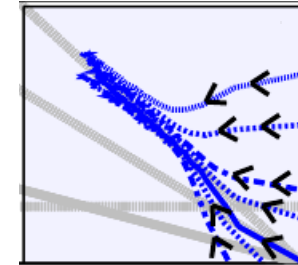
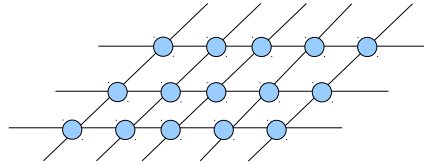
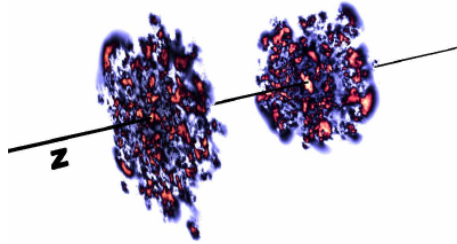


# Thermalization process on the lattice



Soeren Schlichting

In collaboration with

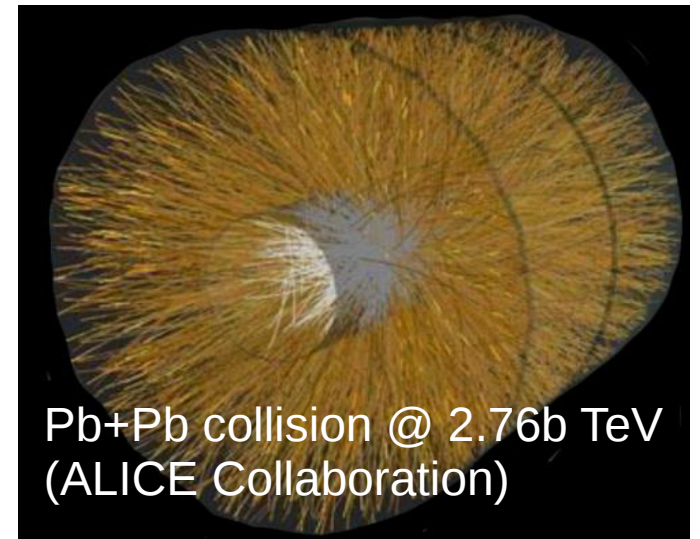
J. Berges, K. Boguslavski and R. Venugopalan

MITP Workshop, Mainz 07/29/14

**BROOKHAVEN**  
NATIONAL LABORATORY

# Motivation

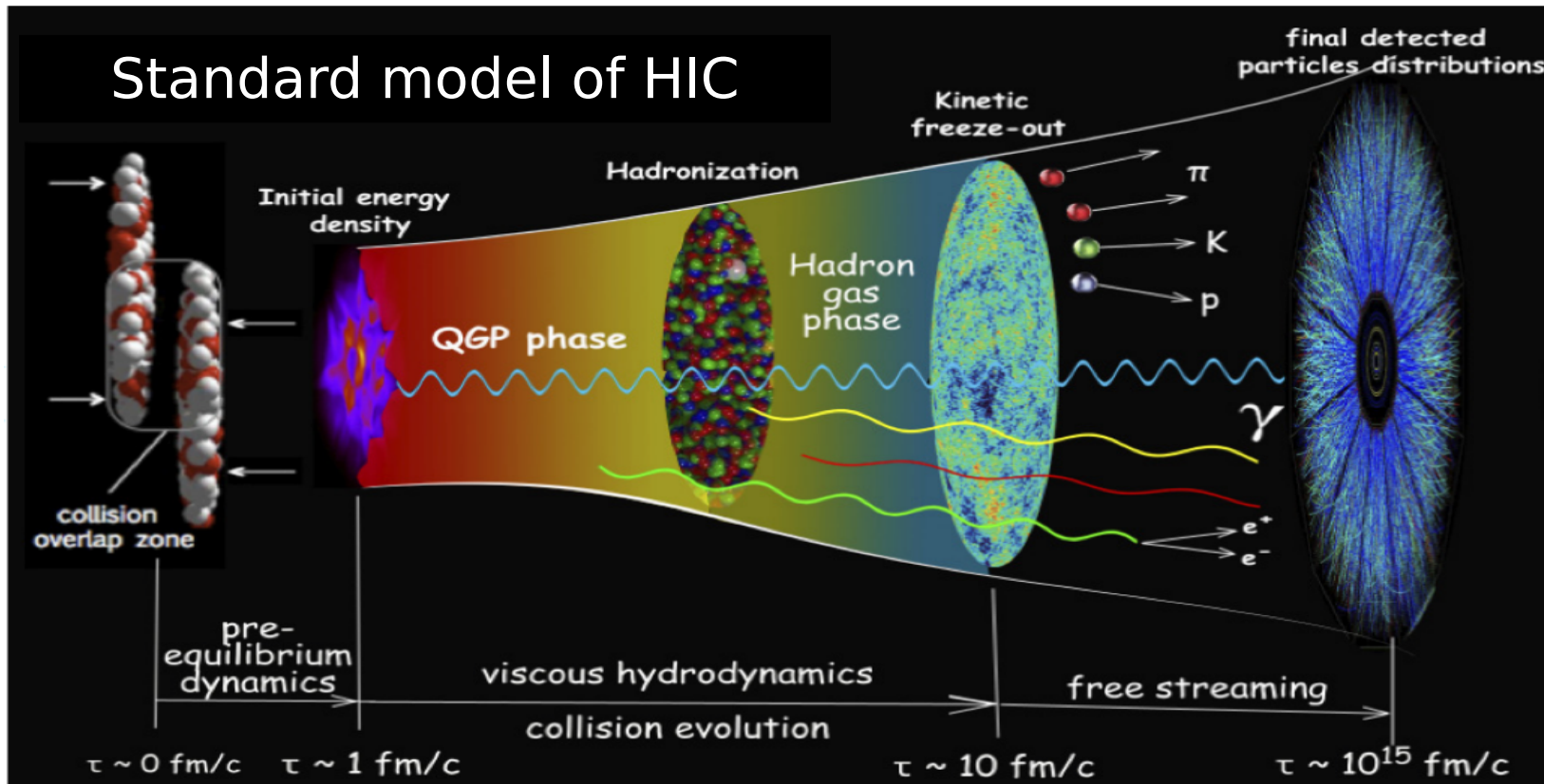
Relativistic heavy-ion collision experiments at RHIC and LHC



How can one understand the complex dynamics of a heavy-ion collisions?

# Heavy-ion collisions

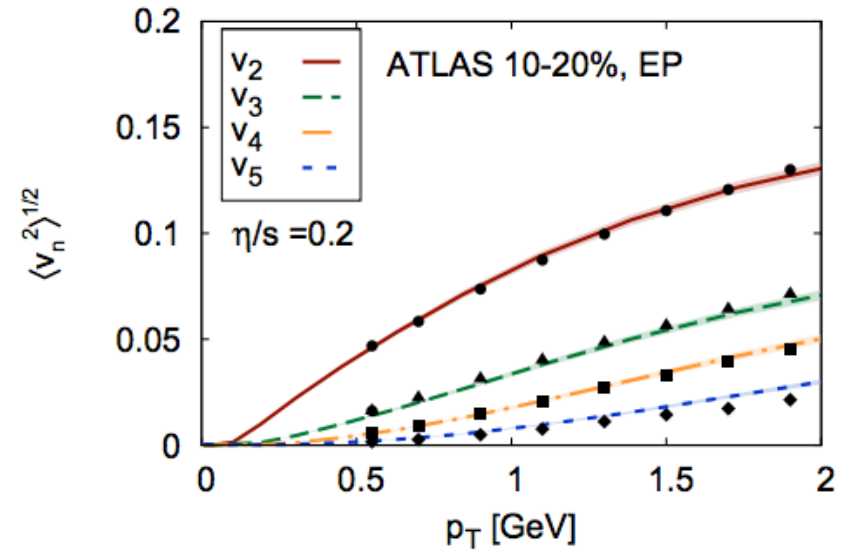
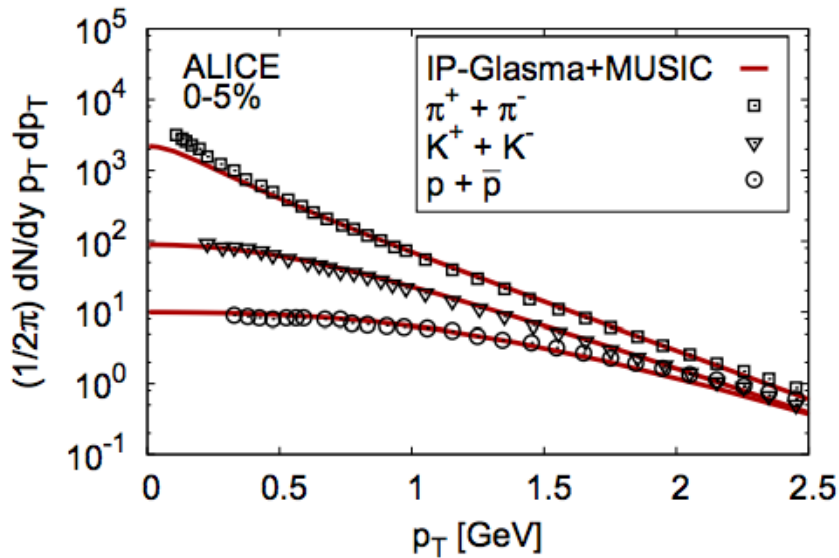
Conjectured space-time evolution of a heavy-collision based on phenomenological models and experimental information



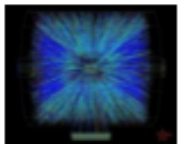
(c. f. U.Heinz, J.Phys.Conf.Ser. 455 (2013) 012044)

# Heavy-ion collisions

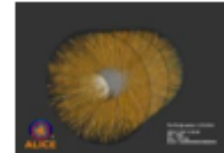
Hydrodynamic simulations versus experiment



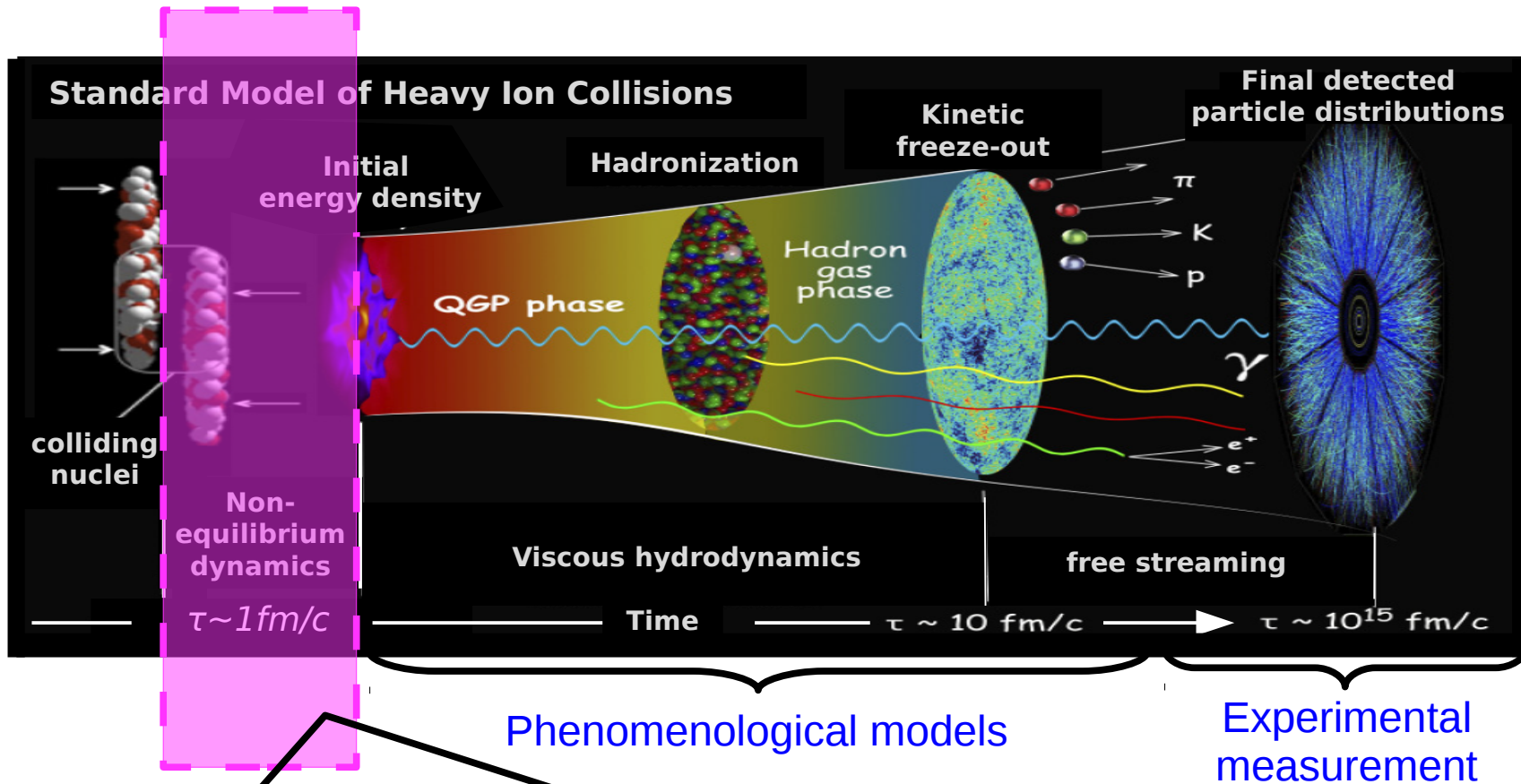
Schenke et al. PRL 110 (2013) 012302



A large variety of data at RHIC and LHC can be explained based on this standard model



# The thermalization problem



*When and to what extent is a thermalized QGP achieved?  
How does this happen?*

# Thermalization process

Progress in a first-principle understanding from two limiting cases

## *Holographic thermalization:*

a) strong coupling? *Heller, Janik, Witaszczyk; Chesler, Yaffe ...*

**Sizeable anisotropy at transition to hydrodynamic regime**

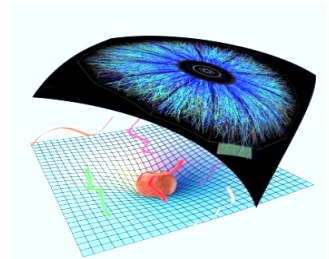


Fig. from strings.net.technion.ac.il

## *Turbulent thermalization:*

b) weak coupling but highly occupied? *CGC: McLerran, Venugopalan ...*

Energy density of gluons with typical momentum  $Q_s$  (at time  $\sim 1/Q_s$ )

$$\epsilon \sim \frac{Q_s^4}{\alpha_s} \quad \text{i.e. 'occupation numbers'} \quad n(p \lesssim Q_s) \sim \frac{1}{\alpha_s}$$

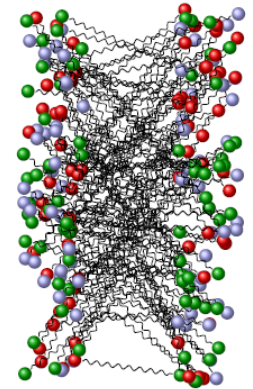


Fig. by T. Epelbaum

# Weak coupling out-of-equilibrium methods

## Classical-statistical field theory

Whenever the occupancy/field amplitudes are large ( $f \gg 1$ ) a description in terms of classical field equations of motion is applicable

$$D_\mu F^{\mu\nu} = J^\nu$$

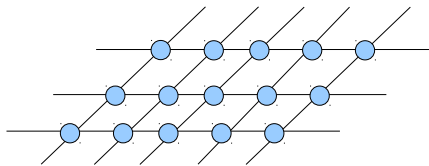
→ Can be solved numerically for a discretized space-time using standard lattice techniques

## Kinetic theory

Whenever the occupancy becomes less than ( $f < 1/\lambda$ ) a description in terms of quasi particle excitations should also be applicable

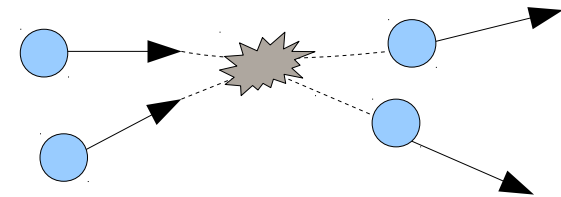
$$\partial_t f(t, p) = C[f](t, p)$$

→ Can study the effect of individual processes (e.g.  $2 \leftrightarrow 2$  or  $2 \leftrightarrow 3$  scattering)



$$f(t, p) \sim 1/\lambda$$

('strongly interacting system')



$$f(t, p) \sim 1$$

('quantum')

$$1/\lambda > f(t, p) > 1$$

('classical particles')

→ **Overlap in the range of applicability**

# Thermalization process



Initial state:  
Far from equilibrium

*Non-equilibrium  
dynamics*

Final state:  
Thermal equilibrium



*How is thermal equilibrium achieved?*



# Thermalization process

Non-equilibrium phenomena may be shared by a large class of strongly correlated many-body systems

## I) Thermalization in scalar field theory (c.f. Cosmology)

(Micha, Tkachev PRD 70 (2004) 043538)

(Berges, Boguslavski,SS, Venugopalan arXiv:1312.5216)

## II) Thermalization in Yang-Mills theory in Minkowski space

(Berges,SS,Sexty PRD 86 (2012) 074006; SS PRD 86 (2012) 065008)

## III) Thermalization in heavy-ion collisions at ultra-relativistic energies

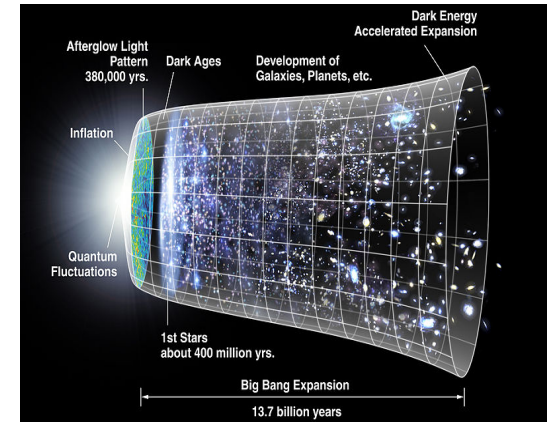
(Berges,Boguslavski,SS,Venugopalan arXiv:1303.5650, arXiv:1311.3005)

# Scalar theory – Reheating model

Scalar field theory ( $\lambda\Phi^4$ ); Small coupling  $\lambda = 10^{-8}$

$$S[\varphi] = \int d^4x \left( \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - \frac{\lambda}{24} \varphi^4 \right)$$

Simplest model for thermalization of the early universe (*Micha, Tkachev PRD 70 (2004) 043538*)



***Initial conditions (e.g. at the end of inflation):***

Homogenous background field (condensate)  $\Phi_0 \sim 1/\sqrt{\lambda}$  + vacuum fluctuations

***Coupling constant is typically very small***

$$\lambda \sim 10^{-8}$$

***The initial field amplitude of the inflation field is large***

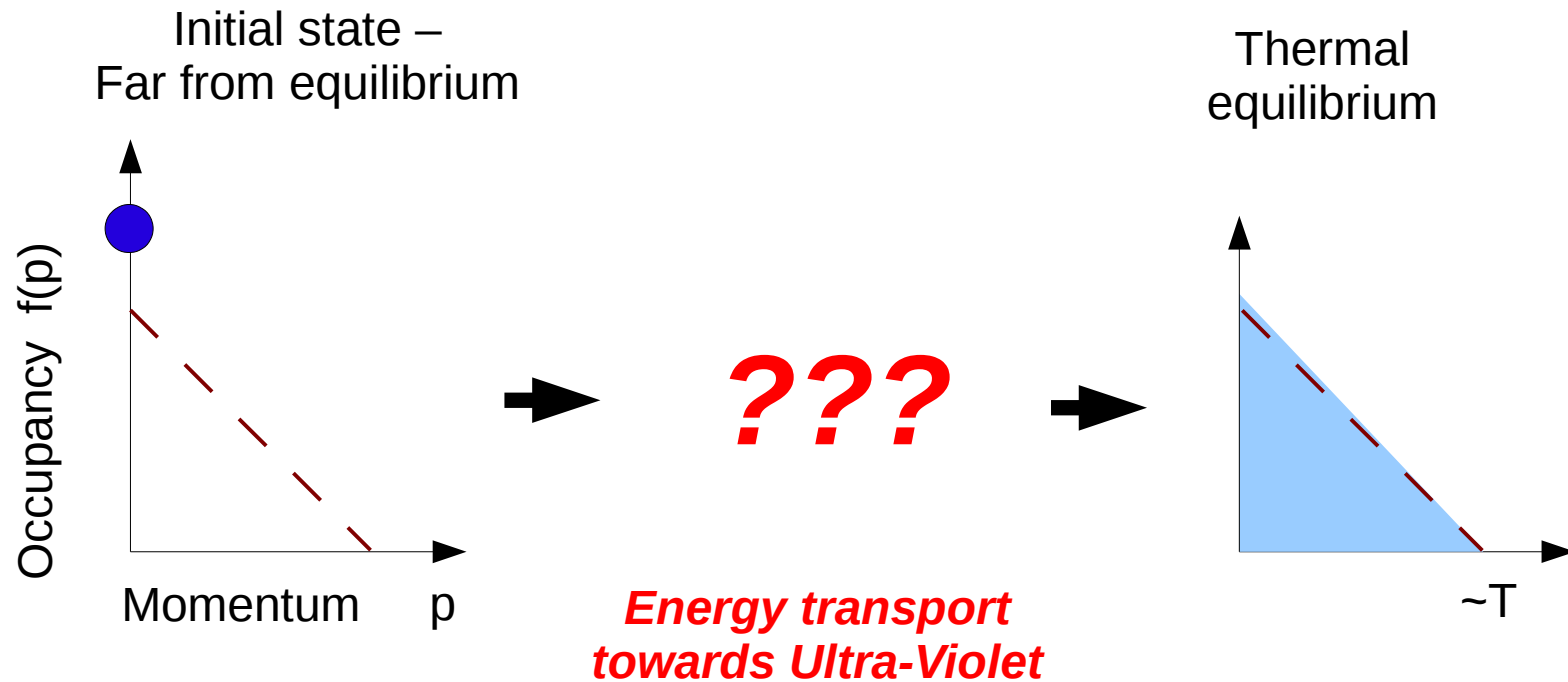
$$\phi \sim 1/\sqrt{\lambda}$$

→ ***Weakly coupled but strongly interacting***

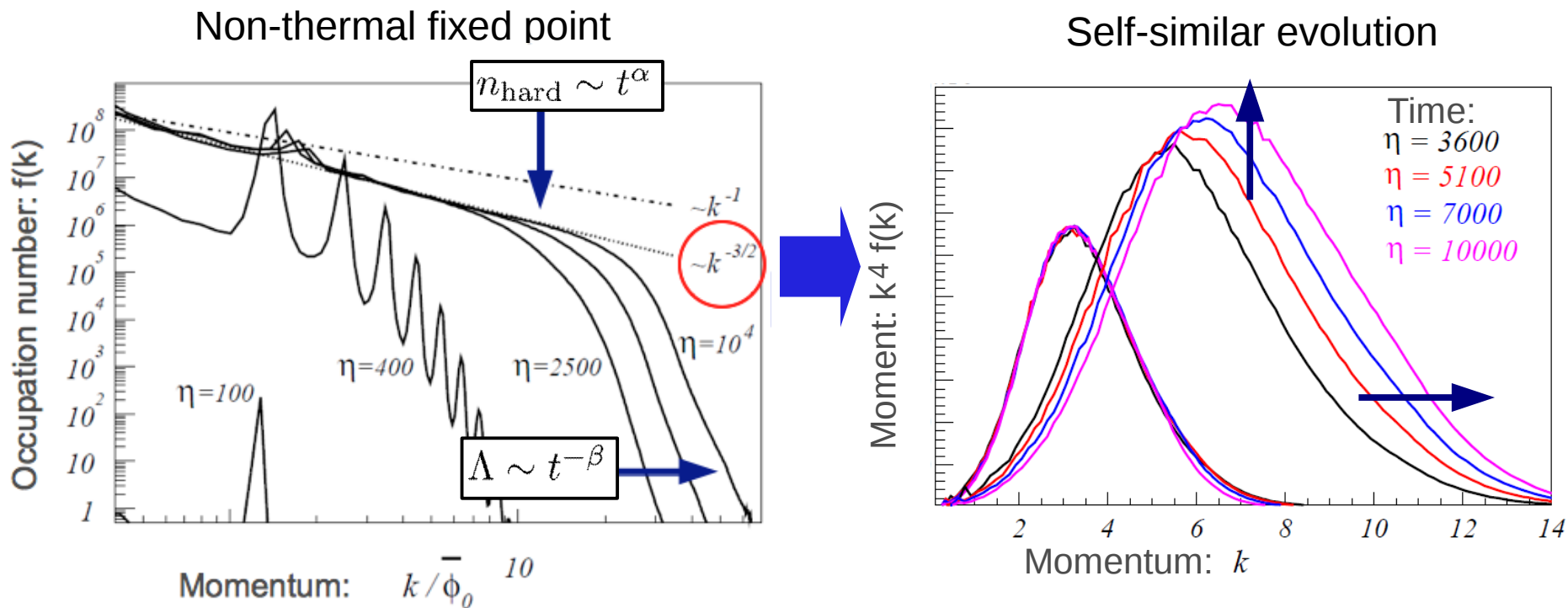
# What happens during thermalization?

*Initially most energy is stored in the background field*

*In thermal equilibrium energy is mostly carried by momentum modes  $\sim T$*



# Thermalization process

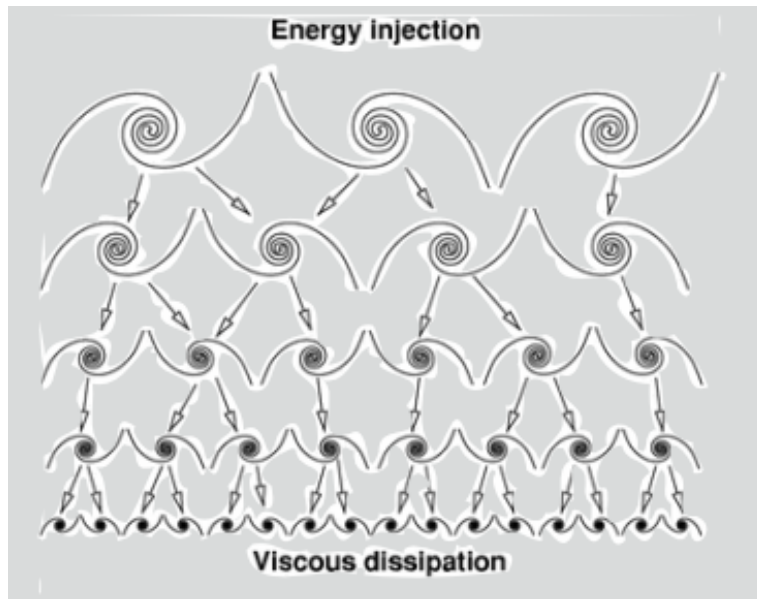


- The evolution becomes **self-similar**  $f(p, t) = t^\alpha f_S(t^\beta p)$
- The thermalization process is described by a **quasi-stationary evolution** with **scaling exponents** Dynamic:  $\alpha = -4/5$   $\beta = -1/5$  Spectral:  $\kappa = -3/2$

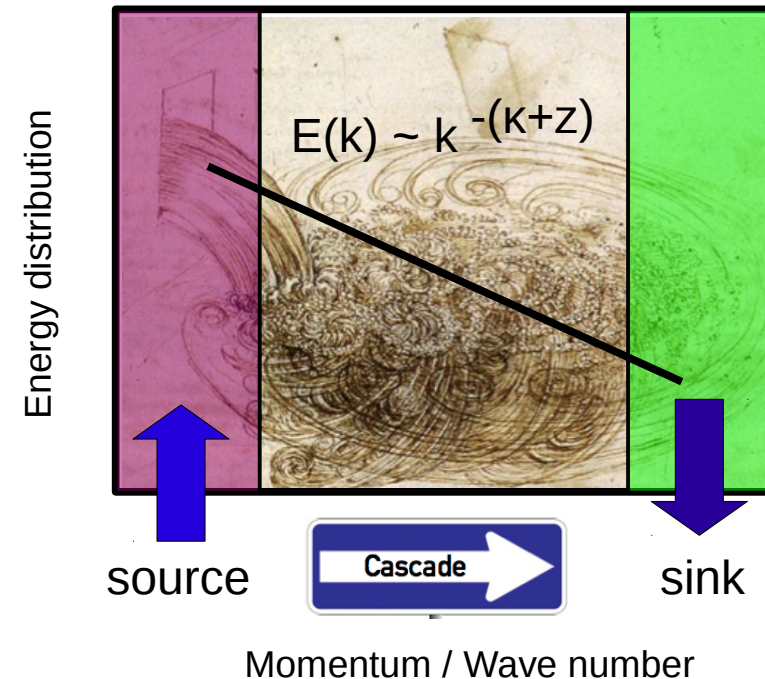
(Micha, Tkachev PRD 70 (2004) 043538)

# Turbulent thermalization – Classical picture of wave turbulence

*Richardson cascade*



*Kolmogorov spectra*



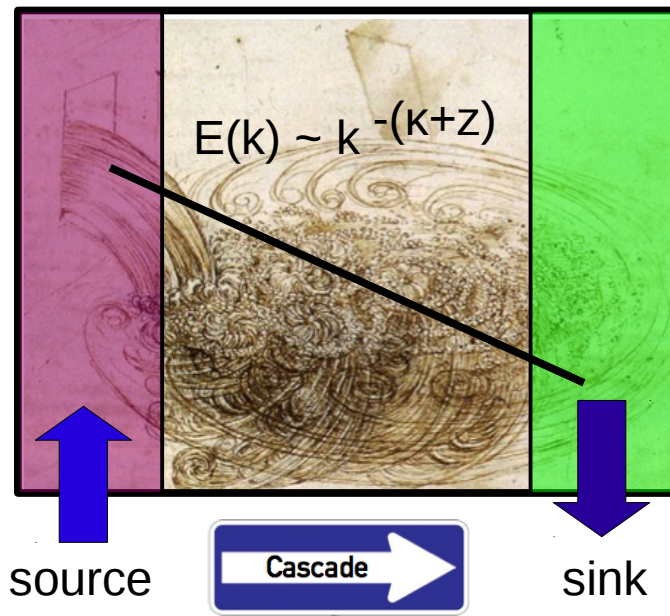
Uriel Frisch, *"Turbulence. The Legacy of A. N. Kolmogorov."*

Zakharov, V. E.; L'vov, V. S.; Falkovich, G, *"Kolmogorov spectra of turbulence 1. Wave turbulence."*

- **Stationary scaling solution** associated with scale invariant energy flux

# Turbulent thermalization – Wave turbulence in closed systems

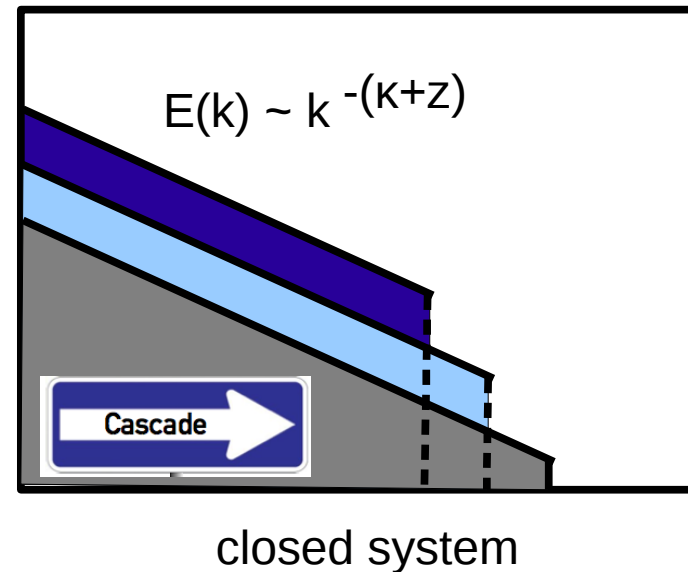
*“Driven” Turbulence –  
Kolmogorov wave turbulence*



- **Stationary scaling solution** associated with scale invariant energy flux

vs.

*“Free” Turbulence –  
Turbulent Thermalization*



- **Quasi-stationary scaling solution**
- **Self-similar time evolution** associated with energy transport towards the ultra-violet

# Kinetic interpretation

- Search for ***self-similar scaling solutions***

$$f(p, t) = t^\alpha f_S(t^\beta p)$$

of the Boltzmann equation

$$\partial_t f(p, t) = C[f](p, t) \xrightarrow[(f \gg 1)]{\text{scale invariance}} C[f](p, t) = t^\mu C[f_S](t^\beta p)$$

→ Boltzmann equation reduces to a fixed point equation and a scaling relation

$$\alpha f_S(p) + \beta \partial_p f_S(p) = C[f_S](p) \quad \alpha - 1 = \mu(\alpha, \beta)$$

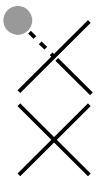

(Cosmology: Micha, Tkachev PRD 70 (2004) 043538)

# Turbulent thermalization

- The dynamic **scaling exponents** are uniquely determined by

Canonical scaling of the collision integral + Conservation laws  $\longrightarrow$  **Universality far from equilibrium**

- Classification scheme for relativistic field theories (*Micha, Tkachev*)

Interaction	$\Lambda$ evolution (Exponent $\alpha$ )	Occupancy evolution (Exponent $\beta$ )	Spectral Shape (Exponent $\kappa$ )
 $2 \leftrightarrow 1 + \text{soft}$	$-1/5$	$-4/5$	$3/2$
 $2 \leftrightarrow 2$	$-1/7^*$	$-4/7^*$	$4/3, 5/3$
	"free" cascade		stationary cascade

$\rightarrow$  **Scalar theory:** Turbulent cascade is driven by  $2 \leftrightarrow (1 + \text{soft})$  interaction

(\* c.f. Kurkela, Moore for  $SU(N_c)$  gauge theory)

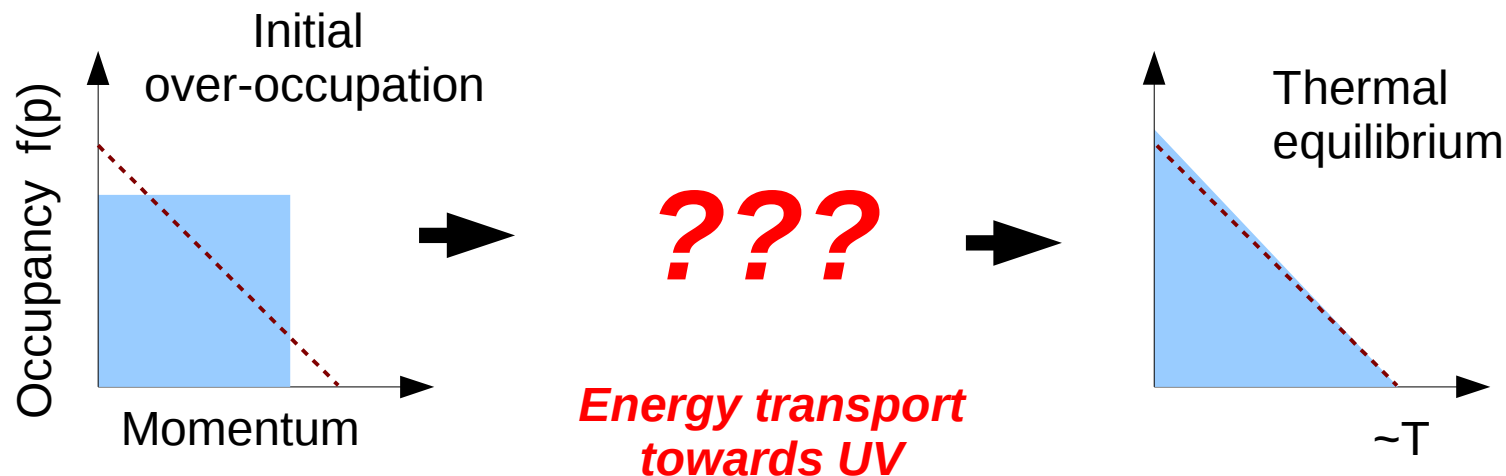


# Generic phenomenon?

- Consider e.g. initial conditions without a condensate

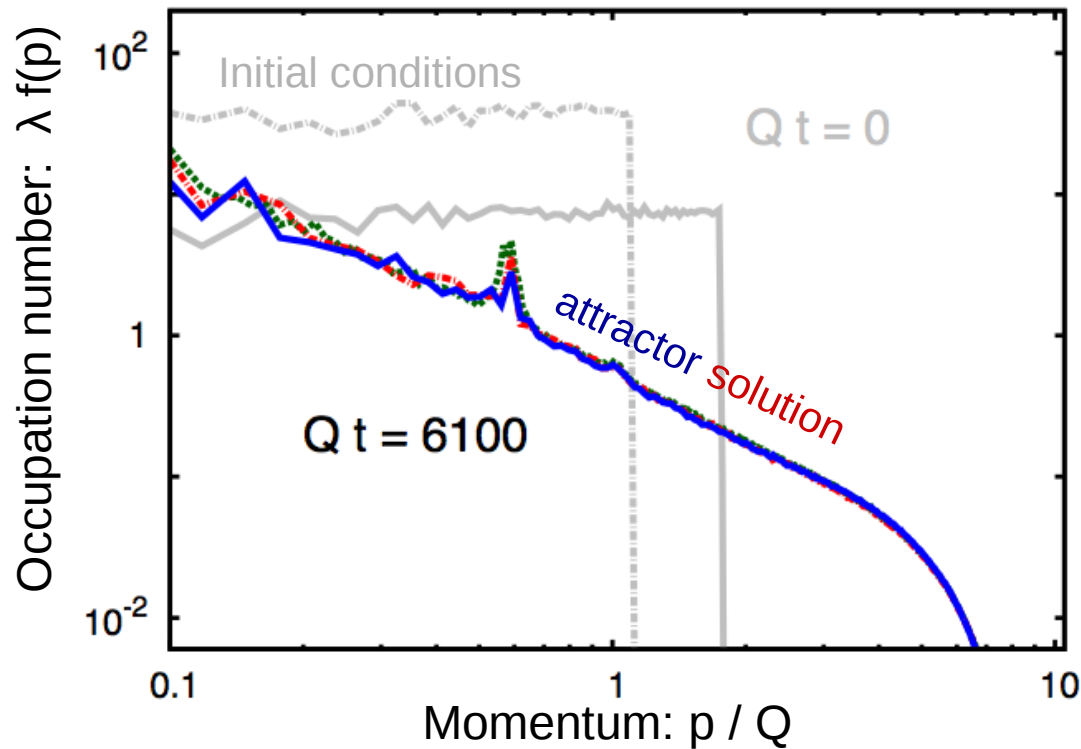
$$f(t_0, p) = \frac{n_0}{\lambda} \theta(Q - p) \quad n_0 \text{ controls initial over-occupancy}$$

→ *Thermalization process remains essentially the same*



(Berges, Boguslavski, SS, Venugopalan arXiv:1312.5216)

# Independence of Initial conditions

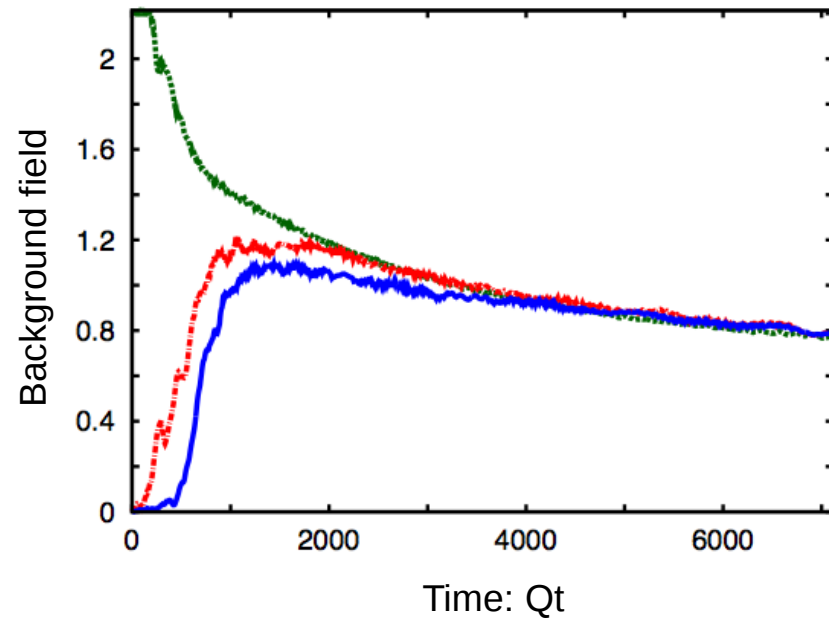
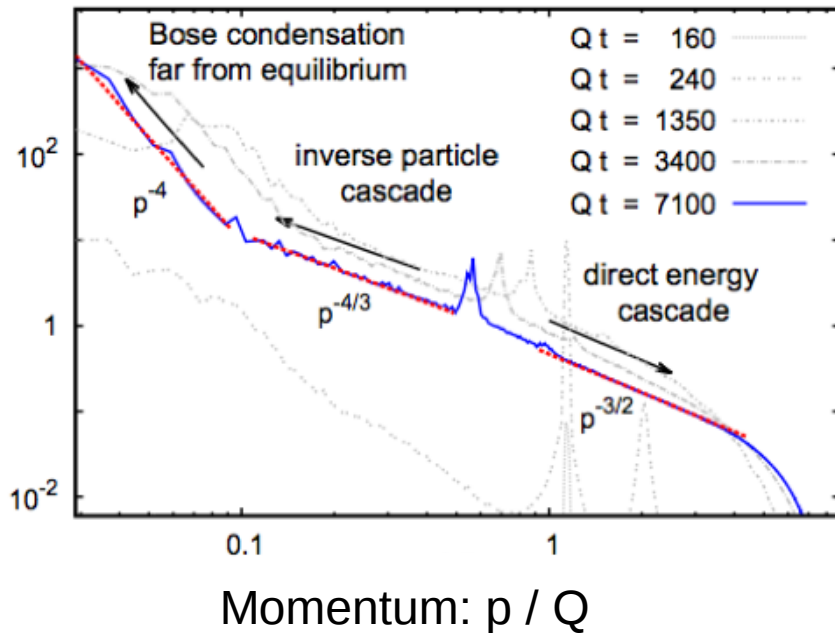


- The turbulent scaling behavior is a property of the thermalization process – ***independent of the underlying initial conditions***
- An ***effective memory loss*** occurs ***already at the early stages*** of the thermalization process

(Berges, Boguslavski, SS, Venugopalan arXiv:1312.5216)

# Bose-Condensation far from equilibrium

Occupation number:  $\lambda f(p)$

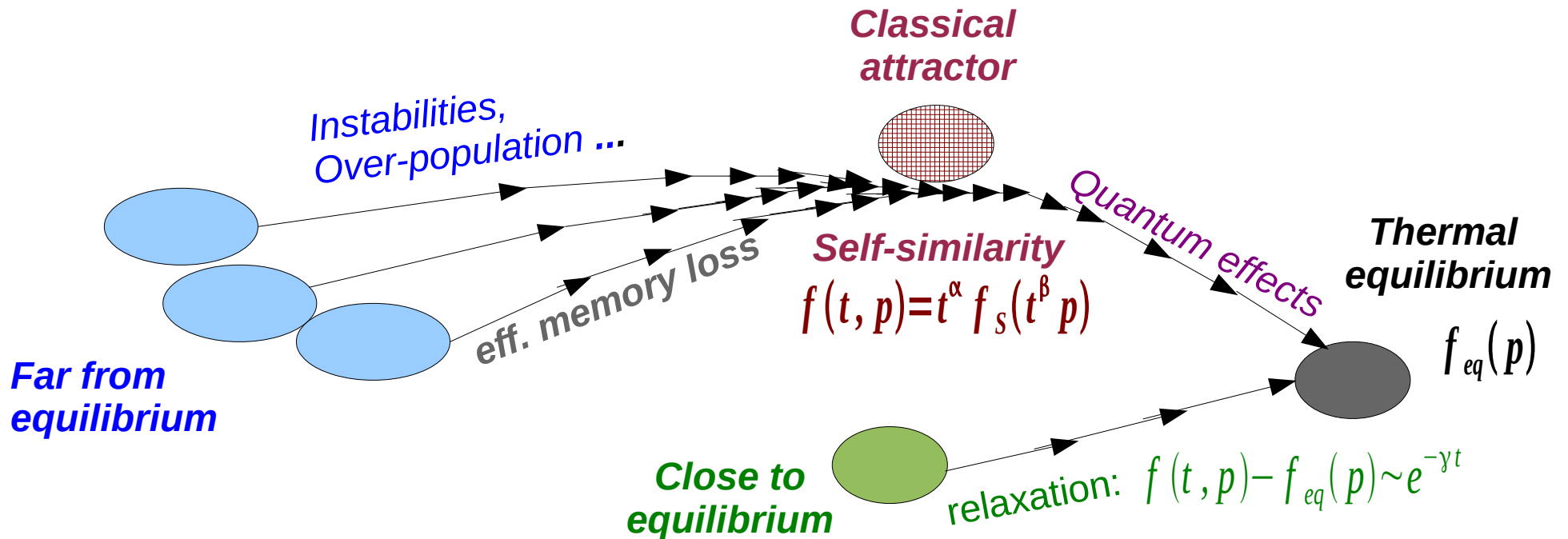


→ Dynamical formation of macroscopic zero mode (Bose condensation) even though the system is in the symmetric phase

(Berges, Sexty *PRL* 108 (2012) 161601 Berges, Boguslavski, SS, Venugopalan *arXiv:1312.5216*)

# Turbulent thermalization

Thermalization for a system far from equilibrium proceeds as a **self-similar evolution** associated to the presence of a **non-thermal fixed point**



*How does this picture apply to non-Abelian gauge theories?  
Does it hold for relativistic heavy-ion collisions?*

# Non-abelian plasma in Minkowski space

- Consider homogenous and *isotropic* systems which are initially *highly occupied* and initially characterized by a single momentum scale  $Q$



How does thermalization proceed? Turbulent attractor?  
What are the relevant kinetic processes?

(c.f. Kurkela, Moore *JHEP* 1112 (2011) 044; Blaizot et al. *Nucl.Phys.* A873 (2012) 68-80)

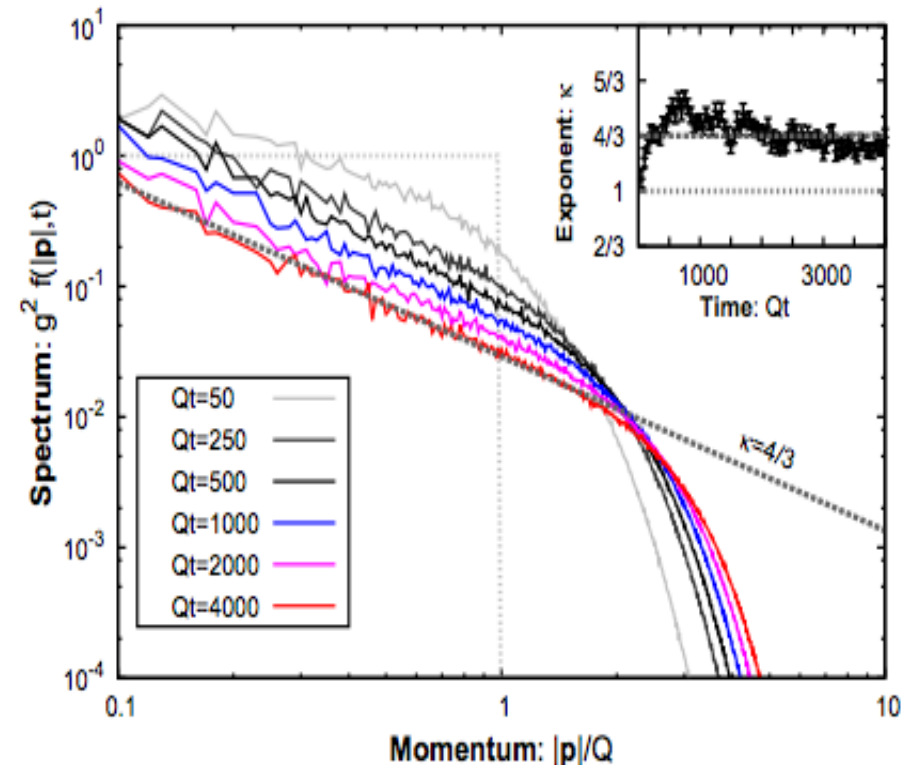
# Definition of occupation number

- Single particle distribution *is a gauge dependent quantity* but facilitates comparison with kinetic theory.
- Chose *temporal axial + Coulomb type gauge* to fix the gauge freedom

$$A_t = 0 \quad \nabla A \Big|_t = 0$$

- Define occupation number from *equal time correlation functions*

$$f(p, t) = \langle \left| \xi_{\mu}^{(\lambda)k}(t) \bar{\partial}_t A_a^{\mu}(t, p) \right|^2 \rangle_{(Coul. \ gauge)}$$



(see e.g. Kurkela, Moore PRD 86, (2012) 056008; SS PRD86 (2012) 065008; Berges, Boguslavski, SS, Venugopalan PRD89 (2014) 114007)

# Self-similarity

- Evolution at late times shows a **self-similar** behavior

$$f(p, t) = (Qt)^\alpha f_s((Qt)^\beta p)$$

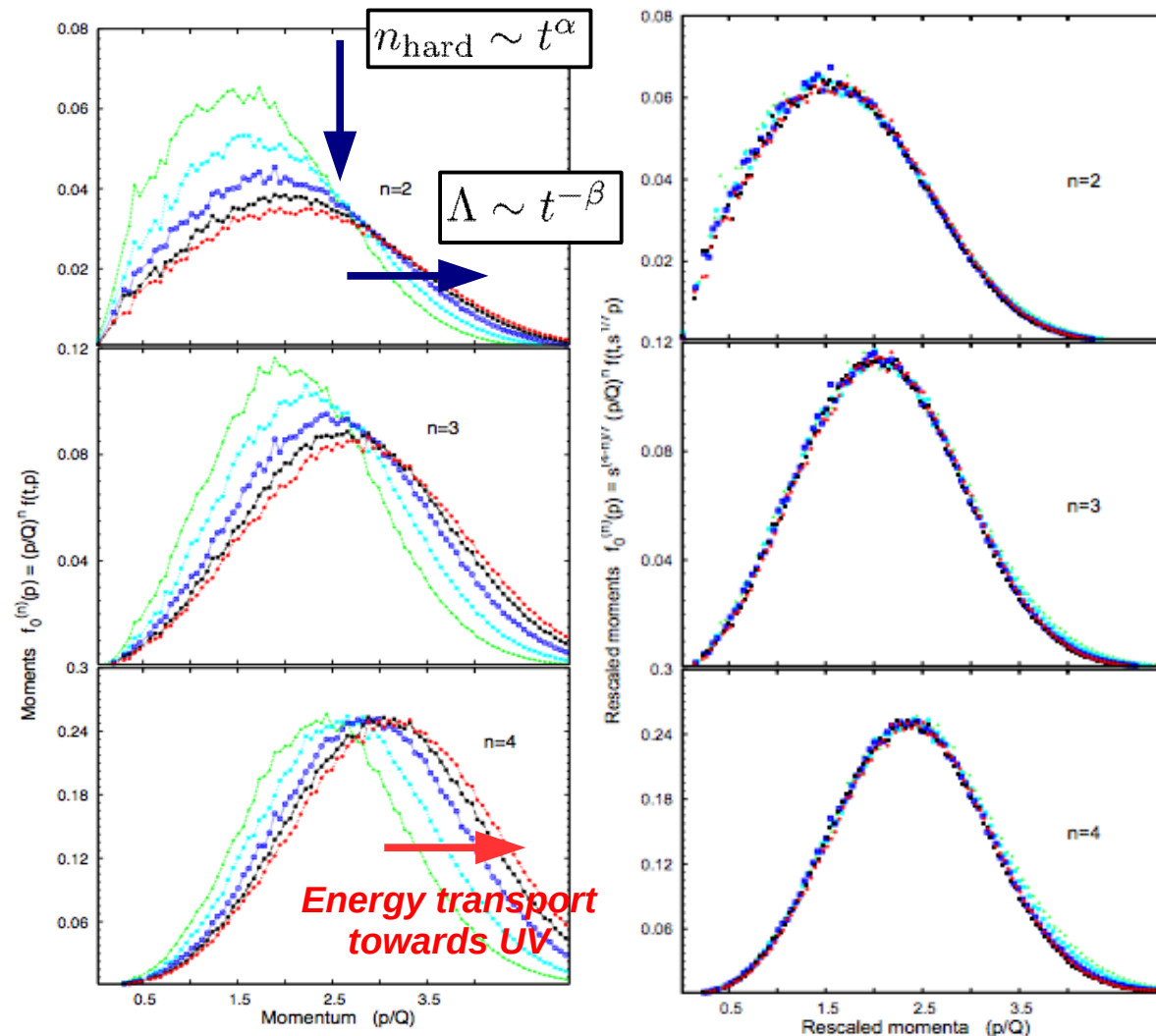
with dynamical scaling exponents

$$\alpha = -4/7$$

$$\beta = -1/7$$

consistent with **elastic & inelastic scattering** processes

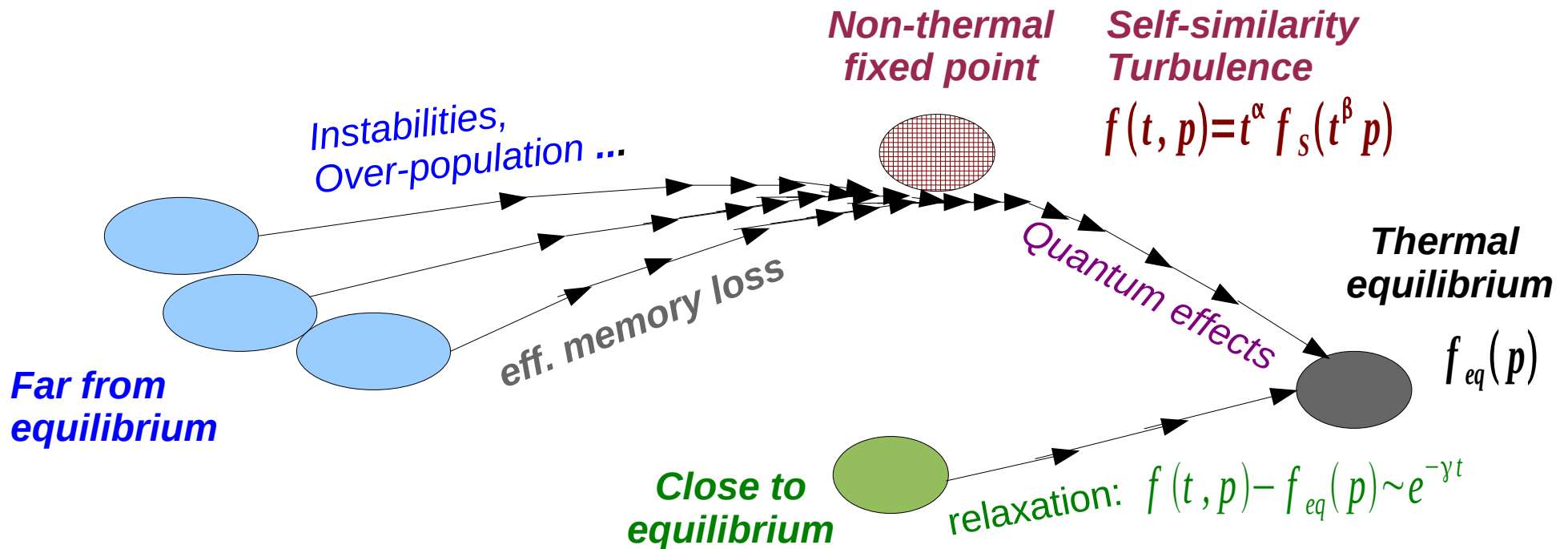
(c.f. Kurkela, Moore *JHEP* 1112 (2011) 044;  
Blaizot et al. *Nucl.Phys.* A873 (2012) 68-80)



(SS *PRD* 86 (2012) 065008; Kurkela, Moore *PRD* 86, (2012) 056008)

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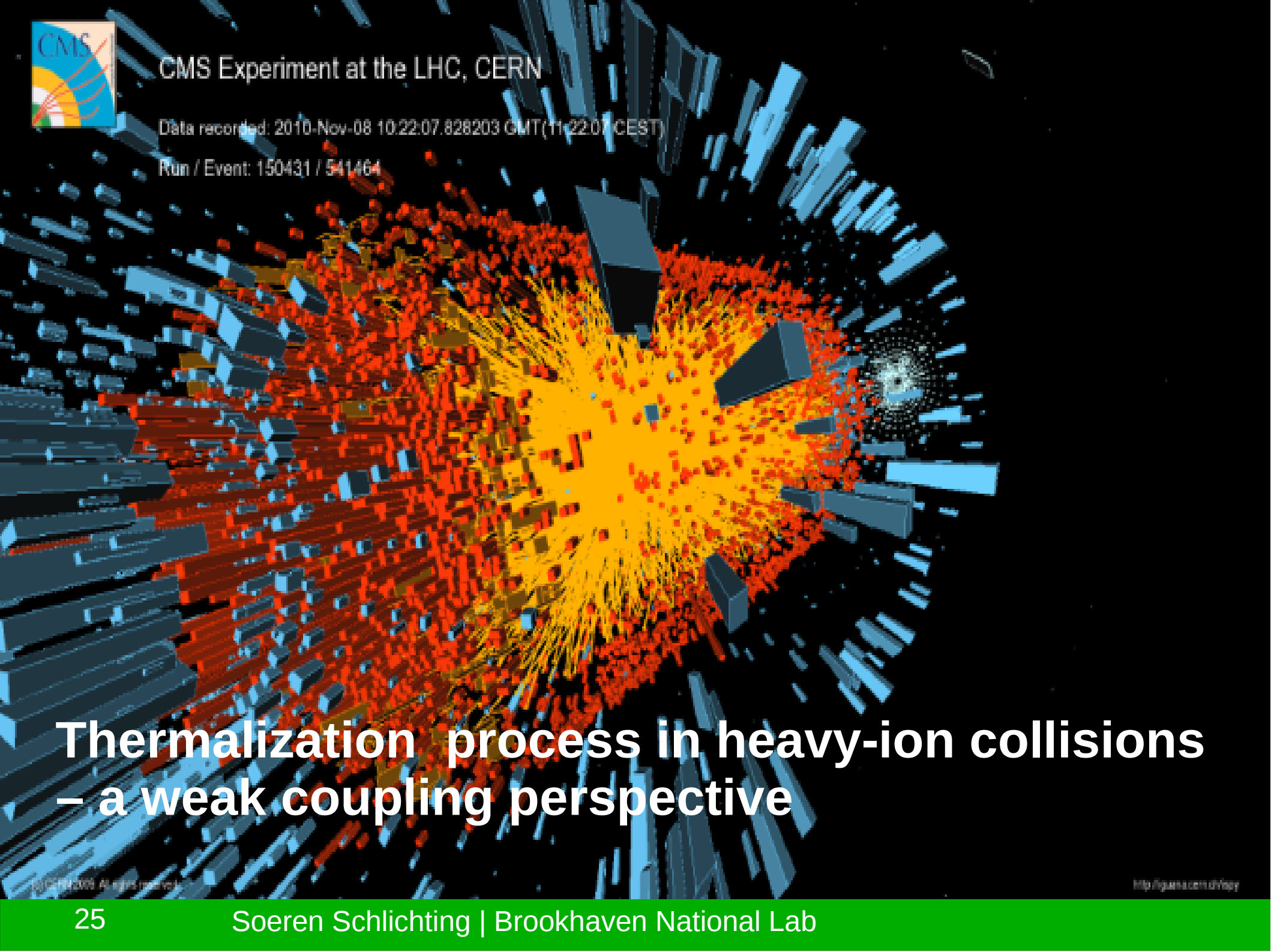




CMS Experiment at the LHC, CERN

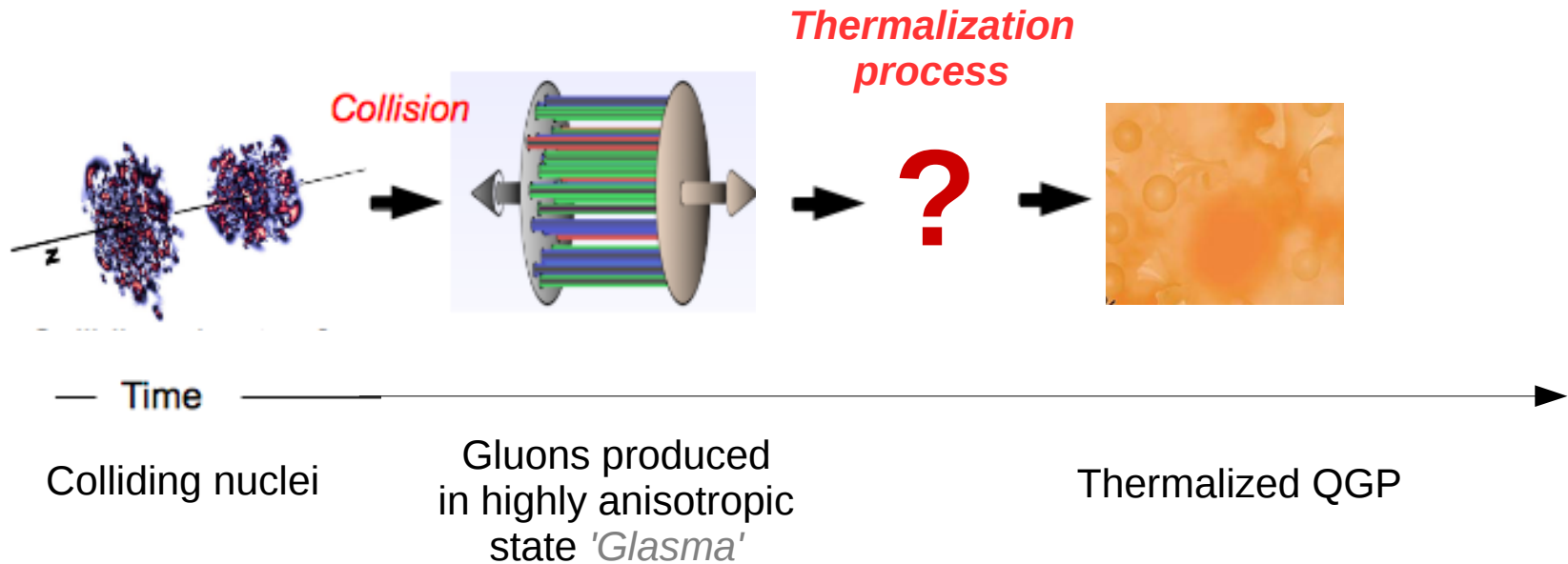
Data recorded: 2010-Nov-08 10:22:07.828203 GMT(11-22:07 CEST)

Run / Event: 150431 / 541464



# Thermalization process in heavy-ion collisions – a weak coupling perspective

# General picture at weak coupling



**Coupling constant assumed to be small**

$$\alpha_s(Q) \ll 1$$

**Many gluons produced in the collision**

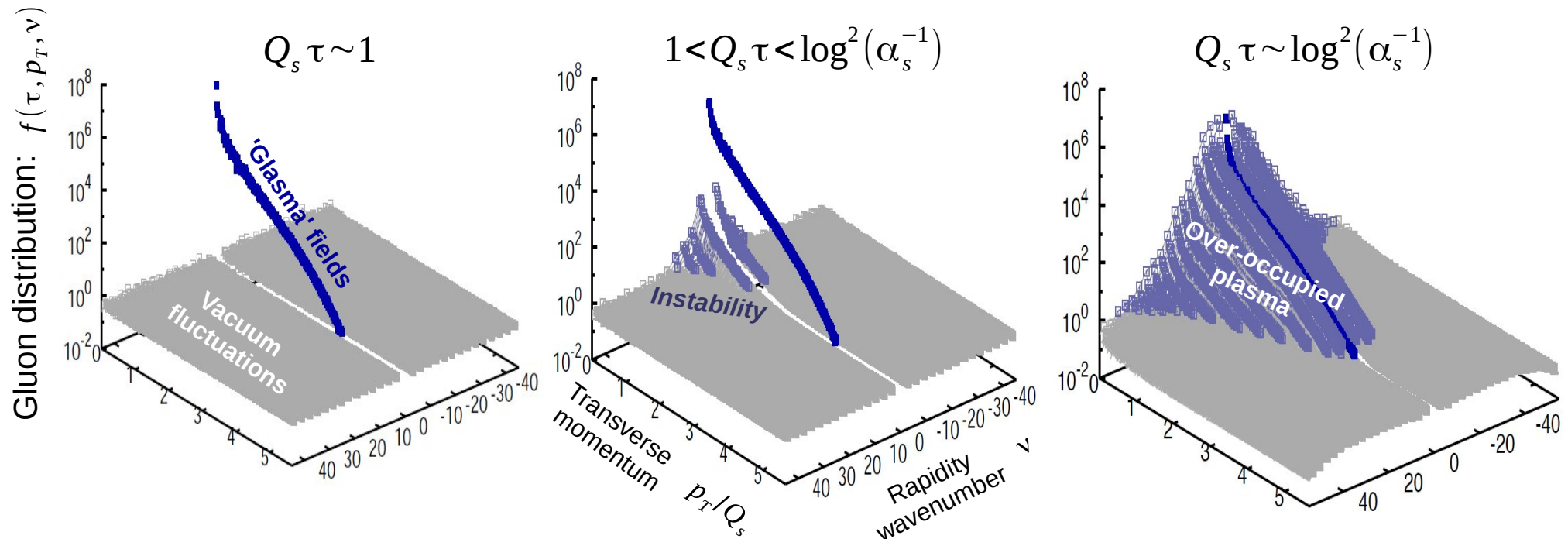
$$f(p) \sim 1/\alpha_s$$

→ **Weakly coupled but strongly interacting**

# Early time dynamics

**Initial state is highly anisotropic** → **Plasma instabilities lead to exponential growth of low momentum modes** (c.f. Mrowczynski, Romatschke, Strickland, Rebhan, Attems, Venugopalan, Epelbaum, Gelis, Fukushima, Berges, Sexty ...)

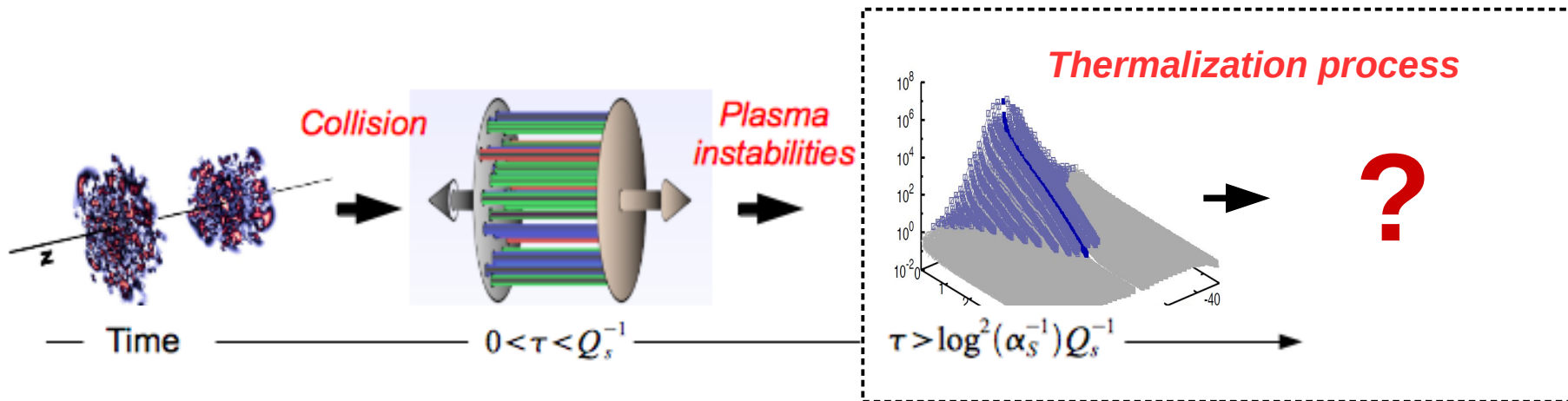
→ **Over-occupied plasma**  $f(p \lesssim Q_s) \sim 1/\alpha_s$  **formed on a time scale**  $\tau \sim Q_s^{-1} \log^2(\alpha_s^{-1})$



(Berges, Schenke, SS, Venugopalan work in progress)

# Thermalization process at weak coupling

System is still far from equilibrium at times  $\tau_0 = 1/Q_s \ln^2(1/\alpha_s)$



Competition between interactions and the longitudinal expansion, may render the system *anisotropic on large time scales*

## **Longitudinal Expansion:**

- Red-shift of longitudinal momenta
- Increase of anisotropy
- Dilution of the plasma

## **Interactions:**

- Momentum broadening
- Decrease of anisotropy

# Thermalization scenarios

- Different scenarios of how thermalization proceeds have been proposed in the literature

**Baier et al. ( *BMSS* ),**  
PLB 502 (2001) 51-58



*Elastic + inelastic scattering*

**Boedeker ( *BD* ),**  
JHEP 0510 (2005) 092



*Plasma instabilities*

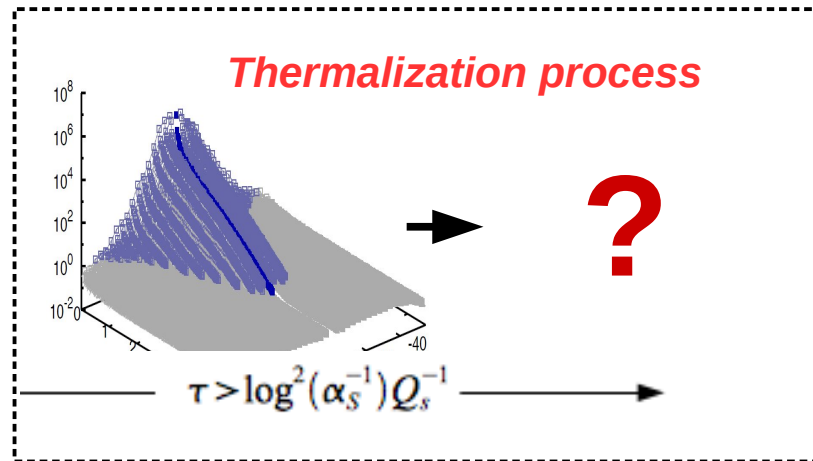
**Kurkela, Moore ( *KM* ),**  
JHEP 1111 (2011) 120



*Plasma instabilities*

→ Difference arises from the treatment of soft (non-perturbative) physics of modes below the Debye scale.

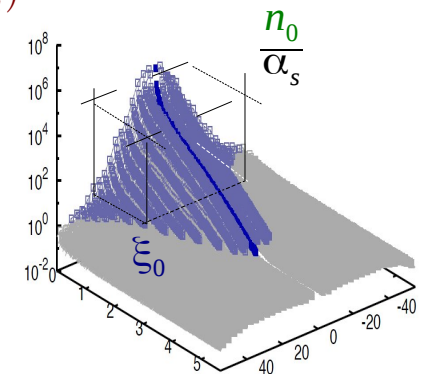
# Thermalization of the over-occupied QGP



***Classical regime can be studied non-perturbatively within classical-statistical lattice simulations***

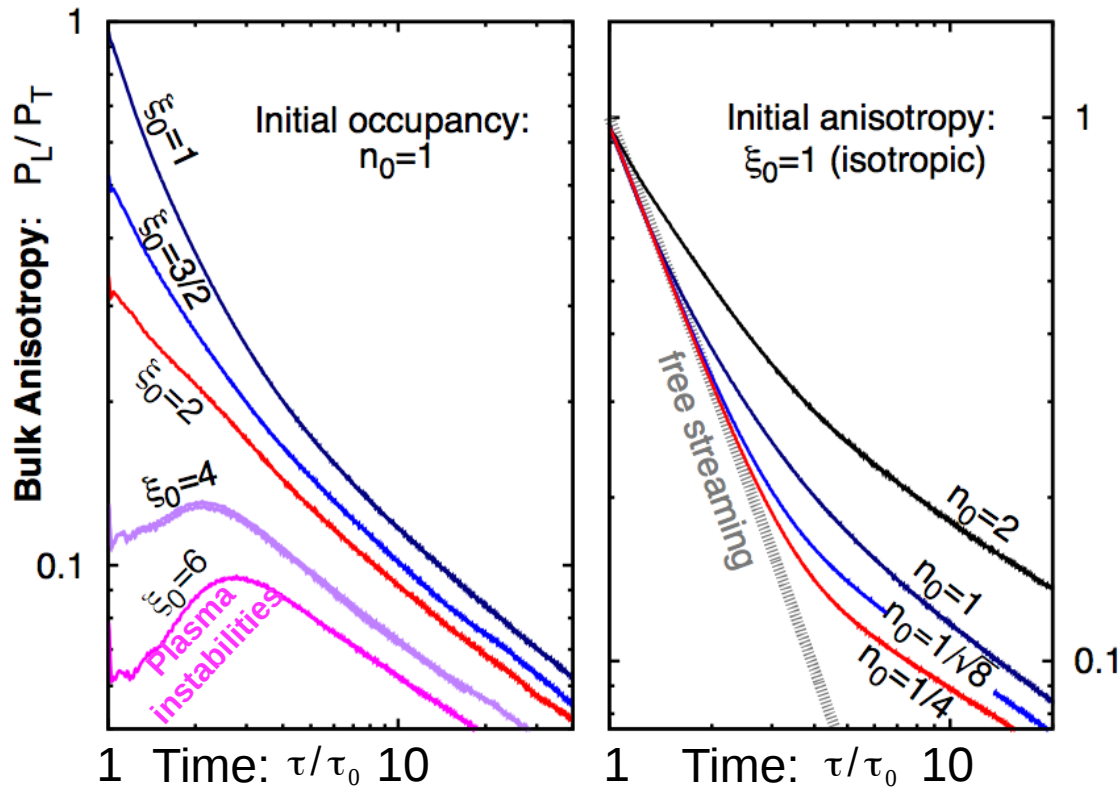
Study thermalization process for a variety of different initial conditions which describe the over-occupied plasma at initial time  $\tau_0 = 1/Q_s \ln^2(1/\alpha_s)$

$$f(p_T, p_Z, \tau_0) = \frac{\overbrace{n_0}^{\text{Over-occupation}}}{\alpha_s} \theta\left(Q_s - \sqrt{p_T^2 + \underbrace{\xi_0^2}_{\text{Momentum space anisotropy}} p_Z^2}\right)$$



(Berges, Boguslavski, SS, Venugopalan PRD 89 074011 & arXiv:1311.3005)

# Lattice results – Bulk anisotropy



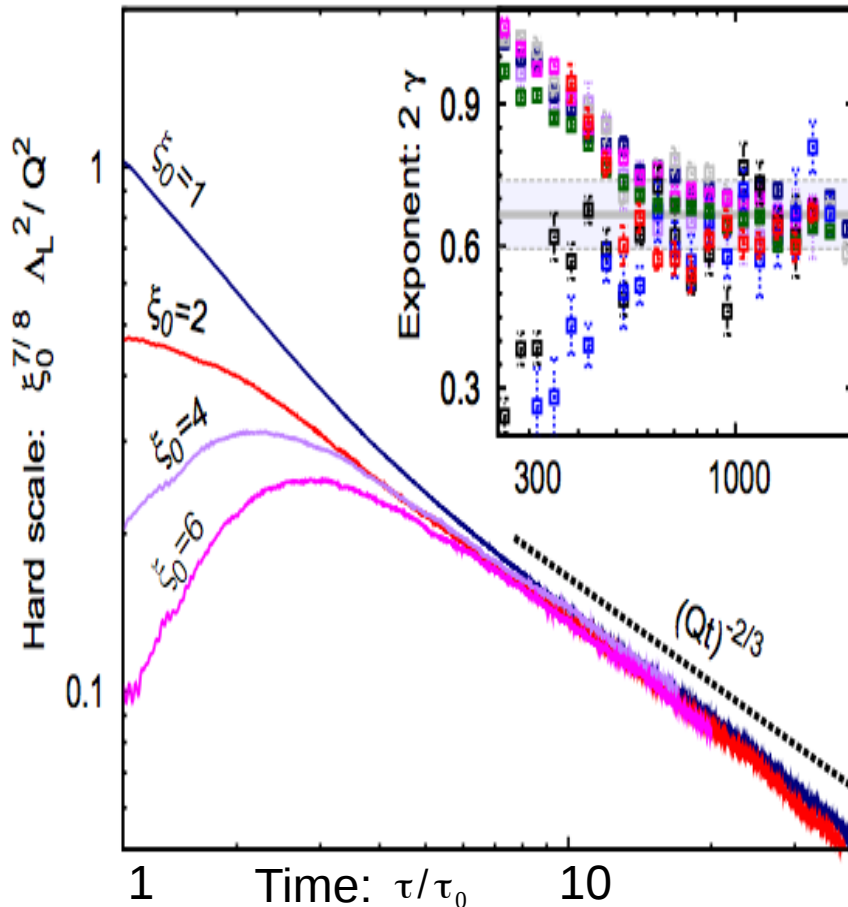
- Competition between interactions and longitudinal expansion leads to an **increase of the anisotropy**.
- Nevertheless the system remains **significantly interacting** throughout the entire evolution.
- The evolution becomes **insensitive to the initial conditions** and exhibits a **universal scaling behavior** at late times.

$n_0$  controls initial over-occupancy

$\xi_0$  controls initial anisotropy

(Berges, Boguslavski, SS, Venugopalan PRD 89 074011 & arXiv:1311.3005)

# Universal Scaling



- The typical *longitudinal momentum* of hard excitations exhibits a *universal scaling* behavior

$$\Lambda_L^2 / Q^2 \sim (Qt)^{-2\gamma}$$

$$2\gamma = 0.67 \pm 0.07$$

- The typical *transverse momentum* of hard excitations remains approximately *constant*

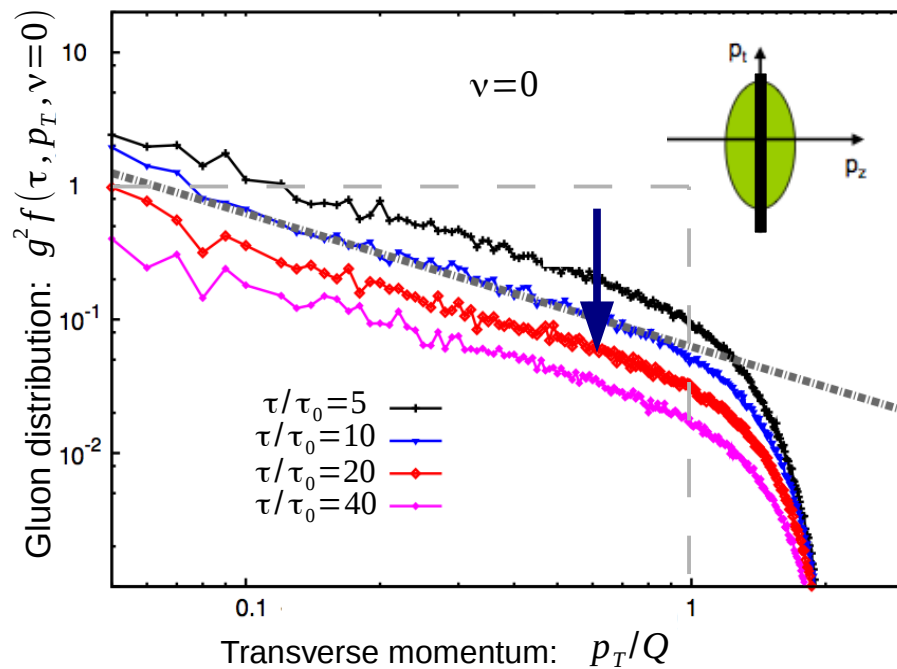
$$\Lambda_T^2 / Q^2 \sim (Qt)^{-2\beta}$$

$$2\beta \simeq 0$$



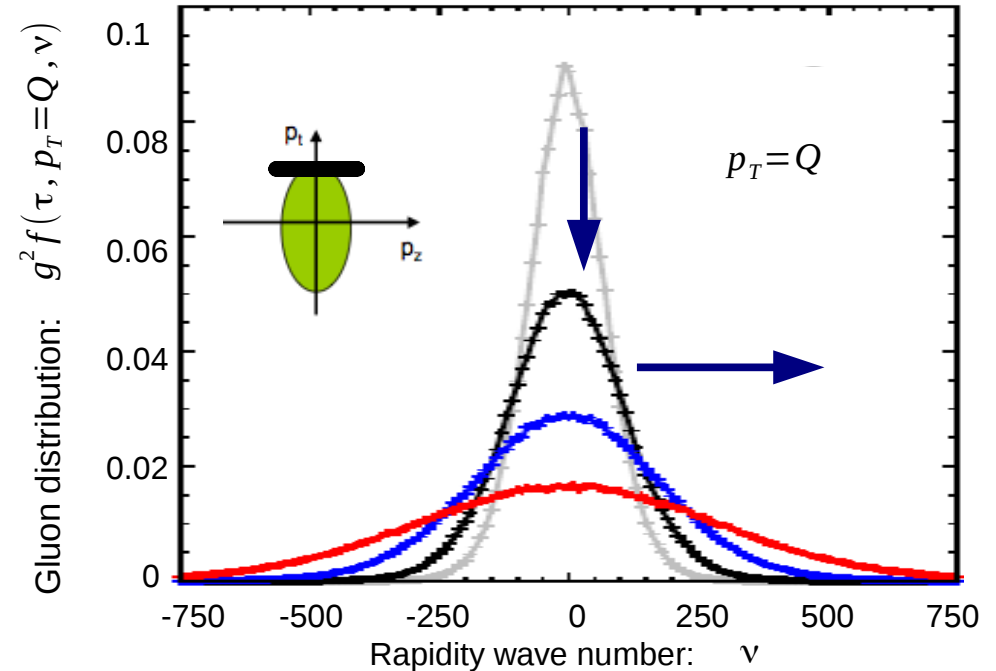
# Single particle spectra

Transverse spectrum



Transverse spectrum quickly approaches 'thermal' like  $T/p_T$  shape, with decreasing amplitude

Longitudinal spectrum

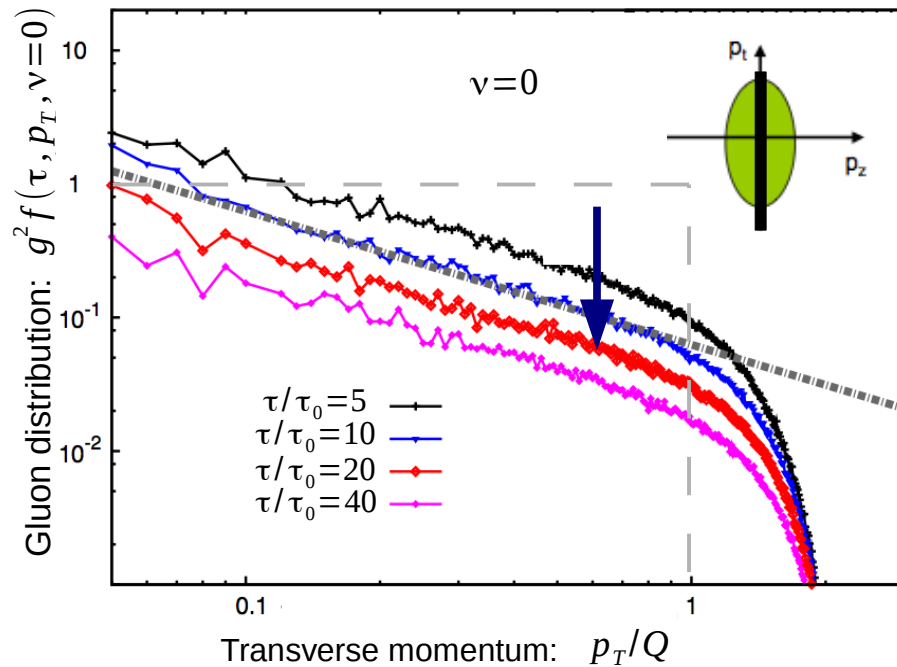


Significant momentum broadening in the longitudinal direction observed.

(Berges, Boguslavski, SS, Venugopalan PRD 89 074011 & arXiv:1311.3005)

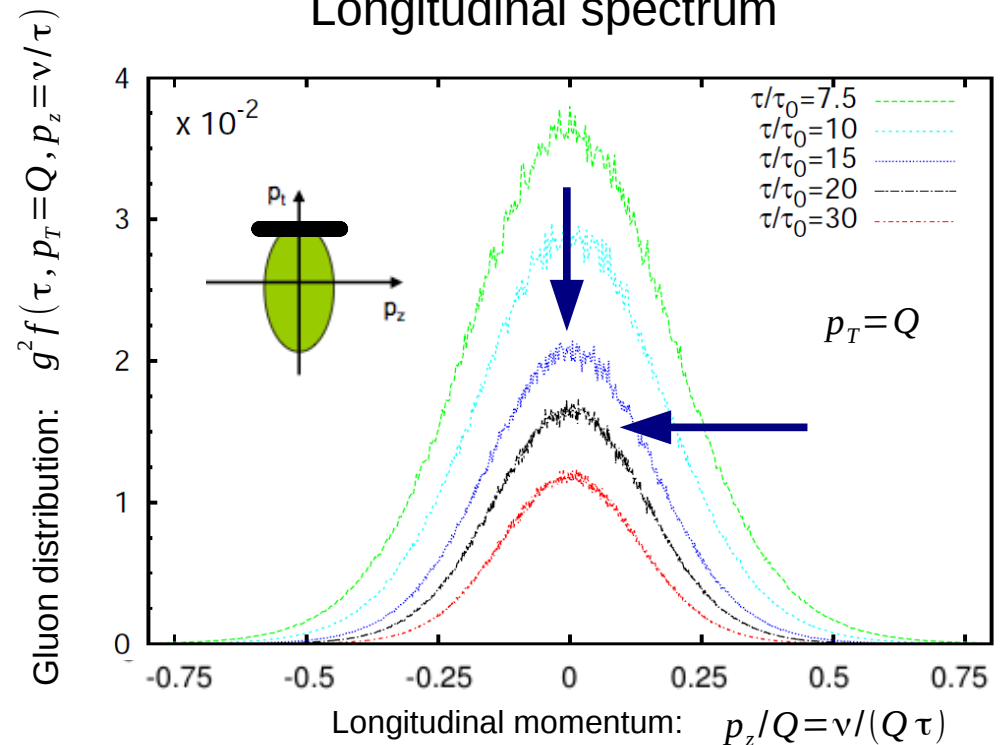
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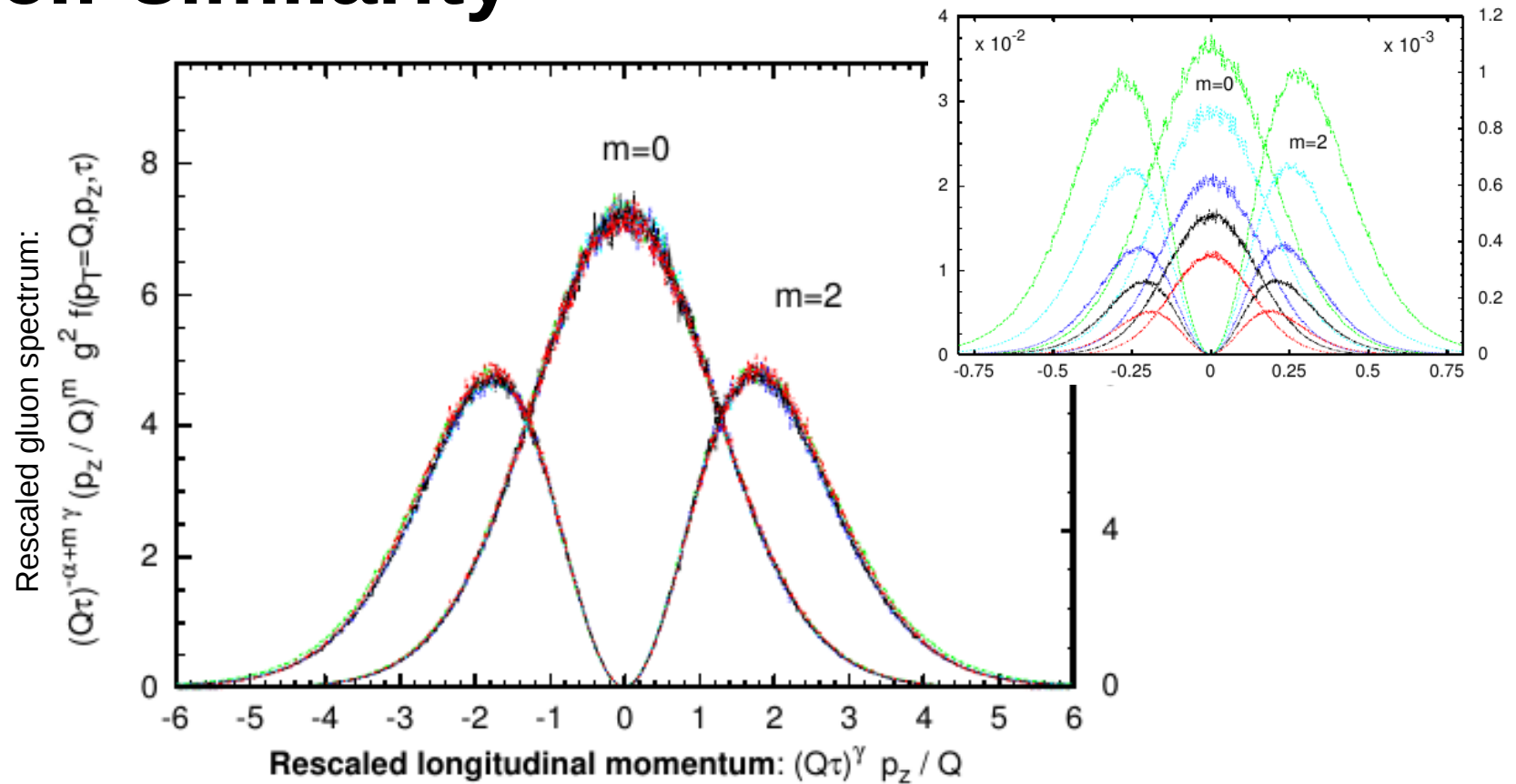
Longitudinal spectrum



However not strong enough to compensate completely for the red shift due to the longitudinal expansion.

(Berges, Boguslavski, SS, Venugopalan PRD 89 074011 & arXiv:1311.3005)

# Self-similarity



The system reaches a classical turbulent attractor, where the space-time evolution

becomes self-similar, i.e.  $f(p_T, p_Z, \tau) = (Q\tau)^\alpha f_S((Q\tau)^\beta p_T, (Q\tau)^\gamma p_Z)$

with scaling exponents  $\alpha \approx -2/3$ ,  $\beta \approx 0$ ,  $\gamma \approx 1/3$

*(Berges, Boguslavski, SS, Venugopalan PRD 89 074011 & arXiv:1311.3005)*

# Kinetic interpretation

Consider the Boltzmann equation

$$\left[\partial_\tau - \frac{p_z}{\tau} \partial_{p_z}\right] f(p_T, p_z, \tau) = C[f](p_T, p_z, \tau)$$

with a self-similar evolution

$$f(p_T, p_z, \tau) = (Q\tau)^\alpha f_s((Q\tau)^\beta p_T, (Q\tau)^\gamma p_z)$$

→ **Non-thermal fixed point solution** ( $f \gg 1$ )

$$[\alpha + \beta p_T \partial_{p_T} + (\gamma - 1) p_z \partial_{p_z}] f_s(p_T, p_z) = Q^{-1} C[f_s](p_T, p_z)$$

→ **Scaling exponents determined by scaling relations for**

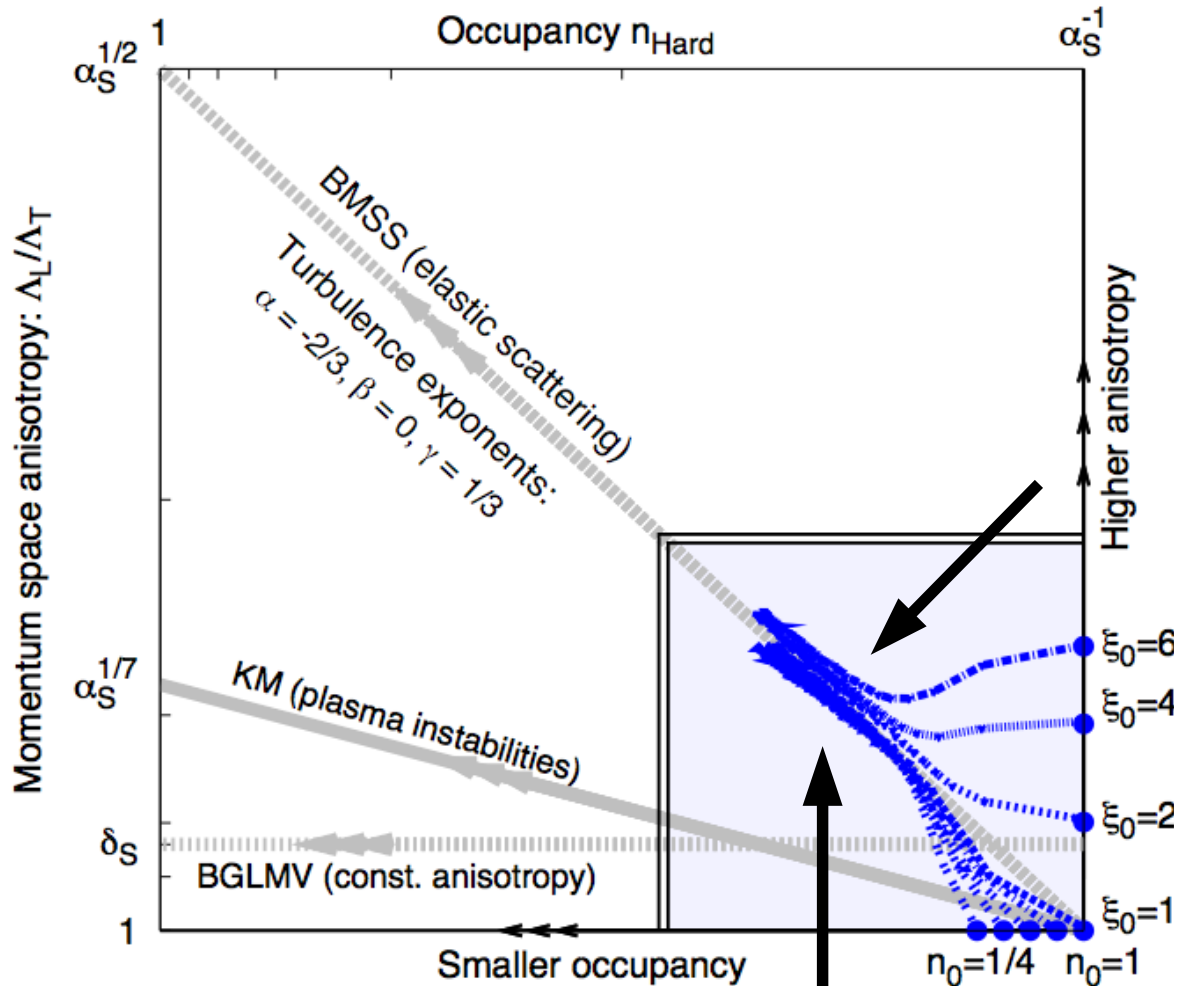
- Small angle elastic scattering  $(2\alpha - 2\beta + \gamma = -1)$
- Energy conservation  $(\alpha - 3\beta - \gamma = -1)$
- Particle number conservation  $(\alpha - 2\beta - \gamma = -1)$

→  $\alpha = -2/3, \beta = 0, \gamma = 1/3$  **in excellent agreement with lattice data!**

**Confirms “bottom-up” thermalization scenario (Baier et al. PLB 502 (2001) 51-58)**

*(Berges, Boguslavski, SS, Venugopalan PRD 89 074011 & arXiv:1311.3005)*

# The attractor solution



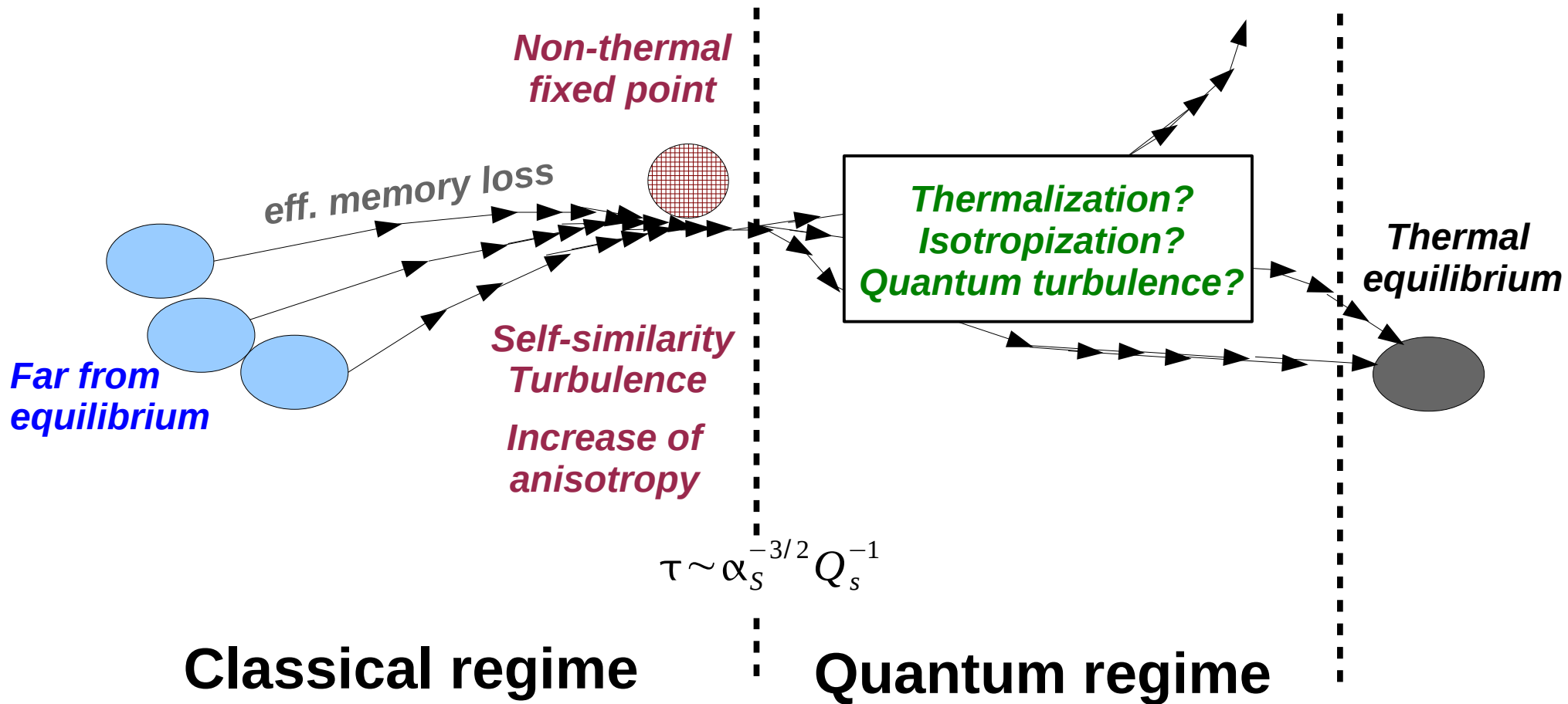
- Universal scaling behavior for different initial conditions
- Qualitative agreement with the first stage of the “bottom-up” thermalization scenario (Baier et al. PLB 502 (2001) 51-58)

→ No sign that plasma instabilities play a significant role



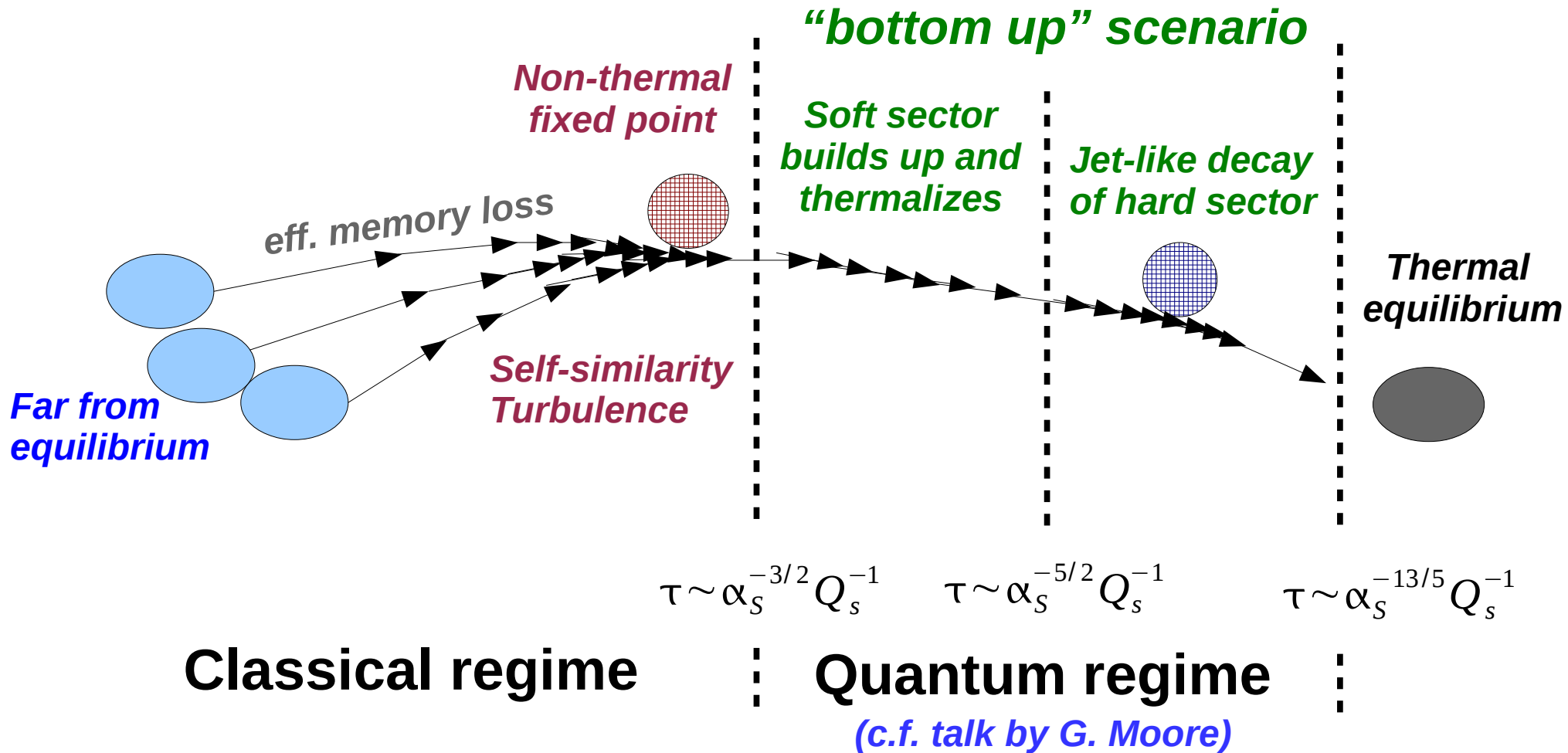
# Thermalization process

The expanding plasma exhibits a ***self-similar evolution***. However, at the end of the classical regime the system is ***still far from equilibrium***



# Thermalization process

Classical statistical simulations no longer applicable in the quantum regime. However kinetic theory predictions provide route to thermal equilibrium



# Conclusion & Outlook

- **Classical-statistical lattice simulations** can be used to study the non-equilibrium dynamics from first principles in weak coupling limit.
- Within the common range of validity lattice simulations agree well with kinetic theory (*c.f. talk by G. Moore*)
- Turbulent **thermalization process** appears as a generic feature of strongly correlated many-body systems across different energy scales (*'big bang', 'little bang', 'ultracold bang'*)

## Open questions:

- How is the weak-coupling attractor approached for the most realistic initial conditions?
- How exactly is isotropization/thermalization achieved in the quantum regime? (*c.f. Kurkela, Lu arXiv:1405.6318*)
- Can we reliably perform simulations directly at larger values of the coupling? (*c.f. Epelbaum, Gelis PRL 111 (2013) 232301; BBSV arXiv:1312.5216*)
- How to compare weak coupling and strong coupling results?