M_1)} = \frac{M_1 (F^\dagger F)_{11}}{8\pi 1.66 \sqrt{g^*} \frac{M_1^2}{M_{Pl}}} = \frac{\tilde{m}_1}{m_*}$$

where we may define

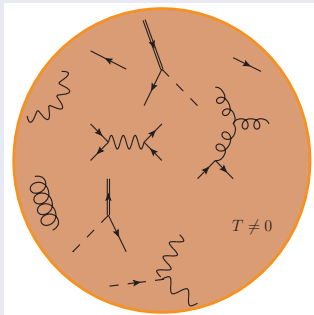
- $\tilde{m} = (F^\dagger F)_{11} \frac{v^2}{M_1}$ , effective neutrino mass
- $m_* = 8\pi 1.66 \sqrt{g^*} \frac{v^2}{M_{Pl}} \simeq 1.1 \times 10^{-3} \text{ eV}$  *Cfr. P. di Bari and M. Garny*

- Because  $\tilde{m} \simeq m_{\text{sol}} = \sqrt{\Delta m_{\text{sol}}^2} \simeq 0.009 \Rightarrow K > 1$

- Majorana neutrinos tracks **almost** the equilibrium distribution
- The final lepton asymmetry is produced in a non-relativistic regime

# NON-RELATIVISTIC NEUTRINOS IN MEDIUM

## INTERACTION AT $T \neq 0$



### 1) Thermal production rate

*A. Salvio, P. Lodone and A. Strumia (2011)*  
*M. Laine and Y. Schroder (2012)*

$$\Gamma(T=0) \rightarrow \Gamma(T)$$

### 1) CP asymmetry at finite $T$

*M. Garny, A. Hohenegger and A. Kartavtsev (2010)*

$$\epsilon = \frac{\Gamma_{\ell} - \Gamma_{\bar{\ell}}}{\Gamma_{\ell} + \Gamma_{\bar{\ell}}} \rightarrow \epsilon(T)$$

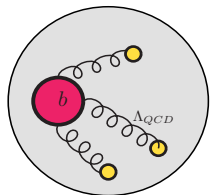
## THE NON RELATIVISTIC HAS BEEN ALREADY EXPLORED IN MANY ASPECTS

*D. Bödeker, M. Wörmann (2014)*

- the hierarchy of scales  $M \gg T$  has been not fully exploited
- we want to investigate the effective field theory (EFT) approach

## HEAVY PARTICLE LAGRANGIAN

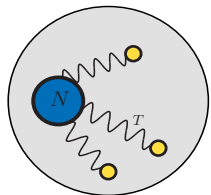
## HEAVY QUARK EFFECTIVE THEORY



- $p^\mu = Mv^\mu + k^\mu$  with  $|k| \sim \Lambda_{QCD} \ll M$
- $i \frac{\not{p} + M}{p^2 - M^2 + i\epsilon} \rightarrow \left( \frac{1 + \not{v}}{2} \right) \frac{i}{v \cdot k + i\epsilon}$

$$\mathcal{L}_{HQEFT} = \bar{h} (i v \cdot D) h + \sum_i c_n \left( \frac{\mu}{M} \right) \frac{\mathcal{O}_n(\mu, \Lambda_{QCD})}{M^{d_n - 4}} + \mathcal{L}_{light}$$

## HEAVY MAJORANA NEUTRINOS



- $p^\mu = Mv^\mu + k^\mu$  with  $|k| \sim T \ll M$
- $\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{2} \bar{\psi} (i \not{\partial} - M) \psi - F_f \bar{L}_f \tilde{\phi} P_R \psi - F_f \bar{\psi} P_L \tilde{\phi}^\dagger L_f$
- What are the low-energy excitations of  $\psi$ ? What is the  $\mathcal{L}_{EFT}$ ?

# LOW-ENERGY MODES FOR MAJORANA NEUTRINOS

- A Majorana fermion obeys  $\psi = \psi^c = C\bar{\psi}^T$

$$\psi = \left(\frac{1+\not{y}}{2}\right)\psi + \left(\frac{1-\not{y}}{2}\right)\psi \equiv \psi_{<} + \psi_{>}$$

- Taking the charge conjugate

$$\psi^c = \left(\frac{1-\not{y}}{2}\right)(C\gamma^0\psi_{<}^*) + \left(\frac{1+\not{y}}{2}\right)(C\gamma^0\psi_{>}^*)$$

$$\psi_{<} = C\gamma^0\psi_{>}^*, \quad \psi_{>} = C\gamma^0\psi_{<}^*$$

- The field  $N$  matches  $\psi_{<}$  in the fundamental theory
- $N$  annihilates a heavy Majorana fermion or anti-fermion

# LOW-ENERGY MODES FOR MAJORANA NEUTRINOS

- What is the propagator for  $N$ ?

$$\langle 0 | T(N^\alpha(x) \bar{N}^\beta(y)) | 0 \rangle = \left( \frac{1+\not{y}}{2} \right)^{\alpha\beta} \int \frac{d^4 k}{(2\pi)^4} e^{-ik(x-y)} \frac{i}{v \cdot k + i\epsilon}$$

- The free EFT Lagrangian reads

$$\mathcal{L}_N^{(0)} = \bar{N} i v \cdot \partial N$$

- In a reference frame where the heavy particle is at rest up to to  $k \ll M$

$$\mathcal{L} = N^\dagger i \partial_0 N + \sum_i c_n \left( \frac{\mu}{M} \right) \frac{\mathcal{O}(\mu, T)_n}{M^{d_n-4}} + \mathcal{L}_{light}$$

## OBSERVATIONS

- In the heavy particle sector: expansion in  $1/M$
- Contribution of higher order operators are counted in power of  $T/M$
- The **Wilson coefficients** may be computed setting  $T=0$ , in vacuum



IN ORDER TO TEST THE EFT APPROACH:  $M \gg T \gg M_W$

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2} \bar{\psi} i \not{\partial} \psi - \frac{M}{2} \bar{\psi} \psi - F_f \bar{L}_f \tilde{\phi} P_R \psi - F_f^* \bar{\psi} P_L \tilde{\phi}^\dagger L_f,$$

- **thermal width** at finite  $T$ ,

$$\Gamma_0 = \frac{|F|^2 M}{8\pi} \rightarrow \Gamma(T) = \Gamma_0 + (\text{thermal corrections})$$

EFT STRATEGY:

- 1) Matching procedure corresponds to one loop calculation at  $T = 0$   
 $\Rightarrow$  Effective interactions between  $N$  and SM fields
- 2) Thermal effects encoded in tadpole diagrams at  $T \neq 0$   
 $\Rightarrow$  Thermal width obtained from  $\langle N(x)N(0) \rangle_T$

## EFT AT WORK: PART I

MATCHING COMPUTATION AT  $T=0$ 

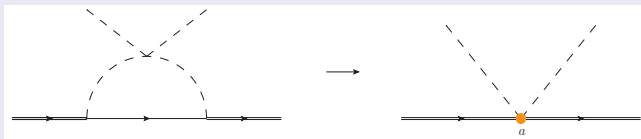
- 1) The low-energy Lagrangian is organized as follows:

$$\mathcal{L} = N^\dagger \left( i\partial_0 - i\frac{\Gamma_0}{2} \right) N + \frac{\mathcal{L}^{(1)}}{M} + \frac{\mathcal{L}^{(2)}}{M^2} + \frac{\mathcal{L}^{(3)}}{M^3} + \mathcal{O}\left(\frac{1}{M^4}\right)$$

- 2) By dimensional analysis the thermal corrections scale as

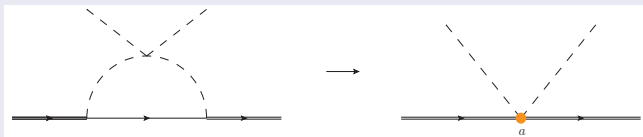
$$\delta\Gamma_{(1)} \propto \frac{T^2}{M}, \quad \delta\Gamma_{(2)} \propto \frac{T^3}{M^2}, \quad \delta\Gamma_{(3)} \propto \frac{T^4}{M^3}$$

- By symmetry, the leading dimension 5 operator:  $\mathcal{L}^{(1)} = aN^\dagger N\phi^\dagger\phi$



## EFT AT WORK II

- the matching is performed in the reference frame  $v^\mu = (1, \vec{0})$
- we need the  $\text{Im}(a) \Rightarrow$  the imaginary part of  $\mathcal{D}$
- incoming and outgoing SM particles carry the same momentum  $q^\mu \ll M$

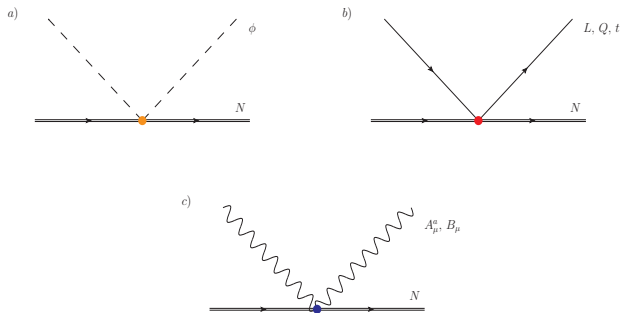


$$\int d^4x e^{ip \cdot x} \int d^4y \int d^4z e^{iq \cdot (y-z)} \langle \Omega | T(\psi^\mu(x) \bar{\psi}^\nu(0) \phi_m(y) \phi_n^\dagger(z)) | \Omega \rangle \Big|_{p^\mu = (M+i\epsilon, \vec{0})}$$

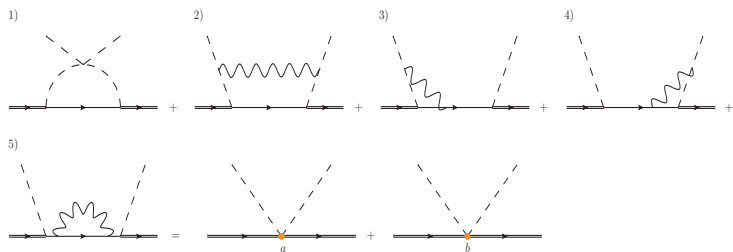
$$M \gg \Lambda \gg T \rightarrow 0, \quad \text{Im}(a) = -\frac{3}{8\pi} \lambda |F|^2$$

# SUB-LEADING OPERATORS

- Higher order operators in the effective Lagrangian
- Higgs, fermions (quarks and leptons) and gauge bosons effective vertices

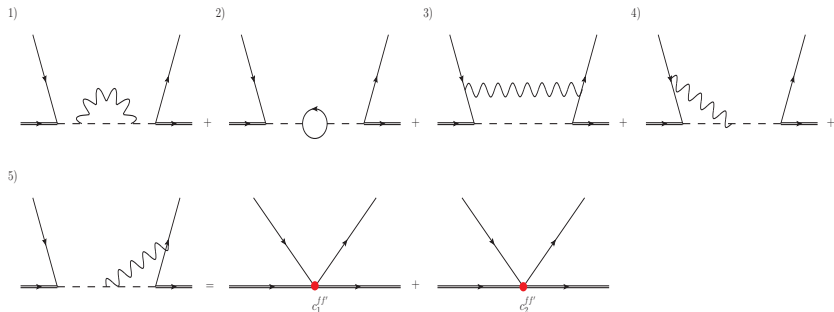


## MATCHING: HIGGS

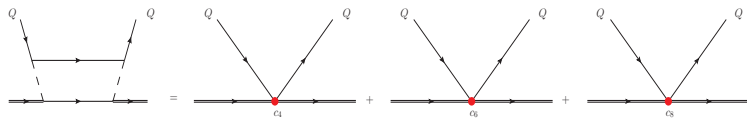
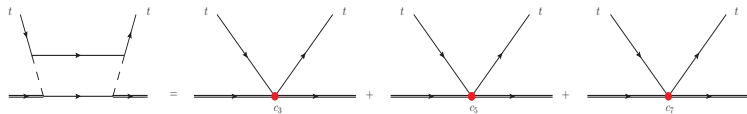


$$\mathcal{O}_\phi^{(1)} = \frac{a}{M} N^\dagger N \phi^\dagger \phi, \quad \mathcal{O}_\phi^{(3)} = \frac{b}{M^3} \bar{N} N (v \cdot D \phi^\dagger) (v \cdot D \phi)$$

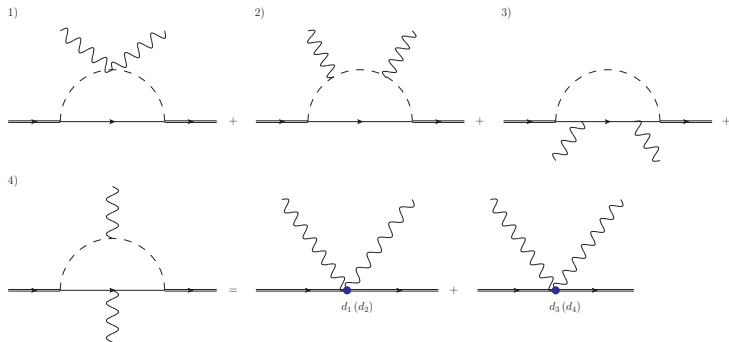
## MATCHING: LEPTONS



## MATCHING: QUARKS

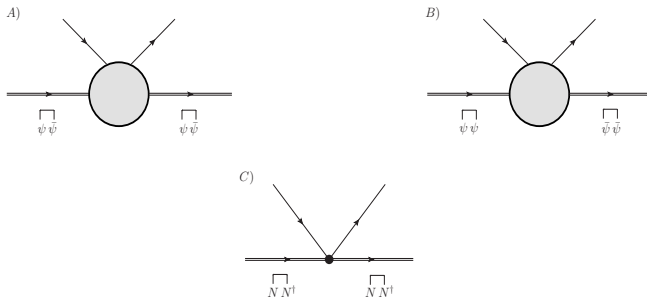


## MATCHING: GAUGE BOSONS





## MATCHING MAJORANA MATRIX ELEMENTS



- Majorana fermions may be contracted in two different ways: *A* and *B* due to  $\langle \psi \psi \rangle$ ,  $\langle \bar{\psi} \bar{\psi} \rangle$
- In the EFT: one combination for the diagrams,  $\langle NN \rangle = \langle \bar{N} \bar{N} \rangle = 0$
- Majorana pairing enters the matching calculation (not present in HQET)

## THERMAL WIDTH I

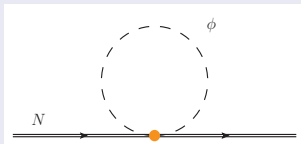
- The thermal width can be computed from

$$\int d^4x e^{ik \cdot x} \langle T(N^\alpha(x) N^{\dagger\beta}(0)) \rangle_T^{\text{int}}$$

- In the  $v^\mu = (1, \vec{0})$  frame, the Majorana neutrino has the form

$$\left(\frac{1+\gamma_0}{2}\right)^{\alpha\beta} \frac{iZ}{k^0 - E + i\Gamma/2} = \left(\frac{1+\gamma_0}{2}\right)^{\alpha\beta} Z \left[ \frac{i}{k^0 + i\epsilon} - (iE + \frac{\Gamma}{2}) \left(\frac{i}{k^0 + i\epsilon}\right)^2 + \dots \right]$$

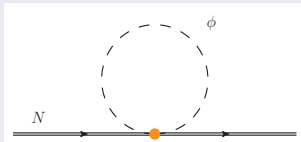
- $E$ ,  $\Gamma$  and  $Z$  are specified by self-energy diagrams
- $Z = 1$  because tadpoles do not depend on incoming momentum



## THERMAL WIDTH II

## THERMAL WIDTH IN THE EFT

- By expanding the Majorana neutrino propagator in  $\mathcal{L}_{\text{EFT}}$



$$\Gamma_\phi = 2 \frac{\text{Im } a}{M} \langle \phi^\dagger(0) \phi(0) \rangle_T = -\frac{\lambda |F|^2 M}{8\pi} \left( \frac{T}{M} \right)^2$$

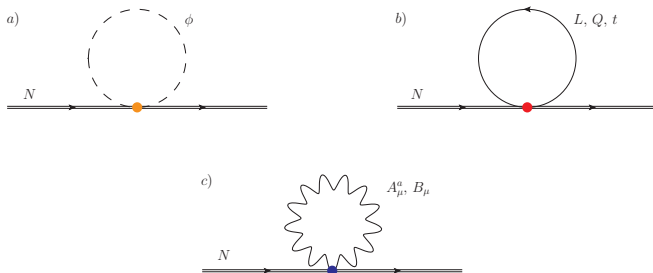
- Higgs propagator in the Real Time Formalism (RTF)

$$i\Delta_{11}(x-y) = \int \frac{d^4 k}{(2\pi)^4} \left[ \frac{i}{k^2 + i\epsilon} + (2\pi) n_B(|k_0|) \delta(k^2) \right] e^{-ik \cdot (x-y)}$$

- Neutrino field of type-2 decouples in the heavy mass limit

⇒ The doubling of the RTF does not enter the computation

- Thermal tadpoles induced by the sub-leading operators



EFT FOR MAJORANA FERMIONS WORKS:

$$\Gamma_T = \frac{|F|^2 M}{8\pi} \left\{ -\lambda \left(\frac{T}{M}\right)^2 - \frac{\pi^2}{80} \left(\frac{T}{M}\right)^4 (3g^2 + g'^2) - \frac{7\pi^2}{60} \left(\frac{T}{M}\right)^4 |\lambda_t|^2 + \mathcal{O}\left(\frac{T}{M}\right)^6 \right\}$$

*M. Laine and Y. Schroeder (2012)*

# SUMMARY

- Non-relativistic regime may be relevant for leptogenesis
- Interactions occur in a thermal medium



EFT for non-relativistic Majorana fermions at finite temperature

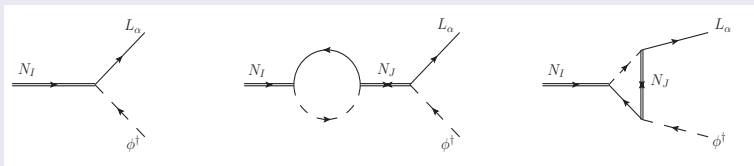
- We test the EFT approach by reproducing the neutrino thermal width
  - 1) one-loop  $T = 0$  computation for the matching
  - 2) thermal tadpoles (one-loop)

⇒ Relativistic and thermal correction factorize

## CP ASYMMETRY

- Thermal corrections to the CP asymmetry within EFT

$$\epsilon = \sum_{l,f} \frac{\Gamma(N_I \rightarrow l_f + X) - \Gamma(N_I \rightarrow \bar{l}_f + X)}{\Gamma(N_I \rightarrow l_f + X) + \Gamma(N_I \rightarrow \bar{l}_f + X)}$$



- We consider two Majorana neutrinos:  $N_1$  and  $N_2$
- We focus on the direct CP asymmetry (vertex diagram)

# CP ASYMMETRY AND MEDIUM EFFECTS

- Thermal effects for the CP asymmetry have been already considered

*M. Garny, A. Hohenegger, A. Kartavtsev and M. Lindner (2009)*

*M. Garny, A. Hohenegger and A. Kartavtsev (2010)*

*B. Garbrecht, F. Gautier and J. Klaric (2014)*

## CORRECTION FORM EFT

- Exponentially suppressed corrections are neglected from the beginning
- We can instead obtain corrections like

$$g_{\text{SM}} \times \left(\frac{T}{M}\right)^n$$

## EFT STRATEGY

## FUNDAMENTAL LAGRANGIAN

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2} \bar{\psi}_l i \not{\partial} \psi_l - \frac{M_l}{2} \bar{\psi}_l \psi_l - F_{fl} \bar{L}_f \tilde{\phi} P_R \psi_l - F_{lf}^* \bar{\psi}_l P_L \tilde{\phi}^\dagger L_f$$

EFT LAGRANGIAN FOR  $N_1$  AND  $N_2$ :  $M \gg T \gg M_W, \Delta$ 

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{SM}} + \bar{N}_l (i v \cdot \partial - \delta M_l) N_l - \frac{i \Gamma_{ll}^{T=0}}{2} \bar{N}_l N_l + \frac{a_{ll}}{M} \bar{N}_l N_l \phi^\dagger \phi + \dots$$

$$\delta M_1 = 0, \delta M_2 = \Delta$$

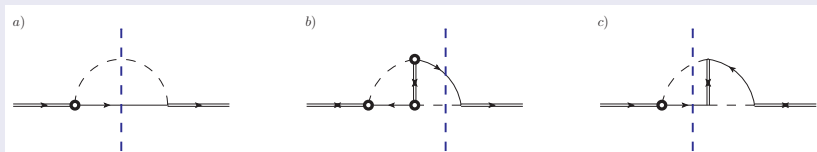
- Almost degenerate neutrino masses
- Direct  $\epsilon$  is related to  $\Gamma_{ll}^{T=0}$  and  $a_{ll}$  (and possible corrections to them)

- 1) Matching calculation to fix the Wilson coefficients,  $T = 0$
- 2) Thermal effects encoded in thermal tadpoles



ASYMMETRY AT  $T = 0$ 

- Diagrams relevant for the direct asymmetry



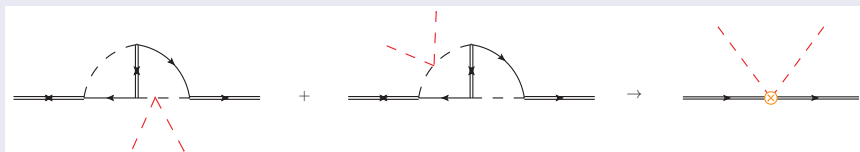
- The CP asymmetry can be written as

$$\epsilon_1^d = 2 \frac{\text{Im}(B) \text{Im} [(F_1 F_2^*)^2]}{|F_1|^2} = \frac{(1 - 2 \ln 2) \text{Im} [(F_1 F_2^*)^2]}{8\pi |F_1|^2}$$

- By using techniques at  $T = 0$  one can extract  $\text{Im}(B)$

## FIRST STEP: MATCHING

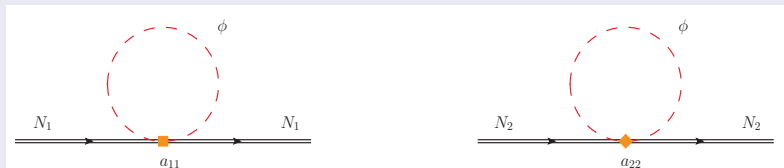
- We consider dimension 5 operators: interaction with Higgs from the medium
- We match matrix element in the fundamental and low-energy theory



- First order SM coupling:  $-i\mathcal{D} \propto \lambda|F|^4$
- The scale  $M$  is integrated out:  $M \gg \Lambda \gg T \rightarrow 0$
- The cuts put on-shell either leptons or anti-leptons
- Cutting rules at zero temperature

## SECOND STEP: THERMAL TADPOLES

- The Higgs tadpole induces the thermal corrections



THE ASYMMETRY IN  $N_1$  DECAYS IS GENERATED FROM:

$$\Gamma(N_1 \rightarrow \ell + X) - \Gamma(N_1 \rightarrow \bar{\ell} + X) = 2 \left( \frac{\text{Im } a_{11}^\ell}{M} - \frac{\text{Im } \bar{a}_{11}^{\bar{\ell}}}{M} \right) \langle \phi^\dagger(0) \phi(0) \rangle_T$$

- Factorization of relativistic and thermal corrections

## FINAL RESULT

## FINAL RESULT FOR THE CP ASYMMETRY

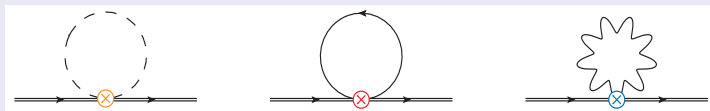
- By considering both the decays of  $N_1$  and  $N_2$  we obtain

$$\epsilon_T^d = -\frac{\text{Im} [(F_1 F_2^*)^2]}{16\pi} \frac{|F_2|^2 - |F_1|^2}{|F_1|^2 |F_2|^2} \left[ \lambda(1 - 2 \ln 2) + (3g^2 + g'^2) \frac{2 - \ln 2}{24} \right] \left( \frac{T}{M} \right)^2$$

- Dimensional analysis in the EFT predicts the counting  $\left(\frac{T}{M}\right)^2$

$$\langle \phi^\dagger(0) \phi(0) \rangle_T \sim T^2$$

- The sub-leading contributions,  $\mathcal{O}\left(\frac{T}{M}\right)^4$  are related to



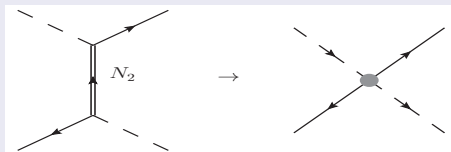
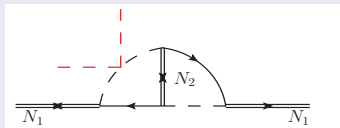
→ thermal condensates scale as  $T^4$

# HIERARCHICAL CASE FOR $N_1$ AND $N_2$

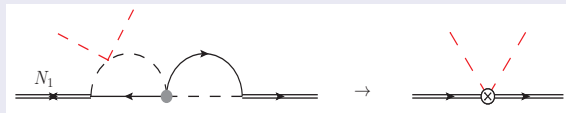
- $M_1 \simeq M_2$ : the direct CP asymmetry may be not the main contribution
- $M_1 \ll M_2$ : the vertex and wave function diagrams are equally important

- We can use the EFT approach

- 1) Integrate out the bigger neutrino mass  $M_2$



- 2) Integrate out the smaller neutrino mass  $M_1$



# CONCLUSIONS

- Non-relativistic regime may be relevant for leptogenesis
- Interactions occur in medium

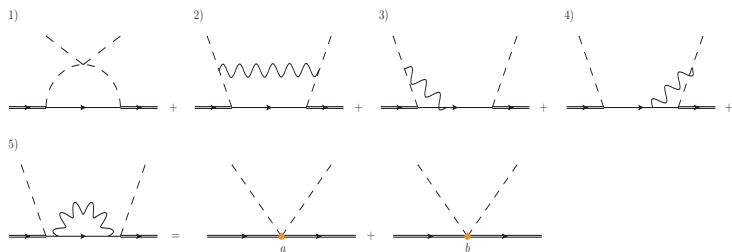
- Formulation of an EFT to deal with Majorana neutrinos in medium
- Test the EFT: neutrino thermal width up to  $\mathcal{O}\left(\frac{T}{M}\right)^4$

2-loops ( $T \neq 0$ )  $\rightarrow$  1-loop ( $T=0$ ) + 1-loop (thermal tadpoles)

- Address the CP asymmetry in the EFT formalism
- Matching calculation (cutting rules at  $T = 0$ ) and thermal tadpoles ( $T \neq 0$ )
- Calculation of thermal corrections to  $\epsilon$  due to the vertex diagram

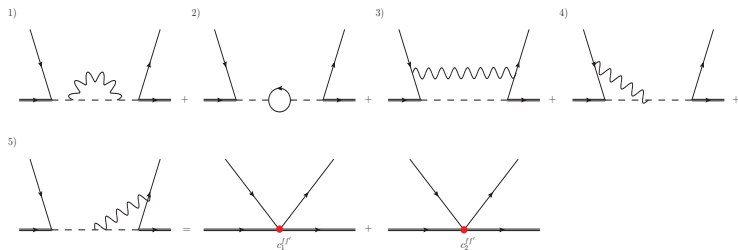
$$\epsilon(T) = \epsilon_0 \left[ 1 + g_{\text{SM}} \times \left( \frac{T}{M} \right)^n \right]$$

## MATCHING: HIGGS



$$\mathcal{O}_\phi^{(1)} = \frac{a}{M} N^\dagger N \phi^\dagger \phi, \quad \mathcal{O}_\phi^{(3)} = \frac{b}{M^3} \bar{N} N (v \cdot D \phi^\dagger) (v \cdot D \phi)$$

## MATCHING: LEPTONS

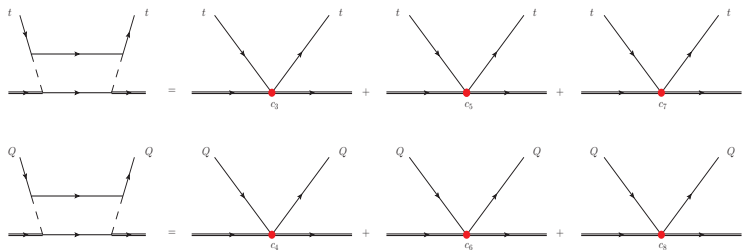


$$\mathcal{O}_L^{(3)} = c_1^{ff'} [(\bar{N}P_L iv \cdot DL_f) (\bar{L}_{f'} P_R N) + (\bar{N}P_R iv \cdot DL_{f'}^c) (\bar{L}_f^c P_L N)]$$

$$+ c_2^{ff'} [(\bar{N}P_L \gamma_\mu \gamma_\nu iv \cdot DL_f) (\bar{L}_{f'} \gamma^\nu \gamma^\mu P_R N) (\bar{N}P_R \gamma_\mu \gamma_\nu iv \cdot DL_{f'}^c) (\bar{L}_f^c \gamma^\nu \gamma^\mu P_L N)]$$

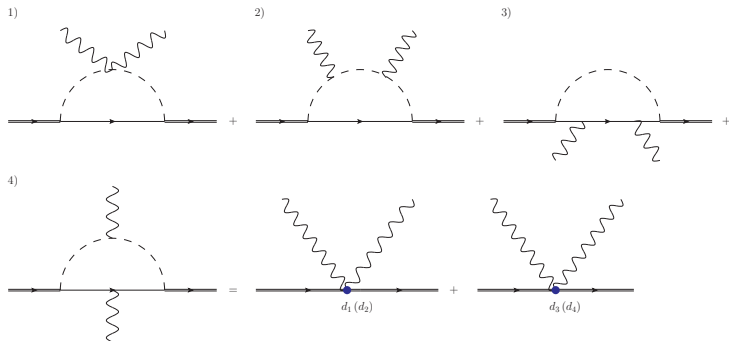


## MATCHING: QUARKS



$$\begin{aligned}
 \mathcal{O}_{Q,t}^{(3)} = & c_3 \bar{N} N (\bar{t} P_L v^\mu v^\nu \gamma_\mu i D_\nu t) + c_4 \bar{N} N (\bar{Q} P_R v^\mu v^\nu \gamma_\mu i D_\nu Q) \\
 & + c_5 \bar{N} \gamma^5 \gamma^\mu N (\bar{t} P_L v \cdot \gamma i D_\mu t) + c_6 \bar{N} \gamma^5 \gamma^\mu N (\bar{Q} P_R v \cdot \gamma i D_\mu Q) \\
 & + c_7 \bar{N} \gamma^5 \gamma^\mu N (\bar{t} P_L \gamma_\mu i v \cdot D t) + c_8 \bar{N} \gamma^5 \gamma^\mu N (\bar{Q} P_R \gamma_\mu i v \cdot D Q)
 \end{aligned}$$

## MATCHING: GAUGE BOSONS



$$\mathcal{O}_{A^\mu, B^\mu}^{(3)} = -d_1 \bar{N} N v^\mu v_\nu W_{\alpha\mu}^a W^{a\alpha\nu} - d_2 \bar{N} N v^\mu v_\nu F_{\alpha\mu} F^{\alpha\nu} \\ + d_3 \bar{N} N W_{\mu\nu}^a W^{a\mu\nu} + d_4 \bar{N} N F_{\mu\nu} F^{\mu\nu}$$

# MOMENTUM DEPENDENT OPERATOR

- If the Majorana neutrino is not at rest  $\Rightarrow$  momentum dependent operator

$$\mathcal{O}_{\vec{k}}^{(3)} = -\frac{1}{2M^3} a \bar{N} [\partial^2 - (\mathbf{v} \cdot \partial)^2] N \phi^\dagger \phi$$

- The Wilson coefficient is fixed by the dispersion relation

$$\bar{N} N \left( \sqrt{(M + \delta m)^2 + \vec{k}^2} - M \right) = \bar{N} N \left( \delta m + \frac{\vec{k}^2}{2M} - \delta m \frac{\vec{k}^2}{2M^2} + \dots \right)$$

where  $\delta m = -a \frac{\phi^\dagger \phi}{M}$

## THERMAL TADPOLE CONTAINS THE HIGGS CONDENSATE

$$\Gamma_{\vec{k}} = 2 \frac{\text{Im } a}{M} \left( -\frac{\vec{k}^2}{2M^2} \right) \langle \phi^\dagger(0) \phi(0) \rangle_T = -\frac{\text{Im } a}{6} \frac{\vec{k}^2 T^2}{M^3}$$